

## *Non-compact Simple Lie Group $E_{6(6)}$ of Type $E_6$*

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It is known that there exist five simple Lie groups of type  $E_6$  up to local isomorphism, one of them is obtained as the projective transformation group of the Cayley projective plane  $II$  and defined by  $E_6 = \{\alpha \in \text{Iso}_{\mathbb{R}}(\mathfrak{S}, \mathfrak{S}) \mid \det \alpha X = \det X\}$  (where  $\mathfrak{S}$  is the exceptional Jordan algebra over the Cayley algebra  $\mathbb{C}$ ) and it is homeomorphic to  $F_4 \times \mathbb{R}^{26}$  [1] and a simple (in the sense of the center  $z(E_6)=1$ ) Lie group [3]. In this paper, we investigate one of the other non-compact simple Lie groups

$$E_6' = \{\alpha \in \text{Iso}_{\mathbb{R}}(\mathfrak{S}', \mathfrak{S}') \mid \det \alpha X = \det X\}$$

(where  $\mathfrak{S}'$  is the exceptional Jordan algebra over the split Cayley algebra  $\mathbb{C}'$ ). The results are as follows. The group  $E_6'$  is homeomorphic to  $Sp(4)/\mathbb{Z}_2 \times \mathbb{R}^{42}$  and a simple (in the sense of the center  $z(E_6')=1$ ) Lie group, and hence the center  $z(\tilde{E}_6')$  of the non-compact simply connected simple Lie group  $\tilde{E}_6' = E_{6(6)}$  of type  $E_6$  is  $\mathbb{Z}_2$ .

### 1. Split Jordan algebra $\mathfrak{S}'$

Let  $\mathbb{C}'$  be the split Cayley algebra over the real numbers  $\mathbb{R}$ . This algebra  $\mathbb{C}'$  is defined as follows. In  $\mathbb{C}' = \mathbb{H} \oplus \mathbb{H}e$ , where  $\mathbb{H}$  is the quaternion field over  $\mathbb{R}$ , the multiplication is defined by

$$(a+be)(c+de) = (ac + \bar{d}b) + (b\bar{c} + da)e.$$

In  $\mathbb{C}'$ , the conjugate  $\bar{x}'$ , the real part  $\text{Re}(x)$ , the  $Q$ -norm  $Q(x)$  and the inner product  $(x, y)'$  are defined respectively by

$$\overline{a+be} = \bar{a} - be, \quad \text{Re}(x) = -\frac{1}{2}(x + \bar{x}'),$$

$$Q(a+be) = |a|^2 - |b|^2, \quad (a+be, c+de)' = (a, c) - (b, d).$$

Let  $\mathfrak{S}' = \mathfrak{S}(3, \mathbb{C}')$  be the Jordan algebra consisting of all  $3 \times 3$  Hermitian matrices  $X$  with entries in  $\mathbb{C}'$

$$X = X(\xi, x) = \begin{pmatrix} \xi_1 & x_3 & \bar{x}_2 \\ \bar{x}_3 & \xi_2 & x_1 \\ x_2 & \bar{x}_1 & \xi_3 \end{pmatrix}, \quad \xi_i \in \mathbf{R}, \quad x_i \in \mathbb{C}'$$

with respect to the multiplication

$$X \circ Y = \frac{1}{2}(XY + YX).$$

In  $\mathfrak{S}'$ , the crossed product  $X \times Y$ , the inner product  $(X, Y)'$ , the trilinear form  $\text{tr}(X, Y, Z)'$ , the cubic form  $(X, Y, Z)'$  and the determinant  $\det X$  are defined respectively by

$$\begin{aligned} X \times Y &= \frac{1}{2}(2X \circ Y - \text{tr}(X)Y - \text{tr}(Y)X + (\text{tr}(X)\text{tr}(Y) - \text{tr}(X \circ Y))E), \\ (X, Y)' &= \text{tr}(X \circ Y) = \sum_{i=1}^3 (\xi_i \eta_i + 2(x_i, y_i)'), \\ \text{tr}(X, Y, Z)' &= (X \circ Y, Z)' = (X, Y \circ Z)', \\ (X, Y, Z)' &= (X \times Y, Z)' = (X, Y \times Z)', \\ \det X &= \frac{1}{3}(X, X, X)' = \xi_1 \xi_2 \xi_3 + 2\text{Re}(x_1 x_2 x_3) - \xi_1 \mathbf{Q}(x_1) - \xi_2 \mathbf{Q}(x_2) - \xi_3 \mathbf{Q}(x_3) \end{aligned}$$

where  $X = X(\xi, x)$ ,  $Y = Y(\eta, y)$  and  $E$  is the  $3 \times 3$  unit matrix.

In  $\mathfrak{S}'$  we adopt the following notations.

$$\begin{aligned} E_1 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & E_2 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, & E_3 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \\ F_1(x) &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & x \\ 0 & \bar{x} & 0 \end{pmatrix}, & F_2(x) &= \begin{pmatrix} 0 & 0 & \bar{x} \\ 0 & 0 & 0 \\ x & 0 & 0 \end{pmatrix}, & F_3(x) &= \begin{pmatrix} 0 & x & 0 \\ \bar{x} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}. \end{aligned}$$

Then these elements generate  $\mathfrak{S}'$  additively and the table of the Jordan multiplication and the crossed product among them are given respectively as follows.

$$\begin{cases} E_i \circ E_i = E_i, & E_i \circ E_{i+1} = 0, \\ E_i \circ F_i(x) = 0, & E_i \circ F_j(x) = \frac{1}{2}F_j(x), \quad i \neq j, \\ F_i(x) \circ F_i(y) = (x, y)'(E_{i+1} + E_{i+2}), & F_i(x) \circ F_{i+1}(y) = \frac{1}{2}F_{i+2}(\bar{x}y), \end{cases}$$

$$\begin{cases} E_i \times E_i = 0, & E_i \times E_{i+1} = \frac{1}{2}E_{i+2}, \\ E_i \times F_i(x) = -\frac{1}{2}F_i(x), & E_i \times F_j(x) = 0, \quad i \neq j, \\ F_i(x) \times F_i(y) = -(x, y)'E_i, & F_i(x) \times F_{i+1}(y) = \frac{1}{2}F_{i+2}(\bar{x}y), \end{cases}$$

where the indexes are considered as mod 3.

