

Flat Connections of Differential Operators and Odd Dimensional Characteristic Classes¹⁾

By AKIRA ASADA

Department of Mathematics, Faculty of Science

Shinshu University

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Introduction

It is known that global geometric properties of Fuchs-type operators are formulated as follows: Let $G=GL(n, \mathbb{C})$, G_t and G_ω be the sheaves of germs of constant and holomorphic G -valued functions over M , a complex manifold, \mathcal{M} the sheaf of germs of those matrix valued holomorphic 1-forms θ such that $d\theta + \theta \wedge \theta = 0$. Then, set $r(f) = df f^{-1}$, the sequence $0 \longrightarrow G_t \xrightarrow{i} G_\omega \xrightarrow{r} \mathcal{M}_\omega \longrightarrow 0$ is exact and it derives following exact sequence of cohomology sets

$$H^0(M, G_\omega) \xrightarrow{r^*} H^0(M, \mathcal{M}_\omega) \xrightarrow{\delta} H^1(M, G_t) \xrightarrow{i^*} H^1(M, G_\omega).$$

$\theta \in H^0(M, \mathcal{M}_\omega)$ is a global integrable connection on M and $d + \theta$ is a Fuchs type operator. Since there is a bijection $\chi: H^1(M, G_t) \longrightarrow \text{Hom}(\pi_1(M), G)$, $\chi(\delta(\theta))$ ($\pi_1(M)$) is a subgroup of G . It is the monodromy group of $d + \theta$. If a representation $\rho: \pi_1(M) \longrightarrow G$ is given, it is realized as a monodromy representation of some Fuchs type operator if and only if $i^* \chi^{-1}(\rho) = 1$, the trivial holomorphic bundle. Same formulation is possible in smooth category to use G_d , the sheaf of germs of smooth G -valued functions, and \mathcal{M}_d , the sheaf of germs of those matrix valued smooth 1-forms θ such that $d\theta + \theta \wedge \theta = 0$, instead of G_ω and \mathcal{M}_ω ([1], [12], [13], [14]).

The notion of connection is extended for an arbitrary differential operator $D: C^\infty(M, E_1) \longrightarrow C^\infty(M, E_2)$, M a smooth manifold, E_i , $i=1, 2$, the smooth vector bundles, and a smooth vector bundle ξ over M ([3]). The definition is as follows: Denote H the fibre of ξ , a collection $\{\theta_U\}$, $\theta_U: C^\infty(U, E_1 \otimes H) \longrightarrow C^\infty(U, E_2 \otimes H)$ is a differential operator, is called a connection of D with respect to ξ , if $\text{ord } \theta_U \leq \text{ord } D - 1$ and set $D_\theta = \{D_U \otimes 1_H + \theta_U\}$, $D = \{D_U\}$, D_θ becomes a well defined differential operator from $C^\infty(M, E_1 \otimes H)$ into $C^\infty(M, E_2 \otimes H)$.

To define the curvature operator of a connection of a differential operator is possible (cf. Appendix of this paper), and it relates the theory of non-linear coho-

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mology ([9]). But the notion of a flat connection of a differential operator is given more directly as follows: A connection $\{\theta_U\}$ of D with respect to a G -bundle ξ is called flat if there is a collection $\{h_U\}$ of smooth G -valued function h_U on U such that $\theta_U = \rho_D(h_U)$. Here $\rho_D(h_U)$ is given by

$$\rho_D(h_U)\varphi = (D_U \otimes 1_H)\varphi - (1_{E_1, E_2} \otimes h_U) (D_U \otimes 1_H) ((1_{E_1} \otimes h_U^{-1})\varphi).$$

A G -valued function g such that $\rho_D(g) = 0$ is called a $c(D)$ -class G -valued function. It is shown that a G -valued function g is of $c(D)$ -class if and only if its matrix elements are of $c(D)$ -class, and there is a system of differential operators $r(D)$ determined by D such that a function f is of $c(D)$ -class if and only if $r(D)f = 0$. Some examples, such as a real elliptic operator acting on scalar functions, have only constant functions as $c(D)$ -class functions. But, some other examples, such as $D = \bar{\partial}$, have nonconstant $c(D)$ -class functions (§1). We denote the sheaf of germs of $c(D)$ -class G -valued functions by $G_{c(D)}$ and set $\rho_D(G_d) = L_{G, D}$. Then we have the exact sequence of sheaves

$$0 \longrightarrow G_{c(D)} \xrightarrow{i} G_d \xrightarrow{\rho_D} L_{G, D} \longrightarrow 0.$$

From this sequence, we obtain the following exact sequence of cohomology sets

$$H^0(M, G_d) \xrightarrow{\rho_D^*} H^0(M, L_{G, D}) \xrightarrow{\delta} H^1(M, G_{c(D)}) \xrightarrow{i^*} H^1(M, G_d).$$

If $L \in H^0(M, L_{G, D})$, $D \otimes 1_H - L$ is a differential operator from $C^\infty(M, E_1 \otimes H)$ into $C^\infty(M, E_2 \otimes H)$. We call this operator to be a D -Fuchs type operator. On the other hand, an element of $H^1(M, G_{c(D)})$ is called a $c(D)$ -class G -bundle or a D -flat G -bundle. Hence $\delta(L)$ is a differentiable trivial $c(D)$ -class G -bundle. It is shown that $\delta(L)$ has the minimal structure group as a $c(D)$ -class G -bundle. This group is called the monodromy group of $D \otimes 1_H - L$ (§2).

If $G = GL(n, \mathbb{C})$, we can define several characteristic classes related to $c(D)$ -class G -bundles and the elements of $H^0(M, L_{G, D})$. These classes are connected with the exact sequence of cohomologies

$$\begin{aligned} \dots \longrightarrow H^{2p-1}(M, \mathbb{Z}) \xrightarrow{i^*} H^{2p-1}(M, \mathbb{C}_{c(D)}) \xrightarrow{\exp^*} H^{2p-1}(M, \mathbb{C}_{c(D)}^*) \xrightarrow{\delta} \\ \longrightarrow H^{2p}(M, \mathbb{Z}) \xrightarrow{i^*} H^{2p}(M, \mathbb{C}_{c(D)}) \longrightarrow \dots, \end{aligned}$$

and the generator of the cohomology ring $H^*(GL(n, \mathbb{C}), \mathbb{Z}) = H^*(U(n), \mathbb{Z})$ (cf. [6], [7], [11]). For this purpose, we define a product (denoted by $*$) on $\sum_p H^{2p-1}(M, \mathbb{C}_{c(D)}^*)$ and show $\delta: \sum_p H^{2p-1}(M, \mathbb{C}_{c(D)}^*) \longrightarrow \sum_p H^{2p}(M, \mathbb{Z})$ is a ring homomorphism (§3, n°8, the product in the right hand side is the cup product). Then our results are summarized as follows (§§3, 4):

