

## *Connections of Differential Operators*

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### Introduction

A connection  $\theta$  of a vector bundle  $F$  may be regarded to be the lower order term of a differential operator  $D : C^\infty(M, A^p T^*(M) \otimes F) \rightarrow C^\infty(M, A^{p+1} T^*(M) \otimes F)$  with the symbol  $\sigma(D) \otimes id_F$  (cf. [1]). Similarly, for an arbitrary differential operator  $D : C^\infty(M, E_1) \rightarrow C^\infty(M, E_2)$ ,  $E_1$  and  $E_2$  being vector bundles over  $M$ , we may consider the lower order term of a differential operator  $\tilde{D} : C^\infty(M, E_1 \otimes F) \rightarrow C^\infty(M, E_2 \otimes F)$  with  $\sigma(\tilde{D}) = \sigma(D) \otimes id_F$ , ( $\sigma(D)$ , etc., mean the symbols of  $D$ , etc.), to be a connection of  $D$  with respect to  $F$ . This connection has many (formally) similar properties as usual connection. For example, the action of the group of automorphisms of  $F$  to the set of all connections of  $D$  with respect to  $F$  is formally same as usual case (cf. [9]), and the obstruction class  $o(D, F)$  which has similar properties as curvature or characteristic classes, can be defined by the help of connection.

The outline of this paper is as follows : In §1, we define the connection of  $D$  with respect to a vector bundle  $F$ . After showing the existence of connection, the action of the automorphism of  $F$  to the connection is calculated in §1. In §2, we define the obstruction class  $o(D, F)$  and show  $D$  has a connection with respect to  $F$  with the degree at most  $\deg D - 2$  if and only if  $o(D, F) = 0$ . The higher obstructions  $o^j(D, F)$  are also defined under the assumption  $o^{j-1}(D, F) = 0$ . It is shown that  $D$  has a connection with the degree at most  $\deg D - j - 1$  if and only if  $o^j(D, F) = 0$ . If  $F$  is a complex line bundle,  $o(d, F) \in H^1(M, \mathcal{B}^1)$ ,  $\mathcal{B}^1$  is the sheaf of germs of closed 1-forms on  $M$ , and its de Rham image in  $H^2(M, \mathbb{C})$  is the 1-st Chern class of  $F$ , the closed 2-form on  $M$  whose de Rham image  $o(d, F)$ , is the curvature form of  $F$ . For this reason, we may define  $ch(D, F)$  and  $ch^j(D, F)$  using non-abelian cohomogy theory ([6], [8]). In §3, we consider the extension of differential operator  $D$  on the base space  $M$  to the total space  $M_F$  of a fibre bundle  $F$  and show this problem is also treated by the same way as the connection of  $D$  defined in §1. For this reason, to fix a connection  $\theta(F)$  of  $F$ , we call the

lower order term of the differential operator  $\tilde{D} : C^\infty(M_F, \pi_F^*(E_1)) \rightarrow C^\infty(M_F, \pi_F^*(E_2))$  with  $\sigma(\tilde{D}) = \pi_{\theta(F)}^*[\pi_F^*(\sigma(D))]$  is called the connection of  $D$  with respect to  $F$  and  $\theta(F)$ . Here  $\pi_{\theta(F)}^* : T^*(M_F) \rightarrow \pi_F^*(T^*(M))$  is the map defined by  $\theta(F)$ . It is shown that if  $F$  is an  $SO(n)$ -bundle or  $SU(n)$ -bundle with the fibre  $\mathbf{R}^n$  or  $\mathbf{C}^n$ ,  $D$  has a connection such that decomposed as the sum of connections of  $D$  with respect to  $\chi_p(F)$  or  $\chi_{C,p}(F)$ ,  $q \geq 0$ . Here  $\chi_p(F)$ , or  $\chi_{C,p}(F)$ , is the associate  $p$ -th degree harmonic polynomials bundle, or  $(p, p)$ -type harmonic polynomial bundle, of  $F$ .

### § 1. Definition of connections

1. Let  $M$  be a connected  $n$ -dimensional smooth manifold,  $E_1$ ,  $E_2$  and  $F$  are complex (or real) vector bundles over  $M$ . The dimensions of the fibres of  $E_1$  and  $E_2$  are assumed to be finite, but the dimension of the fibre of  $F$  need not be finite (cf. § 3). We fix a common (locally finite) coordinate neighborhood  $\{U\}$  of  $E_1$ ,  $E_2$  and  $F$ . The (fixed) transition functions of  $E_1$ ,  $E_2$  and  $F$  defined by  $\{U\}$  are denoted by  $\{g_{1,UV}(x)\}$ ,  $\{g_{2,UV}(x)\}$  and  $\{g_{UV}(x)\}$ . We denote by  $C^\infty(M, E_1)$ , *etc.*, the space of  $C^\infty$ -cross-sections of  $E_1$  over  $M$ , *etc.*. Under these notations, a differential operator  $D : C^\infty(M, E_1) \rightarrow C^\infty(M, E_2)$  is a collection of differential operators  $D_U : C^\infty(U, E_1) \rightarrow C^\infty(U, E_2)$  such that

$$D_U g_{1,UV}(x) = g_{2,UV}(x) D_V, \quad x \in U \cap V.$$

We set  $\deg D = k$ . Then  $D_U$  is written

$$(1) \quad D_U = \sum_{|\mathbf{I}| \leq k} A_{\mathbf{I},U}(x) \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{I}}, \quad \mathbf{I} = (i_1, \dots, i_n), \quad |\mathbf{I}| = i_1 + \dots + i_n,$$

$$\left( \frac{\partial}{\partial x_U} \right)^{\mathbf{I}} = \frac{\partial^{|\mathbf{I}|}}{\partial x_{U,1}^{i_1} \dots \partial x_{U,n}^{i_n}},$$

where  $(x_{U,1}, \dots, x_{U,n})$  is the local coordinate on  $U$ . We set

$$D_U \otimes 1_F = \sum_{|\mathbf{I}| \leq k} A_{\mathbf{I},U}(x) \otimes 1_F \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{I}}, \quad 1_F \text{ is the identity map of the fibre of } F,$$

Then  $D_U \otimes 1_F : C^\infty(U, E_1 \otimes F) \rightarrow C^\infty(U, E_2 \otimes F)$  is a differential operator on  $U$ .

**Definition.** A collection  $\{\theta_U\}$  of differential operators  $\theta_U : C^\infty(U, E_1 \otimes F) \rightarrow C^\infty(U, E_2 \otimes F)$ , is called a connection of  $D$  (with respect to  $F$ ) if it satisfies

- (i)  $g_{2,UV}(x) \otimes g_{UV}(x) (D_V \otimes 1_F + \theta_V) = (D_U \otimes 1_F + \theta_U) g_{1,UV}(x) \otimes g_{UV}(x)$ ,
- (ii)  $\deg \theta_U \leq k - 1$ , ( $k = \deg D$ ).

**Proposition 1.** For any  $D$  and  $F$  connection exists.

**Proof.** Let  $\{e_U(x)\}$  be a  $C^\infty$ -partition of unity subordinate to  $\{U\}$ . Then to set

$$(2) \quad \theta_U(x) = \sum_{U \cap W \neq \emptyset} e_W(x) g_{2,UW}(x) \otimes g_{UW}(x) \{ D_W \otimes 1_F(g_{1,WU}(x) \otimes g_{WU}(x)) \\ - (g_{2,WU}(x) \otimes g_{WU}(x)) D_U \otimes 1_F \},$$

$\{\theta_U(x)\}$  satisfies (i), (ii).