Finally we define the positive definite inner products  $(x, y)$  in  $\mathfrak{G}$  and  $(X, Y)$  in  $\mathfrak{S}'$  respectively by

$$(a+be, c+de)=(a, c)+(b, d),$$

$$(X, Y)=\sum_{i=1}^3 (\xi_i \eta_i + 2(x_i, y_i))$$

where  $X=X(\xi, x)$ ,  $Y=Y(\eta, y)$ , and we denote by  $'\alpha$  and  ${}^t\alpha$  the transpose of  $\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}')$  relative to  $(X, Y)'$  and  $(X, Y)$  respectively:

$$(\alpha X, Y)'=(X, {}'\alpha Y)', \quad (\alpha X, Y)=(X, {}^t\alpha Y).$$

## 2. Groups $E_6'$ and $F_4'$ .

The group  $E_6'$  is defined to be the group of linear isomorphisms of  $\mathfrak{S}'$  leaving the determinant  $\det X$  invariant:

$$E_6'=\{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \det \alpha X = \det X\}$$

$$=\{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid (\alpha X, \alpha Y, \alpha Z)'=(X, Y, Z)'\}$$

$$=\{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \alpha X \times \alpha X = {}'\alpha^{-1}(X \times X)\}$$

and  $F_4' (=F_{4,2})$  the group of automorphisms of  $\mathfrak{S}'$ :

$$F_4'=\{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \alpha(X \circ Y) = \alpha X \circ \alpha Y\}$$

$$=\{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \alpha(X \times Y) = \alpha X \times \alpha Y\}$$

$$=\{\alpha \in \text{Iso}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid \text{tr}(\alpha X, \alpha Y, \alpha Z)' = \text{tr}(X, Y, Z)', (\alpha X, \alpha Y)' = (X, Y)'\}$$

$$=\{\alpha \in E_6' \mid (\alpha X, \alpha Y)' = (X, Y)'\}$$

$$=\{\alpha \in E_6' \mid \alpha E = E\}.$$

Then the group  $F_4'$  is homeomorphic to  $(Sp(1) \times Sp(3))/\mathbf{Z}_2 \times \mathbf{R}^{28}$  and a simple (in the sense of the center  $z(F_4')=1$ ) Lie group [6].

**Remark.** In [6], the group  $F_4'$  ( $=F_{4,2}$ ) is defined to be the group of automorphisms of  $\mathfrak{S}'$  leaving the trace invariant. However the condition of the trace-preserving can be omitted, that is, the condition  $\alpha(X \circ Y) = \alpha X \circ \alpha Y$  implies the condition  $\text{tr}(\alpha X) = \text{tr}(X)$ . In fact, any element  $X \in \mathfrak{S}'$  satisfies the Cayley-Hamilton identity

$$X \circ (X \times X) = X^{\circ 3} - \text{tr}(X)X^2 + \frac{1}{2}(\text{tr}(X)^2 - \text{tr}(X^2))X = (\det X)E. \quad (i)$$

Now, apply (i) to  $\alpha X$  and then operate  $\alpha^{-1} \in \text{Aut}(\mathfrak{S}')$  on it. Then we have

$$X^{\circ 3} - \text{tr}(\alpha X)X^2 + \frac{1}{2}(\text{tr}(\alpha X)^2 - \text{tr}(\alpha X^2))X = (\det \alpha X)E. \quad (ii)$$

Thus we get by subtraction (i)–(ii)

$$(\operatorname{tr}(\alpha X) - \operatorname{tr}(X))X^2 + \frac{1}{2}(\operatorname{tr}(X)^2 - \operatorname{tr}(\alpha X)^2 + \operatorname{tr}(\alpha X^2) - \operatorname{tr}(X^2))X = (\det X - \det \alpha X)E.$$

So, put  $X = F_i(e_j)^{1)}$ ,  $i=1, 2, 3$ ,  $j=0, 1, \dots, 7$ , then we have

$$\begin{aligned} (e_j, e_j)' \operatorname{tr}(\alpha F_i(e_j))(E_{i+1} + E_{i+2}) + \frac{1}{2}(-\operatorname{tr}(\alpha F_i(e_j))^2 + \operatorname{tr}(\alpha F_i(e_j)^2) - \operatorname{tr}(F_i(e_j)^2))F_i(e_j) \\ = -(\det \alpha F_i(e_j))E. \end{aligned}$$

Hence we have

$$\operatorname{tr}(\alpha F_i(e_j)) = 0 = \operatorname{tr}(F_i(e_j)), \quad i=1, 2, 3, \quad j=0, 1, \dots, 7,$$

and also  $\operatorname{tr}(\alpha F_i(e_j)^2) = \operatorname{tr}(F_i(e_j)^2)$  hence

$$\operatorname{tr}(\alpha E_i) = \operatorname{tr}(\alpha(E - F_i(1)^2)) = \operatorname{tr}(E - F_i(1)^2) = \operatorname{tr}(E_i), \quad i=1, 2, 3.$$

