

The Exceptional (X_ℓ) (q) -Racah Polynomials

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The exceptional Racah and q -Racah polynomials are constructed. Together with the exceptional Laguerre, Jacobi, Wilson and Askey-Wilson polynomials discovered by the present authors in 2009, they exhaust the generic exceptional orthogonal polynomials of a single variable.

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§1. Introduction

The exceptional (X_ℓ) (q) -Racah polynomials and related exceptional orthogonal polynomials are constructed as the main part of the eigenfunctions of the shape invariant and exactly solvable discrete quantum mechanics with real shifts,¹⁾ which are deformations of those governing the corresponding orthogonal polynomials, i.e. the (q) -Racah polynomials, etc.²⁾⁻⁵⁾ The method of deformations is essentially the same as that for the (X_ℓ) Wilson and Askey-Wilson polynomials derived by the present authors in 2009.⁶⁾ Namely, the potential functions of the original Hamiltonians are multiplicatively deformed in terms of a degree ℓ eigenpolynomial with twisted parameters. The exceptional (q) -Racah polynomials and the exceptional Wilson and Askey-Wilson polynomials share many properties. One pronounced difference is that there are only finitely many exceptional (q) -Racah polynomials in contrast with the infinitely many types of the exceptional Wilson and Askey-Wilson polynomials. For example, starting from the (q) -Racah polynomials of the highest degree N , there exist $N - 1$ different types of the exceptional (q) -Racah polynomials, for which the highest degree is always N . On the other hand, there are infinitely many different types of the exceptional little q -Jacobi polynomials, since the degrees of the original little q -Jacobi polynomials are not bounded. These exceptional (X_ℓ) polynomials are *exceptional* in the sense that they form a complete set of orthogonal polynomials in spite of the fact that the lowest member of the polynomials has degree ℓ (≥ 1) instead of a constant. Thus they do not satisfy the three term recurrence relations.

Historically the X_1 Laguerre and Jacobi polynomials were discovered by Gómez-Ullate et al.⁷⁾ in 2008 within the framework of the Sturm-Liouville theory. Soon they were rederived as the main part of the eigenfunctions of shape invariant quantum mechanical Hamiltonians by Quesne and collaborators.⁸⁾ In 2009 the present authors derived the infinitely many X_ℓ Laguerre and Jacobi polynomials by deforming the Hamiltonian systems of the radial oscillator and the Pöschl-Teller potential in terms of the eigenpolynomials of degree ℓ .⁹⁾⁻¹¹⁾ The examples of Gómez-Ullate et al. and

Quesne et al. are the first members of the infinitely many exceptional polynomials. For the recent developments of the exceptional orthogonal polynomials, see Refs. 12)–16). It is worth remarking that the general knowledge of the solution spaces of exactly solvable (discrete) quantum mechanical systems governed by Crum's theorem¹⁷⁾ and its modifications^{18)–21)} has been very helpful for the discovery of various exceptional orthogonal polynomials.

The orthogonal polynomials of a discrete variable²⁾ have played important roles in many disciplines of physics and mathematics.^{2)–4)} See Ref. 22) for recent applications. Let us comment on the birth and death processes, the typical examples of Markov chains, which could be considered as a discrete version of the Fokker-Planck equations.²³⁾ As shown in Ref. 24), the explicit examples of 18 orthogonal polynomials in Ref. 1), the (q) -Racah, (q) -(dual)-Hahn etc., provide *exactly solvable birth and death processes*.^{4), 25)} That is, for the given birth and death rates $\{B(x), D(x)\}$ which define the Hamiltonian (2.1), the corresponding transition probabilities are given explicitly, not in a general spectral representation form of Karlin-McGregor.²⁶⁾ The exceptional versions presented here also provide ample examples of exactly solvable birth and death processes.

The present paper is organised as follows. In §2, the basic principles of the discrete quantum mechanics with real shifts are briefly reviewed with an emphasis on the shape invariance. The details of the Racah and q -Racah polynomials are recapitulated in §3. The exceptional Racah and q -Racah polynomials are introduced in §4. The intertwining relations connecting the original (q) -Racah and the exceptional (q) -Racah polynomials are explored in §5. These two sections are the main part of this paper. Several exceptional orthogonal polynomials, the dual (q) -Hahn and the little q -Jacobi polynomials are derived from the exceptional (q) -Racah polynomials in §6 through certain limiting processes. The final section is for a summary and comments.

§2. General setting — shape invariance

Let us recapitulate the essence of the discrete quantum mechanics with real shifts developed in Ref. 1). The Hamiltonian $\mathcal{H} = (\mathcal{H}_{x,y})$ is a tridiagonal real symmetric (Jacobi) matrix and its rows and columns are indexed by non-negative integers x and y , $x, y = 0, 1, \dots, x_{\max}$, either finite ($x_{\max} = N$) or infinite ($x_{\max} = \infty$). The Hamiltonian \mathcal{H} has a form

$$\mathcal{H} \stackrel{\text{def}}{=} -\sqrt{B(x)} e^{\partial} \sqrt{D(x)} - \sqrt{D(x)} e^{-\partial} \sqrt{B(x)} + B(x) + D(x), \quad (2.1)$$

$$\mathcal{H}_{x,y} = -\sqrt{B(x)D(x+1)} \delta_{x+1,y} - \sqrt{B(x-1)D(x)} \delta_{x-1,y} + (B(x) + D(x)) \delta_{x,y}, \quad (2.2)$$

in which the two functions $B(x)$ and $D(x)$ are real and *positive* but vanish at the boundary:

$$B(x) > 0, \quad D(x) > 0, \quad D(0) = 0; \quad B(x_{\max}) = 0 \quad \text{for finite case.} \quad (2.3)$$

The Schrödinger equation is the eigenvalue problem for a hermitian matrix \mathcal{H} ($n_{\max} = N$ or ∞),

$$\mathcal{H}\phi_n(x) = \mathcal{E}_n\phi_n(x) \quad (n = 0, 1, \dots, n_{\max}), \quad 0 = \mathcal{E}_0 < \mathcal{E}_1 < \mathcal{E}_2 < \dots \quad (2.4)$$

The Hamiltonian (2.1) can be expressed in a factorised form:

$$\mathcal{H} = \mathcal{A}^\dagger \mathcal{A}, \quad \mathcal{A} = (\mathcal{A}_{x,y}), \quad \mathcal{A}^\dagger = ((\mathcal{A}^\dagger)_{x,y}) = (\mathcal{A}_{y,x}), \quad (x, y = 0, 1, \dots, x_{\max}) \quad (2.5)$$

$$\mathcal{A} \stackrel{\text{def}}{=} \sqrt{B(x)} - e^\partial \sqrt{D(x)}, \quad \mathcal{A}^\dagger = \sqrt{B(x)} - \sqrt{D(x)} e^{-\partial}, \quad (2.6)$$

$$\mathcal{A}_{x,y} = \sqrt{B(x)} \delta_{x,y} - \sqrt{D(x+1)} \delta_{x+1,y}, \quad (\mathcal{A}^\dagger)_{x,y} = \sqrt{B(x)} \delta_{x,y} - \sqrt{D(x)} \delta_{x-1,y}. \quad (2.7)$$

The zero mode $\mathcal{A}\phi_0(x) = 0$ ($\phi_0(x) > 0$) is easily obtained: $\phi_0(x)^2 = \prod_{y=0}^{x-1} \frac{B(y)}{D(y+1)}$, with the normalization $\phi_0(0) = 1$ (convention: $\prod_{k=n}^{n-1} * = 1$). We adopt the standard euclidean inner product $(\ , \)$ of two real functions on the grid as $(f, g) \stackrel{\text{def}}{=} \sum_{x=0}^{x_{\max}} f(x)g(x)$. Then the orthogonality relation reads

$$(\phi_n, \phi_m) = \frac{1}{d_n^2} \delta_{nm}. \quad (n, m = 0, 1, \dots, n_{\max}) \quad (2.8)$$

Here $1/d_n^2$ is the normalization constant to be specified later.

Shape invariance, a sufficient condition for the exact solvability,^{1),27)-29)} is realised by specific dependence of the potential functions on a set of parameters $\lambda = (\lambda_1, \lambda_2, \dots)$, to be denoted by $B(x; \lambda)$, $D(x; \lambda)$, $\mathcal{A}(\lambda)$, $\mathcal{H}(\lambda)$, $\mathcal{E}_n(\lambda)$, $\phi_n(x; \lambda)$ etc. The shape invariance condition is

$$\mathcal{A}(\lambda)\mathcal{A}(\lambda)^\dagger = \kappa\mathcal{A}(\lambda + \delta)^\dagger\mathcal{A}(\lambda + \delta) + \mathcal{E}_1(\lambda), \quad (2.9)$$

where δ is a certain shift of parameters and κ is a positive constant. It should be stressed that the above definition is much stronger than the original definition by Gendenshtein.³⁰⁾ The shape invariance condition (2.9) combined with Crum's theorem^{17),19)-21)} implies that the entire energy spectrum and the excited states eigenfunctions are expressed in terms of $\mathcal{E}_1(\lambda)$ and $\phi_0(x; \lambda)$ as follows:

$$\mathcal{E}_n(\lambda) = \sum_{s=0}^{n-1} \kappa^s \mathcal{E}_1(\lambda + s\delta), \quad (2.10)$$

$$\phi_n(x; \lambda) \propto \mathcal{A}(\lambda)^\dagger \mathcal{A}(\lambda + \delta)^\dagger \mathcal{A}(\lambda + 2\delta)^\dagger \dots \mathcal{A}(\lambda + (n-1)\delta)^\dagger \phi_0(x; \lambda + n\delta). \quad (2.11)$$

We have also

$$\mathcal{A}(\lambda)\phi_n(x; \lambda) = \frac{1}{\sqrt{B(0; \lambda)}} f_n(\lambda)\phi_{n-1}(x; \lambda + \delta), \quad (2.12)$$

$$\mathcal{A}(\lambda)^\dagger\phi_{n-1}(x; \lambda + \delta) = \sqrt{B(0; \lambda)} b_{n-1}(\lambda)\phi_n(x; \lambda), \quad (2.13)$$

where $f_n(\lambda)$ and $b_{n-1}(\lambda)$ are the factors of the energy eigenvalue, $\mathcal{E}_n(\lambda) = f_n(\lambda)b_{n-1}(\lambda)$.

