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Title: Adverse Effect of Cake Collapse on the Functional Integrity of Freeze-Dried Bull Spermatozoa

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**Abstract:** Under optimal freeze-drying conditions, solutions exhibit a cake-like porous structure. However, if the solution temperature is higher than the glass transition temperature of the maximally freeze-concentrated phase (Tg') during drying phase, the glassy matrix undergoes viscous flow, resulting in cake collapse. The purpose of the present study was to investigate the effect of cake collapse on the integrity of freeze-dried bull spermatozoa. In a preliminary experiment, factors affecting the Tg' of conventional EGTA buffer (consisting of Tris-HCl, EGTA and NaCl) were investigated in order to establish the main experimental protocol because EGTA buffer Tg' was too low (-45.0°C) to suppress collapse. Modification of the EGTA buffer composition by complete removal of NaCl and addition of trehalose (mEGTA buffer) resulted in an increase of Tg' up to -27.7°C. In the main experiment, blastocyst yields after ooplasmic injection of freeze-dried sperm preserved in collapsed cakes (drying temperature: 0 or -15°C) were significantly lower than those of sperm preserved in non-collapsed cake (drying temperature: -30°C). In conclusion, freeze-dried cake collapse may be undesirable for maintaining sperm functions to support embryonic development, and can be inhibited by controlling both Tg' of freeze-drying buffer and temperature during the drying phase.

1 **Title:**

2 **Adverse Effect of Cake Collapse on the Functional Integrity of Freeze-Dried Bull**

3 **Spermatozoa** <sup>☆</sup>

4

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22 **ABSTRACT**

23 Under optimal freeze-drying conditions, solutions exhibit a cake-like porous structure. However,  
24 if the solution temperature is higher than the glass transition temperature of the maximally  
25 freeze-concentrated phase ( $T_g'$ ) during drying phase, the glassy matrix undergoes viscous flow,  
26 resulting in cake collapse. The purpose of the present study was to investigate the effect of cake  
27 collapse on the integrity of freeze-dried bull spermatozoa. In a preliminary experiment, factors  
28 affecting the  $T_g'$  of conventional EGTA buffer (consisting of Tris-HCl, EGTA and NaCl) were  
29 investigated in order to establish the main experimental protocol because EGTA buffer  $T_g'$  was  
30 too low (-45.0°C) to suppress collapse. Modification of the EGTA buffer composition by  
31 complete removal of NaCl and addition of trehalose (mEGTA buffer) resulted in an increase of  
32  $T_g'$  up to -27.7°C. In the main experiment, blastocyst yields after ooplasmic injection of  
33 freeze-dried sperm preserved in collapsed cakes (drying temperature: 0 or -15°C) were  
34 significantly lower than those of sperm preserved in non-collapsed cake (drying temperature:  
35 -30°C). In conclusion, freeze-dried cake collapse may be undesirable for maintaining sperm  
36 functions to support embryonic development, and can be inhibited by controlling both  $T_g'$  of  
37 freeze-drying buffer and temperature during the drying phase.

38

39 **Keywords:** Bull spermatozoa; Cake collapse; Freeze-drying; Glass transition temperature; ICSI;

40  $T_g'$

41

## 42 **Introduction**

43        Although cryopreservation is the most common method for sperm preservation, liquid  
44 nitrogen use is associated with various problems such as high maintenance cost and risk of  
45 accidental loss of frozen cell stock. To overcome these problems, freeze-drying has been  
46 proposed as an alternative method for sperm preservation. [Wakayama and Yanagimachi \[36\]](#)  
47 first reported that freeze-dried mouse sperm stored at refrigerator temperature could support  
48 full-term development after intracytoplasmic sperm injection (ICSI). Since then, several studies  
49 have been conducted to improve the developmental ability of oocytes injected with freeze-dried  
50 spermatozoa, paying special attention to buffer composition [[13,14,18,24](#)]. A relatively simple  
51 buffer consisting of Tris-HCl, EGTA and NaCl (defined hereafter as EGTA buffer) has been  
52 used for suspending and freeze-drying spermatozoa [[6,14,15,18,24](#)]. Until now, successful birth  
53 of live offspring derived from freeze-dried spermatozoa has been reported in several  
54 mammalian species including mouse [[13,14,18,19,36](#)], rat [[8,9](#)], hamster [[26](#)] and rabbit [[21](#)].  
55 However, practically acceptable offspring rates were achieved only with rodents. For large  
56 domestic species including cattle and pigs, blastocysts have been harvested from ICSI oocytes  
57 with freeze-dried sperm cells [[16,20,24](#)].

58        In addition to the buffer composition, the drying conditions are also important for  
59 pharmaceutical protein production [[12](#)]. Frozen samples are generally dried at the maximum  
60 allowable product temperature ( $T_{\max}$ ) to acquire the highest dehydration speed [[29](#)]. For a solute  
61 system which forms amorphously after freezing, the theoretically optimal value of  $T_{\max}$  depends  
62 on the glass transition temperature of the maximally freeze-concentrated phase ( $T_g'$ ). The  
63 solution exhibits a cake-like porous structure under the optimal drying conditions. But, if the  
64 product temperature is higher than the  $T_g'$  during the drying phase, the glassy matrix will  
65 undergo viscous flow, resulting in loss of its porous structure. This loss is defined as a collapse

66 phenomenon [27,33]. Collapsed cakes contain high amounts of residual water [37], and  
67 prolonged reconstitution time may be required during rehydration due to reduced surface area  
68 [1,35]. In freeze-drying of pharmaceutical proteins, collapse can adversely affect acellular  
69 product stability [12,23,28]. However, it remains unclear whether collapse incidence in  
70 freeze-dried sperm suspensions has a detrimental effect on rehydrated cell function. In previous  
71 studies, we used freeze-drying conditions for rat and bull spermatozoa which did not consider  
72 this phenomenon, and we recently observed that those conditions can produce cake collapse  
73 (unpublished observation). Additionally in somatic cell freeze-drying for nuclear transfer in  
74 sheep, collapse can be confirmed qualitatively in figures which depict its typical characteristics  
75 [22].