**Definition.** Let  $\theta = \{\theta_U(x)\}$  be a connection of  $D$  with respect to  $F$ . Then the collection  $\{D_U \otimes 1_F + \theta_U\}$  is denoted by  $D_\theta$ .

By definition,  $D_\theta : C^\infty(M, E_1 \otimes F) \rightarrow C^\infty(M, E_2 \otimes F)$  is a differential operator on  $M$  and  $\deg D_\theta = k$ . Hence we have

$$(3) \quad \sigma(D_\theta) = \sigma(D) \otimes id_F,$$

where  $\sigma(D)$ , etc., are the symbols of  $D$ , etc., and  $id_F$  is the identity map of  $\pi^*(F)$ ,  $\pi$  is the projection of  $T^*(M)$ , the cotangent bundle of  $M$ .

Note 1. If  $E_1 = \Lambda^p T^*$ ,  $E_2 = \Lambda^{p+1} T^*$  and  $D = d$ , the exterior differential, then a connection of  $d$  with respect to  $F$  is a linear connection of  $F$ .

Note 2. For a differential complex

$$(D) : C^\infty(M, E_1) \xrightarrow{D_1} C^\infty(M, E_2) \xrightarrow{D_2} \dots,$$

Connection (with respect to  $F$ ) is also defined. But the lifted sequence

$$(D_\theta) : C^\infty(M, E_1 \otimes F) \xrightarrow{D_1, \theta_1} C^\infty(M, E_2 \otimes F) \xrightarrow{D_2, \theta_2} \dots,$$

is not a differential complex in general, although its symbol sequence is exact (cf. [2]).

2. Let  $\varphi : E_1 \rightarrow E_1$  be a bundle map, then we set

$$(4) \quad \varphi D_U = \sum_{|I| \leq k} A_{I,U}(x) \varphi(x) \left( \frac{\partial}{\partial x_U} \right)^I,$$

and set

$$(5) \quad D_U \varphi = \varphi D_U + D_{U,\varphi}.$$

By definition,  $\deg D_{U,\varphi} \leq k-1$ .

**Lemma 1.** If  $\varphi$  is an automorphism, then

$$(6) \quad D_{U,\varphi^{-1}} = -\varphi^{-1} D_{U,\varphi} \varphi^{-1}.$$

**Proof.** Since we have  $\varphi_{-1}(\varphi D) = D$ , we get

$$D_U = D_U \varphi^{-1} \varphi = \varphi^{-1} D_U \varphi + D_{U,\varphi^{-1}} = D_U + \varphi^{-1} D_{U,\varphi} + D_{U,\varphi^{-1}},$$

we obtain (6).

If  $\{\theta_U\}$  is a connection of  $D$  with respect to  $F$ , we have

$$g_{2,UV} \otimes g_{UV} D_V \otimes 1_F - D_U \otimes 1_F g_{1,UV} \otimes g_{UV} = g_{2,UV} \otimes g_{UV} \theta_V - \theta_U g_{1,UV} \otimes g_{UV}.$$

Hence we get

$$(7) \quad \begin{aligned} g_{2,UV} \otimes h_U g_{UV} h_V^{-1} & \{ (1_{E_2} \otimes h_V) D_V \otimes 1_F (1_{E_1} \otimes h_V^{-1}) \\ & - (1_{E_2} \otimes h_U) D_U \otimes 1_F (1_{E_1} \otimes h_U^{-1}) \} g_{1,UV} \otimes g_{UV} \\ & = (1_{E_2} \otimes h_U) \{ g_{2,UV} \otimes g_{UV} \theta_V - \theta_U g_{1,UV} \otimes g_{UV} \} (1_{E_1} \otimes h_V^{-1}), \end{aligned}$$

where  $1_{E_1}$  and  $1_{E_2}$  are the identity maps of the fibres of  $E_1$  and  $E_2$ . But since we get by (6)

$$\begin{aligned} & (1_{E_2} \otimes h_U) D_U \otimes 1_F (1_{E_1} \otimes h_U^{-1}) \\ & = (1_{E_2} \otimes h_U) \{ (1_{E_1} \otimes h_U^{-1}) D_U \otimes 1_F - (1_{E_1} \otimes h_U^{-1}) (D_U \otimes 1_F) 1_{E_1} \otimes h_U \} (1_{E_1} \otimes h_U^{-1}), \end{aligned}$$

and since

$$(1_{E_1} \otimes h_U^{-1}) D_U \otimes 1_F = (1_{E_2} \otimes h_U^{-1}) D_U \otimes 1_F,$$

we obtain

$$(8) \quad (1_{E_2} \otimes h_U) D_U \otimes 1_F (1_{E_1} \otimes h_U^{-1}) = D_U \otimes 1_F - (D_U \otimes 1_F) 1_{E_1} \otimes h_U (1_{E_1} \otimes h_U^{-1}).$$

By (7), (8), we have

**Lemma 2.** *If  $\{\theta_U\}$  is a connection of  $D$  with respect to  $F$ , where  $\{g_{UV}\}$ , a transition function of  $F$ , is fixed, then by the change of transition function of  $F$  by  $\{h_U\}$ ,  $\{\theta_U\}$  is changed to  $\{\theta_U'\}$  given by*

$$(9) \quad \theta_U' = (1_{E_2} \otimes h_U) \{ \theta_U - (1_{E_2} \otimes h_U^{-1}) (D_U \otimes 1_F) 1_{E_1} \otimes h_U \} (1_{E_1} \otimes h_U^{-1}).$$

Note. Since  $d_f = df$ , the action of the automorphism of  $F$  to the connection of  $D$  is formally similar as the usual connection (cf. [1], [9]).

**Definition.** *If  $\{\theta_{1,U_1}\}$  and  $\{\theta_{2,U_2}\}$  are the connections of  $D$  with respect to  $F$ , we call  $\{\theta_{1,U_1}\}$  and  $\{\theta_{2,U_2}\}$  to be equivalent if there exists a common locally finite refinement  $\{U\}$  of  $\{U_1\}$ ,  $\{U_2\}$  and a collection of bundle automorphisms  $\{h_U\}$  of  $F$ , each  $h_U$  is defined on  $U$ , such that*

$$\theta_{1,U_1}|_U = (1_{E_2} \otimes h_U) \{ \theta_{2,U_2}|_U - (1_{E_2} \otimes h_U^{-1}) (D_U \otimes 1_F) 1_{E_1} \otimes h_U \} (1_{E_1} \otimes h_U^{-1}),$$

$$U \subset U_1 \cap U_2,$$

for each  $U$ .

Note. If  $E_1 = E_2 = E$ ,  $E$  and  $F$  both have unitary structures and  $D$  is formally selfadjoint, that is,  $\{g_{1,UV}\}$  ( $= \{g_{2,UV}\}$ ) and  $\{g_{UV}\}$  both take the values in unitary group, then to denote inner product on  $C^\infty(M, E \otimes F)$  defined from the inner products of  $E$  and  $F$  by  $\langle \varphi, \phi \rangle$ , we get

$$\langle g_{1,UV}^{-1} \otimes g_{UV}^{-1} D_U g_{1,UV} \otimes g_{UV} \varphi, \psi \rangle = \langle \varphi, g_{1,UV}^{-1} \otimes g_{UV}^{-1} D g_{1,UV} \otimes g_{UV} \rangle,$$

if  $Supp. \varphi$  and  $Supp. \psi$  both contained in  $U \cap V$ . Hence  $D$  has a connection  $\theta$  such that  $D_\theta$  is formally selfadjoint. In this case, if  $\{h_U\}$  take values in unitary group, the change  $\{\theta_{U'}\}$  of  $\{\theta_U\}$  by  $\{h_U\}$  given by (9) also gives a formally selfadjoint operator  $D_{\theta'}$ .