For the (q)-Racah and the other polynomials to be discussed in the present paper, the eigenfunction has the following factorised form,

$$\phi_n(x; \boldsymbol{\lambda}) = \phi_0(x; \boldsymbol{\lambda})\check{P}_n(x; \boldsymbol{\lambda}), \quad \check{P}_n(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} P_n(\eta(x; \boldsymbol{\lambda}); \boldsymbol{\lambda}), \quad (2.14)$$

where $P_n(\eta(x; \boldsymbol{\lambda}); \boldsymbol{\lambda})$ is a polynomial of degree n in the sinusoidal coordinate $\eta(x; \boldsymbol{\lambda})$. The sinusoidal coordinate considered here is a monotone increasing function of x satisfying the boundary condition $\eta(0; \boldsymbol{\lambda}) = 0$.^{1),29)} We choose the normalization

$$P_n(0; \boldsymbol{\lambda}) = 1, \quad (2.15)$$

and set $\check{P}_{-1}(x; \boldsymbol{\lambda}) = 0$. For later convenience, let us remark on the relation

$$P_n(\eta(1; \boldsymbol{\lambda}); \boldsymbol{\lambda}) = \check{P}_n(1; \boldsymbol{\lambda}) = 1 - \frac{\mathcal{E}_n(\boldsymbol{\lambda})}{B(0; \boldsymbol{\lambda})}. \quad (2.16)$$

The orthogonality relation (2.8) becomes

$$\sum_{x=0}^{x_{\max}} \phi_0(x; \boldsymbol{\lambda})^2 \check{P}_n(x; \boldsymbol{\lambda}) \check{P}_m(x; \boldsymbol{\lambda}) = \frac{1}{d_n(\boldsymbol{\lambda})^2} \delta_{nm}. \quad (n, m = 0, 1, \dots, n_{\max}) \quad (2.17)$$

The forward shift operator $\mathcal{F}(\boldsymbol{\lambda}) = (\mathcal{F}_{x,y}(\boldsymbol{\lambda}))$, the backward shift operator $\mathcal{B}(\boldsymbol{\lambda}) = (\mathcal{B}_{x,y}(\boldsymbol{\lambda}))$ and the similarity transformed Hamiltonian $\tilde{\mathcal{H}}(\boldsymbol{\lambda}) = (\tilde{\mathcal{H}}_{x,y}(\boldsymbol{\lambda}))$ ($x, y = 0, 1, \dots, x_{\max}$) are defined by

$$\begin{aligned} \mathcal{F}(\boldsymbol{\lambda}) &\stackrel{\text{def}}{=} \sqrt{B(0; \boldsymbol{\lambda})} \phi_0(x; \boldsymbol{\lambda} + \boldsymbol{\delta})^{-1} \circ \mathcal{A}(\boldsymbol{\lambda}) \circ \phi_0(x; \boldsymbol{\lambda}) \\ &= B(0; \boldsymbol{\lambda}) \varphi(x; \boldsymbol{\lambda})^{-1} (1 - e^\partial), \end{aligned} \quad (2.18)$$

$$\begin{aligned} \mathcal{B}(\boldsymbol{\lambda}) &\stackrel{\text{def}}{=} \frac{1}{\sqrt{B(0; \boldsymbol{\lambda})}} \phi_0(x; \boldsymbol{\lambda})^{-1} \circ \mathcal{A}(\boldsymbol{\lambda})^\dagger \circ \phi_0(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) \\ &= \frac{1}{B(0; \boldsymbol{\lambda})} (B(x; \boldsymbol{\lambda}) - D(x; \boldsymbol{\lambda})e^{-\partial}) \varphi(x; \boldsymbol{\lambda}), \end{aligned} \quad (2.19)$$

$$\begin{aligned} \tilde{\mathcal{H}}(\boldsymbol{\lambda}) &\stackrel{\text{def}}{=} \phi_0(x; \boldsymbol{\lambda})^{-1} \circ \mathcal{H}(\boldsymbol{\lambda}) \circ \phi_0(x; \boldsymbol{\lambda}) = \mathcal{B}(\boldsymbol{\lambda})\mathcal{F}(\boldsymbol{\lambda}) \\ &= B(x; \boldsymbol{\lambda})(1 - e^\partial) + D(x; \boldsymbol{\lambda})(1 - e^{-\partial}), \end{aligned} \quad (2.20)$$

where the auxiliary function $\varphi(x)$ is defined by¹⁾

$$\varphi(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} \sqrt{\frac{B(0; \boldsymbol{\lambda})}{B(x; \boldsymbol{\lambda})}} \frac{\phi_0(x; \boldsymbol{\lambda} + \boldsymbol{\delta})}{\phi_0(x; \boldsymbol{\lambda})} = \frac{\eta(x + 1; \boldsymbol{\lambda}) - \eta(x; \boldsymbol{\lambda})}{\eta(1; \boldsymbol{\lambda})}, \quad \varphi(0; \boldsymbol{\lambda}) = 1. \quad (2.21)$$

Their action on the polynomials is ($n = 0, 1, \dots, n_{\max}$)

$$\mathcal{F}(\boldsymbol{\lambda})\check{P}_n(x; \boldsymbol{\lambda}) = f_n(\boldsymbol{\lambda})\check{P}_{n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}), \quad (2.22)$$

$$\mathcal{B}(\boldsymbol{\lambda})\check{P}_{n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) = b_{n-1}(\boldsymbol{\lambda})\check{P}_n(x; \boldsymbol{\lambda}), \quad (2.23)$$

$$\tilde{\mathcal{H}}(\boldsymbol{\lambda})\check{P}_n(x; \boldsymbol{\lambda}) = \mathcal{E}_n(\boldsymbol{\lambda})\check{P}_n(x; \boldsymbol{\lambda}). \quad (2.24)$$

The above difference equation (2.24) for the polynomial P_n reads explicitly as

$$B(x)(P_n(\eta(x)) - P_n(\eta(x + 1))) + D(x)(P_n(\eta(x)) - P_n(\eta(x - 1))) = \mathcal{E}_n P_n(\eta(x)), \quad (2.25)$$

in which the parameter dependence is suppressed for simplicity.

§3. Original systems — (q) -Racah polynomials

Here we present various properties of the Racah (R) and the q -Racah (qR) polynomials as explored in Ref. 1). In general there are four cases of possible parameter choices indexed by $(\epsilon, \epsilon') = (\pm 1, \pm 1)$. Here we restrict ourselves to the $(\epsilon, \epsilon') = (1, 1)$ case for simplicity of presentation.

The set of parameters λ , which is different from the standard one $(\alpha, \beta, \gamma, \delta)$,⁵⁾ its shift δ and κ are

$$R : \lambda = (a, b, c, d), \quad \delta = (1, 1, 1, 1), \quad \kappa = 1, \tag{3.1}$$

$$qR : q^\lambda = (a, b, c, d), \quad \delta = (1, 1, 1, 1), \quad \kappa = q^{-1}, \quad 0 < q < 1, \tag{3.2}$$

where q^λ stands for $q^{(\lambda_1, \lambda_2, \dots)} = (q^{\lambda_1}, q^{\lambda_2}, \dots)$. We introduce a new parameter \tilde{d} defined by

$$\tilde{d} \stackrel{\text{def}}{=} \begin{cases} a + b + c - d - 1 & : R, \\ abcd^{-1}q^{-1} & : qR. \end{cases} \tag{3.3}$$

The Hamiltonian is a finite dimensional matrix and the maximal values of x and n are $x_{\max} = n_{\max} = N$ and we could choose

$$\begin{aligned} R & : a = -N \quad \text{or} \quad b = -N \quad \text{or} \quad c = -N, \\ qR & : a = q^{-N} \quad \text{or} \quad b = q^{-N} \quad \text{or} \quad c = q^{-N}, \end{aligned} \tag{3.4}$$

to ensure the boundary condition for B , $B(x_{\max}) = 0$. The potential functions $B(x; \lambda)$ and $D(x; \lambda)$ are

$$B(x; \lambda) = \begin{cases} -\frac{(x+a)(x+b)(x+c)(x+d)}{(2x+d)(2x+1+d)} & : R, \\ -\frac{(1-aq^x)(1-bq^x)(1-cq^x)(1-dq^x)}{(1-dq^{2x})(1-dq^{2x+1})} & : qR, \end{cases} \tag{3.5}$$

$$D(x; \lambda) = \begin{cases} -\frac{(x+d-a)(x+d-b)(x+d-c)x}{(2x-1+d)(2x+d)} & : R, \\ -\tilde{d} \frac{(1-a^{-1}dq^x)(1-b^{-1}dq^x)(1-c^{-1}dq^x)(1-q^x)}{(1-dq^{2x-1})(1-dq^{2x})} & : qR. \end{cases} \tag{3.6}$$

The parameter ranges are restricted by the positivity of $B(x; \lambda)$ and $D(x; \lambda)$. When we need to specify them, we adopt the following choice of the parameter ranges:

$$\begin{aligned} R & : a = -N, \quad a + b > d > 0, \quad 0 < c < 1 + d, \\ qR & : a = q^{-N}, \quad 0 < ab < d < 1, \quad qd < c < 1. \end{aligned} \tag{3.7}$$

The energy eigenvalue and the sinusoidal coordinate are

$$\mathcal{E}_n(\lambda) = \begin{cases} n(n+\tilde{d}) & : R, \\ (q^{-n}-1)(1-\tilde{d}q^n) & : qR, \end{cases} \quad \eta(x; \lambda) = \begin{cases} x(x+d) & : R, \\ (q^{-x}-1)(1-dq^x) & : qR. \end{cases} \tag{3.8}$$

The eigenfunctions have the factorised form (2.14) and the orthogonal polynomials are the Racah and the q -Racah polynomials:

$$\check{P}_n(x; \boldsymbol{\lambda}) = P_n(\eta(x; \boldsymbol{\lambda}); \boldsymbol{\lambda}) = \begin{cases} {}_4F_3\left(\begin{matrix} -n, n + \tilde{d}, -x, x + d \\ a, b, c \end{matrix} \middle| 1 \right) & : \mathbf{R}, \\ {}_4\phi_3\left(\begin{matrix} q^{-n}, \tilde{d}q^n, q^{-x}, dq^x \\ a, b, c \end{matrix} \middle| q; q \right) & : q\mathbf{R} \end{cases} \quad (3.9)$$

$$= \begin{cases} R_n(\eta(x; \boldsymbol{\lambda}); a - 1, \tilde{d} - a, c - 1, d - c) & : \mathbf{R}, \\ R_n(1 + d + \eta(x; \boldsymbol{\lambda}); aq^{-1}, \tilde{d}a^{-1}, cq^{-1}, dc^{-1}|q) & : q\mathbf{R}. \end{cases} \quad (3.10)$$

Here $R_n(\dots)$ are the standard notation in Ref. 5). The auxiliary function $\varphi(x; \boldsymbol{\lambda})$ (2.21) reads

$$\varphi(x; \boldsymbol{\lambda}) = \begin{cases} \frac{2x + d + 1}{d + 1} & : \mathbf{R}, \\ \frac{q^{-x} - dq^{x+1}}{1 - dq} & : q\mathbf{R}. \end{cases} \quad (3.11)$$

The constants $f_n(\boldsymbol{\lambda})$ and $b_n(\boldsymbol{\lambda})$ appearing in (2.12)–(2.13) are

$$f_n(\boldsymbol{\lambda}) = \mathcal{E}_n(\boldsymbol{\lambda}), \quad b_n(\boldsymbol{\lambda}) = 1. \quad (3.12)$$

The orthogonality measure $\phi_0(x; \boldsymbol{\lambda})^2$ and the normalisation constants $d_n(\boldsymbol{\lambda})^2$ are

$$\phi_0(x; \boldsymbol{\lambda})^2 = \begin{cases} \frac{(a, b, c, d)_x}{(1 + d - a, 1 + d - b, 1 + d - c, 1)_x} \frac{2x + d}{d} & : \mathbf{R}, \\ \frac{(a, b, c, d; q)_x}{(a^{-1}dq, b^{-1}dq, c^{-1}dq, q; q)_x \tilde{d}^x} \frac{1 - dq^{2x}}{1 - d} & : q\mathbf{R}, \end{cases} \quad (3.13)$$

$$d_n(\boldsymbol{\lambda})^2 = \begin{cases} \frac{(a, b, c, \tilde{d})_n}{(1 + \tilde{d} - a, 1 + \tilde{d} - b, 1 + \tilde{d} - c, 1)_n} \frac{2n + \tilde{d}}{\tilde{d}} \\ \times \frac{(-1)^N (1 + d - a, 1 + d - b, 1 + d - c)_N}{(\tilde{d} + 1)_N (d + 1)_{2N}} & : \mathbf{R}, \\ \frac{(a, b, c, \tilde{d}; q)_n}{(a^{-1}\tilde{d}q, b^{-1}\tilde{d}q, c^{-1}\tilde{d}q, q; q)_n d^n} \frac{1 - \tilde{d}q^{2n}}{1 - \tilde{d}} \\ \times \frac{(-1)^N (a^{-1}dq, b^{-1}dq, c^{-1}dq; q)_N \tilde{d}^N q^{\frac{1}{2}N(N+1)}}{(\tilde{d}q; q)_N (dq; q)_{2N}} & : q\mathbf{R}. \end{cases} \quad (3.14)$$

§4. Deformed systems — $X_\ell(q)$ -Racah polynomials

For each positive integer $\ell = 1, 2, \dots, N - 1$, we can construct a shape invariant system by deforming the original system ($\ell = 0$) in terms of a degree ℓ eigenpolynomial ξ_ℓ of twisted parameters.