Consequently we obtain

$$\operatorname{tr}(\alpha X) = \operatorname{tr}(X), \quad \text{for all } X \in \mathfrak{S}'.$$

We define the involution  $\gamma$  in  $\mathfrak{S}'$  by

$$\gamma X(\xi, a + be) = X(\xi, a - be).$$

Then  $\gamma \in F_4'$ ,  ${}^t\gamma = {}^t\gamma = \gamma^{-1} = \gamma$  and two inner products  $(X, Y)', (X, Y)$  in  $\mathfrak{S}'$  are combined with the following relations

$$(X, Y)' = (X, \gamma Y), \quad (X, Y) = (X, \gamma Y)'.$$

And we have

$${}^t\alpha = \gamma' \alpha \gamma, \quad \text{for } \alpha \in \operatorname{Iso}_{\mathbb{R}}(\mathfrak{S}', \mathfrak{S}'),$$

because it holds that  $({}^t\alpha X, Y)' = ({}^t\alpha X, \gamma Y) = (X, \alpha \gamma Y) = (X, \gamma \alpha \gamma Y)' = (\gamma' \alpha \gamma X, Y)'$  for all  $X, Y \in \mathfrak{S}'$ .

### 3. Jordan algebra $\mathfrak{S}(4, \mathbf{H})$ and Symplectic group $Sp(4)$ .

Before we consider the group  $E_6'$ , we prepare the several spaces  $\mathfrak{S}(4, \mathbf{H})$ ,  $\mathfrak{S}(4, \mathbf{H})_0$ ,  $\mathbf{HP}_3$  and the group  $Sp(4)$ .

Let  $\mathfrak{S}(4, \mathbf{H})$  be the Jordan algebra consisting of all  $4 \times 4$  Hermitian matrices  $S$  with entries in  $\mathbf{H}$ :

$$\mathfrak{S}(4, \mathbf{H}) = \{S \in M(4, \mathbf{H}) \mid S^* = S\}$$

with respect to the multiplication

1)  $\{e_0, e_1, \dots, e_7\}$  is the canonical basis of  $\mathbb{C}'$ :

$$e_0 = 1, \quad e_1 = i, \quad e_2 = j, \quad e_3 = k, \quad e_4 = e, \quad e_5 = ie, \quad e_6 = je, \quad e_7 = ke$$

where  $\{1, i, j, k\}$  is the canonical basis of  $\mathbf{H}$ . Then  $\{E_i, F_i(e_j), i=1, 2, 3, j=0, 1, \dots, 7\}$  is a basis of  $\mathfrak{S}'$ .

$$S \circ T = \frac{1}{2}(ST + TS).$$

And we define the positive definite inner product  $(S, T)$  in  $\mathfrak{S}(4, \mathbf{H})$  by

$$(S, T) = \text{tr}(S \circ T).$$

Let  $\mathfrak{S}(4, \mathbf{H})_0$  be the vector space of all  $S \in \mathfrak{S}(4, \mathbf{H})$  such that  $\text{tr}(S) = 0$ :

$$\mathfrak{S}(4, \mathbf{H})_0 = \{S \in \mathfrak{S}(4, \mathbf{H}) \mid \text{tr}(S) = 0\}.$$

Now, we define a mapping  $f: \mathfrak{S}' \rightarrow \mathfrak{S}(4, \mathbf{H})$  by

$$f \begin{pmatrix} \xi_1 & a_3 + b_3 e & \bar{a}_2 - b_2 e \\ \bar{a}_3 - b_3 e & \xi_2 & a_1 + b_1 e \\ a_2 + b_2 e & \bar{a}_1 - b_1 e & \xi_3 \end{pmatrix} = \begin{pmatrix} \lambda_1 & b_1 & b_2 & b_3 \\ \bar{b}_1 & \lambda_2 & a_3 & \bar{a}_2 \\ \bar{b}_2 & \bar{a}_3 & \lambda_3 & a_1 \\ \bar{b}_3 & a_2 & \bar{a}_1 & \lambda_4 \end{pmatrix}$$

where  $\lambda_1 = \frac{1}{2}(\xi_1 + \xi_2 + \xi_3)$ ,  $\lambda_2 = \frac{1}{2}(\xi_1 - \xi_2 - \xi_3)$ ,  $\lambda_3 = \frac{1}{2}(\xi_2 - \xi_3 - \xi_1)$ ,  $\lambda_4 = \frac{1}{2}(\xi_3 - \xi_1 - \xi_2)$ .

Then we have the following key

**Lemma 1.** *The mapping  $f: \mathfrak{S}' \rightarrow \mathfrak{S}(4, \mathbf{H})_0$  is an isometry, i. e.  $f$  is a linear isomorphism which satisfies*

$$(fX, fY) = (X, Y), \quad X, Y \in \mathfrak{S}'$$

Moreover we have the following identity in  $\mathfrak{S}(4, \mathbf{H})$

$$fX \circ fY = f(\gamma(X \times Y)) + \frac{1}{4}(X, Y)I, \quad X, Y \in \mathfrak{S}'$$

where  $I$  is the  $4 \times 4$  unit matrix.