- (i). Denote $c^p(\xi)$ the p -th Chern class of a complex vector bundle ξ , $i^*(c^p(\xi))=0$ for any p , if ξ is a $c(D)$ -class bundle.
- (ii). If ξ is a $c(D)$ -class bundle, there is a well defined cohomology class $b^p(\xi) \in H^{2p-1}(M, \mathbb{C}_{c(D)}^*)$ such that

$$\delta b^p(\xi) = c^p(\xi).$$

- (iii). If $L \in H^0(M, L_{G,D})$ and D satisfies some assumptions (cf. §4, n°10), there is a well defined cohomology class $\beta^p(L) \in H^{2p-1}(M, \mathbb{C}_{c(D)})$ such that

$$\exp^*(\beta^p(L)) = (-1)^{p-1} F_{n,p}(b^1(\delta(L)), \dots, b^p(\delta(L))).$$

Here $F_{n,p}(s_1, \dots, s_p) = \sum_{i=1}^n X_i^p$, s_q is the q -th elementary symmetric function of indeterminants X_1, \dots, X_n and the product is $*$ -product.

- (iv). If $L = \rho_D(f)$, f is a smooth G -valued function on M , then

$$\beta^p(L) = i^*(f^*(c^p)).$$

Here c^p is the $(2p-1)$ -dimensional generator of $H^*(GL(n, \mathbb{C}), \mathbb{Z})$.

If $M = \mathbb{C}^*$, $D = d/dz$ and $L = \alpha/z$, $\beta^1(L)$ is $\alpha \langle e \rangle$, $\langle e \rangle$ is the generator of $H^1(\mathbb{C}^*, \mathbb{C}) = \mathbb{C}$. In general, $\beta^1(L)$ is determined by the coefficients of the indicial equation in classical case. $\beta^p(L)$ is determined by $\sigma(L)$, the principal symbol of L if D is homogeneous and satisfies the assumption of n°10. If $D = d$ or $\bar{\partial}$, an element of $H^{2p-1}(M, \mathbb{C}_{c(D)})$ is represented by a closed $(2p-1)$ -form or a $\bar{\partial}$ -closed $(0, 2p-1)$ -type form on M . On the other hand, L is a matrix valued 1-form θ on M . In these cases, we have

$$\beta^p(L) = \frac{(-1)^{p-1}}{(2\pi\sqrt{-1})^p} \text{tr}(\theta \wedge \dots \wedge \theta).$$

We note that (iii) shows the rigidity of $\beta^p(L)$ under the monodromy preserving deformation of L , because if $\delta(L) = \delta(L')$, $\beta^p(L) - \beta^p(L') \in i^*(H^{2p-1}(M, \mathbb{Z}))$ which is a discrete subgroup of $H^{2p-1}(M, \mathbb{C}_{c(D)})$. Therefore $\beta^p(L)$ is an invariant of monodromy preserving deformation (cf. [8], [15], [16]). But in some cases, $\beta^p(L)$, $p \geq 2$, vanishes. For example, if $L|_U = \rho_D(h_U)$ and each h_U is a $A(n, \mathbb{C})$ -valued function on U , $\beta^p(L) = 0$ if $p \geq 2$.

The outline of this paper is as follows: In §1, we define and study $c(D)$ -class functions and $c(D)$ -class G -valued functions. $c(D)$ -class G -bundles and D -Fuchs type differential operators are defined in §2. The existence of monodromy group is also shown in §2. §3 is devoted to the definitions of $*$ -product and $b^p(\xi)$. The proofs of above (i) and (ii) are also given in this §. The definition of $\beta^p(L)$ and the proofs of (iii) and (iv) are given in §4. In appendix, we give the definition of the curvature operator of a connection of a differential operator.

In this paper, we do not study the singularities of D -Fuchs type operators. From the point of view of the above formulation, the theory of singularities of D -Fuchs type operators seems to be a non-abelian residue theory.

§1. $c(D)$ -class functions and $c(D)$ -class G -valued functions

1. Let M be a connected paracompact smooth manifold, $D: C^\infty(M, E_1) \rightarrow C^\infty(M, E_2)$ a differential operator on M . Here E_i , $i=1, 2$, and $C^\infty(U, E_i)$, $i=1, 2$, are the smooth vector bundles over M and the space of its smooth sections on U , an open set of M . If f is a smooth function on U , f acts on each $C^\infty(U, E_i)$ by the scalar multiplication. Hence f defines a linear operator $f_{(m)}$ or f on $C^\infty(U, E_i)$.

Definition. A function f on U is called to be a $c(D)$ -class function on U if $f_{(m)}D = Df_{(m)}$. The set of all $c(D)$ -class functions on U is denoted by $c(D, U)$.

Lemma 1. If $D = \sum_{|\mathbf{I}| \leq k} A_{\mathbf{I}}(x) \partial^{|\mathbf{I}|} / \partial x^{\mathbf{I}}$, $\mathbf{I} = (i_1, \dots, i_n)$, $|\mathbf{I}| = i_1 + \dots + i_n$, $\partial^{|\mathbf{I}|} / \partial x^{\mathbf{I}} = \partial^{i_1} / \partial x_1^{i_1} \dots \partial x_n^{i_n}$, on U , f belongs in $c(D, U)$ if and only if

$$(1) \quad \sum_{\mathbf{J}+\mathbf{K}=\mathbf{I}, |\mathbf{J}| \geq 1} \frac{\mathbf{I}!}{\mathbf{J}!\mathbf{K}!} A_{\mathbf{I}}(x) \frac{\partial^{|\mathbf{J}|} f}{\partial x^{\mathbf{J}}} = 0, \quad |\mathbf{K}| \leq k-1.$$

Proof. Since $Df = fD + \sum_{|\mathbf{K}| \leq k-1} (\sum_{\mathbf{J}+\mathbf{K}=\mathbf{I}, |\mathbf{J}| \geq 1} (\mathbf{I}!/\mathbf{J}!\mathbf{K}!) A_{\mathbf{I}}(x) \partial^{|\mathbf{J}|} f / \partial x^{\mathbf{J}}) \partial^{|\mathbf{K}|} / \partial x^{\mathbf{K}}$, we have the lemma.

Corollary. If $V \subset U$ and $f \in c(D, U)$, f belongs in $c(D, V)$. Especially, the germ f_x of f at x and the set of germs of $c(D)$ -class functions $c(D)_x$ at x are defined.