76 The purpose of the present study was to investigate the effect of cake collapse on the  
77 functional integrity of freeze-dried and rehydrated bull spermatozoa. Since it was found that  $T_g'$   
78 of the EGTA buffer was too low to suppress collapse, in a preliminary experiment (Experiment  
79 1), factors affecting  $T_g'$  (including composition of the EGTA buffer and cooling rate during  
80 freezing) were examined using calorimetric measurements in order to establish the subsequent  
81 experimental protocol. In the main experiment (Experiment 2), collapsed and non-collapsed  
82 cakes were produced by drying bull sperm suspensions in modified EGTA buffers at different  
83 temperatures. The functional integrity of the rehydrated sperm cells was assessed by ICSI,  
84 alkaline comet assay and transmission electron microscopic observation (TEM).

85 **Materials and methods**

86 *Experimental design*

87 In Experiment 1, the  $T_g'$  of the EGTA buffer conventionally used for sperm freeze-drying  
88 was determined by differential scanning calorimetry (DSC), and the effect of buffer composition  
89 modification (removal of NaCl, and addition of trehalose at different concentrations) on  $T_g'$  was  
90 investigated. Effects of three different cooling rates were also investigated. In Experiment 2,  
91 bull spermatozoa were resuspended in the modified EGTA buffer and freeze-dried under three  
92 different temperatures. Both collapsed and non-collapsed cakes were used for measurements of  
93 residual water content and glass transition temperature ( $T_g$ ). The functional integrity of the  
94 rehydrated sperm cells was assessed by blastocyst yield 8 days after ICSI, followed by alkaline  
95 comet assay and TEM.

96

97 *Measurement of  $T_g'$  and  $T_g$  by DSC*

98 Unless otherwise stated, all chemicals used in this study were purchased from  
99 Sigma-Aldrich Chemicals (St. Louis, MO, USA). The EGTA buffer consisted of 10 mM  
100 Tris-HCl, 50 mM EGTA and 50 mM NaCl (pH 8.0), and was modified by complete removal of  
101 NaCl and/or addition of trehalose to give a final concentration of 0.01, 0.05, 0.1, 0.5 and 1.0 M.  
102 Fifteen  $\mu$ L of the EGTA buffer with or without the above-mentioned modifications was  
103 transferred to an aluminum pan and cooled to  $-80^\circ\text{C}$  in DSC (Seiko Instruments Inc., Chiba,  
104 Japan). The aluminum pan was then scanned at a rate of  $10^\circ\text{C}/\text{min}$  until it reached  $20^\circ\text{C}$ , using  
105 an empty aluminum pan as a reference. Otherwise, the cooling rate was adjusted to be  $-1$  or  
106  $-20^\circ\text{C}/\text{min}$  in DSC, or a presumed  $< -1,000^\circ\text{C}/\text{min}$  by direct plunging into liquid nitrogen (5  
107 min). In this series, NaCl-free EGTA buffer supplemented with 0.5 M trehalose, defined  
108 hereafter as “mEGTA buffer”, was used.

109  $T_g$  of sperm suspension cakes was measured to reflect freeze-drying completeness. Cakes  
110 (> 1 mg) were collected and transferred to aluminum pans in a glove box filled with nitrogen  
111 gas and were then hermetically sealed. Cooling rate of the cakes in DSC was  $-20^\circ\text{C}/\text{min}$  and the  
112 scanning rate was  $10^\circ\text{C}/\text{min}$ . The second scanning was conducted after annealing at  $110\text{-}130^\circ\text{C}$   
113 for 5 min to eliminate interference from enthalpy relaxation [34], because the first DSC  
114 thermogram of the cake was too unclear to determine  $T_g$ .

115

### 116 *Freeze-drying of bull sperm*

117 Commercially available frozen semen from a Japanese Black bull was thawed in a water  
118 bath at  $37^\circ\text{C}$  for 30 sec. The contents of a 0.5 mL straw was layered on the top of Percoll density  
119 gradient consisting of 2 mL of 45% (v/v) Percoll/TALP above 2 mL of 90% (v/v) Percoll/TALP  
120 in a 15 mL conical tube, and centrifuged for 20 min at 700g. The sperm pellet was re-suspended  
121 in 4 mL of mEGTA buffer and then washed twice (5 min at 300g each). Fifteen  $\mu\text{L}$  of sperm  
122 suspension at a concentration of  $2\text{-}4 \times 10^7$  sperm/mL was placed in a 5-mL volume glass vial  
123 and transferred onto shelf (pre-cooled to  $-30^\circ\text{C}$ ) of a programmable freeze-dryer (ALPHA 2-4;  
124 Christ, Harz, Germany). After freezing for 30 min (the cooling rate between  $+25$  and  $-25^\circ\text{C}$  was  
125 estimated as  $-20^\circ\text{C}/\text{min}$ ), the sperm suspension was dried for 6 h at 0,  $-15$  or  $-30^\circ\text{C}$  relative to  
126 the shelf temperature. The chamber pressures employed during the drying phase were  
127 determined as one-third of the vapor pressure of ice at each temperature [27]; they were 1.98,  
128 0.57 and 0.12 hPa for drying at 0,  $-15$  and  $-30^\circ\text{C}$ , respectively. After sealing of vials with rubber  
129 caps and aluminum stoppers, the samples were stored overnight at  $-20^\circ\text{C}$ . Residual water  
130 contents (% [w/w]) in freeze-dried cakes were calculated gravimetrically, using reference  
131 weights of sperm suspension before freeze-drying (=100% content) and after heating in oven at  
132  $96^\circ\text{C}$  for 24 h (=0% content).