**Proof.** Noting that  $\lambda_1^2 + \lambda_2^2 + \lambda_3^2 + \lambda_4^2 = \xi_1^2 + \xi_2^2 + \xi_3^2$ , it is easy to verify the formula  $(fX, fY) = (X, Y)$ . Next, to prove the identity  $fX \circ fY = f(\gamma(X \times Y)) + \frac{1}{4}(X, Y)I$ , it is sufficient to show that

- (1)  $fE_i \circ fE_i = \frac{1}{4}I$ ,
- (2)  $fE_i \circ fE_{i+1} = f(E_i \times E_{i+1})$ ,
- (3)  $fE_i \circ fF_i(x) = f(E_i \times \gamma F_i(x))$ ,
- (4)  $fE_i \circ fF_j(x) = 0 = f(E_i \times \gamma F_j(x))$ ,  $i \neq j$ ,
- (5)  $fF_i(x) \circ fF_i(y) = f(\gamma(F_i(x) \times F_i(y))) + \frac{1}{4}(F_i(x), F_i(y))I$ ,
- (6)  $fF_i(x) \circ fF_{i+1}(y) = f(\gamma(F_i(x) \times F_{i+1}(y)))$ .

**Proof** of (3).  $fE_i \circ fF_i(x) \quad (x = a + be)$

$$\begin{aligned}
&= \frac{1}{2} \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & -1 & \\ & & & -1 \end{pmatrix} \circ \begin{pmatrix} 0 & b & 0 & 0 \\ \bar{b} & 0 & 0 & 0 \\ 0 & 0 & 0 & a \\ 0 & 0 & \bar{a} & 0 \end{pmatrix} \\
&= \frac{1}{2} \begin{pmatrix} 0 & b & 0 & 0 \\ \bar{b} & 0 & 0 & 0 \\ 0 & 0 & 0 & -a \\ 0 & 0 & -\bar{a} & 0 \end{pmatrix} = -\frac{1}{2} fF_1(a-be) = f(E_1 \times \gamma F_1(x)).
\end{aligned}$$

**Proof of (5).**  $fF_1(x) \circ fF_1(y)$   $(x=a+be, y=c+de)$

$$\begin{aligned}
&= \begin{pmatrix} 0 & b & 0 & 0 \\ \bar{b} & 0 & 0 & 0 \\ 0 & 0 & 0 & a \\ 0 & 0 & \bar{a} & 0 \end{pmatrix} \circ \begin{pmatrix} 0 & d & 0 & 0 \\ \bar{d} & 0 & 0 & 0 \\ 0 & 0 & 0 & c \\ 0 & 0 & \bar{c} & 0 \end{pmatrix} \\
&= \begin{pmatrix} (b, d) & & & \\ & (b, d) & & \\ & & (a, c) & \\ & & & (a, c) \end{pmatrix} \\
&= (b, d)(fE_1 + \frac{1}{2}I) + (a, c)(-fE_1 + \frac{1}{2}I) \\
&= -((a, c) - (b, d))fE_1 + \frac{1}{2}((a, c) + (b, d))I \\
&= -(x, y)'fE_1 + \frac{1}{2}(x, y)I \\
&= f(\gamma(F_1(x) \times F_1(y))) + \frac{1}{4}(F_1(x), F_1(y))I.
\end{aligned}$$

The other formulae are also proved by calculations similar to the above.

Let  $Sp(4)$  be the symplectic group:

$$Sp(4) = \{A \in M(4, \mathbf{H}) \mid AA^* = I\}.$$

The group  $Sp(4)$  acts on  $\mathfrak{S}(4, \mathbf{H})$  by the way  $\mu: Sp(4) \times \mathfrak{S}(4, \mathbf{H}) \rightarrow \mathfrak{S}(4, \mathbf{H})$ ,

$$\mu(A, S) = ASA^*.$$

Then this action induces an automorphism of  $\mathfrak{S}(4, \mathbf{H})$  and an isometry of  $\mathfrak{S}(4, \mathbf{H})$  (and  $\mathfrak{S}(4, \mathbf{H})_0$ ):

$$A(S \circ T)A^* = ASA^* \circ ATA^*,$$

$$(ASA^*, ATA^*) = (S, T).$$

Finally, let  $\mathbf{HP}_3$  be the 3-dim. quaternion projective space:

$$\begin{aligned} \mathbf{HP}_3 &= \{P \in \mathfrak{S}(4, \mathbf{H}) \mid P^2 = P, \operatorname{tr}(P) = 1\} \\ &= \{AI_1A^* \mid A \in Sp(4)\} \end{aligned}$$

where  $I_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in M(4, \mathbf{H})$ .

#### 4. Compact subgroup $(E_6')_K$ of $E_6'$ .

We shall consider the following subgroup  $(E_6')_K$  of  $E_6'$

$$(E_6')_K = \{\alpha \in E_6' \mid (\alpha X, \alpha Y) = (X, Y)\}.$$

**Proposition 2.** *The group  $(E_6')_K$  is isomorphic to the group  $Sp(4)/\mathbf{Z}_2$ , where  $\mathbf{Z}_2 = \{I, -I\}$ .*

**Proof.** We define a mapping  $\varphi : Sp(4) \rightarrow (E_6')_K$  by

$$\varphi(A)X = f^{-1}(A(fX)A^*), \quad X \in \mathfrak{S}.$$

First of all, we have to show  $\varphi(A) \in E_6'$ .

$$\begin{aligned} 3\det(\varphi(A)X) & \quad (\text{denote } \varphi(A)X \text{ by } Y) \\ &= (Y, Y, Y)' = (Y \times Y, Y)' = (\gamma(Y \times Y), Y) \\ &= (f(\gamma(Y \times Y)), fY) \\ &= (fY \circ fY - \frac{1}{4}(Y, Y)I, fY) \\ &= (fY \circ fY - \frac{1}{4}(fY, fY)I, fY) \\ &= (A(fX)A^* \circ A(fX)A^* - \frac{1}{4}(A(fX)A^*, A(fX)A^*)I, A(fX)A^*) \\ &= (fX \circ fX - \frac{1}{4}(X, X)I, fX) \\ &= (f(\gamma(X \times X)), fX) \\ &= (\gamma(X \times X), X) = (X \times X, X)' = (X, X, X)' = 3\det X. \end{aligned}$$

Hence  $\varphi(A) \in E_6'$ . And it is obviously obtained that  $(\varphi(A)X, \varphi(A)Y) = (X, Y)$ , then  $\varphi(A) \in (E_6')_K$ .