We set

$$x_{\max}^\ell \stackrel{\text{def}}{=} N - \ell, \quad n_{\max}^\ell \stackrel{\text{def}}{=} N - \ell, \quad (4.1)$$

and take

$$\begin{aligned} \mathbf{R} & : a = -N \quad \text{or} \quad b = -N, \\ q\mathbf{R} & : a = q^{-N} \quad \text{or} \quad b = q^{-N}. \end{aligned} \quad (4.2)$$

The deforming polynomial ξ_ℓ , which is a polynomial of degree ℓ in $\eta(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta})$, is defined from the eigenpolynomial $\check{P}_\ell(x; \boldsymbol{\lambda})$:

$$\begin{aligned} \check{\xi}_\ell(x; \boldsymbol{\lambda}) &\stackrel{\text{def}}{=} \xi_\ell(\eta(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta}); \boldsymbol{\lambda}) \\ &\stackrel{\text{def}}{=} \check{P}_\ell(x; \mathbf{t}(\boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta})) : \mathbf{R}, q\mathbf{R} \\ &= \begin{cases} {}_4F_3 \left(\begin{matrix} -\ell, \ell - a - b + c + d - 1, -x, x + d + \ell - 1 \\ d - a, d - b, c + \ell - 1 \end{matrix} \middle| 1 \right) & : \mathbf{R}, \\ {}_4\phi_3 \left(\begin{matrix} q^{-\ell}, a^{-1}b^{-1}cdq^{\ell-1}, q^{-x}, dq^{x+\ell-1} \\ a^{-1}d, b^{-1}d, cq^{\ell-1} \end{matrix} \middle| q; q \right) & : q\mathbf{R}, \end{cases} \end{aligned} \tag{4.3}$$

which satisfies the normalization

$$\xi_\ell(0; \boldsymbol{\lambda}) = 1. \tag{4.4}$$

Here the twist operator \mathbf{t} acting on the set of parameters $\boldsymbol{\lambda} = (\lambda_1, \lambda_2, \lambda_3, \lambda_4)$ is

$$\mathbf{t}(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} (\lambda_4 - \lambda_1, \lambda_4 - \lambda_2, \lambda_3, \lambda_4) : \mathbf{R}, q\mathbf{R}. \tag{4.5}$$

This is the most important ingredient of the deformation. For the appropriate parameter ranges, for example as given in (3.7), the deforming polynomial $\check{\xi}_\ell(x; \boldsymbol{\lambda})$ is positive at integer points $x = 0, 1, \dots, x_{\max}^\ell + 1$, because the polynomial $\xi_\ell(y; \boldsymbol{\lambda})$ has no zeros in the interval $0 \leq y \leq \eta(x_{\max}^\ell + 1; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta})$. It satisfies the following two formulas, which will play important roles in the derivation of various results:

$$\frac{1}{\varphi(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})} \left(v_1^B(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta}) - v_1^D(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})e^\partial \right) \check{\xi}_\ell(x; \boldsymbol{\lambda}) = \hat{f}_{\ell,0}(\boldsymbol{\lambda}) \check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta}), \tag{4.6}$$

$$\begin{aligned} &\frac{1}{\varphi(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})} \left(v_2^B(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta}) - v_2^D(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta})e^{-\partial} \right) \check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) \\ &= \hat{b}_{\ell,0}(\boldsymbol{\lambda}) \check{\xi}_\ell(x; \boldsymbol{\lambda}). \end{aligned} \tag{4.7}$$

Here $v_1^B(x; \boldsymbol{\lambda})$, $v_2^B(x; \boldsymbol{\lambda})$, $v_1^D(x; \boldsymbol{\lambda})$, $v_2^D(x; \boldsymbol{\lambda})$ are the factors of the potential functions $B(x; \boldsymbol{\lambda})$ and $D(x; \boldsymbol{\lambda})$:

$$v_1^B(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} \begin{cases} d^{-1}(x + a)(x + b) & : \mathbf{R}, \\ \frac{q^{-x}}{1 - d}(1 - aq^x)(1 - bq^x) & : q\mathbf{R}, \end{cases} \tag{4.8}$$

$$v_2^B(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} \begin{cases} d^{-1}(x + c)(x + d) & : \mathbf{R}, \\ \frac{q^{-x}}{1 - d}(1 - cq^x)(1 - dq^x) & : q\mathbf{R}, \end{cases} \tag{4.9}$$

$$v_1^D(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} \begin{cases} d^{-1}(x + d - a)(x + d - b) & : \mathbf{R}, \\ \frac{q^{-x}}{1 - d}abd^{-1}(1 - a^{-1}dq^x)(1 - b^{-1}dq^x) & : q\mathbf{R}, \end{cases} \tag{4.10}$$

$$v_2^D(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} \begin{cases} d^{-1}(x + d - c)x & : \mathbf{R}, \\ \frac{q^{-x}}{1 - d}c(1 - c^{-1}dq^x)(1 - q^x) & : q\mathbf{R}, \end{cases} \tag{4.11}$$

$$B(x; \boldsymbol{\lambda}) = -\sqrt{\kappa} \frac{v_1^B(x; \boldsymbol{\lambda})v_2^B(x; \boldsymbol{\lambda})}{\varphi(x; \boldsymbol{\lambda} + \tilde{\boldsymbol{\delta}})\varphi(x + \frac{1}{2}; \boldsymbol{\lambda} + \tilde{\boldsymbol{\delta}})}, \tag{4.12}$$

$$D(x; \boldsymbol{\lambda}) = -\sqrt{\kappa} \frac{v_1^D(x; \boldsymbol{\lambda})v_2^D(x; \boldsymbol{\lambda})}{\varphi(x; \boldsymbol{\lambda} + \tilde{\boldsymbol{\delta}})\varphi(x - \frac{1}{2}; \boldsymbol{\lambda} + \tilde{\boldsymbol{\delta}})}, \tag{4.13}$$

where $\tilde{\boldsymbol{\delta}}$ is

$$\tilde{\boldsymbol{\delta}} \stackrel{\text{def}}{=} (0, 0, -1, -1) : \mathbb{R}, q\mathbb{R}. \tag{4.14}$$

The constants $\hat{f}_{\ell,n}(\boldsymbol{\lambda})$ and $\hat{b}_{\ell,n}(\boldsymbol{\lambda})$ are given by

$$\hat{f}_{\ell,n}(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \begin{cases} (a + b - d + n) \frac{c + 2\ell + n - 1}{c + \ell - 1} : \mathbb{R}, \\ q^{-n}(1 - abd^{-1}q^n) \frac{1 - cq^{2\ell+n-1}}{1 - cq^{\ell-1}} : q\mathbb{R}, \end{cases} \quad \hat{b}_{\ell,n}(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \begin{cases} c + \ell - 1 : \mathbb{R}, \\ 1 - cq^{\ell-1} : q\mathbb{R}. \end{cases} \tag{4.15}$$

Equations (4.6)–(4.7) are identities relating $\check{\xi}_\ell(x; \boldsymbol{\lambda})$ and $\check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})$. They are reduced to the identities satisfied by the (basic) hypergeometric functions, (2.74)–(2.75) in Ref.15). Note that these two equations (4.6)–(4.7) imply the difference equation for the deforming polynomial,

$$\begin{aligned} & \left(B(x; \mathbf{t}(\boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta}))(1 - e^\partial) + D(x; \mathbf{t}(\boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta}))(1 - e^{-\partial}) \right) \check{\xi}_\ell(x; \boldsymbol{\lambda}) \\ & = \mathcal{E}_\ell(\mathbf{t}(\boldsymbol{\lambda})) \check{\xi}_\ell(x; \boldsymbol{\lambda}), \end{aligned} \tag{4.16}$$

which corresponds to (2.24).

Let us introduce new potential functions $B_\ell(x; \boldsymbol{\lambda})$ and $D_\ell(x; \boldsymbol{\lambda})$ by multiplicatively deforming the original ones in terms of the polynomial $\check{\xi}_\ell(x; \boldsymbol{\lambda})$:

$$B_\ell(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} B(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta}) \frac{\check{\xi}_\ell(x; \boldsymbol{\lambda})}{\check{\xi}_\ell(x + 1; \boldsymbol{\lambda})} \frac{\check{\xi}_\ell(x + 1; \boldsymbol{\lambda} + \boldsymbol{\delta})}{\check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})}, \tag{4.17}$$

$$D_\ell(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} D(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta}) \frac{\check{\xi}_\ell(x + 1; \boldsymbol{\lambda})}{\check{\xi}_\ell(x; \boldsymbol{\lambda})} \frac{\check{\xi}_\ell(x - 1; \boldsymbol{\lambda} + \boldsymbol{\delta})}{\check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})}. \tag{4.18}$$

See the corresponding expressions for the exceptional Wilson and Askey-Wilson polynomials (30)–(31) of Ref.6) and (2.42)–(2.43) of Ref.15). They define a deformed Hamiltonian $\mathcal{H}_\ell(\boldsymbol{\lambda}) = (\mathcal{H}_{\ell;x,y}(\boldsymbol{\lambda}))$ and other operators $\mathcal{A}_\ell(\boldsymbol{\lambda}) = (\mathcal{A}_{\ell;x,y}(\boldsymbol{\lambda}))$ and $\mathcal{A}_\ell(\boldsymbol{\lambda})^\dagger = ((\mathcal{A}_\ell(\boldsymbol{\lambda})^\dagger)_{x,y}) = (\mathcal{A}_{\ell;y,x}(\boldsymbol{\lambda}))$ ($x, y = 0, 1, \dots, x_{\max}^\ell$) by

$$\mathcal{H}_\ell(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \mathcal{A}_\ell(\boldsymbol{\lambda})^\dagger \mathcal{A}_\ell(\boldsymbol{\lambda}), \tag{4.19}$$

$$\mathcal{A}_\ell(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \sqrt{B_\ell(x; \boldsymbol{\lambda})} - e^\partial \sqrt{D_\ell(x; \boldsymbol{\lambda})}, \quad \mathcal{A}_\ell(\boldsymbol{\lambda})^\dagger = \sqrt{B_\ell(x; \boldsymbol{\lambda})} - \sqrt{D_\ell(x; \boldsymbol{\lambda})} e^{-\partial}. \tag{4.20}$$

We have $D_\ell(0; \boldsymbol{\lambda}) = 0$ and $B_\ell(x_{\max}^\ell; \boldsymbol{\lambda}) = 0$. The parameter ranges are restricted by the positivity of $B_\ell(x; \boldsymbol{\lambda})$ and $D_\ell(x; \boldsymbol{\lambda})$. When we need to specify them, we consider the parameter ranges (3.7).