133

134 ***Blastocyst production by ICSI***

135 Abattoir-derived bovine ovaries were transported to the laboratory in saline (maintained at  
136 10 to 12°C) within 24 h after slaughter. The contents of 2-8 mm follicles were aspirated and  
137 oocytes surrounded with at least two layers of compact cumulus cells were collected from the  
138 follicular fluid. Maturations were conducted in Hepes-buffered TCM-199 (Earle's salt; Gibco  
139 BRL, Grand Island, NY, USA), supplemented with 10% (v/v) fetal bovine serum (FBS; SAFC  
140 Biosciences, Lenexa, KS, USA), 0.2 mM sodium pyruvate, 0.02 AU/mL FSH  
141 (Kawasaki-Mitaka Pharmaceutical, Kanagawa, Japan), 1 µg/mL 17 β-estradiol, and 50 µg/mL  
142 gentamycin sulfate for 22 h at 38.5°C under 5% CO<sub>2</sub> in air. Next, cumulus cells were removed  
143 by a brief vortex-mixing in the Hepes-buffered TCM-199 supplemented with 3 mg/mL bovine  
144 serum albumin (BSA), 0.2 mM sodium pyruvate, 1,000 IU/mL hyaluronidase and 50 µg/mL  
145 gentamycin sulfate. Matured oocytes extruding the first polar body were used for the following  
146 experiment.

147 Freeze-dried spermatozoa were rehydrated with 15 µL of ultrapure water immediately  
148 before ICSI, and non-dried control spermatozoa were those after Percoll-washing. Each sperm  
149 suspension was washed twice with modified Brackett and Oliphant (mBO) medium (IVF100;  
150 Institute for Functional Peptides, Yamagata, Japan) at 300g for 5 min. Two µL of sperm  
151 suspension was mixed with 8 µL of M2 medium [30] containing 10% (w/v)  
152 polyvinylpyrrolidone (PVP), and then ICSI was performed with a piezo-driven  
153 micromanipulator (PMAS-CT150; Prime Tech, Ibaraki, Japan) as described previously [11]. The  
154 ICSI oocytes prepared within 1 h of rehydration were treated with 5 µM ionomycin in  
155 Ca<sup>2+</sup>/Mg<sup>2+</sup>-free phosphate-buffered saline (PBS) for 5 min and incubated in Hepes-buffered  
156 TCM-199 supplemented with 5% (v/v) FBS, 0.2 mM sodium pyruvate and 50 µg/mL

157 gentamycin sulfate at 38.5°C under 5% CO<sub>2</sub> in air for 3 h. Next, the oocytes were treated with  
158 7% (v/v) ethanol in Hepes-buffered TCM-199 supplemented 1 mg/mL PVP for 5 min and  
159 subsequently 2 mM 6-dimethylaminopurine (6-DMAP) in modified synthetic oviduct fluid  
160 (mSOF) [10], supplemented with 30 µL/mL essential amino acids (× 50 solution, Gibco-11130),  
161 10 µL/mL non-essential amino acids (× 100 solution, Gibco-11140) and 5% (v/v) FBS (defined  
162 hereafter as mSOFaa/FBS) at 38.5°C under 5% CO<sub>2</sub> in air for 3 h. The presumptive zygotes  
163 were cultured in a 250 µL microdrop of the mSOFaa/FBS at 39.0°C under 5% CO<sub>2</sub>, 5% O<sub>2</sub> and  
164 90% N<sub>2</sub> for 8 days. Cleavage rate and blastocyst formation rate were determined after 2 and 8  
165 days of culture, respectively.

166

#### 167 *Alkaline comet assay*

168 To estimate DNA damage in the freeze-dried spermatozoa, alkaline comet assay [32] was  
169 conducted according to the operation manual of the Comet Assay kit (Trevigen, Helgerman Ct,  
170 USA) with some modifications. Briefly, spermatozoa freeze-dried at 0 and -30°C, as well as  
171 non-dried control spermatozoa (10 µL of 1 × 10<sup>6</sup> cells/mL suspension) were mixed with 1%  
172 (w/v) low-melting agarose gel (90 µL), added onto agarose-covered slides, treated with lysis  
173 solution for 3 h (including 10 mM dithiothreitol for 0.5 h and 4 mM lithium diiodosalicylate for  
174 1.5 h in the latter two-third) and then processed with electrophoresis under a pH>13 alkaline  
175 condition (10 V, 20 min). Half of the sperm suspension was treated with 10 mM H<sub>2</sub>O<sub>2</sub> for 20  
176 min at 4°C before being mixed with low-melting agarose gel. Sperm DNA were stained with  
177 SYBR Green, and the captured BMP images of the comet (> 100 comets per sample) were  
178 analyzed by the Comet Score software. The DNA fragmentation index (tail moment) was  
179 calculated as the length of comet tail (pixel) × the % DNA librated.