3. We set

$$\text{Con}(D, F) = \{\theta \mid \theta \text{ is a connection of } D \text{ with respect to } F\},$$

$$\mathcal{D}^j(E_1 \otimes F, E_2 \otimes F) = \{\eta : C^\infty(M, E_1 \otimes F) \rightarrow C^\infty(M, E_2 \otimes F) \mid \eta \text{ is a differential operator with degree at most } j\}.$$

Then by definition, to fix  $\theta_0 = \{\theta_{0,U}\} \in \text{Con}(D, F)$  and define  $i_{\theta_0}(\theta) = \{\theta_U - \theta_{0,U}\} = D_\theta - D_{\theta_0}$ ,  $\theta = \{\theta_U\} \in \text{Con}(D, F)$ , we have a bijection

$$(10) \quad i_{\theta_0} : \text{Con}(D, F) \rightarrow \mathcal{D}^{k-1}(E_1 \otimes F, E_2 \otimes F).$$

Since  $\mathcal{D}^{k-1}(E_1 \otimes F, E_2 \otimes F) = C^\infty(M, \text{Hom}(E_1 \otimes F, E_2 \otimes F) \otimes J_{k-1}(M))$  is a topological space by  $C^\infty$ -topology,  $\text{Con}(D, F)$  becomes a topological space by (10) and this topology does not depend on the choice of  $\theta_0$ .

Denote  $\mathbb{G}(F)$  the group of bundle automorphisms of  $F$ ,  $\mathbb{G}(F)$  acts on  $\text{Con}(D, F)$  by lemma 2. To copy this action to  $\mathcal{D}^{k-1}(E_1 \otimes F, E_2 \otimes F)$  by  $i_{\theta_0}$ , we can define an action of  $\mathbb{G}(F)$  on  $\mathcal{D}^{k-1}(E_1 \otimes F, E_2 \otimes F)$  which may different from usual action. By (9), the isotropy group  $\mathbb{G}(F)_\theta$  of  $\mathbb{G}(F)$  at  $\theta$  is given by

$$(11) \quad \mathbb{G}(F)_\theta = \{\{h_U\} \mid (1_{E_2} \otimes h_U) D_{U, \theta_U} = D_{U, \theta_U} (1_{E_1} \otimes h_U)\},$$

$$D_{U, \theta_U} = D_U \otimes 1_F + \theta_U.$$

By (10),  $\text{Con}(D, F)$  is imbedded in  $\mathcal{D}^{k-1,s}(E_1 \otimes F, E_2 \otimes F) = \mathcal{L}^s(M, \text{Hom}(E_1 \otimes F, E_2 \otimes F) \otimes J_{k-1}(M))$ , where  $\mathcal{L}^s$  means  $s$ -th Sobolev space. Hence, if  $F$  has a fixed unitary structure,  $\mathbb{G}(F)$  is the group of bundle automorphisms of  $F$  with the unitary structure and  $M$  is compact, local slice theorem is valid ([4], [7], [9]).

In the case  $D$  is formally selfadjoint, we set

$$\text{Cons}(D, F) = \{\theta \mid \theta \text{ is a formally selfadjoint connection of } D \text{ with respect to } F\},$$

$$\mathcal{D}^j_s(E_1 \otimes F, E_2 \otimes F) = \{\eta : C^\infty(M, E_1 \otimes F) \rightarrow C^\infty(M, E_2 \otimes F) \mid \eta \text{ is a formally selfadjoint differential operator with degree at most } j\}.$$

Then to fix a formally selfadjoint connection  $\theta_0$  of  $D$  with respect to  $F$ , we get

$$(10)' \quad i_{\theta_0} : \text{Cons}(D, F) \rightarrow \mathcal{D}^{k-1,s}(E_1 \otimes F, E_2 \otimes F).$$

If  $M$  is compact, by the action of  $\mathfrak{G}(F)$ ,  $\text{Con}_s(D, F)$  has local slice.

## § 2. The obstruction class.

4. For the index set  $\mathbf{I}=(i_1, \dots, i_n)$ , we set

$$\mathbf{I}+1_j=(i_1, \dots, i_{j-1}, i_j+1, i_{j+1}, \dots, i_n)$$

(cf. [3]). Using this notation, we set

$$\begin{aligned} D_U &= \sum_{|\mathbf{I}|=k-1} \left[ \sum_{j=1}^n A_{U, \mathbf{I}+1_j}(x) \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{I}} \frac{\partial}{\partial x_{U, j}} \right] \\ &+ \sum_{|\mathbf{I}|=k-1} B_{U, \mathbf{I}}(x) \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{I}} + \text{lower order terms,} \\ A_{U, \mathbf{I}+1_j}(x) &= A_{U, \mathbf{I}'+1_k}(x) \text{ if } \mathbf{I}+1_j = \mathbf{I}'+1_k. \end{aligned}$$

Then, since  $g_{2, UV} D_V = D_U g_{1, UV}$ , we get

$$\begin{aligned} &g_{2, UV} \otimes g_{UV} D_V \otimes 1_F - D_U \otimes 1_F g_{1, UV} \otimes g_{UV} \\ &= - \sum_{\mathbf{I}} \left[ \sum_j A_{U, \mathbf{I}+1_j} \left( \frac{\partial(x_V)}{\partial(x_U)} \right)^{\mathbf{I}+1_j} \otimes 1_F (g_{1, UV} \otimes \frac{\partial g_{UV}}{\partial x_{V, j}}) \right] \left( \frac{\partial}{\partial x_V} \right)^{\mathbf{I}} \\ &+ \text{lower order terms.} \end{aligned}$$

Therefore, to set a connection  $\{\theta_U\}$  of  $D$  with respect to  $F$  by

$$\theta_U = \sum_{|\mathbf{I}|=k-1} \theta_{U, \mathbf{I}}(x) \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{I}} + \text{lower order terms,}$$

we obtain

$$\begin{aligned} &g_{2, UV} \otimes g_{UV} \theta_{V, \mathbf{I}} - \theta_{U, \mathbf{I}} \left( \frac{\partial(x_V)}{\partial(x_U)} \right)^{\mathbf{I}} g_{1, UV} \otimes g_{UV} \\ &= - \sum_{j=1}^n A_{U, \mathbf{I}+1_j} \left( \frac{\partial(x_V)}{\partial(x_U)} \right)^{\mathbf{I}+1_j} g_{1, UV} \otimes \frac{\partial g_{UV}}{\partial x_{V, j}}, \end{aligned}$$

for each  $\mathbf{I}$ ,  $|\mathbf{I}|=k-1$ . But since  $g_{2, UV} D_V = D_U g_{1, UV}$ , we get

$$\sum_{j=1}^n A_{U, \mathbf{I}+1_j} \left( \frac{\partial(x_V)}{\partial(x_U)} \right)^{\mathbf{I}+1_j} g_{1, UV} \otimes \frac{\partial g_{UV}}{\partial x_{V, j}} = \sum_{j=1}^n g_{2, UV} A_{V, \mathbf{I}+1_j} \otimes \frac{\partial g_{UV}}{\partial x_{V, j}}$$