Definition. The system of differential operators on M given by (1) is denoted by $r(D)$. $r(D)$ is called maximal if $r(D)f=0$ implies f is a constant.

Lemma 2. (i). $c(D, U)$ is a ring by the usual addition and multiplication of functions and contains the ring of constant functions.

(ii). $c(D, U)$ is closed by \mathcal{C}^k -topology.

(iii). If $f \in c(D, U)$ and F is a holomorphic function such that $(\partial^{|\mathbf{I}|} F / \partial x^{\mathbf{I}})(f)$ is defined if $|\mathbf{I}| \leq k$, then $F(f)$ belongs in $c(D, U)$.

Proof. Since $D(fg) = (Df)g = (fD)g = (fg)D$ if $f, g \in c(D, U)$, $c(D, U)$ is closed under the multiplication. Other parts of (i) and (ii) follow from lemma 1.

If F is holomorphic, there is a series of polynomials $\{F_m\}$ such that $\{F_m(f)\}$ converges to $F(f)$ on some neighborhood $U(x)$ of x , $x \in U$. Since $\partial^{|\mathbf{I}|} G(f) / \partial x^{\mathbf{I}} = P_{\mathbf{I}}(G(f), \dots, (\partial^{|\mathbf{J}|} G / \partial x^{\mathbf{J}})(f), \dots, f, \dots, \partial^{|\mathbf{K}|} f / \partial x^{\mathbf{K}}, \dots)$, $\mathbf{J}, \mathbf{K} \leq \mathbf{I}$, $\{F_m(f)\}$ converges to $F(f)$ at least by \mathcal{C}^k -topology. Hence we have (iii).

Corollary. $c(D)_x$ is a local ring.

If g_i is a linear transformation of the fibre of E_i and E_i is trivial on U , g_i acts as a linear operator on $C^\infty(U, E_i)$. This operator is denoted by $g_{i(m)}$ or g_i , $i=1, 2$. Then, since $g_{i(m)}f_{(m)} = f_{(m)}g_{i(m)}$, we have

Lemma 3. If g_i is invertible, $i=1, 2$, then

$$c(Dg_1, U) = c(D, U), \quad c(g_2 D, U) = c(D, U).$$

Example 1. If $D = \sum_i A_i(x) \partial / \partial x_i + B(x)$, $r(D)$ is given by $\sum_i A_i(x) \partial / \partial x_i$. If $A_i(x) = (a_i^{jk}(x))$, $r(D)$ is the overdetermined system $\sum_i a_i^{jk}(x) \partial f / \partial x_i = 0$, $1 \leq j \leq m_1$, $1 \leq k \leq m_2$. Here m_1, m_2 are the dimensions of the fibres of E_1, E_2 .

Example 2. If $D = \sum_{ij} a_{ij}(x) \partial^2 / \partial x_i \partial x_j + \sum_i b_i(x) \partial / \partial x_i + c(x)$, $a_{ij}(x) = a_{ji}(x)$, $r(D)$ is given by $\{2 \sum_j a_{ij}(x) \partial / \partial x_j, i=1, \dots, n, (D - c(x))\}$. Hence $r(D)$ is maximal on U if $A(x) = (a_{ij}(x))$ is a regular matrix on each $x \in U$.

Example 3. If D is a scalar valued real elliptic operator, $r(D)$ is maximal.

Since the problem is local, to show this, first we assume D is a constant coefficients operator. Then, since D is a real scalar valued operator, $k \geq 2$ and by a linear change of coordinates, we may assume $D = \partial^k / \partial y_1^k + \text{terms with order at most } k-2 \text{ in } \partial / \partial y_1$. Hence $r(D)$ contains $\partial / \partial y_1$ and f is independent to y_1 if $f \in c(D, U)$. Set $D = P(\partial / \partial y_1, \dots, \partial / \partial y_n)$, $D' = P(0, \partial / \partial y_2, \dots, \partial / \partial y_n)$ is elliptic on the plane $y_1 = 0$. Therefore $r(D)$ is maximal by induction in this case. For general D , set $D = D(x_0) + (D - D(x_0))$, $D(x_0)$ is a constant coefficients elliptic operator. If $f \in c(D, U)$, set

$$D(x_0)f = fD(x_0) + R_0, \quad D_1f = fD_1 + R_1, \quad D_1 = D - D(x_0),$$

the coefficients of D_1 vanishes at x_0 and $R_0 = -R_1$. Hence the coefficients of R_0 vanishes at x_0 and $df(x_0) = 0$ if $f \in c(D, U)$, because $r(D(x_0))$ is maximal. Since x_0 is arbitrary, this shows $df = 0$ on U . Therefore f is a constant and $r(D)$ is maximal.

Note. Example 1 shows if $D = d$ or $\bar{\partial}$, $r(D)$ is also d or $\bar{\partial}$.

2. Let H be a separable Hilbert space with the o.n.-basis $\{e_\alpha\}$. We denote the inner product $\xi, \eta \in H$ by (ξ, η) and the set of all bounded linear operators of H by $\mathcal{B}(H)$. Denote V_i the fibre of E_i , we set

$$\langle v \otimes \xi, \eta \rangle = (\xi, \eta)v, \quad v \in V_i, \quad v \otimes \xi \in V_i \otimes H, \quad i=1, 2.$$

Definition. (i). A $\mathcal{B}(H)$ -valued function $b(x)$ on U , an open set of M , is called smooth on U if $\langle b(x)e_\alpha, e_\beta \rangle$ is a smooth function on U for any $e_\alpha, e_\beta \in \{e_\alpha\}$

(ii). A $V_i \otimes H$ -valued function $f(x)$ on U is called smooth on U if $\langle f(x), e_\alpha \rangle$ is a smooth function on U for any $e_\alpha \in \{e_\alpha\}$.

Since o.n.-basis $\{e_\alpha\}$ and $\{e_{\alpha'}\}$ of H are changed by a unitary operator, these definitions do not depend on the choice of $\{e_\alpha\}$.

If each E_i is trivial on U , D induces a differential operator $D_U : C^\infty(U, V_1) \longrightarrow C^\infty(U, V_2)$. Hence, denote 1_H the identity map of H , $D_U \otimes 1_H : C^\infty(U, V_1 \otimes H) \longrightarrow C^\infty(U, V_2 \otimes H)$ is defined. On the other hand, if $b(x)$ is a smooth $\mathcal{B}(H)$ -valued function on U , $1_{V_i} \otimes b(x)$ is a smooth $GL(V_i) \otimes \mathcal{B}(H)$ -valued function on U . Hence $1_{V_i} \otimes b(x) = 1_{V_i} \otimes b(x)_{(m)}$ is defined as a linear operator on $C^\infty(U, V_i \otimes H)$, $i=1, 2$.