Obviously  $\varphi$  is a homomorphism. We shall prove that  $\varphi$  is onto. For a given  $\alpha \in (E_6')_K$ , we consider the element  $\alpha E \in \mathfrak{S}$ . This  $\alpha E$  satisfies

$$(f(\alpha E))^2 = f(\alpha E) + \frac{3}{4}I.$$

In fact,  $(f(\alpha E))^2 = f(\gamma(\alpha E \times \alpha E)) + \frac{1}{4}(\alpha E, \alpha E)I = f(\gamma'\alpha^{-1}(E \times E)) + \frac{1}{4}(E, E)I = f(\gamma'\alpha^{-1}E)$

$+\frac{3}{4}I=f({}^t\alpha^{-1}\gamma E)+\frac{3}{4}I=f({}^t\alpha^{-1}E)+\frac{3}{4}I=f(\alpha E)+\frac{3}{4}I$ . Therefore  $P=\frac{1}{4}(2f(\alpha E)+I)$  satisfies  $P^2=P$ ,  $\text{tr}(P)=1$ , that is,  $P$  is an element of  $\mathbf{HP}_3$ . Hence there exists  $A \in Sp(4)$  such that

$$P=AI_1A^*.$$

Then we have  $\varphi(A)E=f^{-1}(A(fE)A^*)=f^{-1}(A(2I_1-\frac{1}{2}I)A^*)=f^{-1}(2P-\frac{1}{2}I)=f^{-1}(f(\alpha E))=\alpha E$ . So, put  $\beta=\varphi(A)^{-1}\alpha$ , then  $\beta E=E$ , that is,  $\beta \in F_4'$  and satisfies

$$(\beta X, \beta Y)=(X, Y), \quad X, Y \in \mathfrak{S}'.$$

(If we use the notation in [6],  $\beta \in (F_4')_K$ .) Hence, from [6], there exists  $B=\begin{pmatrix} p & 0 \\ 0 & C \end{pmatrix} \in Sp(4)$ , where  $p \in Sp(1)=\{p \in \mathbf{H} \mid |p|=1\}$  and  $C \in Sp(3)=\{C \in M(3, \mathbf{H}) \mid CC^*=E\}$  such that

$$\beta=\varphi(B).$$

(In [6], we have proved that the group  $(F_4')_K$  is isomorphic to the group  $(Sp(1) \times Sp(3))/\mathbf{Z}_2$ . It is easy to see that the mapping to prove this isomorphism coincides with  $\varphi$ , if we note the following

$$\begin{aligned} fX &= f \begin{pmatrix} \xi_1 & a_3+b_3e & \bar{a}_2-b_2e \\ \bar{a}_3-b_3e & \xi_2 & a_1+b_1e \\ a_2+b_2e & \bar{a}_1-b_1e & \xi_3 \end{pmatrix} \\ &= \begin{pmatrix} \text{tr}(X) & b_1 & b_2 & b_3 \\ \bar{b}_1 & \xi_1 & a_3 & \bar{a}_2 \\ \bar{b}_2 & \bar{a}_3 & \xi_2 & a_1 \\ \bar{b}_3 & a_2 & \bar{a}_1 & \xi_3 \end{pmatrix} - \frac{1}{2} \text{tr}(X)I \\ &= \begin{pmatrix} \text{tr}(X_{\mathbf{H}}) & \mathbf{b} \\ \mathbf{b}^* & X_{\mathbf{H}} \end{pmatrix} - \frac{1}{2} \text{tr}(X_{\mathbf{H}})I \end{aligned}$$

and

$$B(fX)B^* = \begin{pmatrix} \text{tr}(CX_{\mathbf{H}}C^*) & p\mathbf{b}C^* \\ (p\mathbf{b}C^*)^* & CX_{\mathbf{H}}C^* \end{pmatrix} - \frac{1}{2} \text{tr}(CX_{\mathbf{H}}C^*)I.$$

Hence we have

$$\alpha=\varphi(A)\varphi(B)=\varphi(AB), \quad AB \in Sp(4),$$

that is,  $\varphi$  is onto. Finally  $\text{Ker}\varphi=\{I, -I\}$  is easily obtained. Thus the proof of Proposition 2 is completed.

### 5. Polar decomposition of $E_6'$ .

To give a polar decomposition of  $E_6'$  we use the following

**Lemma 3** ([2] p. 345). *Let  $G$  be a real algebraic subgroup of the general linear*

group  $GL(n, \mathbb{R})$  such that the condition  $A \in G$  implies  ${}^t A \in G$ . Then  $G$  is homeomorphic to the topological product of  $G \cap O(n)$  (which is a maximal compact subgroup of  $G$ ) and a Euclidean space  $\mathbb{R}^d$ :

$$G \simeq (G \cap O(n)) \times \mathbb{R}^d, \quad d = \dim(\mathfrak{g} \cap \mathfrak{h}(n))$$

where  $O(n)$  is the orthogonal subgroup of  $GL(n, \mathbb{R})$ ,  $\mathfrak{g}$  the Lie algebra of  $G$  and  $\mathfrak{h}(n)$  the vector space of all real symmetric matrices of degree  $n$ .