Hence we have

$$(12) \quad g_{2, UV} \otimes g_{UV} \theta_{V, \mathbf{I}} - \theta_{U, \mathbf{I}} \left( \frac{\partial(x_V)}{\partial(x_U)} \right)^{\mathbf{I}} g_{1, UV} \otimes g_{UV}$$

$$= - \sum_{j=1}^n g_{2,UV} A_{V, I+1j} \otimes \frac{\partial g_{UV}}{\partial x_{V,j}}, \quad |\mathbf{I}| = k-1.$$

5. We set by  $\xi_U^1, \dots, \xi_U^n$ , the dual basis of  $\partial/\partial x_{U,1}, \dots, \partial/\partial x_{U,n}$  in the cotangent space. Then to set

$$\mathcal{A}_U = \sum_{|\mathbf{I}|=k-1} \left( \sum_{j=1}^n A_{U, I+1j} \xi_U^{I+1j} \otimes \frac{\partial}{\partial x_{U,j}} \right),$$

$\mathcal{A}_U$  is a cross-section of  $\text{Hom}(E_1, E_2) \otimes S^k(T^*(M)) \otimes T(M)$  over  $U$ . Here,  $S^k(T^*(M)) = J_k(M)/J_{k-1}(M)$  is the  $k$ -th symmetric product of  $T^*(M)$ . By (12), to set

$$\sigma(\theta_U) = \sum_{|\mathbf{I}|=k-1} \theta_{U, \mathbf{I}} \xi_U^{\mathbf{I}}, \quad \sigma(\theta_V) \theta^{VU} = g_{2,UV} \otimes g_{UV} \sigma(\theta_V) g_{1, VU} \otimes g_{VU},$$

we get

$$\begin{aligned} \sigma(\theta_U) - \sigma(\theta_V) \theta^{VU} &= g_{2,UV} [\mathcal{A}_V(g_{UV})] g_{1, VU} \otimes g_{VU} \\ &= \mathcal{A}_U(g_{UV})(1_{E_1} \otimes g_{VU}), \end{aligned}$$

because  $g_{2,UV} \mathcal{A}_V = \mathcal{A}_U g_{1,UV}$ . Since this right hand side does not depend on the choice of  $\{\theta_U\}$ , we have

**Lemma 3.** *To set*

$$\mathcal{A}_{UV} = \sigma(\theta_U) - \sigma(\theta_V) \theta^{VU} = \mathcal{A}_U(g_{UV})(1_{E_1} \otimes g_{VU}),$$

$\mathcal{A}_{UV}$  is a cross-section of  $\text{Hom}(E_1, E_2) \otimes S^{k-1}(T^*(M)) \otimes \text{Hom}(F, F)$  over  $U \cap V$  and does not depend on the choice of  $\{\theta_U\}$ .

By definition, the collection  $\{\mathcal{A}_{UV}\}$  satisfies cochain condition

$$(13) \quad \mathcal{A}_{UV} + \mathcal{A}_{VW} \theta^{VU} + \mathcal{A}_{WU} \theta^{WV} = 0.$$

If  $\theta' = \{\theta'_U\}$  is equivalent to  $\theta$ , we have by (9)

$$(14) \quad \theta'_{U, \mathbf{I}} = \theta_{U, \mathbf{I}} - \sum_{j=1}^n A_{U, I+1j} \otimes h_U^{-1} \frac{\partial h_U}{\partial x_{U,j}},$$

for any  $\mathbf{I}$ ,  $|\mathbf{I}| = k-1$ . Conversely, if  $\theta'$  satisfies (14), there exists  $\eta \in \mathcal{D}^{k-2}(E_1 \otimes F, E_2 \otimes F)$  such that  $\theta' + \eta$  is equivalent to  $\theta$ . If  $\theta$  satisfies (14), then

$$(15) \quad \sigma(\theta'_U) = \sigma(\theta_U) - (1_{E_2} \otimes h_U^{-1}) \mathcal{A}_U(h_U).$$

But, since  $\mathcal{A}_U(h_U^{-1}) = -(1_{E_2} \otimes h_U^{-1}) [\mathcal{A}_U(h_U)] (1_{E_1} \otimes h_U^{-1})$ , (15) is rewritten

$$(15)' \quad \sigma(\theta'_U) = \sigma(\theta_U) + \mathcal{A}_U(h_U^{-1}) (1_{E_1} \otimes h_U).$$

Therefore, if  $\{\theta_U\}$  and  $\{\theta'_U\}$  are equivalent each other, then

$$(16) \quad \begin{aligned} & \{\sigma(\theta'_U) - \sigma(\theta'_V)^{\theta^V U}\} - \{\sigma(\theta_U) - \sigma(\theta_V)^{\theta^V U}\} \\ &= \mathcal{A}_U(f_U)(1_{E_1} \otimes f_U^{-1}) - [\mathcal{A}_V(f_V)(1_{E_1} \otimes f_V^{-1})]^{\theta^V U}, \end{aligned}$$

with suitable  $\{f_U\}$ ,  $f_U: U \rightarrow \text{Hom}(F, F)$ . Conversely, if (16) is satisfied, then with suitable  $\eta \in \mathcal{D}^{k-2}(E_1 \otimes F, E_1 \otimes F)$ ,  $\theta' + \eta$  is equivalent to  $\theta$ . Especially, we have

**Lemma 4.** (i). *The symbol  $\sigma(\theta)$  of  $\theta$  is defined if and only if there exist  $f_U, f_V: U \rightarrow \text{Hom}(F, F)$ , such that*

$$(17) \quad \mathcal{A}_{UV} = \mathcal{A}_U(f_U)(1_{E_1} \otimes f_U^{-1}) - [\mathcal{A}_V(f_V)(1_{E_1} \otimes f_V^{-1})]^{\theta^V U},$$

for each  $U \cap V$ .

(ii). *If  $D$  has a connection  $\theta$  with respect to  $F$  such that  $\sigma(\theta)$  is defined, then there exists a connection  $\theta_0$  of  $D$  with respect to  $F$  such that  $\deg \theta_0 \leq k-2$ .*

**Proof.** We only need to show (ii). But since  $\sigma(\theta)$  is defined,  $g_{2,UV} \otimes g_{UV} \theta_V - \theta_U g_{1,UV} \otimes g_{UV}$  is a differential operator of degree at most  $k-2$ . Hence there exists  $\eta_0 \in \mathcal{D}^{k-1}(E_1 \otimes F, E_1 \otimes F)$  such that  $\sigma(\theta) = \sigma(\eta_0)$  (cf. the proof of proposition 1). Then, since  $\sigma(\theta + \eta) = \sigma(\theta) + \sigma(\eta)$  for  $\eta \in \mathcal{D}^{k-1}(E_1 \otimes F, E_2 \otimes F)$ , we have the lemma.

6. We denote by  $\mathfrak{P}(F)$  the associate principal bundle of  $F$  and define a differential operator  $\mathcal{D}: C^\infty(U, \mathfrak{P}(F)) \rightarrow C^\infty(U, \text{Hom}(E_1, E_2) \otimes S^{k-1}(T^*(M)) \otimes \text{Hom}(F, F))$  by

$$\mathcal{D} f_U = \mathcal{A}_U(f_U)(1_{E_1} \otimes f_U^{-1}).$$

The sheaf of germs of images of  $\mathcal{D}$  is denoted by  $R(\mathcal{D})$ . Then (12) and (13) show that  $\{\sigma(\theta_U) - \sigma(\theta_V)^{\theta^V U}\} = \{\mathcal{A}_{UV}\}$  defines a cohomology class in  $H^1(M, R(\mathcal{D}))$ . By (16), this class is same if  $\theta$  and  $\theta'$  are equivalent. By lemma 3, this class does not depend on the choice of  $\theta$ .