Lemma 4. *The followings are equivalent.*

- (i) $(1_{V_2} \otimes b(x))D_U \otimes 1_H = D_U \otimes 1_H(1_{V_1} \otimes b(x))$.
- (ii) $(b(x)e_\alpha, e_\beta)D_U = D_U(b(x)e_\alpha, e_\beta)$, for some O. N.-basis $\{e_\alpha\}$ of H .
- (iii) $(b(x)e_\alpha, e_\beta)D_U = D_U(b(x)e_\alpha, e_\beta)$, for all O. N.-basis $\{e_\alpha\}$ of H .

Proof. By definition, if $b(x)$ does not depend on x , then

$$(2) \quad (1_{V_2} \otimes b)D_U \otimes 1_H = D_U \otimes 1_H(1_{V_1} \otimes b).$$

Hence (ii) and (iii) are equivalent if (i) and (ii) are equivalent. Since we have

$$\begin{aligned} \langle D_U \otimes 1_H(1_{V_2} \otimes b(x))v(x) \otimes e_\alpha, e_\beta \rangle &= D_U(\langle b(x)e_\alpha, e_\beta \rangle v(x)) \\ &= D_U(b(x)e_\alpha, e_\beta)v(x), \\ \langle (1_{V_2} \otimes b(x)) (D_U \otimes 1_H)v(x) \otimes e_\alpha, e_\beta \rangle &= (b(x)e_\alpha, e_\beta) (D_U v(x)) \\ &= ((b(x)e_\alpha, e_\beta) D_U)v(x), \end{aligned}$$

(i) and (ii) are equivalent and we obtain the lemma.

Corollary. $(1_{V_2} \otimes b(x))D_U \otimes 1_H$ is equal to $D_U \otimes 1_H(1_{V_1} \otimes b(x))$ if and only if $(b(x)e_\alpha, e_\beta) \in c(D, U)$ for any $e_\alpha, e_\beta \in \{e_\alpha\}$.

Definition. (i). A smooth $\mathcal{B}(H)$ -valued function on U is called a $c(D)$ -class $\mathcal{B}(H)$ -valued function on U if it satisfies either of (i), (ii) or (iii) of lemma 4.

(ii). Let G be a subgroup of $\mathcal{B}(H)$. Then a G -valued function on U is called a $c(D)$ -class G -valued function on U if it is also a $c(D)$ -class $\mathcal{B}(H)$ -valued function.

Lemma 5. (i). If $b(x)$ is a $c(D)$ -class $\mathcal{B}(H)$ -valued function on U and $V \subset U$, $b(x)$ is a $c(D)$ -class $\mathcal{B}(H)$ -valued function on V .

(ii). The set of all $c(D)$ -class $\mathcal{B}(H)$ -valued functions on U is a ring and the set of all G -valued functions on G is a group.

(iii). Denote $b^*(x)$ the $\mathcal{B}(H)$ -valued function defined by $b^*(x) = (b(x))^*$, the adjoint operator of $b(x)$, where $b(x)$ is a $c(D)$ -class $\mathcal{B}(H)$ -valued function, $b^*(x)$ is a $c(D)$ -class $\mathcal{B}(H)$ -valued function if $c(D, U) = \overline{c(D, U)} = \{\bar{f} \mid f \in c(D, U)\}$, $\bar{f}(x) = \overline{f(x)}$, the conjugate complex of $f(x)$.

Proof. By the corollary of lemma 3 and lemma 1, we have (i). By the same reason of lemma 2, (i), we have (ii). Since $(b^*(x)e_\alpha, e_\beta) = \overline{(b(x)e_\beta, e_\alpha)}$, we have (iii).

Corollary $b(x)h(x)$ is a $c(D)$ -class H -valued function if $b(x)$ is a $c(D)$ -class $\mathcal{B}(H)$ -valued function and $h(x)$ is a $c(D)$ -class H -valued function. Here $h(x)$ is a $c(D)$ -class H -valued function if $(h(x), e_\alpha) \in c(D, U)$ for any $e_\alpha \in \{e_\alpha\}$.

3. For a system of differential operators S , we denote $\ker(S)_a$ the germ of the elements of $\ker(S)$ at a . For $r(D)$, the subsystem consisted by the 1-st order operators is denoted by $r_1(D)$. We also set $r(D)_a = \{\sum_I B_I(a) \partial^{|I|} / \partial x^I\}$, $r(D) = \sum_I B_I(x) \partial^{|I|} / \partial x^I$ on U , a neighborhood of a , etc.. Similarly, $D(a)$ means $\sum_I A_I(a) \partial^{|I|} / \partial x^I$ if $D = \sum_I A_I(x) \partial^{|I|} / \partial x^I$ on U . In this n^o, we call $a \in M$ to be a normal point of $r(D)$

if $\ker (r_1(D(a)))_a \supset \ker (r_1(D))_a$.

Lemma 6. *If the set of normal points of $r(D)$ contains an open dense set of M , we have*

$$(3) \quad \ker r_1(D) = \ker r(D), \text{ on any open set of } M.$$

Proof. Since the problem is local, we consider the problem in a fixed coordinate neighborhood of M .

By the definition of $r(D)$, if $P(x, \partial/\partial x) \in r(D)$, we have $I_i(x, \partial/\partial x) \in r_1(D)$, where $P(x, \xi) = \sum_i L_i(x, \xi) \xi^{\alpha_i}$, $\xi^{\alpha_i} = \xi_1^{\alpha_{i,1}} \dots \xi_n^{\alpha_{i,n}}$. Hence we have (3) if D is a constant coefficients operator.

Let a be a normal point of $r(D)$ such that there exists a neighborhood $U(a)$ of a consisted by the normal points of $r(D)$ and set $D = D(a) + D_1$. Then, if $r_1(D)f = 0$, we have $r_1(D(a))f = 0$ on $U(a)$. Hence $(Df - fD)(a) = 0$. Therefore $f \in c(D, U(a))$ and we have the lemma by assumption.

Note. By the proof of example 3, n^01 , if D is a scalar valued real elliptic operator, any point of M is a normal point of $r(D)$.