To use the above Lemma, first of all we show the following

**Lemma 4.**  $E_6'$  is a real algebraic subgroup of the general linear group  $GL(27, \mathbb{R}) = \text{Iso}_{\mathbb{R}}(\mathfrak{S}', \mathfrak{S}')$  and satisfies the condition  $\alpha \in E_6'$  implies  ${}^t \alpha \in E_6'$ .

**Proof.** We use the following identity

$$(Z \times Z) \times (Z \times Z) = (\det Z)Z, \quad Z \in \mathfrak{S}'.$$

For  $\alpha \in E_6'$  and  $Y \in \mathfrak{S}'$ , we have

$$\begin{aligned} {}'\alpha^{-1}(Y \times Y) \times {}'\alpha^{-1}(Y \times Y) &= (\alpha Y \times \alpha Y) \times (\alpha Y \times \alpha Y) \\ &= (\det \alpha Y) \alpha Y = (\det Y) \alpha Y = \alpha((\det Y) Y) \\ &= \alpha((Y \times Y) \times (Y \times Y)). \end{aligned}$$

Put  $Y = X \times X$  for any  $X \in \mathfrak{S}'$  in the above, then we have

$${}'\alpha^{-1}((\det X)X) \times {}'\alpha^{-1}((\det X)X) = \alpha((\det X)X) \times (\det X)X.$$

Hence, if  $\det X \neq 0$  then  ${}'\alpha^{-1}X \times {}'\alpha^{-1}X = \alpha(X \times X)$ . This implies  $\det {}'\alpha^{-1}X = \det X$ , i. e. (considering  $\alpha^{-1}$  instead of  $\alpha$ )

$$\det {}'\alpha X = \det X$$

for  $X \in \mathfrak{S}'$  such that  $\det X \neq 0$ . The same holds also for  $X \in \mathfrak{S}'$  such that  $\det X = 0$ . In fact, assume that  $\det {}'\alpha X \neq \det X = 0$ , then applying the above result to  ${}'\alpha X$ , we have  $\det {}'\alpha X = \det {}'\alpha^{-1}({}'\alpha X) = \det X$ , which contradicts the assumption. Therefore  ${}'\alpha \in E_6'$ , hence

$${}^t \alpha = \gamma' \alpha \gamma \in E_6'.$$

Finally, it is obvious that  $E_6'$  is real algebraic, because  $E_6'$  is defined by the algebraic relation  $\det \alpha X = \det X$ .

Let  $O(\mathfrak{S}')$  be the orthogonal subgroup of  $\text{Iso}_{\mathbb{R}}(\mathfrak{S}', \mathfrak{S}')$ :

$$O(27) = O(\mathfrak{S}') = \{\alpha \in \text{Iso}_{\mathbb{R}}(\mathfrak{S}', \mathfrak{S}') \mid (\alpha X, \alpha Y) = (X, Y)\}.$$

Then by Proposition 2 we have

$$E_6' \cap O(\mathfrak{S}') = (E_6')_K \cong Sp(4)/\mathbb{Z}_2.$$

Next we shall determine the Euclidean part  $e_6' \cap \mathfrak{h}(\mathfrak{S}')$  of  $E_6'$  where

$$\begin{aligned} e_6' &= \{\zeta \in \text{Hom}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid (\zeta X, X, X)' = 0\}, \\ \mathfrak{h}(27) &= \mathfrak{h}(\mathfrak{S}') = \{\zeta \in \text{Hom}_{\mathbf{R}}(\mathfrak{S}', \mathfrak{S}') \mid (\zeta X, Y) = (X, \zeta Y)\}. \end{aligned}$$

(The dimension of the Euclidean part of  $E_6'$  is obtained by  $\dim E_6' - \dim Sp(4) = 78 - 36 = 42$ . However we investigate the structure of  $e_6' \cap \mathfrak{h}(\mathfrak{S}')$  directly.)

**Lemma 5.** *Any element  $\zeta$  of the Lie algebra  $e_6'$  of  $E_6'$  is uniquely represented by the form*

$$\zeta = \delta + \tilde{T}, \quad \delta \in \mathfrak{f}_4', T \in \mathfrak{S}', \text{tr}(T) = 0$$

where  $\mathfrak{f}_4' = \{\delta \in e_6' \mid \delta E = 0\}$  is the Lie algebra of  $F_4'$  and  $\tilde{T} \in e_6'$  is defined by  $\tilde{T}X = T \circ X$  for  $X \in \mathfrak{S}'$ .

**Proof.** For a given  $\zeta \in e_6'$ , put

$$T = \zeta E \quad \text{and} \quad \delta = \zeta - \tilde{T},$$

then the required results are obtained quite analogously in [1].