**Definition.** *The cohomology class of  $\{\mathcal{A}_{UV}\}$  in  $H^1(M, R(\mathcal{D}))$  is denoted by  $\alpha(D, F)$ .*

By this definition, lemma 4 is restated as follows:

**Theorem 1.**  *$D$  has a connection  $\theta$  with respect to  $F$  such that  $\deg \theta \leq k-2$  if and only if  $\alpha(D, F) = 0$ .*

Example 1. If  $D = d$ , the exterior differential,  $\mathcal{A}(f)$  is equal to  $df$ . Hence to define  $D_2: C^\infty(M, \text{Hom}(F, F) \otimes T^*(M)) \rightarrow C^\infty(M, \text{Hom}(F, F) \otimes \Lambda^2 T^*(M))$  by  $D_2 F = dF + F$ , we get an exact sequence of sheaves

$$0 \rightarrow R(\mathcal{D}) \rightarrow \mathcal{D}^1(M) \otimes \text{Hom}(F, F) \xrightarrow{D_2} D_2(\mathcal{D}^1(M) \otimes \text{Hom}(F, F)) \rightarrow 0,$$

where  $\mathcal{D}^1(M)$  is the sheaf of germs of closed 1-forms on  $M$ . Therefore, there exists a  $\text{Hom}(F, F)$ -valued 2-form  $\Theta$  on  $M$  such that whose de Rham image by

this exact sequence just covers the representative of  $\mathcal{O}(D, F)$  defined by  $\theta$ , a connection of  $F$ . This  $\theta$  is the curvature form of  $\theta$ . On the other hand, if  $F$  is a complex line bundle, then the kernel sheaf of  $\mathcal{D}$  is the constant sheaf of complex numbers over  $M$  and we have the exact sequence

$$0 \rightarrow \mathbf{C} \rightarrow \mathbf{C}^*_d \rightarrow \mathbf{R}(\mathcal{D}) \rightarrow 0.$$

Hence we can define  $\delta(\mathcal{O}(D, F)) \in H^2(M, \mathbf{C})$ . It is the 1-st Chern class of  $F$ .

Example 2. If  $k=2$ ,  $E_1=E_2=1$ , the 1-dimensional trivial bundle, then set  $D = \sum_{i,j} A_{i,j}(x) \partial^2 / \partial x_i \partial x_j + \text{lower order terms}$ ,  $A_{i,j} = A_{j,i}$ ,  $\mathcal{D}(f)$  is given

$$\mathcal{D}(f) = \sum_{i=1}^n \left( \sum_{j=1}^n A_{i,j}(x) \frac{\partial f}{\partial x_j} \right) f^{-1} dx_i.$$

Hence if  $F$  is a complex line bundle and the matrix  $(A_{i,j}(x))$  is regular at any point of  $M$ , the kernel sheaf of  $\mathcal{D}$  is the constant sheaf of complex numbers over  $M$ .

We denote the kernel sheaf of  $\mathcal{D}$  by  $\ker(\mathcal{D})$ . The sheaf of germs of smooth sections of  $\mathfrak{F}(F)$  is denoted by  $\mathfrak{F}(F)$ . Then we have the exact sequence of sheaves

$$0 \rightarrow \ker(\mathcal{D}) \rightarrow \mathfrak{F}(F) \rightarrow \mathbf{R}(D) \rightarrow 0$$

Then to set  $\Phi \mathcal{D}$  the sheaf of germs of those automorphisms of  $\ker(D)$  that can be extended to automorphisms of  $\mathfrak{F}(F)$ , there exists 2-dimensional cohomology set  $H^2(M, \Phi \mathcal{D})$  and map  $\delta: H^1(M, \mathbf{R}(\mathcal{D})) \rightarrow H^2(M, \Phi \mathcal{D})$  ([6], [8]).

**Definition.** We denote  $\delta(\mathcal{O}(D, F))$  by  $ch(D, F)$ .

On the other hand, if there is an operator  $\mathcal{D}_2 = \{\mathcal{D}_{2,U}\}$  such that the local integrability condition for the equation  $g = \mathcal{D}(f)$  is given by  $\mathcal{D}_2(g) = 0$ , then we define the curvature  $\Theta = \Theta(\theta, D, F)$  of a connection  $\theta$  of  $D$  with respect so  $F$  by

$$(18) \quad \Theta_U = \mathcal{D}_{2,U}(\theta_U), \quad \Theta = \{\Theta_U\}.$$

7. We assume there is a transition function  $\{g_{UV}\}$  of  $F$  such that

$$(19) \quad \deg[g_{2,UV} \otimes g_{UV} D_V \otimes 1_F - D_U \otimes 1_F g_{1,UV} \otimes g_{UV}] = k - j, \quad j \geq 2.$$

We note that under thi assumption,  $D$  has a connection  $\theta$  with respect to  $F$  such that  $\deg \theta \leq k - j$  (cf. the proof of proposition 1).

Under the assumption (19), we set

$$D_U = \sum_{|\mathbf{I}|=k-j, |j| \leq j} A_{U, \mathbf{I}+\mathbf{J}}(x) \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{I}+\mathbf{J}} + \text{lower order terms},$$

$$A_{U, \mathbf{I}+\mathbf{J}} = A_{U, \mathbf{I}'+\mathbf{J}'}, \quad \text{if } \mathbf{I}+\mathbf{J} = \mathbf{I}'+\mathbf{J}'.$$

Then we have

$$\begin{aligned} & g_{2,UV} \otimes g_{UV} \otimes 1_F - D_U \otimes 1_F g_{1,UV} \otimes g_{UV} \\ &= - \sum_{|\mathbf{I}|=k-j} \left[ \sum_{1 \leq |\mathbf{J}| \leq j} A_{U, \mathbf{I}+\mathbf{J}} \otimes 1_F \frac{|\mathbf{J}|!}{\mathbf{J}!} \left[ \sum_{\mathbf{J} \geq \mathbf{K}, |\mathbf{K}| \geq 1} \frac{|\mathbf{J}|!}{\mathbf{K}!(\mathbf{J}-\mathbf{K})!} \left\{ \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{J}-\mathbf{K}} g_{1,UV} \right\} \otimes \right. \right. \\ & \quad \left. \left. \left\{ \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{K}} g_{UV} \right\} \right] \right] \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{I}} + \text{lower order terms.} \end{aligned}$$

Let  $\{\theta_U\}$  be a connection of  $D$  with respect to  $F$  such that  $\deg \theta_U \leq k-j$ , then to set  $\theta_U = \sum_{|\mathbf{I}|=k-j} \theta_{U, \mathbf{I}} (\partial/\partial x_U)^{\mathbf{I}} + \text{lower terms}$ , we have

$$\begin{aligned} & g_{2,UV} \otimes g_{UV} \theta_{U, \mathbf{I}} \left( \frac{\partial(x_U)}{\partial(x_V)} \right)^{\mathbf{I}} - \theta_{U, \mathbf{I}} g_{1,UV} \otimes g_{UV} \\ &= - \sum_{1 \leq |\mathbf{J}| \leq j} A_{U, \mathbf{I}+\mathbf{J}} \otimes 1_F \frac{|\mathbf{J}|!}{\mathbf{J}!} \left[ \sum_{\mathbf{J} \geq \mathbf{K}, |\mathbf{K}| \geq 1} \frac{|\mathbf{J}|!}{\mathbf{K}!(\mathbf{J}-\mathbf{K})!} \left\{ \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{J}-\mathbf{K}} g_{1,UV} \right\} \otimes \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{K}} g_{UV} \right]. \end{aligned}$$