180

181 ***Ultrastructural analysis***

182 TEM was applied to the spermatozoa freeze-dried at 0 and -30°C and non-dried control  
183 spermatozoa. Sperm cells were fixed with 2.5% (v/v) glutaraldehyde in 0.1 M PBS (pH 7.4) at  
184 4°C. After centrifugation at 300g for 5 min, the sperm pellets were post-fixed with 2% (w/v)  
185 osmium tetroxide in the same buffer at 4°C for 1 h. The sperm cells were subsequently  
186 dehydrated in the graded series of ethanol, substituted with the propylene oxide and embedded  
187 in epoxy resin (Okenshoji Co., Tokyo, Japan). Ultrathin sections were cut on an ultra-microtome  
188 (Leica Microsystems, Wetzlar, Germany) at 0.1 µm thicknesses, mounted on copper grids and  
189 stained with 1% (w/v) uranyl acetate and lead citrate. Electron micrographs were taken with a  
190 transmission electron microscope (JEOL Ltd., Tokyo, Japan). The number of sperm with  
191 membrane gaps was recorded.

192

193 ***Statistical analysis***

194 Arcsine-transformed percentage data in residual water content, cleavage and blastocyst  
195 yield were compared using one-way ANOVA. Values of  $T_g'$  in freeze-drying buffers,  $T_g$  of  
196 freeze-dried cakes and tail moment were also compared using one-way ANOVA. When ANOVA  
197 reached significance, differences among means were analyzed using *post hoc* Tukey's tests.  
198 Incidences of membrane damage in TEM were compared using a chi-square test with a  
199 Bonferroni correction. P values less than 0.05 were considered significant.

200 **Results**

201 ***Experiment 1***

202  $T_g'$  of the conventional EGTA buffer was as low as  $-45.0 \pm 0.61^\circ\text{C}$  (mean  $\pm$  SD) in DSC  
203 measurement (Fig. 1 and 2). Complete removal of NaCl from the EGTA buffer resulted in a  $T_g'$   
204 increase to  $-41.0 \pm 0.59^\circ\text{C}$  (Fig. 2). Supplementation of trehalose to the NaCl-free EGTA buffer  
205 (0.01 to 0.5 M) made it possible to further increase the  $T_g'$  (up to  $-27.7 \pm 0.36^\circ\text{C}$ ; Fig. 1 and 2),  
206 but supplementation of 1.0 M trehalose had no further effect on  $T_g'$  increase and rendered  
207 post-centrifugation sperm retrieval difficult due to the high specific gravity. The  $T_g'$  of mEGTA  
208 buffer frozen rapidly in liquid nitrogen ( $-29.4 \pm 0.08^\circ\text{C}$ ) was significantly lower than when  
209 frozen slowly ( $-27.2 \pm 0.94$  and  $-27.6 \pm 0.05^\circ\text{C}$  at  $-1$  and  $-20^\circ\text{C}/\text{min}$ , respectively).

210

211 ***Experiment 2***

212 Freeze-dried cake collapse was observed when the drying phase temperature was higher  
213 than the  $T_g'$  of mEGTA buffer (Fig. 3A and B). On the other hand, when processed at  $-30^\circ\text{C}$  the  
214 sperm suspension cake exhibited a silky porous structure without any signs of collapse (Fig. 3C).  
215 Collapsed cakes contained a higher amount of residual water when compared with  
216 non-collapsed cakes (3.3 to 3.6% vs 0.7%), as shown in Table 1.  $T_g$  values of collapsed cake  
217 were significantly lower than that in their non-collapsed counterparts (13.5 to 14.1 $^\circ\text{C}$  vs 50.1 $^\circ\text{C}$ ;  
218 Table 1).

219 Embryonic cleavage ability with freeze-dried spermatozoa was comparable regardless of  
220 collapse incidence, but blastocyst yields after injection of sperm dried at 0 and  $-15^\circ\text{C}$  were lower  
221 than those when dried at  $-30^\circ\text{C}$  (0.7 to 3.7% vs 14.2%; Table 2). Both cleavage rate and  
222 blastocyst yield in the non-dried control group were significantly higher than those in  
223 freeze-dried groups. Alkaline comet assay revealed that the freeze-drying process did not induce

224 sperm DNA damage when the baseline of tail moment was not stimulated by H<sub>2</sub>O<sub>2</sub> treatment  
225 (Table 3). After application of the H<sub>2</sub>O<sub>2</sub> treatment, harmful effects of freeze-drying on sperm  
226 DNA integrity were clearly detected (almost double with respect to control), but there was no  
227 significant difference in the values of tail moment between sperm samples dried at 0 and -30°C.  
228 Analysis of TEM images revealed that sperm with severe or moderate membrane gaps (Fig. 4A  
229 and B) were frequently observed after drying at 0°C versus -30°C (43.6%, 140/321 versus  
230 28.7%, 90/314; P < 0.05). These proportions were significantly higher than those in non-dried  
231 control sperm (10.9%, 36/330).