For a smooth $\mathcal{B}(H)$ -valued function f on U , we set

$$\delta_D(f) = Df - fD = (D \otimes 1_H)(1_{E_1} \otimes f) - (1_{E_2} \otimes f)(D \otimes 1_H).$$

By definition, we have $\delta_D(f) = \sum_{P \in r(D)} P_J(x, \partial/\partial x) \partial^{|J|} f / \partial x^J$. We also set

$$\delta_{D,1}(f) = \sum_{P \in r_1(D)} P_J(x, \frac{\partial}{\partial x}) \frac{\partial^{|J|}}{\partial x^J}.$$

Lemma 6'. *If D satisfies the assumption of lemma 6, $\delta_D(f)$ is equal to 0 if and only if $\delta_{D,1}(f) = 0$.*

Corollary. *Let G be a subgroup of $\mathcal{B}(H)$ and g is a smooth G -valued function on U . Then to set*

$$\rho_D(g) = \delta_D(g)g^{-1} = D \otimes 1_H - (1_{E_2} \otimes g)(D \otimes 1_H)(1_{E_1} \otimes g^{-1}),$$

$$\rho_{D,1}(g) = \delta_{D,1}(g)g^{-1},$$

$\rho_D(g) = 0$ is equivalent to $\delta_D(g) = 0$ and if D satisfies the assumption of lemma 6, $\rho_{D,1}(g) = 0$ implies $\rho_D(g) = 0$.

Since δ_D is a derivation and $\delta_D(f) = 0$ if and only if f is a $c(D)$ -class $\mathcal{B}(H)$ -valued function, we have

$$(4)_i \quad \rho_D(g) = 0, \text{ if and only if } g \text{ is a } c(D)\text{-class } G\text{-valued function,}$$

$$(4)_{ii} \quad \rho_D(gh) = \rho_D(g) + \rho_D(h)^g, \quad \rho_D(h)^g = (1_{E_2} \otimes g)\rho_D(h)(1_{E_1} \otimes g^{-1}),$$

$$(4)_{iii} \quad \rho_D(g^{-1}) = -\rho_D(g)g^{-1}.$$

Since $\delta_{D,1}$ is also a derivation, (4)_{ii} and (4)_{iii} hold for $\rho_{D,1}$. (4)_i holds for

$\rho_{D,1}$ if D satisfies the assumption of lemma 6.

Example. If D is a 1-st order operator, $r(D)$ is equal to $r_1(D)$ and therefore $\rho_D(g) = \rho_{D,1}(g)$. Moreover, if D is homogeneous, we may regard Dg to be a $\mathcal{B}(H)$ -valued 1-form and as a 1-form, we have $\rho_D(g) = (Dg)g^{-1}$. Especially, we obtain $\rho_d(g) = dg \cdot g^{-1}$ and $\rho_{\bar{d}}(g) = \bar{d}g \cdot g^{-1}$ (cf. Introduction).

On M , we denote $\mathcal{B}(H)_d$ and G_d the sheaves of germs of smooth $\mathcal{B}(H)$ and G -valued functions over M . The sheaves of germs of $c(D)$ -class $\mathcal{B}(H)$ and G valued functions over M are denoted by $\mathcal{B}(H)_{c(D)}$ or $G_{c(D)}$. ρ_D and δ_D induce the maps ρ_D and δ_D on G_d and $\mathcal{B}(H)_d$. We set

$$\rho_D(G_d) = L_{G,D}, \quad \delta_D(\mathcal{B}(H)_d) = \mathcal{L}_{\mathcal{B}(H), D}.$$

By definitions, we have the following exact sequences of sheaves.

$$\begin{aligned} 0 \longrightarrow G_{c(D)} &\xrightarrow{i} G_d \xrightarrow{\rho_D} L_{G,D} \longrightarrow 0, \\ 0 \longrightarrow \mathcal{B}(H)_{c(D)} &\xrightarrow{i} \mathcal{B}(H)_d \xrightarrow{\delta_D} \mathcal{L}_{\mathcal{B}(H), D} \longrightarrow 0. \end{aligned}$$

Example. For $H = \mathbb{C}$, the complex number field, denote \mathbb{C}^* the multiplicative group of complex numbers without 0, we have the following commutative diagram of sheaves with exact lines and columns.

$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & \mathbb{C}^*_{c(D)} & \xrightarrow{i} & \mathbb{C}^*_d & \xrightarrow{\rho_D} & L_{\mathbb{C}^*, D} \longrightarrow 0 \\ & & \exp \uparrow & & \exp \uparrow & & k \uparrow \\ & & & & & & = \\ 0 & \longrightarrow & \mathbb{C}_{c(D)} & \xrightarrow{i} & \mathbb{C}_d & \xrightarrow{\delta_D} & \mathcal{L}_{\mathbb{C}, D} \longrightarrow 0 \\ & & \iota \uparrow & & \iota \uparrow & & \uparrow \\ 0 & \longrightarrow & \mathbb{Z} & \longrightarrow & \mathbb{Z} & \longrightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ & & 0 & & 0 & & 0 \end{array}$$

Here \mathbb{Z} is the constant sheaf of integers, ι is the inclusion regarding a constant to be a constant function, \exp and k are given by

$$\exp(f_x) = (e^{2\pi\sqrt{-1}f})_x, \quad k((Df - fD)_x) = \rho_D(e^{2\pi\sqrt{-1}f})_x,$$

where f_x , etc., mean the germ of f , etc., at x (cf. Introduction).

§2. D -flat G -bundles and D -Fuchs type differential equations

4. Since $G_{c(D)}$ and G_d are sheaves of groups, the coboundary maps $\delta_i = \delta : C^i(\mathcal{U}, G_d) \longrightarrow C^{i+1}(\mathcal{U}, G_d)$ or $C^i(\mathcal{U}, G_{c(D)}) \longrightarrow C^{i+1}(\mathcal{U}, G_{c(D)})$, $i=0, 1$, are defined. Here \mathcal{U} is an open covering of M . For $C^i(\mathcal{U}, L_{G,D})$, $i=0, 1$, we define $\delta^L i = \delta^L : C^i(\mathcal{U}, L_{G,D}) \longrightarrow C^{i+1}(\mathcal{U}, L_{G,D})$ by

$$(5) \quad \delta^L \rho_D = \rho_D \delta.$$

Explicitly, δ^{L_1} and δ^{L_2} are given by

$$\delta^{L_1}(L)_{U, V} = L_U - L_V g_{UV}, \quad L_U = \rho_D(h_U), \quad g_{UV} = h_U h_V^{-1},$$

$$\delta^{L_2}(L)_{U, V, W} = L_{U, V} + L_{V, W} g_{UV} + L_{W, U} g_{UV}, \quad L_{U, V} = \rho_D(g_{UV}).$$

Note. δ^L may not be defined on $C^i(\mathfrak{U}, L_{G, D})$. But if $\{L\} \in C^i(\mathfrak{U}, L_{G, D})$, there exists a refinement \mathfrak{B} of \mathfrak{U} such that δ^L is defined for $t_{\mathfrak{B}}^{\mathfrak{U}}(\{L\})$ if \mathfrak{B} is a refinement of \mathfrak{U} . Here $t_{\mathfrak{B}}^{\mathfrak{U}}: C^i(\mathfrak{U}, L_{G, D}) \rightarrow C^i(\mathfrak{B}, L_{G, D})$ is the map induced by the refinement.