Hence to set

$$\begin{aligned} \mathcal{A}^{j_{UV}}(f) &= \sum_{|\mathbf{I}|=k-j} \xi_U^{\mathbf{I}} \left[ \sum_{1 \leq |\mathbf{J}| \leq j} A_{U, \mathbf{I}+\mathbf{J}} \otimes 1_F \xi_U^{\mathbf{J}} \frac{|\mathbf{J}|!}{\mathbf{J}!} \left[ \sum_{\mathbf{J} \geq \mathbf{K}, |\mathbf{K}| \geq 1} \frac{|\mathbf{J}|!}{\mathbf{K}!(\mathbf{J}-\mathbf{K})!} \right. \right. \\ & \quad \left. \left. \left\{ \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{J}-\mathbf{K}} g_{1,UV} \right\} \otimes \left( \frac{\partial}{\partial x_U} \right)^{\mathbf{K}} f \right] \right], \end{aligned}$$

we obtain

$$(12)_j \quad \sigma(\theta_U) - \sigma(\theta_V)^{\mathcal{B}^V U} = \mathcal{A}^{j_{UV}}(g_{UV}) g_{1,UV} \otimes g_{VU}.$$

By (12)<sub>j</sub>,  $\sigma(\theta_U) - \sigma(\theta_V)^{\mathcal{B}^V U} = \mathcal{A}^{j_{UV}}$  does not depend on the choice of  $\theta$  if  $\deg \theta \leq k-j$ . On the other hand, if  $h_U$  satisfies

$$(20)_j \quad \deg(D_U \otimes 1_F)_{1_{E_1} \otimes h_U} \leq k-j,$$

and  $\theta'_U = 1_{E_2} \otimes h_U (\theta_U - 1_{E_2} \otimes h_U^{-1} D_U h_U) 1_{E_1} \otimes h_U^{-1}$ , then

$$\begin{aligned} & \{\sigma(\theta'_U) - \sigma(\theta'_V)^{\mathcal{B}^V U}\} - \{\sigma(\theta_U) - \sigma(\theta_V)^{\mathcal{B}^V U}\} \\ &= \mathcal{A}^{j_{UV}}(h_U^{-1}) (1_{E_1} \otimes h_U) - [\mathcal{A}^{j_{UV}}(h_V^{-1}) (1_{E_1} \otimes h_V)]^{\mathcal{B}^V U}. \end{aligned}$$

We set  $C_j^\infty(U, \mathfrak{F}(F)) = \{f \mid f \in C^\infty(U, \mathfrak{F}(F)), \deg(D_U \otimes 1_F)_{1_{E_1} \otimes f} \leq k-j\}$ ,  $j \leq k$ . Since constant section belongs in  $C_j^\infty(U, \mathfrak{F}(F))$ ,  $C_j^\infty(U, \mathfrak{F}(F)) \neq \emptyset$  for all  $j$ . We define  $\mathcal{D}^j : C_j^\infty(U, \mathfrak{F}(F)) \rightarrow C^\infty(U, \text{Hom}(E_1, E_2)) \otimes S^{k-j}(T^*(M)) \otimes \text{Hom}(F, F)$  by

$$\mathcal{D}^j(f) = \mathcal{A}^j(f) f^{-1}.$$

Then, to set  $\mathbf{R}(\mathcal{D}^j)$  the image sheaf of  $\mathcal{D}^j, \{\sigma(\theta_U) - \sigma(\theta_V)\theta^{VU}\}$  defines an element of  $H^1(M, \mathbf{R}(\mathcal{D}^j))$ .

**Definition.** Under the above assumptions, the class  $\sigma(\theta_U) - \sigma(\theta_V)\theta^{VU}$  in  $H^1(M, \mathbf{R}(\mathcal{D}^j))$  is denoted by  $o^j(D, F)$ .

By definition, we have

**Theorem 1'.**  $D$  has a connection  $\{\theta_U\}$  with respect to  $F$  such that  $\deg \theta_U \leq k - j - 1$  if and only if  $o^j(D, F) = 0$ .

**Corollary.**  $o^j(D, F)$  is defined if and only if  $o(D, F) = o^1(D, F) = o^2(D, F) = \dots = o^{j-1}(D, F) = 0$ .

As in n°6, we can define  $ch^j(D, F)$  and  $\Theta^j(\theta)$  under the assumption  $o^{j-1}(D, F) = 0$ .

### § 3. Extension of differential operators

8. Let  $\xi = \xi(F) = \{M_F, M, \pi_F, F\}$  be a  $G$ -bundle,  $G$  is a Lie group, over  $M$  with the coordinate neighborhood system  $\{U\}$  and transition function  $\{g_{UV}(x)\}$ . Let  $E_1$  and  $E_2$  are the vector bundles over  $M$  such that trivial on each  $U$ . Then  $f \in C^\infty(M_F, \pi_F^*(E_i))$ ,  $i=1, 2$ , can be written

$$\begin{aligned} f &= \{f_U(x, y)\}, f_U \in C^\infty(U \times F, \pi_F^*(E_i)), x \in U, y \in F, \\ f_U(x, y) &= f_V(x, g_{UV}(x)y), (x, y) \in (U \cap V) \times F. \end{aligned}$$

We set

$$(21) \quad g_{UV}(x) \# f_V(x, y) = f_V(x, g_{UV}(x)y).$$

Let  $D: C^\infty(M, E_1) \rightarrow C^\infty(M, E_2)$  be a differential operator of degree  $k$  on  $M$ . Then to fix a connection  $\theta = \theta(F)$  of  $F$ ,  $\pi_F^*(D) = \pi_F^*(D) = \pi_F^*(D_U)$  is defined on each  $C^\infty(U \times F, \pi_F^*(E_1))$ .

**Definition.** A collection of differential operators  $\{\theta_U\}$ ,  $\theta_U: C^\infty(U \times F, \pi_F^*(E_1)) \rightarrow C^\infty(U \times F, \pi_F^*(E_2))$  is called a connection of  $D$  with respect to  $\xi(F)$  and  $\theta(F)$  if it satisfies

- (i)  $g_{UV}(x) \# (\pi_F^*(D_V) + \theta_V) = (\pi_F^*(D_U) + \theta_U) g_{UV}(x) \#$ ,
- (ii)  $\deg \theta_U \leq k - 1$ ,
- (iii)  $\theta_U \pi_F^* f = 0$ ,  $f \in C^\infty(U, E_1)$ .

**proposition 1'.** For any  $D$  and  $\xi$  (and  $\theta(F)$ ), connection exists.

**Proof.** Under the same notations as in proposition 1, it is sufficient to set

$$(1)' \quad \theta_U \xi(x) = \sum_{W \cap U \neq \emptyset} e_W(x) g_{UW}(x) \# \{\pi_F^*(D_W) g_{WU}(x) \# - g_{WU}(x) \# \pi_F^*(D_U)\}.$$

**Definition.** We define a differential operator  $D_\theta: C^\infty(M_F, \pi_F^*(E_1)) \rightarrow C^\infty(M_F, \pi_F^*$

$(E_2)$  by

$$D\theta f_U = (\pi_F^*(D_U) + \theta_U)f_U, \quad f = \{f_U\}.$$

By definition, denote the projection from  $T^*(M_F)$  to  $\pi_F^*(T^*(M))$  defined by  $\theta(F)$ , by  $\pi_{\theta(F)}$ , we get

$$(22) \quad \sigma(D_\theta) = \pi_{\theta(F)}^*(\pi_F^*(\pi_F^*(\sigma(D)))).$$

**Proposition 2.** *If  $F$  has a  $G$ -invariant measure  $\mu$ ,  $M$  is a Riemannian manifold with the volume element  $dv$ , and  $E = E_1 = E_2$  has a (fixed) unitary structure, then the formal adjoint  $\theta_{\xi'} = \theta_{U, \xi'}$  of  $\theta_\xi$  is a connection of  $D'$ , the formal adjoint of  $D$ . Especially, if  $D$  is formally selfadjoint, then  $D$  has a formally selfadjoint connection.*

**Proof.** By assumption,  $g_{UV}(x)^\#$  is extended to a unitary operator of  $L^2((U \cap V) \times F, dv \otimes \mu) \otimes E_x$ ,  $E_x$  is the fibre of  $E$  at  $x$ . Hence we have the proposition.