Let  $\zeta = \delta + \tilde{T} \in e_6' \cap \mathfrak{h}(\mathfrak{S}')$ . Then it holds

$$(\delta X, Y) + (\tilde{T}X, Y) = (X, \delta Y) + (X, \tilde{T}Y), \quad X, Y \in \mathfrak{S}'.$$

Put  $Y = E$ , then  $\text{tr}(\delta X) + \text{tr}(\tilde{T}X) = 0 + (X, T)$ . Since  $\text{tr}(\delta X) = 0$  [6], we have  $(T, X)' = (T, X)$  for all  $X \in \mathfrak{S}'$ . This implies  $\gamma T = T$ , that is,

$$T \in \mathfrak{S}(3, \mathbf{H}), \quad \text{tr}(T) = 0.$$

Furthermore we have  $(\tilde{T}X, Y) = (\tilde{T}X, \gamma Y)' = \text{tr}(T, X, \gamma Y)' = \text{tr}(\gamma T, X, \gamma Y)' = \text{tr}(T, \gamma X, Y)' = \text{tr}(T, Y, \gamma X)' = (\tilde{T}Y, \gamma X)' = (\tilde{T}Y, X) = (X, \tilde{T}Y)$ , therefore  $\tilde{T} \in e_6' \cap \mathfrak{h}(\mathfrak{S}')$  and  $\delta \in \mathfrak{f}_4' \cap \mathfrak{h}(\mathfrak{S}')$ . Hence any  $\zeta \in e_6' \cap \mathfrak{h}(\mathfrak{S}')$  has a form

$$\zeta = \delta + \tilde{T}, \quad \delta \in \mathfrak{f}_4' \cap \mathfrak{h}(\mathfrak{S}'), T \in \mathfrak{S}(3, \mathbf{H}), \text{tr}(T) = 0,$$

and conversely. The structure of  $\mathfrak{f}_4' \cap \mathfrak{h}(\mathfrak{S}')$  has been already seen in [6] and its dimension is 28. Hence we have

$$\dim(e_6' \cap \mathfrak{h}(\mathfrak{S}')) = 28 + 14 = 42.$$

Thus we have the following

**Theorem 6.** *The group  $E_6'$  is homeomorphic to the topological product of the group  $Sp(4)/\mathbf{Z}_2$  and a 42-dim. Euclidean space  $\mathbf{R}^{42}$ :*

$$E_6' \simeq Sp(4)/\mathbf{Z}_2 \times \mathbf{R}^{42}.$$

*In particular,  $E_6'$  is a connected (but not simply connected) Lie group.*

## 6. Simplicity of $E_6'$ .

**Proposition 7.** *The center  $z(E_6')$  of  $E_6'$  is trivial:*

$$z(E_6') = 1.$$

**Proof.** We define the linear transformations  $\beta_i$ ,  $i=1, 2, 3$  of  $\mathfrak{S}'$  by

$$\beta_1 X = \begin{pmatrix} \xi_1 & -x_3 & -\bar{x}_2 \\ -\bar{x}_3 & \xi_2 & x_1 \\ -x_2 & \bar{x}_1 & \xi_3 \end{pmatrix}, \quad \beta_2 X = \begin{pmatrix} \xi_1 & -x_3 & \bar{x}_2 \\ -\bar{x}_3 & \xi_2 & -x_1 \\ x_2 & -\bar{x}_1 & \xi_3 \end{pmatrix}, \quad \beta_3 X = \begin{pmatrix} \xi_2 & x_1 & \bar{x}_3 \\ \bar{x}_1 & \xi_3 & x_2 \\ x_3 & \bar{x}_2 & \xi_1 \end{pmatrix}$$

for  $X=X(\xi, x) \in \mathfrak{S}'$ . Then as readily seen they are elements of  $F_4'$ . Now, let  $\alpha \in z(E_6')$ . By the commutativity of  $\beta \in F_4' \subset E_6'$ , we have  $\beta \alpha E = \alpha \beta E = \alpha E$ . Hence if we denote  $\alpha E$  by  $Y=Y(\eta, y)$ , then

$$\beta Y = Y, \quad \text{for all } \beta \in F_4'.$$

From this, putting  $\beta=\beta_1, \beta_2$ , we get  $y_1=y_2=y_3=0$ , that is,  $Y=\eta_1 E_1 + \eta_2 E_2 + \eta_3 E_3$ . Furthermore, putting  $\beta=\beta_3$ , we get  $\eta_1=\eta_2=\eta_3(=\eta)$ , that is,  $Y=\eta E$ . Since  $\alpha \in E_6'$ , we have  $\eta^3 = \det Y = \det \alpha E = \det E = 1$ . Thus  $\eta=1$ , that is,  $\alpha E=E$ , which means that  $\alpha \in F_4'$ , then  $\alpha$  is an element of the center  $z(F_4')$  of  $F_4'$ . Since  $z(F_4')=1$  by [6], we get  $\alpha=1$ , that is,  $z(E_6')=1$ .

It is well known that the Lie algebra  $\mathfrak{e}_6'$  of  $E_6'$  is simple [1], [4]. Now, since  $E_6'$  is a connected group from Theorem 6 and a simple Lie group, any normal subgroup of  $E_6'$  is contained in the center  $z(E_6')$  except  $E_6'$  itself. Thus Proposition 7 implies the following

**Theorem 8.** *The group  $E_6'$  is simple (in the algebraic sense) Lie group.*

Since the fundamental group of  $E_6'$  is  $\mathbf{Z}_2$  from Theorem 6 and  $E_6'$  is a simple group, we have the following

**Theorem 9.** *The center  $z(\tilde{E}_6')$  of the non-compact simply connected Lie group  $\tilde{E}_6' = E_{6(6)}$  of type  $E_6$  is  $\mathbf{Z}_2$ .*

### 7. Generators of $E_6'$ .

Analogously in the case of the non-split type, we define the split Cayley plane  $\Pi'$  by

$$\begin{aligned} \Pi' &= \{A \in \mathfrak{S}' \mid A^2 = A, \operatorname{tr}(A) = 1\} \\ &= \{A \in \mathfrak{S}' \mid A \times A = 0, \operatorname{tr}(A) = 1\}. \end{aligned}$$