## 232 Discussion

233 In sperm preservation by freeze-drying, maintenance of cellular function can be influenced  
234 by drying conditions. However, few studies have focused on the drying condition for  
235 mammalian sperm freeze-drying. Kawase et al. [15] reported that the ability of mouse  
236 spermatozoa to support full-term development was better maintained when the sperm were dried  
237 under a chamber pressure of 0.37 hPa versus 1.03 and 0.04 hPa, but did not include information  
238 regarding the drying temperature. In freeze-drying of macroscopic samples, it is known that  
239 chamber pressure is associated with drying temperature [17]. Dehydration speed is dramatically  
240 decreased if the chamber pressure is higher than the vapor pressure of ice at the product  
241 temperature; on the other hand, excessively low chamber pressure induces low product  
242 temperature, resulting in dehydration speed decreases [5,27]. It has therefore been  
243 recommended that the chamber pressure should be set to the one-quarter to one-half of the  
244 vapor pressure of ice at the product temperature [27]. In the present study, chamber pressures  
245 were fixed to one-third of the vapor pressure at the shelf temperature in order to clarify the  
246 effect of collapse on sperm function independent of chamber pressure.

247 Collapse of freeze-dried cake is believed to harm the stability of acellular pharmaceutical  
248 protein products [12,23,28]. Since the conventional EGTA buffer has very low  $T_g'$  value (-45°C;  
249 Fig. 1 and 2), it may be reasonable to assume that conventional freeze-dryers (without drying  
250 temperature control) and even programmable freeze-dryers (the lowest shelf temperature of our  
251 programmable freeze-dryer was -30°C) could have produced collapsed cake in previous studies.  
252 In order to suppress collapse, the EGTA buffer composition was modified to yield a higher  $T_g'$ .  
253 NaCl removal and trehalose addition resulted in  $T_g'$  increases from -45°C to -28°C (Fig. 1 and 2).  
254 Electrolytes such as NaCl increase the quantity of unfrozen water in the freeze-concentrate,  
255 where the unfrozen water acts as a plasticizer [7]. Trehalose is a disaccharide which is known to

256 facilitate glass formation, and whose solution  $T_g'$  has been reported to be between -22 and -32°C  
257 [4]. Rapid cooling with liquid nitrogen, routinely employed for sperm suspension freezing prior  
258 to drying [6,14,36], was also found to affect the  $T_g'$  of mEGTA buffer. Such small decreases in  
259  $T_g'$  may be the result of glass transition prior to sufficient freeze-concentration during rapid  
260 cooling. In viral vaccine freeze-drying, the importance of freezing conditions has been described  
261 [38].

262 Collapse of sperm suspension cake was dependent on drying temperatures, as expected from  
263 a correlation between  $T_g'$  of mEGTA buffer and drying temperature (Fig. 3). This allowed an  
264 empirical investigation of the correlation between collapse incidence of freeze-dried sperm  
265 suspension and function of rehydrated sperm cell. Drying the sperm samples at -40°C was not  
266 impossible if our programmable freeze-dryer was run in a cold room (+4°C), but the drying  
267 process seemed to be insufficient after the scheduled 6 h running (data not shown). The ability  
268 of rehydrated sperm to support blastocyst development was impaired when the sperm were  
269 preserved in collapsed cakes (Table 2). High residual water content was characteristic of  
270 collapsed cakes (Table 1), consistent with previous results [37]. It is known that high residual  
271 water content decreases the  $T_g$  of freeze-dried cake. If  $T_g$  is lower than the storage temperature,  
272 the sample stability would decrease with residual water content due to increased molecular  
273 mobility [2]. However, the residual water would not be responsible for the lower blastocyst  
274 yields in the present study, because  $T_g$  of collapsed cake (approximately +14°C) was higher than  
275 the storage temperature (-20°C). Since collapse leads to increased product resistance to water  
276 vapor flow and decreased sublimation rates, collapse may cause loss of sperm function during  
277 the drying phase. These results suggest that collapse should be avoided in order to recover the  
278 functional sperm cells after rehydration.

279 In standard alkaline comet assay procedures,  $H_2O_2$  treatment serves as positive control

280 reference. In this study, this standard procedure failed to detect differences in DNA damage,  
281 both between freeze-dried and non-dried spermatozoa, and between spermatozoa dried at 0 and  
282 -30 °C (Table 3). However, when the baseline of tail moment was stimulated by H<sub>2</sub>O<sub>2</sub> treatment,  
283 freeze-drying was found to harm sperm DNA integrity. Nevertheless there was no significant  
284 difference in the moment values between spermatozoa dried at 0 and -30°C. Since membrane  
285 permeability of H<sub>2</sub>O<sub>2</sub> is enough to neglect influence [3], the present results may suggest the  
286 higher sensitivity of freeze-dried sperm to oxidative stress which is likely to deteriorate sperm  
287 DNA damage caused by reactive oxygen species present in oocytes.

288 TEM image analysis indicated that freeze-dried sperm damage was localized to their plasma  
289 membrane (Fig. 4A and B), especially in sperm dried at 0 versus -30°C. Comparable cleavage  
290 rates after ICSI of sperm dried at 0 and -30°C contradict the hypothesis that active sperm-borne  
291 oocyte activating factor flows out through damaged plasma membranes. The damaged  
292 membrane resulted in the increase of sperm stickness and rendered the ICSI operation difficult  
293 (data not shown). Although TEM unexpectedly failed to detect other ultrastructural damage, the  
294 nuclear matrix was reported to be essential for paternal DNA replication [31]. Lower blastocyst  
295 yield after ICSI with freeze-dried bull sperm, compared with previous reports [16,24], may be  
296 caused by low quality of oocytes retrieved from 1-day-stored ovaries [25] and/or suboptimal  
297 method to activate ICSI oocytes with freeze-dried spermatozoa. Blastocyst-to-calf  
298 developmental potential needs to be further investigated by transfer to recipients, following  
299 proof of normal karyotype [16].