As in  $n^{\circ}3$ , we denote the set of all connections (respectively, all formally selfadjoint connections) of  $D$  with respect to  $\xi$  and  $\theta(F)$  by  $\text{Con}_{\theta(F)}(D, \xi)$  and  $\text{Con}_{\theta(F), S}(D, \xi)$ . Then we have

$$(10)' \quad \begin{aligned} \text{Con}_{\theta(F)}(D, \xi) &\cong \mathcal{D}^{k-1}(\pi_F^*(E_1), \pi_F^*(E_2)), \\ \text{Con}_{\theta(F), S}(D, \xi) &\cong \mathcal{D}^{k-1}_S(\pi_F^*(E_1), \pi_F^*(E_2)). \end{aligned}$$

9. Let  $\mathcal{F}(F)$  be a function space on  $F$  such that  $G$  acts on  $\mathcal{F}(F)$  by the action  $\tau^\# f(y) = f(\tau y)$ ,  $\tau \ni G$ ,  $y \in F$ ,  $f \in \mathcal{F}(F)$ . Then we can construct associate  $\mathcal{F}(F)$ -bundle  $\mathcal{F}(\xi)$  of  $\xi$ . The associate  $C^\infty(F)$ -bundle of  $\xi$  is denoted by  $C^\infty(\xi)$ .

**Lemma 5.** (i). *Let  $E$  be a finite dimensional vector bundle, then there is an isomorphism  $\iota$  such that*

$$(23)_i \quad \iota: C^\infty(M_F, \pi_F^*(E)) \cong C^\infty(M, E \otimes C^\infty(\xi)).$$

(ii). *To fix a connection  $\theta(F)$  of  $F$ , there is an isomorphism  $\iota_{\theta(F)} = \iota_{\theta(F), E_1, E_2}$  such that*

$$(23)_{ii} \quad \iota_{\theta(F)}: \mathcal{D}^j(\pi_F^*(E_1), \pi_F^*(E_2)) \cong \mathcal{D}^j(E_1 \otimes C^\infty(\xi), E_2 \otimes C^\infty(\xi)), \quad j \geq 1.$$

**Proof.** Since  $f_U(x, y) \in E_x \otimes C^\infty(F)$  if  $\{f_U\} \in C^\infty(M_F, \pi_F^*(E))$ ,  $\{f_U\}$  defines a  $C^\infty$ -cross-section of  $E \otimes C^\infty(\xi)$ . Conversely, a  $C^\infty$ -cross-section of  $E \otimes C^\infty(\xi)$  satisfies  $f = \{f_U\}$ ,  $f_U(x) \in E_x \otimes C^\infty(F)$ ,  $g_{UV}(x)^\# f_V(x) = f_U(x)$ , we have (i).

Since a splitting of tangent bundle of  $M_F$  induces (local) tensor product decomposition of differential operator on  $M_F$ , we have (ii) by (i).

**Lemma 6.** (i). *Let  $\theta_\xi = \{\theta_U, \xi\}$  be a connection of  $D$  with respect to  $\theta(F)$ , then*

$$\{\iota^*(\theta)_\xi\} = \{\iota_2 \theta_U, \xi \iota_1^{-1}\},$$

is a connection of  $D$  with respect to  $C^\infty(\xi)$  and satisfies

$$(24) \quad D\iota_{*(\theta_\xi)}|C^\infty(M, E_1 \otimes \mathbf{K})=D, \mathbf{K}=\mathbf{R} \text{ or } \mathbf{C},$$

where  $K$  is the space of constant functions on  $F$  and  $D$  in the right hand side means  $D(f \otimes c)=Df \otimes c$ .

(ii). Let  $\theta=\{\theta_U\}$  be a connection of  $D$  with respect to  $C^\infty(\xi)$  and satisfies (24), then

$$(\iota_{\theta(F)}^{-1})^*\theta=\{\iota_{\theta(F)}^{-1}\theta_U\},$$

is a connection of  $D$  with respect to  $\xi(F)$  and  $\theta(F)$ .

**Corollary.** To fix a subbundle  $C^{*\infty}(\xi)$  of  $C^\infty(\xi)$  such that  $\mathbf{K} \otimes C^{*\infty}(\xi)=C^\infty(\xi)$ , we have

$$(24) \quad \iota^*\text{Con}_{\theta(F)}(D, \xi) \cong \text{Con}(D, C^{*\infty}(\xi)).$$

By this corollary, we can define the action of  $\mathfrak{G}(F)$  on  $\text{Con}_{\theta(F)}(D, \xi)$ , the obstruction class  $o(D, \xi)$ , characteristic class  $ch(D, \xi)$ , etc.. Especially,  $ch(D, \xi)$  belongs in  $H^2(M, \Phi)$ , where  $\Phi$  is a subsheaf of the sheaf of germs of automorphisms of  $\mathfrak{P}(F)$ .

**Lemma 5'.** Denote  $C_0^\infty(F)$  and  $C_0^\infty(M_F, F)$  be the spaces of compact support smooth functions on  $F$  and compact support smooth cross-sections of  $C_0^\infty(\xi)$ , the associate  $C_0^\infty(F)$ -bundle of  $\xi$ , over  $M_F$ , we have

$$(23)_i' \quad \iota: C_0^\infty(M_F, \pi_F^*(E)) \cong C_0^\infty(M, E \otimes C_0^\infty(\xi)).$$

By (23)<sub>i'</sub>, we obtain

**Lemma 6'.** We have the isomorphism

$$(24)' \quad \iota^*: \text{Con}_{\theta(F)}(D, \xi) \cong \text{Con}(D, E \otimes C_0^\infty(\xi))$$

**10. Definition.** Let  $M$  be a Riemannian manifold with the volume element  $dv$ ,  $F$  has a  $G$ -invariant measure  $\mu$  such that  $L^2(F, \mu)$  contains  $C_0^\infty(F)$  as a dense subspace and  $E$  is an Hermitian vector bundle over  $M$ , then we define  $n_\xi: \Gamma(L^2(M, E \otimes L^2(\xi))) \rightarrow \Gamma(M)$  by

$$(25) \quad n_\xi(f)(x) = \|f(x)\|_{E_x \otimes L^2(F, \mu)},$$

where  $\Gamma(M, E \otimes L^2(\xi))$  is the space of (not necessarily continuous) cross-sections of  $E \otimes L^2(\xi)$  over  $M$ ,  $\Gamma(M)$  is the space of ( $dv$ -measurable) functions on  $M$  and  $\|f(x)\|_{E_x \otimes L^2(F, \mu)}$  is the norm of  $f(x)$  in  $E_x \otimes L^2(F, \mu)$ .