The deformed system is shape invariant, too:

$$\mathcal{A}_\ell(\boldsymbol{\lambda})\mathcal{A}_\ell(\boldsymbol{\lambda})^\dagger = \kappa \mathcal{A}_\ell(\boldsymbol{\lambda} + \boldsymbol{\delta})^\dagger \mathcal{A}_\ell(\boldsymbol{\lambda} + \boldsymbol{\delta}) + \mathcal{E}_{\ell,1}(\boldsymbol{\lambda}), \tag{4.21}$$

or equivalently,

$$\sqrt{B_\ell(x+1; \boldsymbol{\lambda})D_\ell(x+1; \boldsymbol{\lambda})} = \kappa \sqrt{B_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})D_\ell(x+1; \boldsymbol{\lambda} + \boldsymbol{\delta})}, \tag{4.22}$$

$$B_\ell(x; \boldsymbol{\lambda}) + D_\ell(x+1; \boldsymbol{\lambda}) = \kappa(B_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) + D_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})) + \mathcal{E}_{\ell,1}(\boldsymbol{\lambda}). \tag{4.23}$$

The proof is straightforward by direct calculation. In order to verify (4.23), use is made of the two properties of the deforming polynomial $\check{\xi}_\ell(x; \boldsymbol{\lambda})$ (4.6)–(4.7).

The Schrödinger equation of the modified system is $(n = 0, 1, \dots, n_{\max}^\ell)$

$$\mathcal{H}_\ell(\boldsymbol{\lambda})\phi_{\ell,n}(x; \boldsymbol{\lambda}) = \mathcal{E}_{\ell,n}(\boldsymbol{\lambda})\phi_{\ell,n}(x; \boldsymbol{\lambda}), \quad \mathcal{E}_{\ell,n}(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \mathcal{E}_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta}). \tag{4.24}$$

The ground state $\phi_{\ell,0}(x; \boldsymbol{\lambda})$, which is annihilated by $\mathcal{A}_\ell(\boldsymbol{\lambda})$, is

$$\phi_{\ell,0}(x; \boldsymbol{\lambda}) = \sqrt{\prod_{y=0}^{x-1} \frac{B_\ell(y; \boldsymbol{\lambda})}{D_\ell(y+1; \boldsymbol{\lambda})}} = \psi_\ell(x; \boldsymbol{\lambda})\check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta}), \tag{4.25}$$

$$\psi_\ell(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} \phi_0(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta}) \sqrt{\frac{\check{\xi}_\ell(1; \boldsymbol{\lambda})}{\check{\xi}_\ell(x; \boldsymbol{\lambda})\check{\xi}_\ell(x+1; \boldsymbol{\lambda})}}, \tag{4.26}$$

with the normalisation $\phi_{\ell,0}(0; \boldsymbol{\lambda}) = 1$ and $\psi_\ell(0; \boldsymbol{\lambda}) = 1$. The excited states wavefunctions have the factorised form as (2.14):

$$\phi_{\ell,n}(x; \boldsymbol{\lambda}) = \psi_\ell(x; \boldsymbol{\lambda})\check{P}_{\ell,n}(x; \boldsymbol{\lambda}). \tag{4.27}$$

The exceptional (X_ℓ) (q) -Racah polynomial $\check{P}_{\ell,n}(x; \boldsymbol{\lambda})$ is bilinear in the deforming polynomial $\check{\xi}_\ell$ and the original polynomial \check{P}_n :

$$\begin{aligned} \check{P}_{\ell,n}(x; \boldsymbol{\lambda}) &\stackrel{\text{def}}{=} P_{\ell,n}(\eta(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta}); \boldsymbol{\lambda}) \\ &\stackrel{\text{def}}{=} \frac{1}{\hat{f}_{\ell,n}(\boldsymbol{\lambda})} \frac{1}{\varphi(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})} \left(v_1^B(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})\check{\xi}_\ell(x; \boldsymbol{\lambda})\check{P}_n(x+1; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) \right. \\ &\quad \left. - v_1^D(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})\check{\xi}_\ell(x+1; \boldsymbol{\lambda})\check{P}_n(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) \right). \end{aligned} \tag{4.28}$$

This is one of the main results of the present paper to be compared with the similar expressions for the exceptional Laguerre & Jacobi polynomials (2.1)–(2.4) in Ref. 12), (2.31),(2.33) & (3.37),(3.40) of Ref. 14), for the exceptional Wilson & Askey-Wilson polynomials (2.52) in Ref. 15). The overall multiplicative factor is so chosen as to realise the normalisation condition

$$P_{\ell,n}(0; \boldsymbol{\lambda}) = 1, \tag{4.29}$$

which can be shown by using (2.16). This is a polynomial of degree $\ell + n$ in $\eta(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})$. Note that $\check{P}_{\ell,0}(x; \boldsymbol{\lambda}) = \check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})$ due to (4.6), which is obviously a polynomial of degree ℓ in $\eta(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})$. The exceptional orthogonal polynomial $P_{\ell,n}(y; \boldsymbol{\lambda})$ has n real zeros in the interval $0 \leq y \leq \eta(x_{\max}^\ell; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})$ for the appropriate parameter ranges, for example the range (3.7). It has ℓ extra zeros which are usually complex and lie outside the above interval.

The action of the operators $\mathcal{A}_\ell(\boldsymbol{\lambda})$ and $\mathcal{A}_\ell(\boldsymbol{\lambda})^\dagger$ on the eigenfunctions is

$$\mathcal{A}_\ell(\boldsymbol{\lambda})\phi_{\ell,n}(x; \boldsymbol{\lambda}) = \frac{1}{\sqrt{B_\ell(0; \boldsymbol{\lambda})}} f_{\ell,n}(\boldsymbol{\lambda})\phi_{\ell,n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}), \tag{4.30}$$

$$\mathcal{A}_\ell(\boldsymbol{\lambda})^\dagger\phi_{\ell,n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) = \sqrt{B_\ell(0; \boldsymbol{\lambda})} b_{\ell,n-1}(\boldsymbol{\lambda})\phi_{\ell,n}(x; \boldsymbol{\lambda}), \tag{4.31}$$

$$f_{\ell,n}(\boldsymbol{\lambda}) = f_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta}), \quad b_{\ell,n-1}(\boldsymbol{\lambda}) = b_{n-1}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta}). \tag{4.32}$$

Like the corresponding formulas of the original systems (2.12)–(2.13), these are simple consequences of the shape invariance and the normalisation of the eigenfunctions. In the next section, we will derive these formulas through the intertwining relations and without recourse to the shape invariance of the deformed system (4.21). The forward shift operator $\mathcal{F}_\ell(\boldsymbol{\lambda}) = (\mathcal{F}_{\ell;x,y}(\boldsymbol{\lambda}))$, the backward shift operator $\mathcal{B}_\ell(\boldsymbol{\lambda}) = (\mathcal{B}_{\ell;x,y}(\boldsymbol{\lambda}))$ and the similarity transformed Hamiltonian $\tilde{\mathcal{H}}_\ell(\boldsymbol{\lambda}) = (\tilde{\mathcal{H}}_{\ell;x,y}(\boldsymbol{\lambda}))$ ($x, y = 0, 1, \dots, x_{\max}^\ell$) are defined by

$$\begin{aligned} \mathcal{F}_\ell(\boldsymbol{\lambda}) &\stackrel{\text{def}}{=} \sqrt{B_\ell(0; \boldsymbol{\lambda})} \psi_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})^{-1} \circ \mathcal{A}_\ell(\boldsymbol{\lambda}) \circ \psi_\ell(x; \boldsymbol{\lambda}) \\ &= \frac{B(0, \boldsymbol{\lambda} + \ell\boldsymbol{\delta})}{\varphi(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})\check{\xi}_\ell(x + 1; \boldsymbol{\lambda})} \left(\check{\xi}_\ell(x + 1; \boldsymbol{\lambda} + \boldsymbol{\delta}) - \check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})e^\partial \right), \end{aligned} \tag{4.33}$$

$$\begin{aligned} \mathcal{B}_\ell(\boldsymbol{\lambda}) &\stackrel{\text{def}}{=} \frac{1}{\sqrt{B_\ell(0; \boldsymbol{\lambda})}} \psi_\ell(x; \boldsymbol{\lambda})^{-1} \circ \mathcal{A}_\ell(\boldsymbol{\lambda})^\dagger \circ \psi_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) \\ &= \frac{1}{B(0; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})} \frac{1}{\check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})} \\ &\quad \times \left(B(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})\check{\xi}_\ell(x; \boldsymbol{\lambda}) - D(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})\check{\xi}_\ell(x + 1; \boldsymbol{\lambda})e^{-\partial} \right) \varphi(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta}), \end{aligned} \tag{4.34}$$

$$\begin{aligned} \tilde{\mathcal{H}}_\ell(\boldsymbol{\lambda}) &\stackrel{\text{def}}{=} \psi_\ell(x; \boldsymbol{\lambda})^{-1} \circ \mathcal{H}_\ell(\boldsymbol{\lambda}) \circ \psi_\ell(x; \boldsymbol{\lambda}) = \mathcal{B}_\ell(\boldsymbol{\lambda})\mathcal{F}_\ell(\boldsymbol{\lambda}) \\ &= B(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta}) \frac{\check{\xi}_\ell(x; \boldsymbol{\lambda})}{\check{\xi}_\ell(x + 1; \boldsymbol{\lambda})} \left(\frac{\check{\xi}_\ell(x + 1; \boldsymbol{\lambda} + \boldsymbol{\delta})}{\check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})} - e^\partial \right) \\ &\quad + D(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta}) \frac{\check{\xi}_\ell(x + 1; \boldsymbol{\lambda})}{\check{\xi}_\ell(x; \boldsymbol{\lambda})} \left(\frac{\check{\xi}_\ell(x - 1; \boldsymbol{\lambda} + \boldsymbol{\delta})}{\check{\xi}_\ell(x; \boldsymbol{\lambda} + \boldsymbol{\delta})} - e^{-\partial} \right). \end{aligned} \tag{4.35}$$