300 In conclusion, freeze-dried cake collapse may adversely affect sperm function. Since  
301 temperatures higher than the T<sub>g</sub>' of freeze-drying buffer induce cake collapse, controlling both  
302 the buffer T<sub>g</sub>' and the drying-phase temperature can reduce collapse incidence.

303

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409 **Figure Captions**

410 **Fig. 1.** DSC thermogram of EGTA buffer and mEGTA buffer. The arrow indicates the glass  
411 transition temperature of the maximally freeze-concentrated phase ( $T_g'$ ) of the buffer.  
412 Data are expressed as the mean  $\pm$  SD of 3 replicates in each group.

413

414 **Fig. 2.** Effect of EGTA buffer composition on the glass transition temperature of the  
415 maximally freeze-concentrated phase ( $T_g'$ ). Squares with solid lines represent EGTA  
416 buffers, and circles with dotted lines represent NaCl-free EGTA buffers.

417

418 **Fig. 3.** Freeze-dried cakes appearance. (A) Collapsed cake dried at 0°C, (B) Collapsed cake  
419 dried at -15°C, (C) Non-collapsed cake dried at -30°C, (D) Zoomed-out view of the  
420 glass vial containing a cake. Scale bars represent 2 mm (A-C) and 2 cm (D).

421

422 **Fig. 4.** Ultrastructural damage observed in sperm plasma membrane. (A) Sperm with severe  
423 membrane gaps, (B) Sperm with moderate membrane gaps, and (C) Intact  
424 spermatozoa. Arrows indicate break of sperm plasma membranes. Scale bar  
425 represents 0.4  $\mu$ m.

426

427 **Table 1** Residual water contents and glass transition temperature ( $T_g$ ) of freeze-dried cakes.

428	429	430	431
	Temperature	Residual water	$T_g$
	during drying phase (°C)	contents (% [w/w])	(°C)
432	0	$3.6 \pm 0.1^a$	$14.1 \pm 3.3^a$
433	-15	$3.3 \pm 0.2^a$	$13.5 \pm 2.1^a$
434	-30	$0.7 \pm 0.1^b$	$50.1 \pm 1.0^b$

435  
436 Data are expressed as the mean  $\pm$  SD of 3 replicates in each group.

437 <sup>a,b</sup> Superscripts represent significantly different groups ( $P < 0.05$ ).

438 **Table 2** Developmental potential of bovine oocytes injected with bull spermatozoa dried at  
 439 different temperatures.

		Oocytes (n [%])*		
Freeze-drying	Temperature	Sperm-injected	Cleaved	Developed to blastocysts
	during drying phase (°C)			
+	0	173	67 (37.9 ± 5.3) <sup>a</sup>	1 (0.7 ± 0.6) <sup>a</sup>
+	-15	173	70 (39.1 ± 4.0) <sup>a</sup>	3 (3.7 ± 1.6) <sup>a</sup>
+	-30	172	68 (41.5 ± 3.1) <sup>a</sup>	9 (14.2 ± 2.5) <sup>b</sup>
-	-	149	89 (60.5 ± 2.4) <sup>b</sup>	27 (30.5 ± 4.3) <sup>c</sup>

450 Percentages are expressed as the mean ± SEM of at least 4 replicates in each group. Blastocyst  
 451 yields are calculated from the number of cleaved oocytes.

452 <sup>a,b,c</sup> Superscripts represent significantly different groups within columns (P < 0.05).

453

454 **Table 3** DNA damage of freeze-dried bull sperm detected by alkaline comet assay.

		Values of tail moment	
		Temperature	
Freeze-drying	during drying phase (°C)	Standard protocol	H <sub>2</sub> O <sub>2</sub> -treated
+	0	6.8 ± 0.5	65.4 ± 1.3 <sup>a</sup>
+	-30	6.3 ± 0.7	65.6 ± 1.6 <sup>a</sup>
-	-	6.9 ± 0.3	37.1 ± 2.0 <sup>b</sup>

463  
464 Data are expressed as the mean ± SD of 3 replicates in each group.

465 <sup>a,b</sup> Superscripts represent significantly different groups (P < 0.05).