We set $B^i(\mathfrak{U}, L_{G, D}) = \ker \delta^L = \{\{L\} \mid \{L\} \in C^i(\mathfrak{U}, L_{G, D}), \delta^L(\{L\}) = 0\}$, $i=0, 1$, and $H^0(\mathfrak{U}, L_{G, D}) = B^0(\mathfrak{U}, L_{G, D})$. On $B^1(\mathfrak{U}, L_{G, D})$, we define an equivalence relation \sim by

$$\{L_U, V\} \sim \{L_U, V'\} \text{ if } L_U, V - L_U, V' = \rho_D(h_U) - \rho_D(h_V) h_U g_{UV} h_V^{-1},$$

$$L_{U, V} = \rho_D(g_{UV}), \text{ for some } \{h_U\} \in C^0(\mathfrak{U}, G_d).$$

We denote $H^1(\mathfrak{U}, L_{G, D})$ the quotient set of $B^1(\mathfrak{U}, L_{G, D})$ by this relation. Then, to set $H^1(M, L_{G, D}) = \lim_{\mathfrak{B}} [H^1(\mathfrak{U}, L_{G, D}), t_{\mathfrak{B}}^{\mathfrak{U}}]$, we have the following exact sequence of cohomology sets.

$$(6) \quad 0 \longrightarrow H^0(M, G_{c(D)}) \xrightarrow{i^*} H^0(M, G_d) \xrightarrow{\rho_D^*} H^0(M, L_{G, D}) \xrightarrow{\delta} \\ \longrightarrow H^1(M, G_{c(D)}) \xrightarrow{i^*} H^1(M, G_d) \xrightarrow{\rho_D^*} H^1(M, L_{G, D}).$$

Here $\delta: H^0(M, L_{G, D}) \rightarrow H^1(M, G_{c(D)})$ is given by

$$\delta(L) = \{g_{UV}\}, \quad g_{UV} = h_V^{-1} h_U, \quad L|_U = \rho_D(h_U).$$

Definition. (i). An element of $H^1(M, G_{c(D)})$ is called a $c(D)$ -class G -bundle.

(ii). A smooth G -bundle in i^* -image is called a D -flat G -bundle.

(iii). A connection $\{\theta_U\}$ of D with respect to ξ , a smooth G -bundle, is called a D -flat connection if there exists $\{h_U\} \in C^0(U, G_d)$ such that

$$\theta_U = \rho_D(h_U), \text{ for any } U \in \mathfrak{U}.$$

Proposition 1. For any $\xi \in H^1(M, G_d)$, the followings are equivalent.

- (i). ξ is a D -flat G -bundle.
- (ii). D allows 0 as a connection with respect to ξ .
- (iii). D has a D -flat connection with respect to ξ .

Proof. If $\xi = \{g_{UV}\} \in H^1(M, G_{c(D)})$, we have $D_U \otimes 1_H (g_{UV, 1} \otimes g_{UV}) = g_{UV, 2} \otimes g_{UV} (D_V \otimes 1_H)$, where $\{g_{UV, i}\}$ is the transition function of E_i . Hence (ii) follows from (i). If D allows 0 as a connection with respect to ξ , $\{-\rho_D(h_U)\}$ is a connection of D with respect to $\{h_U^{-1} g_{UV} h_V\}$ ([3]). Hence (iii) follows from (ii). If (iii) is hold, we have

$$(1_{V_2} \otimes h_U)(D_U \otimes 1_H)(1_{V_1} \otimes h_U^{-1} g_{UV}) = (1_{V_2} \otimes g_{UV} h_V)(D_V \otimes 1_H)(1_{V_1} \otimes h_V^{-1}).$$

Hence $\{h_U^{-1} g_{UV} h_V\}$ is a $c(D)$ -class G -bundle and (i) follows from (iii).

Corollary. *A G -bundle ξ is D -flat if and only if D has a D -flat connection with respect to ξ .*

By proposition 1, (ii), if ξ is a $c(D)$ -class G -bundle, D is lifted to a differential operator $C^\infty(M, E_1 \otimes \xi) \longrightarrow C^\infty(M, E_2 \otimes \xi)$ with connection 0. This lift of D is denoted by $D \otimes 1_\xi$. By definition and proposition 1, $D \otimes 1_\xi$ is defined if and only if ξ is a $c(D)$ -class G -bundle.

Example. If $r(D)$ is maximal, D -flat is flat in the usual sense. On the other hand, if $D = \bar{\partial}$, a G -bundle ξ is D -flat if and only if G is a complex Lie group and ξ is a holomorphic G -bundle.

5. If $L \in H^0(M, L_{G, D})$, $L : C^\infty(M, E_1 \otimes H) \longrightarrow C^\infty(M, E_2 \otimes H)$ is a differential operator of order at most $k-1$. Hence $D \otimes 1_H - L : C^\infty(M, E_1 \otimes H) \longrightarrow C^\infty(M, E_2 \otimes H)$ is a differential operator such that

$$(7) \quad \sigma(D \otimes 1_H - L) = \sigma(D) \otimes 1_H.$$

Here $\sigma(D)$, *etc.*, means the principal symbol of D , *etc.*, On the other hand, since $L \in H^0(M, L_{G, D})$, we obtain

$$(8) \quad (D \otimes 1_H - L)|U = D^h u = (1_{V_2} \otimes h_U)(D_U \otimes 1_H)(1_{V_1} \otimes h_U^{-1}), \quad L|U = \rho_D(h_U).$$

(8) shows the commutativity of the diagram

$$\begin{array}{ccc} C^\infty(M, E_1 \otimes \delta(L)) & \xrightarrow{D \otimes 1_{\delta(L)}} & C^\infty(M, E_2 \otimes \delta(L)) \\ t_{\delta(L)} \uparrow \cong & & t_{\delta(L)} \uparrow \cong \\ C^\infty(M, E_1 \otimes H) & \xrightarrow{D \otimes 1_H - L} & C^\infty(M, E_2 \otimes H). \end{array}$$

Here $t_{\delta(L)}$ is the map given by the smooth trivialization of $\delta(L)$. Explicitly, $t_{\delta(L)}$ is given by

$$(9) \quad t_{\delta(L)}(\{f_U \otimes \varphi\}) = f_U \otimes h_U \varphi, \quad \delta(L) = \{h_U h_V^{-1}\}, \\ \varphi \text{ is a smooth } H\text{-valued function.}$$