Compare with the similar expressions for the X_ℓ Laguerre & Jacobi polynomials (3.2)–(3.5) in Ref. 12), and for the X_ℓ Wilson & Askey-Wilson polynomials (2.58)–(2.63) in Ref. 15). Their action on the polynomials is ($n = 0, 1, \dots, n_{\max}^\ell$)

$$\mathcal{F}_\ell(\boldsymbol{\lambda})\check{P}_{\ell,n}(x; \boldsymbol{\lambda}) = f_{\ell,n}(\boldsymbol{\lambda})\check{P}_{\ell,n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}), \tag{4.36}$$

$$\mathcal{B}_\ell(\boldsymbol{\lambda})\check{P}_{\ell,n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) = b_{\ell,n-1}(\boldsymbol{\lambda})\check{P}_{\ell,n}(x; \boldsymbol{\lambda}), \tag{4.37}$$

$$\tilde{\mathcal{H}}_\ell(\boldsymbol{\lambda})\check{P}_{\ell,n}(x; \boldsymbol{\lambda}) = \mathcal{E}_{\ell,n}(\boldsymbol{\lambda})\check{P}_{\ell,n}(x; \boldsymbol{\lambda}), \quad \mathcal{E}_{\ell,n}(\boldsymbol{\lambda}) = \mathcal{E}_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta}). \tag{4.38}$$

The orthogonality relation is

$$\sum_{x=0}^{x_{\max}^\ell} \frac{\psi_\ell(x; \boldsymbol{\lambda})^2}{\check{\xi}_\ell(1; \boldsymbol{\lambda})} \check{P}_{\ell,n}(x; \boldsymbol{\lambda})\check{P}_{\ell,m}(x; \boldsymbol{\lambda}) = \frac{\delta_{nm}}{d_{\ell,n}(\boldsymbol{\lambda})^2}. \quad (n, m = 0, 1, \dots, n_{\max}^\ell) \tag{4.39}$$

The normalisation constants $d_{\ell,n}(\boldsymbol{\lambda})^2$ are

$$d_{\ell,n}(\boldsymbol{\lambda})^2 = d_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})^2 \frac{\hat{f}_{\ell,n}(\boldsymbol{\lambda})}{\hat{b}_{\ell,n}(\boldsymbol{\lambda})} \frac{1}{s_\ell(\boldsymbol{\lambda})} = d_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta})^2 \frac{\hat{f}_{\ell,n}(\boldsymbol{\lambda})}{\hat{b}_{\ell,n}(\boldsymbol{\lambda})} \frac{\hat{b}_{0,n}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta})}{\hat{f}_{0,n}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta})} \frac{s_0(\boldsymbol{\lambda} + \ell\boldsymbol{\delta})}{s_\ell(\boldsymbol{\lambda})}, \tag{4.40}$$

where $s_\ell(\boldsymbol{\lambda})$ is defined by

$$s_\ell(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \begin{cases} -\frac{(d-a)(d-b)}{(c+\ell-1)(d+\ell)} & : \mathbb{R}, \\ -abd^{-1}q^\ell \frac{(1-a^{-1}d)(1-b^{-1}d)}{(1-cq^{\ell-1})(1-dq^\ell)} & : q\mathbb{R}. \end{cases} \tag{4.41}$$

This will be proved in the next section. In the second equality of (4.40) use is made of the explicit forms of $d_n(\boldsymbol{\lambda})^2$ (3.14). Note the positivity of the quantities, $\hat{f}_{\ell,n}(\boldsymbol{\lambda}), \hat{b}_{\ell,n}(\boldsymbol{\lambda}), s_\ell(\boldsymbol{\lambda}) > 0$.

§5. Intertwining relations

Here we demonstrate that the Hamiltonian systems of the original polynomials reviewed in §3 and the deformation summarised in §4 are intertwined by a discrete version of the Darboux-Crum transformation. This provides simple expressions of the eigenfunctions of the deformed systems (4.28) in terms of those of the original system, which is exactly solvable. It also delivers a simple proof of the shape invariance of the deformed system. The line of arguments goes parallel with those for the other exceptional orthogonal polynomials.^{14),15)}

First let us discuss the general scheme. For an adjoint pair of well-defined operators $\hat{A}_\ell(\boldsymbol{\lambda})$ and $\hat{A}_\ell(\boldsymbol{\lambda})^\dagger$, let us define a pair of Hamiltonians $\hat{\mathcal{H}}_\ell^{(\pm)}(\boldsymbol{\lambda})$

$$\hat{\mathcal{H}}_\ell^{(+)}(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \hat{A}_\ell(\boldsymbol{\lambda})^\dagger \hat{A}_\ell(\boldsymbol{\lambda}), \quad \hat{\mathcal{H}}_\ell^{(-)}(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \hat{A}_\ell(\boldsymbol{\lambda}) \hat{A}_\ell(\boldsymbol{\lambda})^\dagger, \tag{5.1}$$

and consider their Schrödinger equations, that is, the eigenvalue problems:

$$\hat{\mathcal{H}}_\ell^{(\pm)}(\boldsymbol{\lambda}) \hat{\phi}_{\ell,n}^{(\pm)}(x; \boldsymbol{\lambda}) = \hat{\mathcal{E}}_{\ell,n}^{(\pm)}(\boldsymbol{\lambda}) \hat{\phi}_{\ell,n}^{(\pm)}(x; \boldsymbol{\lambda}). \quad (n = 0, 1, 2, \dots) \tag{5.2}$$

Obviously the pair of Hamiltonians are intertwined:

$$\hat{\mathcal{H}}_\ell^{(+)}(\boldsymbol{\lambda}) \hat{A}_\ell(\boldsymbol{\lambda})^\dagger = \hat{A}_\ell(\boldsymbol{\lambda})^\dagger \hat{A}_\ell(\boldsymbol{\lambda}) \hat{A}_\ell(\boldsymbol{\lambda})^\dagger = \hat{A}_\ell(\boldsymbol{\lambda})^\dagger \hat{\mathcal{H}}_\ell^{(-)}(\boldsymbol{\lambda}), \tag{5.3}$$

$$\hat{A}_\ell(\boldsymbol{\lambda}) \hat{\mathcal{H}}_\ell^{(+)}(\boldsymbol{\lambda}) = \hat{A}_\ell(\boldsymbol{\lambda}) \hat{A}_\ell(\boldsymbol{\lambda})^\dagger \hat{A}_\ell(\boldsymbol{\lambda}) = \hat{\mathcal{H}}_\ell^{(-)}(\boldsymbol{\lambda}) \hat{A}_\ell(\boldsymbol{\lambda}). \tag{5.4}$$

If $\hat{A}_\ell(\boldsymbol{\lambda}) \hat{\phi}_{\ell,n}^{(+)}(x; \boldsymbol{\lambda}) \neq 0$ and $\hat{A}_\ell(\boldsymbol{\lambda})^\dagger \hat{\phi}_{\ell,n}^{(-)}(x; \boldsymbol{\lambda}) \neq 0$, then the two systems are exactly iso-spectral and there is one-to-one correspondence between the eigenfunctions:

$$\hat{\mathcal{E}}_{\ell,n}^{(+)}(\boldsymbol{\lambda}) = \hat{\mathcal{E}}_{\ell,n}^{(-)}(\boldsymbol{\lambda}), \tag{5.5}$$

$$\hat{\phi}_{\ell,n}^{(-)}(x; \boldsymbol{\lambda}) \propto \hat{A}_\ell(\boldsymbol{\lambda}) \hat{\phi}_{\ell,n}^{(+)}(x; \boldsymbol{\lambda}), \quad \hat{\phi}_{\ell,n}^{(+)}(x; \boldsymbol{\lambda}) \propto \hat{A}_\ell(\boldsymbol{\lambda})^\dagger \hat{\phi}_{\ell,n}^{(-)}(x; \boldsymbol{\lambda}). \tag{5.6}$$

In the following we will present the explicit forms of the operators $\hat{A}_\ell(\boldsymbol{\lambda})$ and $\hat{A}_\ell(\boldsymbol{\lambda})^\dagger$, which intertwine the original systems in §3 and the deformed systems in

§4. The operators $\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda}) = (\hat{\mathcal{A}}_{\ell;x,y}(\boldsymbol{\lambda}))$ and $\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})^\dagger = ((\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})^\dagger)_{x,y}) = (\hat{\mathcal{A}}_{\ell;y,x}(\boldsymbol{\lambda}))$ ($x, y = 0, 1, \dots, x_{\max}^\ell$) are defined by

$$\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \sqrt{\hat{B}_\ell(x; \boldsymbol{\lambda})} - e^\partial \sqrt{\hat{D}_\ell(x; \boldsymbol{\lambda})}, \quad \hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})^\dagger = \sqrt{\hat{B}_\ell(x; \boldsymbol{\lambda})} - \sqrt{\hat{D}_\ell(x; \boldsymbol{\lambda})} e^{-\partial}, \quad (5.7)$$

where $\hat{B}_\ell(x; \boldsymbol{\lambda})$ and $\hat{D}_\ell(x; \boldsymbol{\lambda})$ are given by

$$\hat{B}_\ell(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} B(x; \mathbf{t}(\boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta})) \frac{\check{\xi}_\ell(x + 1; \boldsymbol{\lambda})}{\check{\xi}_\ell(x; \boldsymbol{\lambda})}, \quad (5.8)$$

$$\hat{D}_\ell(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} D(x; \mathbf{t}(\boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta})) \frac{\check{\xi}_\ell(x - 1; \boldsymbol{\lambda})}{\check{\xi}_\ell(x; \boldsymbol{\lambda})}. \quad (5.9)$$

Compare with the similar expressions for the X_ℓ Laguerre & Jacobi polynomials (2.10)–(2.15) & (3.13)–(3.18) in Ref. 14), and for the X_ℓ Wilson & Askey-Wilson polynomials (3.7)–(3.9) in Ref. 15).

Since $\det \hat{\mathcal{A}}_\ell(\boldsymbol{\lambda}) = \prod_{x=0}^{x_{\max}^\ell} \sqrt{\hat{B}_\ell(x; \boldsymbol{\lambda})} \neq 0$ for the parameter range under consideration, the operators $\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})$ and $\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})^\dagger$ have no zero modes. By using the two formulas (4.6)–(4.7), we can show that

$$\hat{\mathcal{H}}_\ell^{(+)}(\boldsymbol{\lambda}) = \hat{\kappa}_\ell(\boldsymbol{\lambda}) (\mathcal{H}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) + \hat{f}_{\ell,0}(\boldsymbol{\lambda}) \hat{b}_{\ell,0}(\boldsymbol{\lambda})), \quad (5.10)$$

$$\hat{\mathcal{H}}_\ell^{(-)}(\boldsymbol{\lambda}) = \hat{\kappa}_\ell(\boldsymbol{\lambda}) (\mathcal{H}_\ell(\boldsymbol{\lambda}) + \hat{f}_{\ell,0}(\boldsymbol{\lambda}) \hat{b}_{\ell,0}(\boldsymbol{\lambda})), \quad (5.11)$$

where $\hat{\kappa}_\ell(\boldsymbol{\lambda})$ is

$$\hat{\kappa}_\ell(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \begin{cases} 1 & : \mathbf{R}, \\ (abd^{-1}q^\ell)^{-1} & : q\mathbf{R}. \end{cases} \quad (5.12)$$