Then, from the straightforward calculations, we have the following formulae

$$(I) \quad A \times (Y \times (A \times X)) = -\frac{1}{4}(A, Y)'A \times X,$$

$$(II) \quad X \times (Y \times (X \times X)) = -\frac{1}{4}((\det X)Y + (X, Y)'X \times X),$$

$$(III) \quad A \times (A \times X) = -\frac{1}{4}(X - 2A \circ X + (A, X)'A),$$

for  $A \in \Pi'$ ,  $X, Y \in \mathfrak{S}'$ . Therefore, following [5], we can define a mapping  $\psi'$ :

$\{(A, B) \in \Pi' \times \Pi' \mid (A, B)' \neq 0\} \rightarrow E_6'$  ( $\phi': \Pi' \rightarrow F_4'$ ) as follows

$$\begin{aligned} \phi'(A, B)X &= \frac{1}{(A, B)'} (8B \times (A \times X) + 2(B, X)'A - (A, B)'X) \\ \phi'(A)X &= \phi'(A, A)X = X - 4A \circ X + 4(A, X)'A. \end{aligned}$$

Then  $\phi'$  ( $\phi'$ ) has the analogous properties of [5], especially it holds

$$\begin{aligned} \alpha\phi'(A, B)\alpha^{-1} &= \phi'(\alpha A, \alpha^{-1}B), & \text{for } \alpha \in E_6' \\ (\alpha\phi'(A)\alpha^{-1}) &= \phi'(\alpha A), & \text{for } \alpha \in F_4'. \end{aligned}$$

This implies that the subgroup generated by  $\{\phi'(A, B) \mid A, B \in \Pi', (A, B)' \neq 0\}$  is a normal subgroup of  $E_6'$  (so is  $F_4'$ ). Hence by Theorem 8 (by [6] Theorem 12), we have the following

**Theorem 10.** *The group  $E_6'$  is generated by  $\{\phi'(A, B) \mid A, B \in \Pi', (A, B)' \neq 0\}$  (The group  $F_4'$  is generated by  $\{\phi'(A) \mid A \in \Pi'\}$ ).*

#### 8. Homogeneous space $E_6'/F_4'$ .

We consider the space  $\mathfrak{S}_1'$  consisting of all elements  $X \in \mathfrak{S}'$  such that  $\det X = 1$ :

$$\mathfrak{S}_1' = \{X \in \mathfrak{S}' \mid \det X = 1\}.$$

**Theorem 11** ([3] Theorem 7). *The group  $E_6'$  acts transitively on  $\mathfrak{S}_1'$  and the isotropy subgroup of  $E_6'$  at  $E$  is  $F_4'$ . Therefore the homogeneous space  $E_6'/F_4'$  is homeomorphic to  $\mathfrak{S}_1'$ :*

$$E_6'/F_4' \simeq \mathfrak{S}_1'.$$

**Proof.** We define the linear transformations  $\sigma$  and  $\tau = \tau(\lambda_1, \lambda_2, \lambda_3)$  of  $\mathfrak{S}'$  respectively by

$$\begin{aligned} \sigma X &= \begin{pmatrix} \xi_1 & x_3 e & \overline{ex_2} \\ \overline{x_3 e} & -\xi_2 & ex_1 e \\ ex_2 & \overline{ex_1 e} & -\xi_3 \end{pmatrix}, \\ \tau X &= \begin{pmatrix} \lambda_1 \xi_1 \lambda_1 & \lambda_1 x_3 \lambda_2 & \lambda_1 \overline{x_2} \lambda_3 \\ \lambda_2 \overline{x_3} \lambda_1 & \lambda_2 \xi_2 \lambda_2 & \lambda_2 x_1 \lambda_3 \\ \lambda_3 x_2 \lambda_1 & \lambda_3 \overline{x_1} \lambda_2 & \lambda_3 \xi_3 \lambda_3 \end{pmatrix}, & \lambda_1 \lambda_2 \lambda_3 = 1, \lambda_i \in \mathbf{R} \end{aligned}$$

for  $X = X(\xi, x) \in \mathfrak{S}'$ . Then as readily seen they are elements of  $E_6'$ . Now, we shall prove that  $E_6'$  acts transitively on  $\mathfrak{S}_1'$ . To do this, it is sufficient to show that any element of  $\mathfrak{S}_1'$  can be transformed to  $E$  by some element of  $E_6'$ . For any element  $Y \in \mathfrak{S}_1'$ , as well known there exists  $A \in Sp(4)$  such that  $fY \in \mathfrak{S}(4, \mathbf{H})_0$  is transformed to a diagonal form by the action  $\mu$ . Namely, there exists  $\alpha \in (E_6')_X$  such that  $\alpha Y$  is a diagonal form

$$\alpha Y = Z = \zeta_1 E_1 + \zeta_2 E_2 + \zeta_3 E_3, \quad \zeta_1 \zeta_2 \zeta_3 = 1.$$

Here, if there exist  $\zeta_i < 0$ , then we may assume  $\zeta_1 > 0$ ,  $\zeta_2 < 0$ ,  $\zeta_3 < 0$  by choosing a suitable element  $A \in Sp(4)$  in the above. Hence, transforming  $Z$  by  $\sigma$  if necessary, we may assume  $\zeta_i > 0$ ,  $i=1, 2, 3$ . Therefore operate  $\tau = \tau(1/\sqrt{\zeta_1}, 1/\sqrt{\zeta_2}, 1/\sqrt{\zeta_3})$  on  $Z$ , then we have

$$\tau Z = E.$$

Thus we have proved the transitivity of  $E_6'$ . Since the isotropy subgroup of  $E_6'$  at  $E$  is  $F_4'$ , we have the following homeomorphism

$$E_6'/F_4' \simeq \mathfrak{S}_1'.$$

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