Using $t_{\delta(L)}$, (8) is rewritten as

$$(8)' \quad t_{\delta(L)}(D \otimes 1_H - L)t_{\delta(L)}^{-1} = D \otimes 1_{\delta(L)}.$$

Definition. *A differential operator of the form $D \otimes 1_H - L$ is called a D -Fuchs type differential operator and $\delta(L)$ is called its monodromy bundle.*

Lemma 7. $\delta(L) = \delta(L')$ if and only if there exists a smooth G -valued function f on M such that

$$(10) \quad L' = \rho_D(f) + L^f, \quad L^f = (1_{E_2} \otimes f)L(1_{E_1} \otimes f^{-1}).$$

Proof. By the exactness of (6), set $L = \rho_D(h_U)$ and $L' = \rho_D(h_{U'})$, we have

$$\begin{aligned} h_{U'} &= f h_U c_U, \quad c_U \text{ is a } c(D)\text{-class } G\text{-valued function on } U, \\ f &\in H^0(M, G_d). \end{aligned}$$

This shows (10).

If $r(D)$ is maximal, there is a bijection $\chi: H^1(M, G_{c(D)}) \rightarrow \text{Hom}(\pi_1(M), G)$. We call $\chi(\delta(L))$ the monodromy representation of $D \otimes 1_H - L$ and $\chi(\delta(L))(\pi_1(M))$ the monodromy group of $D \otimes 1_H - L$ (cf. Introduction). For $D = d/dz$, $H = \mathbb{C}^n$, the n -dimensional complex vector space, and M is a Riemann surface, these definitions are same as usual definitions.

Definition. The least structure group of $\delta(L)$ as a $c(D)$ -class undie is called the monodromy group of $D \otimes 1_H - L$.

In the rest of this §, we construct the monodromy group of $D \otimes 1_H - L$ under the assumption that G is a Lie group.

Definition. Denote $\pi_F: M_F \rightarrow M$ the projection of a smooth G -bundle with the fibre F over M , if D can be lifted on $C^\infty(M_F, \pi_F^*(E_1))$ with connection 0, we denote $\pi_F^*(D)$ this lift of D .

Let F be a smooth right G -manifold with a G -invariant measure $d\mu$ constructed by G -invariant vector fields over F . Then, denote $U(L^2(F))$ the group of unitary operators on $L^2(F) = L^2(F, d\mu)$, there is a unitary representation $\kappa: G \rightarrow U(L^2(F))$ given by the G -action on F , and the following diagram is commutative.

$$(11) \quad \begin{array}{ccc} U(L^2(F))_{c(D)} & \longrightarrow & U(L^2(F))_d \\ \kappa^* \uparrow & & \uparrow \kappa^* \\ G_{c(D)} & \longrightarrow & G_d. \end{array}$$

Lemma 8. Let ξ be a D -flat G -bundle, θ a connection of associate F -bundle of ξ , $\kappa(\xi)$ the associate $L^2(F)$ -bundle of ξ defined by θ (cf. [3]). Then, to denote M_F the total space of the associate F -bundle of ξ , $\pi_F^*(D)$ is defined.

Proof. By the commutativity of (11) and proposition 1, $\kappa(\xi)$ is D -flat. Hence D can be lifted on $C^\infty(M_F, \pi_F^*(E_1))$ with connection 0 (cf. [3]). Therefore we get the lemma.

Corollary. (i). If $D \otimes 1_H - L$ is a D -Fuchs type operator and M_F is the associate F -bundle of $\delta(L)$ which satisfies the above assumptions, then $\pi_F^*(D \otimes 1_H - L)$ is defined.

(ii). Under the same assumptions, if M_F is the principal bundle, $\pi_F^*(\xi)$ is trivial as a $c(\pi_F^*(D))$ -class bundle.

(iii). Under the same assumptions, if $\pi_F^*(\delta(L))$ is a trivial $c(\pi_F^*(D))$ -bundle then there is a smooth G -valued function f on M_F such that

$$(12) \quad \pi_F^*(D \otimes 1_H - L) = \pi_F^*(D)f.$$

Proof. Since $\pi_F^*(D)$ is defined, $\pi_F^*(D_U)$ is equal to $\pi_F^*(D_V)$ on $\pi_F^{-1}(U) \cap \pi_F^{-1}(V)$. Then, since $(D \otimes 1_H - L)|_U = D^{h_U}$, $\pi_F^*(D \otimes 1_H - L)$ is given by

$$(12)' \quad \pi_F^*(D \otimes 1_H - L)|_{\pi_F^{-1}(U)} = (\pi_F^*(D_U))^{\pi_F^*(h_U)}.$$

This shows (i). The trivialization of $\pi_F^*(\xi)$ is given by

$$(13) \quad \{h_U(x, g) | h_U(x, g) = g \in G, x \in U \subset M\}.$$

Hence we have (ii). (iii) follows from (12)'.

6. In this n^o, we use same notations and assumptions as in lemma 8. Since M_F is a right G -space, we set $f^g(u) = f(ug)$, $u \in M_F$, $g \in G$. Here f is a function on M_F . The set of $c(\pi_F^*(D))$ -class G -valued functions on M_F is denoted by $G_{c(D), M_F}$ and we set

$$\begin{aligned} B^1(G, G_{c(D), M_F}) &= \{\chi : G \longrightarrow G_{c(D), M_F} | \chi_{gh} = \chi_h \chi_g^h, \chi_g^h(u) \\ &= \chi_g(uh), \chi_g = \chi(g)\}. \end{aligned}$$

We call χ and $\chi' \in B^1(G, G_{c(D), M_F})$ to be equivalent if $\chi_g' = h^{-1} \chi_g h^g$ for some $h \in G_{c(D), M_F}$ and denote $H^1(G, G_{c(D), M_F})$ the quotient set of $B^1(G, G_{c(D), M_F})$ by this relation.

Since a constant function is a $c(\pi_F^*(D))$ -class function invariant under the action of G , there is a map $\iota_F : \text{Hom}(G, G) \longrightarrow H^1(G, G_{c(D), M_F})$. Here $\text{Hom}(G, G)$ means the set of Lie homomorphisms of G . We set

$$\ker \iota_F = \{\kappa | \iota_F(\kappa) = \iota_F(1), 1_g = g \text{ for all } g \in G\}.$$

Definition. $\bar{\chi} \in H^1(G, G_{c(D), M_F})$ is called to have (smooth) representative function if there exists a smooth G -valued function f on M_F such that $f^g = f \chi_g$, $\chi \in \bar{\chi}$, $g \in G$. This f is called a representative function subordinate to $\bar{\chi}$.