Therefore the original system with the shifted parameters ($\mathcal{H}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})$) and the deformed system ($\mathcal{H}_\ell(\boldsymbol{\lambda})$) are exactly isospectral. Note that the maximal value of x for $\mathcal{H}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})$ is $N - \ell (= x_{\max}^\ell)$. On the basis of the results (5.10)–(5.11), we have

$$\hat{\phi}_{\ell,n}^{(+)}(x; \boldsymbol{\lambda}) = \phi_n(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}), \quad \hat{\phi}_{\ell,n}^{(-)}(x; \boldsymbol{\lambda}) = \phi_{\ell,n}(x; \boldsymbol{\lambda}), \quad (5.13)$$

$$\hat{\mathcal{E}}_{\ell,n}^{(\pm)}(\boldsymbol{\lambda}) = \hat{\kappa}_\ell(\boldsymbol{\lambda}) (\mathcal{E}_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) + \hat{f}_{\ell,0}(\boldsymbol{\lambda}) \hat{b}_{\ell,0}(\boldsymbol{\lambda})) = \hat{\kappa}_\ell(\boldsymbol{\lambda}) (\mathcal{E}_{\ell,n}(\boldsymbol{\lambda}) + \hat{f}_{\ell,0}(\boldsymbol{\lambda}) \hat{b}_{\ell,0}(\boldsymbol{\lambda})). \quad (5.14)$$

The correspondence of the pair of eigenfunctions $\hat{\phi}_{\ell,n}^{(\pm)}(x)$ with their own normalisation specified in the preceding sections is related by

$$\hat{\phi}_{\ell,n}^{(-)}(x; \boldsymbol{\lambda}) = \sqrt{\check{\xi}_\ell(1; \boldsymbol{\lambda}) s_\ell(\boldsymbol{\lambda})} \frac{\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda}) \hat{\phi}_{\ell,n}^{(+)}(x; \boldsymbol{\lambda})}{\sqrt{\hat{\kappa}_\ell(\boldsymbol{\lambda})} \hat{f}_{\ell,n}(\boldsymbol{\lambda})}, \quad (5.15)$$

$$\hat{\phi}_{\ell,n}^{(+)}(x; \boldsymbol{\lambda}) = \frac{1}{\sqrt{\check{\xi}_\ell(1; \boldsymbol{\lambda}) s_\ell(\boldsymbol{\lambda})}} \frac{\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})^\dagger \hat{\phi}_{\ell,n}^{(-)}(x; \boldsymbol{\lambda})}{\sqrt{\hat{\kappa}_\ell(\boldsymbol{\lambda})} \hat{b}_{\ell,n}(\boldsymbol{\lambda})}. \quad (5.16)$$

Let us introduce the operators $\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda}) = (\hat{\mathcal{F}}_{\ell;x,y}(\boldsymbol{\lambda}))$ and $\hat{\mathcal{B}}_\ell(\boldsymbol{\lambda}) = (\hat{\mathcal{B}}_{\ell;x,y}(\boldsymbol{\lambda}))$ ($x, y = 0, 1, \dots, x_{\max}^\ell$) defined by

$$\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \sqrt{\check{\xi}_\ell(1; \boldsymbol{\lambda})s_\ell(\boldsymbol{\lambda})} \psi_\ell(x; \boldsymbol{\lambda})^{-1} \circ \frac{\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})}{\sqrt{\hat{\kappa}_\ell(\boldsymbol{\lambda})}} \circ \phi_0(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}), \tag{5.17}$$

$$\hat{\mathcal{B}}_\ell(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \frac{1}{\sqrt{\check{\xi}_\ell(1; \boldsymbol{\lambda})s_\ell(\boldsymbol{\lambda})}} \phi_0(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})^{-1} \circ \frac{\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})^\dagger}{\sqrt{\hat{\kappa}_\ell(\boldsymbol{\lambda})}} \circ \psi_\ell(x; \boldsymbol{\lambda}). \tag{5.18}$$

Their explicit forms are

$$\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda}) = \frac{1}{\varphi(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})} \left(v_1^B(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})\check{\xi}_\ell(x; \boldsymbol{\lambda})e^\partial - v_1^D(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta})\check{\xi}_\ell(x + 1; \boldsymbol{\lambda}) \right), \tag{5.19}$$

$$\begin{aligned} \hat{\mathcal{B}}_\ell(\boldsymbol{\lambda}) &= \frac{1}{\check{\xi}_\ell(x; \boldsymbol{\lambda})} \frac{1}{\varphi(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\lambda} + \tilde{\boldsymbol{\delta}})} \\ &\quad \times \left(v_2^B(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta}) - v_2^D(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta})e^{-\partial} \right). \end{aligned} \tag{5.20}$$

Compare with the similar expressions for the X_ℓ Wilson & Askey-Wilson polynomials (3.20)–(3.21) in Ref. 15). The operators $\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda})$ and $\hat{\mathcal{B}}_\ell(\boldsymbol{\lambda})$ act as the forward and backward shift operators connecting the original polynomials P_n and the exceptional polynomials $P_{\ell,n}$:

$$\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda})\check{P}_n(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) = \hat{f}_{\ell,n}(\boldsymbol{\lambda})\check{P}_{\ell,n}(x; \boldsymbol{\lambda}), \tag{5.21}$$

$$\hat{\mathcal{B}}_\ell(\boldsymbol{\lambda})\check{P}_{\ell,n}(x; \boldsymbol{\lambda}) = \hat{b}_{\ell,n}(\boldsymbol{\lambda})\check{P}_n(x; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}). \tag{5.22}$$

The former relation (5.21) with the explicit form of $\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda})$ (5.19) provides the explicit expression (4.28) of the exceptional orthogonal polynomials. In terms of $\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda})$ and $\hat{\mathcal{B}}_\ell(\boldsymbol{\lambda})$, the relations (5.10)–(5.11) become

$$\hat{\mathcal{B}}_\ell(\boldsymbol{\lambda})\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda}) = \tilde{\mathcal{H}}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) + \hat{f}_{\ell,0}(\boldsymbol{\lambda})\hat{b}_{\ell,0}(\boldsymbol{\lambda}), \tag{5.23}$$

$$\hat{\mathcal{F}}_\ell(\boldsymbol{\lambda})\hat{\mathcal{B}}_\ell(\boldsymbol{\lambda}) = \tilde{\mathcal{H}}_\ell(\boldsymbol{\lambda}) + \hat{f}_{\ell,0}(\boldsymbol{\lambda})\hat{b}_{\ell,0}(\boldsymbol{\lambda}). \tag{5.24}$$

The other simple consequences of these relations are

$$\hat{\mathcal{E}}_{\ell,n}^{(\pm)}(\boldsymbol{\lambda}) = \hat{\kappa}_\ell(\boldsymbol{\lambda})\hat{f}_{\ell,n}(\boldsymbol{\lambda})\hat{b}_{\ell,n}(\boldsymbol{\lambda}), \quad \mathcal{E}_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta}) = \hat{f}_{\ell,n}(\boldsymbol{\lambda})\hat{b}_{\ell,n}(\boldsymbol{\lambda}) - \hat{f}_{\ell,0}(\boldsymbol{\lambda})\hat{b}_{\ell,0}(\boldsymbol{\lambda}). \tag{5.25}$$

The ℓ^2 inner product for $\phi_{\ell,n}$ and $\phi_{\ell,m}$ can be calculated in the following way:

$$\begin{aligned} &(\phi_{\ell,n}(\cdot; \boldsymbol{\lambda}), \phi_{\ell,m}(\cdot; \boldsymbol{\lambda})) \\ &= \frac{1}{\hat{f}_{\ell,m}(\boldsymbol{\lambda})} \sqrt{\frac{\check{\xi}_\ell(1; \boldsymbol{\lambda})s_\ell(\boldsymbol{\lambda})}{\hat{\kappa}_\ell(\boldsymbol{\lambda})}} (\phi_{\ell,n}(\cdot; \boldsymbol{\lambda}), \hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})\phi_m(\cdot; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})) \\ &= \frac{1}{\hat{f}_{\ell,m}(\boldsymbol{\lambda})} \sqrt{\frac{\check{\xi}_\ell(1; \boldsymbol{\lambda})s_\ell(\boldsymbol{\lambda})}{\hat{\kappa}_\ell(\boldsymbol{\lambda})}} (\hat{\mathcal{A}}_\ell(\boldsymbol{\lambda})^\dagger\phi_{\ell,n}(\cdot; \boldsymbol{\lambda}), \phi_m(\cdot; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})) \end{aligned}$$

$$\begin{aligned}
 &= \frac{\tilde{b}_{\ell,n}(\boldsymbol{\lambda})}{\hat{f}_{\ell,m}(\boldsymbol{\lambda})} \check{\xi}_{\ell}(1; \boldsymbol{\lambda}) s_{\ell}(\boldsymbol{\lambda}) (\phi_n(\cdot; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}), \phi_m(\cdot; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})) \\
 &= \check{\xi}_{\ell}(1; \boldsymbol{\lambda}) \frac{\delta_{nm}}{d_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})^2} \frac{\tilde{b}_{\ell,n}(\boldsymbol{\lambda})}{\hat{f}_{\ell,n}(\boldsymbol{\lambda})} s_{\ell}(\boldsymbol{\lambda}), \tag{5.26}
 \end{aligned}$$

where we have used (5.13), (5.16) and (2.8). This gives a proof of (4.40).

It is interesting to note that the operator $\hat{\mathcal{A}}_{\ell}(\boldsymbol{\lambda})$ intertwines those of the original and deformed systems $\mathcal{A}(\boldsymbol{\lambda})$ and $\mathcal{A}_{\ell}(\boldsymbol{\lambda})$:

$$\hat{\mathcal{A}}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})\mathcal{A}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) = \mathcal{A}_{\ell}(\boldsymbol{\lambda})\hat{\mathcal{A}}_{\ell}(\boldsymbol{\lambda}), \tag{5.27}$$

$$\hat{\mathcal{A}}_{\ell}(\boldsymbol{\lambda})\mathcal{A}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})^{\dagger} = \mathcal{A}_{\ell}(\boldsymbol{\lambda})^{\dagger}\hat{\mathcal{A}}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta}). \tag{5.28}$$

In terms of the definitions of the forward shift operators $\mathcal{F}(\boldsymbol{\lambda})$ (2.18), $\mathcal{F}_{\ell}(\boldsymbol{\lambda})$ (4.33), $\hat{\mathcal{F}}_{\ell}(\boldsymbol{\lambda})$ (5.17), and $\mathcal{B}(\boldsymbol{\lambda})$ (2.19), $\mathcal{B}_{\ell}(\boldsymbol{\lambda})$ (4.34), the above relations are rewritten as

$$\hat{s}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})\hat{\mathcal{F}}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})\mathcal{F}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) = \hat{s}_{\ell}(\boldsymbol{\lambda})\mathcal{F}_{\ell}(\boldsymbol{\lambda})\hat{\mathcal{F}}_{\ell}(\boldsymbol{\lambda}), \tag{5.29}$$

$$\hat{s}_{\ell}(\boldsymbol{\lambda})\hat{\mathcal{F}}_{\ell}(\boldsymbol{\lambda})\mathcal{B}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}) = \hat{s}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})\mathcal{B}_{\ell}(\boldsymbol{\lambda})\hat{\mathcal{F}}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta}), \tag{5.30}$$

where $\hat{s}_{\ell}(\boldsymbol{\lambda})$ is

$$\hat{s}_{\ell}(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} \hat{\kappa}_{\ell}(\boldsymbol{\lambda}) \times \begin{cases} c + \ell - 1 & : \mathbb{R}, \\ 1 - cq^{\ell-1} & : q\mathbb{R}. \end{cases} \tag{5.31}$$

These relations can be proven by explicit calculation with the help of the two formulas of the deforming polynomial $\check{\xi}_{\ell}(x; \boldsymbol{\lambda})$ (4.6)–(4.7).