**Definition.** Under the same assumptions as above, we set

$$(26) \quad L^2(M, E \otimes L^2(\mu)) = \{f \mid f \in \Gamma(M, E \otimes L^2(\mu)), n_\xi(f) \in L^2(M, dv)\},$$

$$\|f\| = \|n_\xi(f)\| \text{ in } L^2(M, dv), \text{ if } f \in L^2(M, E \otimes L^2(\xi)).$$

**Lemma 7.** *Under the same assumptions as above, we have*

$$(23)_{i, L^2} \quad \iota_{L^2}: L^2(M_F, \pi_F^*(E)) \cong L^2(M, E \otimes L^2(\xi)),$$

and  $\iota_{L^2}$  is a unitary transformation. Here the measure on  $M_F$  is given by  $dv \otimes \mu$ .

**Proof.** To triangulate  $M$  sufficiently fine such that on each simplex  $\sigma_i$  of  $M$  by triangulation,  $\xi$  and  $E$  are both trivial. Then to denote the characteristic function of  $\sigma_i \times F$  by  $\chi_i$ , we have

$$(27) \quad \|f\| = \sum_i \|\chi_i f\|, \quad f \in L^2(M_F, \pi_F^*(E)) = L^2(M_F, dv \otimes \mu) \otimes E.$$

Then by Fubini's theorem,  $f(x)$  belongs in  $E_x \otimes L^2(F, \mu)$  almost everywhere on each  $\sigma_i \times F$  and

$$\|\chi_i f\|^2 = \int_{\sigma_i} \left[ \int_F \{ \chi_i(x) f(x, y) \}^2 d\mu \right] dv$$

$$= \int_{\sigma_i} \|n_\xi(\chi_i f)\|^2(x) dv = \int_M \|n_\xi(\chi_i f)\|^2(x) dv.$$

Hence we have (27) by (26). Then, since  $C_0^\infty(M_F, \pi_F^*(E))$  is dense in  $L^2(M_F, \pi_F^*(E))$ ,  $\iota_{L^2}$  is defined on  $L^2(M_F, \pi_F^*(E))$  and we have the lemma.

In the rest, we assume  $G = \text{SO}(n)$  or  $\text{SU}(n)$  and  $F = \mathbf{R}^n$  or  $\mathbf{C}^n$ . First we note

$$(28)_R \quad L^2(\mathbf{R}^n) = L^2(\mathbf{R}^+, r^{n-1} dr) \otimes L^2(S^{n-1}, d\Omega),$$

$$(28)_C \quad L^2(\mathbf{C}^n) = L^2(\mathbf{C}^*, r^{n-1} dr d\theta) \otimes L^2(\text{CP}^{n-1}, d\omega),$$

and the actions of  $G$  on  $L^2(\mathbf{R}^+, r^{n-1} dr)$  or on  $L^2(\mathbf{C}^*, r^{n-1} br d\theta)$  are trivial. Here  $d\Omega$  and  $d\omega$  are the standard volume elements on  $S^{n-1}$  and  $\text{CP}^{n-1}$ . It is known that the o. n.-basis of  $L^2(S^{n-1}, d\Omega)$  and  $L^2(\text{CP}^{n-1}, d\omega)$  are taken by harmonic polynomials of homogeneous degree  $p$  and type  $(p, p)$ ,  $p=0, 1, 2, \dots$ . We set the space of harmonic polynomials of homogeneous degree  $p$  (with  $n$ -variables) and type  $(p, p)$  by  $\mathfrak{H}^p_n$  and  $\mathfrak{H}^{p, p}_n$  ([5], [10]). Then each  $\mathfrak{H}^p_n$  or  $\mathfrak{H}^{p, p}_n$  is the representation spaces of  $\text{SO}(n)$  or  $\text{SU}(n)$ . Denoting their representations by  $\chi_p$  and  $\chi_{C, p}$ , the representations of  $\text{SO}(n)$  and  $\text{SU}(n)$  in  $L^2(\mathbf{R}^n)$  and in  $L^2(\mathbf{C}^n)$  (equivalently, in  $L^2(S^{n-1}, d\Omega)$  and in  $L^2(\text{CP}^{n-1}, d\omega)$ ), denoted by  $\chi$  and  $\chi_C$ , are decomposed as

$$(29) \quad \chi = \sum_{p=0}^{\infty} \chi_p, \quad \chi_C = \sum_{p=0}^{\infty} \chi_{C, p}.$$

We denote the associate  $L^2(S^{n-1}, d\Omega)$ -bundle or  $L^2(CP^{n-1}, d\omega)$ -bundle of  $\xi$  by  $\chi(\xi)$  or  $\chi_C(\xi)$ , and the associate  $\mathfrak{S}^{p,n}$ -bundle or  $\mathfrak{S}^{p,p_n}$ -bundle by  $\chi_p(\xi)$  or  $\chi_{C,p}(\xi)$ .

**Proposition 3.** *There exists a connection  $\theta_\xi$  of  $D$  with respect to  $\xi$  which induces connection  $\chi_p(\theta_\xi)$  or  $\chi_{C,p}(\theta_\xi)$  of  $D$  with respect to  $\chi_p(\xi)$  or  $\chi_{C,p}(\xi)$  for each  $p$  and satisfies*

$$\chi_0(\theta_\xi)=0, \text{ or } \chi_{C,0}(\theta_\xi)=0.$$

**Proof.** The connection  $\theta_\xi$  constructed in the proof of proposition 1' satisfies the requirements of the proposition.

We denote the sheaves defined for  $D$  and  $\chi(\xi)$  or  $\chi_C(\xi)$ ,  $\chi_p(\xi)$  or  $\chi_{C,p}(\xi)$  by  $R(\mathcal{D}\chi)$ ,  $R(\mathcal{D}\chi_C)$ ,  $R(\mathcal{D}\chi_p)$  or  $R(\mathcal{D}\chi_{C,p})$  (cf. n°6). Then there are maps  $\iota_p: H^1(M, R(\mathcal{D}\chi)) \rightarrow H^1(M, R(\mathcal{D}\chi_p))$  and  $\chi_{C,p}: H^1(M, R(\mathcal{D}\chi_C)) \rightarrow H^1(M, R(\mathcal{D}\chi_{C,p}))$  induced by the inclusions  $\mathfrak{S}^{p,n} \rightarrow L^2(S^{n-1}, d\Omega)$  and  $\mathfrak{S}^{p,p_n} \rightarrow L^2(CP^{n-1}, d\omega)$ . Then we have by proposition 3 (and lemma 3)

**Theorem 2.** *We have*

$$(30) \quad \begin{aligned} \iota_p(o(D, \chi(\xi))) &= o(D, \chi_p(\xi)), \\ \chi_{C,p}(o(D, \chi_C(\xi))) &= o(D, \chi_{C,p}(\xi)), \end{aligned}$$

and  $o(D, \chi(\xi))$  (respectively,  $o(D, \chi_C(\xi))$ ) vanishes if  $o(D, \chi_p(\xi))=0$  (respectively  $o(D, \chi_{C,p}(\xi))=0$ ) for all  $p$ .

**Proof.** We only need to show the second assertion. But since  $L^2(S^{n-1}, d\Omega)$  (respectively  $L^2(CP^{n-1}, d\omega)$ ) is the direct sum of  $\mathfrak{S}^{p,n}$  (respectively  $\mathfrak{S}^{p,p_n}$ ), we have the second assertion.

Same theorems hold for  $ch(D, \chi(\xi))$ , etc., and higher obstruction classes.

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