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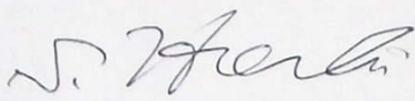
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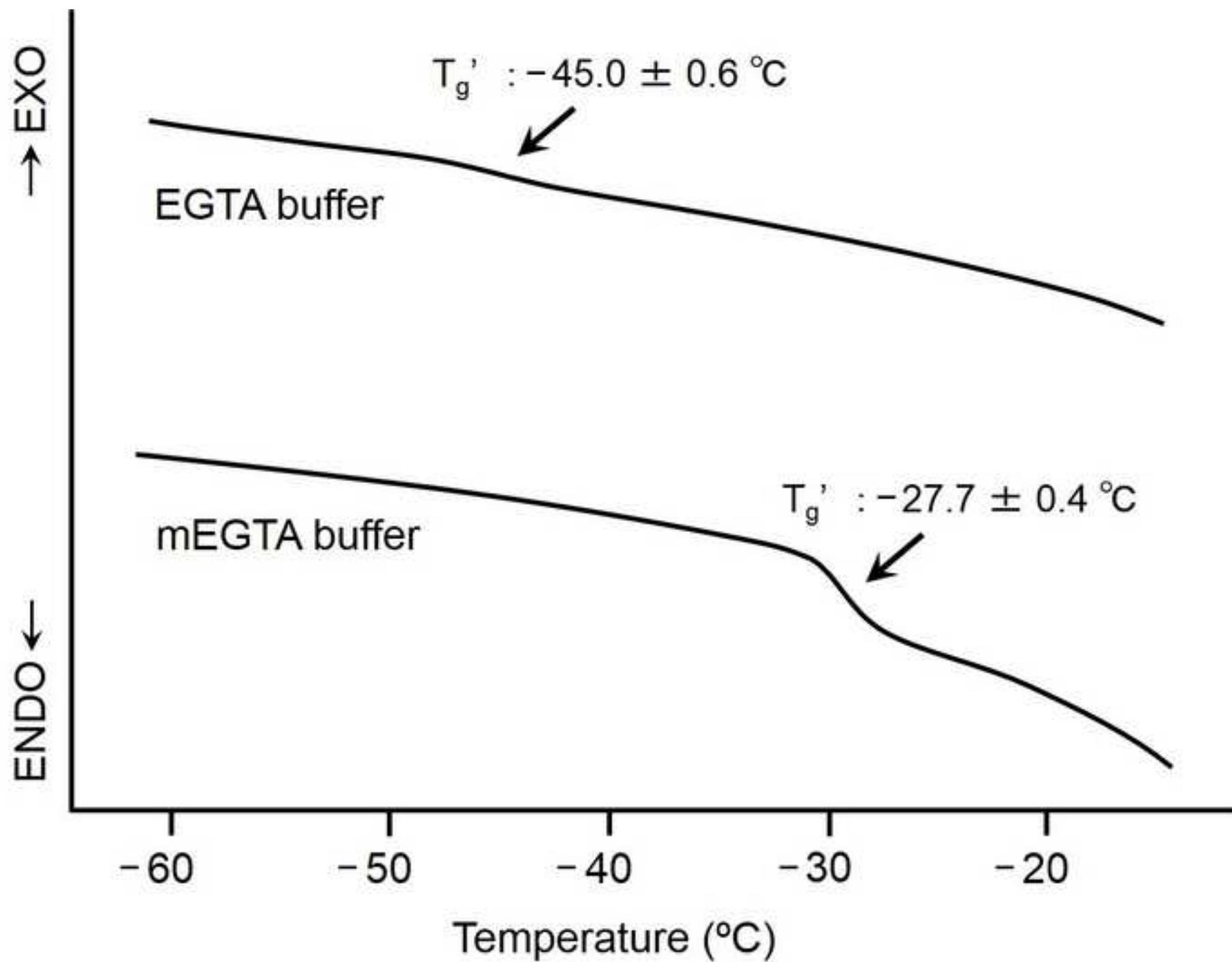


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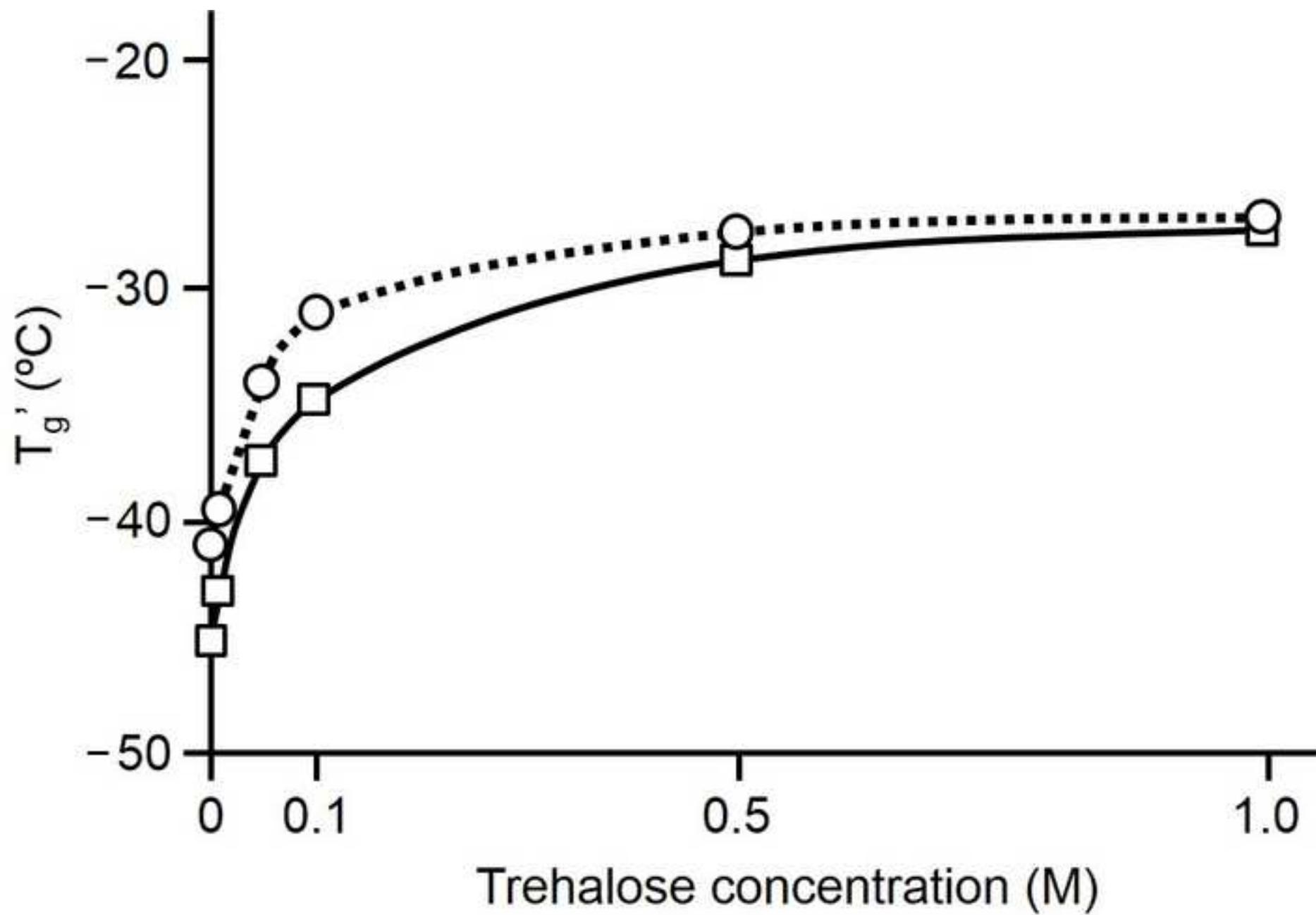