By applying $\hat{\mathcal{A}}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})$ and $\hat{\mathcal{A}}_{\ell}(\boldsymbol{\lambda})$ to (2.12) and (2.13) (with replacement $\boldsymbol{\lambda} \rightarrow \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}}$) respectively, together with the use of (5.27), (5.28) and (5.16), we obtain

$$\begin{aligned}
 &\mathcal{A}_{\ell}(\boldsymbol{\lambda})\phi_{\ell,n}(x; \boldsymbol{\lambda}) \\
 &= \sqrt{\frac{\hat{\kappa}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})}{\hat{\kappa}_{\ell}(\boldsymbol{\lambda})} \frac{s_{\ell}(\boldsymbol{\lambda})}{s_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})} \frac{\check{\xi}_{\ell}(1; \boldsymbol{\lambda})}{\check{\xi}_{\ell}(1; \boldsymbol{\lambda} + \boldsymbol{\delta})} \frac{1}{B(0; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})}} \frac{\hat{f}_{\ell,n-1}(\boldsymbol{\lambda} + \boldsymbol{\delta})}{\hat{f}_{\ell,n}(\boldsymbol{\lambda})} \\
 &\quad \times f_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})\phi_{\ell,n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) \\
 &= \frac{1}{\sqrt{B_{\ell}(0; \boldsymbol{\lambda})}} f_n(\boldsymbol{\lambda} + \ell\boldsymbol{\delta})\phi_{\ell,n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}), \tag{5.32}
 \end{aligned}$$

$$\begin{aligned}
 &\mathcal{A}_{\ell}(\boldsymbol{\lambda})^{\dagger}\phi_{\ell,n-1}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}) \\
 &= \sqrt{\frac{\hat{\kappa}_{\ell}(\boldsymbol{\lambda})}{\hat{\kappa}_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})} \frac{s_{\ell}(\boldsymbol{\lambda} + \boldsymbol{\delta})}{s_{\ell}(\boldsymbol{\lambda})} \frac{\check{\xi}_{\ell}(1; \boldsymbol{\lambda} + \boldsymbol{\delta})}{\check{\xi}_{\ell}(1; \boldsymbol{\lambda})} B(0; \boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})} \frac{\hat{f}_{\ell,n}(\boldsymbol{\lambda})}{\hat{f}_{\ell,n-1}(\boldsymbol{\lambda} + \boldsymbol{\delta})} \\
 &\quad \times b_{n-1}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta} + \tilde{\boldsymbol{\delta}})\phi_{\ell,n}(x; \boldsymbol{\lambda}) \\
 &= \sqrt{B_{\ell}(0; \boldsymbol{\lambda})} b_{n-1}(\boldsymbol{\lambda} + \ell\boldsymbol{\delta})\phi_{\ell,n}(x; \boldsymbol{\lambda} + \boldsymbol{\delta}). \tag{5.33}
 \end{aligned}$$

In the calculation use is made of the explicit forms of $\hat{\kappa}_{\ell}(\boldsymbol{\lambda})$, $s_{\ell}(\boldsymbol{\lambda})$, $B_{\ell}(x; \boldsymbol{\lambda})$, $\hat{f}_{\ell,n}(\boldsymbol{\lambda})$, $f_n(\boldsymbol{\lambda})$ and $b_n(\boldsymbol{\lambda})$ in the second equalities. This provides a proof of (4.30)–(4.32) without recourse to the shape invariance of the deformed system. Likewise the above

intertwining relations of the forward-backward shift operators (5.29)–(5.30) give a proof of (4.36)–(4.37), respectively, again without recourse to the shape invariance.

Since the q -Racah polynomial $\check{P}_n^{qR}(x; \lambda)$ (3.10) is related to the Askey-Wilson polynomial $p_n(\cos x; a, b, c, d|q)$ as⁵⁾

$$\check{P}_n^{qR}(x; \lambda) = \frac{d^{\frac{n}{2}}}{(a, b, c; q)_n} p_n\left(\frac{1}{2}(d^{\frac{1}{2}}q^x + d^{-\frac{1}{2}}q^{-x}); ad^{-\frac{1}{2}}, bd^{-\frac{1}{2}}, cd^{-\frac{1}{2}}, d^{\frac{1}{2}}|q\right), \quad (5.34)$$

many formulas for the q -Racah case in §§4 and 5 are obtained essentially from those for the Askey-Wilson case¹⁵⁾ by the following replacement:

$$e^{ix^{AW}} = d^{\frac{1}{2}}q^{x+\frac{1}{2}\ell}, \quad q^{\lambda^{AW}} = (ad^{-\frac{1}{2}}, bd^{-\frac{1}{2}}, cd^{-\frac{1}{2}}, d^{\frac{1}{2}}). \quad (5.35)$$

§6. Other X_ℓ polynomials — dual (q) -Hahn, little q -Jacobi

In §§3–5 we have derived the exceptional Racah and q -Racah Hamiltonian systems by deforming those of the Racah and q -Racah in parallel in terms of a degree ℓ polynomial with twisted parameters. It is well known that the Racah polynomials can be obtained from the q -Racah polynomials by taking the standard $q \rightarrow 1$ limit with an appropriate overall rescaling. The same limiting procedure could be applied to derive the exceptional Racah polynomials from the exceptional q -Racah polynomials.

Likewise various orthogonal polynomials of a discrete variable can be obtained from the q -Racah polynomials by many different limiting procedures with/without the $q \rightarrow 1$ limit. Here we present two such examples: the dual (q) -Hahn and the little q -Jacobi polynomials and the corresponding exceptional polynomials. The former is a finite dimensional example and the latter is infinite dimensional. It should be stressed, however, that there is no guarantee that the limiting procedure among the undeformed polynomials could be lifted to produce the corresponding exceptional polynomials. For example, the Hermite polynomials are known to be obtained from the Jacobi or the Laguerre polynomials by a certain limit procedure. But that does not produce exceptional Hermite polynomials from the known exceptional Jacobi or Laguerre polynomials.

6.1. Dual (q) -Hahn

In this subsection we present the ordinary and the exceptional dual Hahn (dH) and the dual q -Hahn (dqH) polynomials. Like as (q) -Racah cases, these are finite dimensional: $x_{\max} = n_{\max} = N$ and $x_{\max}^\ell = n_{\max}^\ell = N - \ell$. The dual q -Hahn case is obtained from the q -Racah case by the following limit:

$$q^{\lambda^{qR}} = (q^{-N}, a, t, abq^{-1}), \quad qR \xrightarrow{t \rightarrow 0} dqH. \quad (6.1)$$

The dual Hahn case is obtained from the dual q -Hahn case by taking $q \rightarrow 1$ limit with an appropriate overall rescaling.

6.1.1. Original systems

The Hamiltonian systems thus obtained belong to the $\epsilon = 1$ case of Ref. 1) and they are listed as follows:

$$\begin{cases} \boldsymbol{\lambda} = (a, b, N) & : \text{dH}, \\ q^\lambda = (a, b, q^N) & : \text{dqH}, \end{cases} \quad \boldsymbol{\delta} = (1, 0, -1) : \text{dH, dqH}, \quad \kappa = \begin{cases} 1 & : \text{dH}, \\ q^{-1} & : \text{dqH}, \end{cases} \quad (6.2)$$

$$\begin{cases} a > 0, b > 0 & : \text{dH}, \\ 0 < a < 1, 0 < b < 1, & : \text{dqH}, \end{cases} \quad (6.3)$$

$$B(x; \boldsymbol{\lambda}) = \begin{cases} \frac{(x+a)(x+a+b-1)(N-x)}{(2x-1+a+b)(2x+a+b)} & : \text{dH}, \\ \frac{(q^{x-N}-1)(1-aq^x)(1-abq^{x-1})}{(1-abq^{2x-1})(1-abq^{2x})} & : \text{dqH}, \end{cases} \quad (6.4)$$

$$D(x; \boldsymbol{\lambda}) = \begin{cases} \frac{x(x+b-1)(x+a+b+N-1)}{(2x-2+a+b)(2x-1+a+b)} & : \text{dH}, \\ aq^{x-N-1} \frac{(1-q^x)(1-abq^{x+N-1})(1-bq^{x-1})}{(1-abq^{2x-2})(1-abq^{2x-1})} & : \text{dqH}, \end{cases} \quad (6.5)$$

$$\mathcal{E}_n(\boldsymbol{\lambda}) = \begin{cases} n & : \text{dH}, \\ q^{-n} - 1 & : \text{dqH}, \end{cases} \quad \eta(x; \boldsymbol{\lambda}) = \begin{cases} x(x+a+b-1) & : \text{dH}, \\ (q^{-x}-1)(1-abq^{x-1}) & : \text{dqH}, \end{cases} \quad (6.6)$$

$$\begin{aligned} \check{P}_n(x; \boldsymbol{\lambda}) = P_n(\eta(x; \boldsymbol{\lambda}); \boldsymbol{\lambda}) &= \begin{cases} {}_3F_2 \left(\begin{matrix} -n, x+a+b-1, -x \\ a, -N \end{matrix} \middle| 1 \right) & : \text{dH}, \\ {}_3\phi_2 \left(\begin{matrix} q^{-n}, abq^{x-1}, q^{-x} \\ a, q^{-N} \end{matrix} \middle| q; q \right) & : \text{dqH} \end{cases} \\ &= \begin{cases} R_n(\eta(x; \boldsymbol{\lambda}); a-1, b-1, N) & : \text{dH}, \\ R_n(1+abq^{-1}+\eta(x; \boldsymbol{\lambda}); aq^{-1}, bq^{-1}, N|q) & : \text{dqH}, \end{cases} \end{aligned} \quad (6.7)$$

$$\phi_0(x; \boldsymbol{\lambda})^2 = \begin{cases} \frac{N!}{x!(N-x)!} \frac{(a)_x (2x+a+b-1)(a+b)_N}{(b)_x (x+a+b-1)_{N+1}} & : \text{dH}, \\ \frac{(q; q)_N}{(q; q)_x (q; q)_{N-x}} \frac{(a, abq^{-1}; q)_x}{(abq^N, b; q)_x} \frac{1-abq^{2x-1}}{1-abq^{-1}} & : \text{dqH}, \end{cases} \quad (6.8)$$

$$d_n(\boldsymbol{\lambda})^2 = \begin{cases} \frac{N!}{n!(N-n)!} \frac{(a)_n (b)_{N-n}}{(b)_N} \times \frac{(b)_N}{(a+b)_N} & : \text{dH}, \\ \frac{(q; q)_N}{(q; q)_n (q; q)_{N-n}} \frac{(a; q)_n (b; q)_{N-n}}{(b; q)_N a^n} \times \frac{(b; q)_N a^N}{(ab; q)_N} & : \text{dqH}, \end{cases} \quad (6.9)$$

$$\varphi(x; \boldsymbol{\lambda}) = \begin{cases} \frac{2x+a+b}{a+b} & : \text{dH}, \\ \frac{q^{-x}-abq^x}{1-ab} & : \text{dqH}, \end{cases} \quad f_n(\boldsymbol{\lambda}) = \mathcal{E}_n(\boldsymbol{\lambda}), \quad b_n(\boldsymbol{\lambda}) = 1 : \text{dH, dqH}. \quad (6.10)$$

6.1.2. Deformed systems

We restrict the parameter range of (6.3) as follows:

$$\begin{cases} a > 0, b > 1 & : \text{dH}, \\ 0 < a < 1, 0 < b < q, & : \text{dqH}. \end{cases} \quad (6.11)$$

The data for the Hamiltonian systems of the exceptional dual (q) -Hahn polynomials are as follows:

$$\begin{aligned} \check{\xi}_\ell(x; \boldsymbol{\lambda}) &= \xi_\ell(\eta(x; \boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta}); \boldsymbol{\lambda}) \\ &= \check{P}_\ell(x; \mathbf{t}(\boldsymbol{\lambda} + (\ell - 1)\boldsymbol{\delta})), \quad \mathbf{t}(\boldsymbol{\lambda}) \stackrel{\text{def}}{=} (\lambda_1 + \lambda_2 + \lambda_3 - 1, 1 - \lambda_3, 1 - \lambda_2) \quad : \text{dH}, \text{dqH} \\ &= \begin{cases} {}_3F_2\left(\begin{matrix} -\ell, a + b + x + \ell - 2, -x \\ a + b + N - 1, b - 1 \end{matrix} \middle| 1\right) & : \text{dH}, \\ {}_3\phi_2\left(\begin{matrix} q^{-\ell}, abq^{x+\ell-2}, q^{-x} \\ abq^{N-1}, bq^{-1} \end{matrix} \middle| q; q\right) & : \text{dqH}, \end{cases} \end{aligned} \tag{6.12}$$

$$v_1^B(x; \boldsymbol{\lambda}) = \begin{cases} \frac{(x - N)(x + a)}{a + b - 1} & : \text{dH}, \\ q^{-x} \frac{(1 - q^{x-N})(1 - aq^x)}{1 - abq^{-1}} & : \text{dqH}, \end{cases} \tag{6.13}$$

$$v_2^B(x; \boldsymbol{\lambda}) = \begin{cases} \frac{x + a + b - 1}{a + b - 1} & : \text{dH}, \\ q^{-x} \frac{1 - abq^{x-1}}{1 - abq^{-1}} & : \text{dqH}, \end{cases} \tag{6.14}$$

$$v_1^D(x; \boldsymbol{\lambda}) = \begin{cases} \frac{(x + a + b + N - 1)(x + b - 1)}{a + b - 1} & : \text{dH}, \\ q^{-x} b^{-1} q^{1-N} \frac{(1 - abq^{x+N-1})(1 - bq^{x-1})}{1 - abq^{-1}} & : \text{dqH}, \end{cases} \tag{6.15}$$

$$v_2^D(x; \boldsymbol{\lambda}) = \begin{cases} -\frac{x}{a + b - 1} & : \text{dH}, \\ -abq^{-1} \frac{1 - q^x}{1 - abq^{-1}} & : \text{dqH}, \end{cases} \tag{6.16}$$

$$\tilde{\boldsymbol{\delta}} = (0, -1, 0) \quad : \text{dH}, \text{dqH}, \tag{6.17}$$

$$\hat{f}_{\ell,n}(\boldsymbol{\lambda}) = \begin{cases} -b - N + n + 1 & : \text{dH}, \\ -b^{-1} q^{1-N} (1 - bq^{N-n-1}) & : \text{dqH}, \end{cases} \quad \hat{b}_{\ell,n}(\boldsymbol{\lambda}) = 1 \quad : \text{dH}, \text{dqH}, \tag{6.18}$$

$$\hat{\kappa}_\ell(\boldsymbol{\lambda}) = \begin{cases} 1 & : \text{dH}, \\ bq^{N-\ell-1} & : \text{dqH}, \end{cases} \quad s_\ell(\boldsymbol{\lambda}) = \begin{cases} (1 - b) \frac{a + b + N - 1}{a + b + \ell - 1} & : \text{dH}, \\ q^{\ell-N} (1 - b^{-1}q) \frac{1 - abq^{N-1}}{1 - abq^{\ell-1}} & : \text{dqH}, \end{cases} \tag{6.19}$$

$$\hat{s}_\ell(\boldsymbol{\lambda}) = \hat{\kappa}_\ell(\boldsymbol{\lambda}) \quad : \text{dH}, \text{dqH}. \tag{6.20}$$

Note that $\hat{f}_{\ell,n}(\boldsymbol{\lambda}), s_\ell(\boldsymbol{\lambda}) < 0$ and $\hat{b}_{\ell,n}(\boldsymbol{\lambda}) > 0$. All the formulas in §§3–5 are satisfied.

6.2. Little q -Jacobi

In this subsection we present the ordinary and the exceptional little q -Jacobi $(\text{I}q\text{J})$ polynomials. They are infinite dimensional: $x_{\max} = n_{\max} = \infty$ and $x_{\max}^\ell = n_{\max}^\ell = \infty$. The Hamiltonian system of the little q -Jacobi polynomials is obtained from that of the q -Racah polynomials by the following limit:

$$q^{\lambda^{\text{qR}}} = (q^{-N}, aq^{N+1}t^{-1}, bq, t^{-1}), \quad q\text{R} \xrightarrow{t \rightarrow 0} \text{al}q\text{H} \xrightarrow{N \rightarrow \infty} \text{I}q\text{J}, \tag{6.21}$$

where $algH$ stands for the alternative q -Hahn system (with $\lambda = (aq, bq, N)$) in §5.3.1 of Ref. 1).

6.2.1. Original system

The data of the shape invariant Hamiltonian system whose eigenfunctions are described by the little q -Jacobi polynomials are as follows:¹⁾

$$q^\lambda = (a, b), \quad \delta = (1, 1), \quad \kappa = q^{-1}, \quad 0 < a < q^{-1}, \quad 0 < b < q^{-1}, \tag{6.22}$$

$$B(x; \lambda) = a(q^{-x} - bq), \quad D(x; \lambda) = q^{-x} - 1, \tag{6.23}$$

$$\mathcal{E}_n(\lambda) = (q^{-n} - 1)(1 - abq^{n+1}), \quad \eta(x; \lambda) = 1 - q^x, \tag{6.24}$$

$$\begin{aligned} \check{P}_n(x; \lambda) &= P_n(\eta(x; \lambda); \lambda) = {}_3\phi_1\left(\begin{matrix} q^{-n}, abq^{n+1}, q^{-x} \\ bq \end{matrix} \middle| q; a^{-1}q^x\right) \\ &= (-a)^{-n}q^{-\frac{1}{2}n(n+1)}\frac{(aq; q)_n}{(bq; q)_n} {}_2\phi_1\left(\begin{matrix} q^{-n}, abq^{n+1} \\ aq \end{matrix} \middle| q; q^{x+1}\right) \\ &= (-a)^{-n}q^{-\frac{1}{2}n(n+1)}\frac{(aq; q)_n}{(bq; q)_n} p_n(1 - \eta(x; \lambda); a, b|q), \end{aligned} \tag{6.25}$$

$$\phi_0(x; \lambda)^2 = \frac{(bq; q)_x}{(q; q)_x} (aq)^x, \tag{6.26}$$

$$d_n(\lambda)^2 = \frac{(bq, abq; q)_n a^n q^{n^2}}{(q, aq; q)_n} \frac{1 - abq^{2n+1}}{1 - abq} \times \frac{(aq; q)_\infty}{(abq^2; q)_\infty}, \tag{6.27}$$

$$\varphi(x; \lambda) = q^x, \quad f_n(\lambda) = \mathcal{E}_n(\lambda), \quad b_n(\lambda) = 1. \tag{6.28}$$

6.2.2. Deformed system

The data for the exceptional little q -Jacobi polynomials are as follows:

$$\begin{aligned} \check{\xi}_\ell(x; \lambda) &= \xi_\ell(\eta(x; \lambda + (\ell - 1)\delta); \lambda) \\ &= \check{P}_\ell(x; \mathbf{t}(\lambda + (\ell - 1)\delta)), \quad \mathbf{t}(\lambda) \stackrel{\text{def}}{=} (-\lambda_1 - 2, \lambda_2) \\ &= {}_3\phi_1\left(\begin{matrix} q^{-\ell}, a^{-1}bq^{\ell-1}, q^{-x} \\ bq^\ell \end{matrix} \middle| q; aq^{x+\ell+1}\right), \end{aligned} \tag{6.29}$$

$$v_1^B(x; \lambda) = -aq^{x+1}, \quad v_2^B(x; \lambda) = 1 - bq^{x+1}, \tag{6.30}$$

$$v_1^D(x; \lambda) = -q^x, \quad v_2^D(x; \lambda) = 1 - q^x, \tag{6.31}$$

$$\tilde{\delta} = (1, -1), \tag{6.32}$$

$$\hat{f}_{\ell,n}(\lambda) = q^{-n}(1 - aq^{n+1})\frac{1 - bq^{2\ell+n}}{1 - bq^\ell}, \quad \hat{b}_{\ell,n}(\lambda) = 1 - bq^\ell, \tag{6.33}$$

$$\hat{\kappa}_\ell(\lambda) = (aq^{\ell+1})^{-1}, \quad s_\ell(\lambda) = \frac{1}{1 - bq^\ell}, \tag{6.34}$$

$$\hat{s}_\ell(\lambda) = \hat{\kappa}_\ell(\lambda)(1 - bq^\ell). \tag{6.35}$$

Note that $\hat{f}_{\ell,n}(\lambda), \hat{b}_{\ell,n}(\lambda), s_\ell(\lambda) > 0$. All the formulas in §§3–5 are satisfied.

§7. Summary and comments

The Racah and the q -Racah polynomials are the most generic members of the orthogonal polynomials of a discrete variable satisfying second order difference equations. By deforming the discrete quantum mechanical systems governing these polynomials in terms of degree ℓ eigenpolynomials, the exceptional Racah and q -Racah polynomials are obtained as the main part of eigenfunctions of the deformed systems, which are shape invariant and exactly solvable. By certain limiting procedures, the exceptional dual (q) -Hahn polynomials and the exceptional little q -Jacobi polynomials are derived. The deformation process goes parallel with that for the exceptional Wilson and Askey-Wilson polynomials. Some of the characteristics of the quantum mechanics with real shifts are the cause of complications which led to the delayed discovery. The method of deriving the exceptional polynomials is new to the theory of orthogonal polynomials. As for the parameter ranges in which the orthogonality weight functions are positive, we have made a quite conservative arguments. It is quite possible that for a fixed ℓ the valid parameter range could be enlarged than those given in the text. On the other hand, the difference equations for the original and the exceptional orthogonal polynomials, (2.22)–(2.25), (4.36)–(4.38) and (5.21)–(5.22) are purely algebraic and they hold for any parameter values.

With the understanding of all the generic exceptional orthogonal polynomials as solutions of exactly solvable quantum mechanical systems, the next challenge would be the construction of the exceptionals of various reduced cases, for example, the Morse potential, the Meixner-Pollaczek and the Krawtchouk cases, etc. Finding multivariable generalisation is truly interesting but its feasibility is as yet unclear.

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