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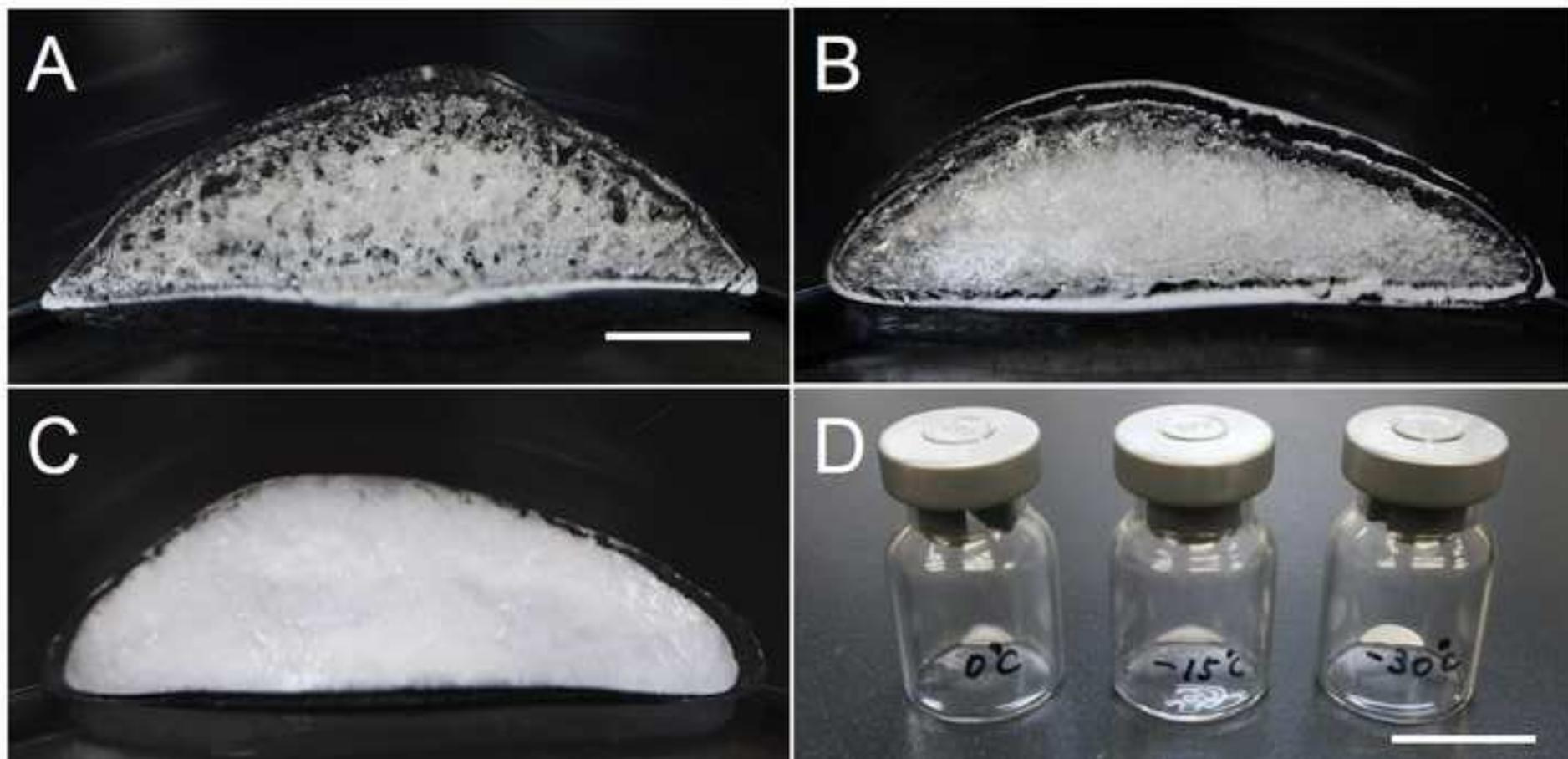


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