If $\chi \sim \chi'$, and χ has a representative function f , set $\chi_g' = h^{-1} \chi_g h^g$, $f' = fh$ is a representative function subordinate to χ' . Hence this definition does not depend on the choice of a representative of $\bar{\chi}$.

We set

$$\begin{aligned} \delta(H^0(M, L_{G, D}))_{M_F} &= \{\delta(L) | \pi_F^*(\delta(L)) \text{ is trivial},\} \\ H^1(G, G_{c(D), M_F})_f &= \{\bar{\chi} \in H^1(G, G_{c(D), M_F}) | \bar{\chi} \text{ has a smooth} \\ &\quad \text{representative function}\}. \end{aligned}$$

Lemma 9. (i). There is a bijection $\chi : \delta(H^0(M, L_{G, D}))_{M_F} \longrightarrow H^1(G, G_{c(D), M_F})_f$ and if M_F is the associate F -bundle of $\delta(L)$, we obtain

$$(14) \quad \chi(\delta(L)) \in \ker \iota_F.$$

(ii). $\iota_F(\kappa)$ belongs in $\ker \iota_F$ if and only if there exists $f \in G_{c(D), M_F}$ such that

$$(15) \quad f^g = \kappa_g^{-1} f g.$$

Proof. If $\pi_F^*(D)^f$ comes from an operator on M , set $f^g = f\chi_g$, $\chi = \{\chi_g\}$ defines an element of $H^1(G, G_{c(D), M_F})$. If $\pi_F^*(D)^f = \pi_F^*(D)^{f'}$, set $f^g = f\chi_g$, $f'^g = f'\chi_g$, χ and χ' define same element of $H^1(G, G_{c(D), M_F})$. Hence χ is 1 to 1 by lemma 7. If $\bar{\chi} \in H^1(G, G_{c(D), M_F})^{f'}$, there exists f such that $f^g = f\chi_g$, $\chi \in \bar{\chi}$. Then $\pi_F^*(D)^f$ comes from a D -Fuchs type operator on M and χ is onto. If M_F is the associate F -bundle of $\delta(L)$, the trivialization of $\pi_F^*(\delta(L))$ given by (13) gives $\iota_F(1)$. This shows (14). (ii) follows from the definition of the equivalence in the definition of $H^1(G, G_{c(D), M_F})$.

Lemma 10. (i). *If $\kappa \in \ker \iota_F$, there exists a smooth G -valued function f on M_F such that*

$$(16) \quad \pi_F^*(D \otimes 1_H - L) = \pi_F^*(D)^f, \quad f^g = f\kappa(g),$$

and the structure group of $\delta(L)$ is reduced to $\kappa(G)$ as a $c(D)$ -class bundle.

(ii). *If the structure group of $\delta(L)$ is reduced to G_0 as a $c(D)$ -class G -bundle, there exists $\kappa \in \ker \iota_F$ such that $\kappa(G) = G_0$.*

Proof. Since κ has a representative function f , we have (16) by (15). (15) also shows the second assertion of (i). Since a $c(D)$ -class reduction of the structure group of $\delta(L)$ gives a representative function on M_F , we obtain (ii) by lemma 9, (ii).

Definition. We call $\kappa_1 \kappa_2$ in $\text{Hom}(G, G)$ (resp. in $\ker \iota_F$), if $\kappa_2 = \kappa \kappa_1$ for some $\kappa \in \text{Hom}(G, G)$ (resp. $\kappa \in \ker \iota_F$) and $\kappa_1 \sim \kappa_2$ if $\kappa_1 \kappa_2$ and $\kappa_2 \kappa_1$.

Lemma 11. (i). *If κ_1 and κ_2 belong in $\ker \iota_F$, there composition $\kappa_1 \kappa_2$ also belongs in $\ker \iota_F$.*

(ii). *If $\kappa_1 \kappa_2$ and κ_2 belong in $\ker \iota_F$, κ_1 belongs in $\ker \iota_F$.*

Proof. If $f_1^g = \kappa_{1,g}^{-1} f_1 g$ and $f_2^g = \kappa_{2,g}^{-1} f_2 g$, we have

$$(\kappa_2(f_1) f_2)^g = \kappa_2(\kappa_1(g))^{-1} \kappa_2(f_1) f_2 g.$$

This shows (i). Similarly, if $f^g = \kappa_1(\kappa_2(g))^{-1} f g$ and $f_2^g = \kappa_{2,g}^{-1} f_2 g$, we have $(\kappa_1(f_2)^{-1} f)^g = \kappa_{1,g}^{-1} \kappa_1(f_2)^{-1} f g$, which shows (ii).

Corollary. (i). *If κ_1 and κ_2 are in $\ker \iota_F$ and $\kappa_1 \kappa_2$ in $\text{Hom}(G, G)$, $\kappa_1 > \kappa_2$ in $\ker \iota_F$.*

(ii). *If $\kappa_1 \sim \kappa_2$, $\kappa_1(G)$ is isomorphic to $\kappa_2(G)$.*

Proof. (i) follows from lemma 11, (ii). If $\kappa_1 \sim \kappa_2$, we have $\kappa_1 = \kappa \kappa_2$ and $\kappa_2 = \kappa' \kappa_1$. Hence $\dim \kappa_1(G) = \dim \kappa_2(G)$ and there are discrete subgroups N_1 of $\kappa_1(G)$ and N_2 of $\kappa_2(G)$ such that

$$\kappa_1(G)/N_1 \cong \kappa_2(G), \quad \kappa_2(G)/N_2 \cong \kappa_1(G),$$

because $\kappa_1(G)$ and $\kappa_2(G)$ are Lie groups. Hence there are isomorphisms $\hat{\kappa} : \widetilde{\kappa_1(G)} \longrightarrow \widetilde{\kappa_2(G)}$, where $\widetilde{\kappa_1(G)}$ and $\widetilde{\kappa_2(G)}$ are the universal covering groups of $\kappa_1(G)$ and $\kappa_2(G)$,

and $\hat{\kappa}' : \widetilde{\kappa_1(G)} \longrightarrow \widetilde{\kappa_2(G)}$ such that $\hat{\kappa}$ maps $\pi_1(\kappa_1(G))$ isomorphic into $\pi_1(\kappa_2(G))$ and $\hat{\kappa}'$ maps $\pi_1(\kappa_2(G))$ isomorphic into $\pi_1(\kappa_1(G))$. Since $\kappa_1(G)$ and $\kappa_2(G)$ are Lie groups, this shows $\hat{\kappa} : \pi_1(\kappa_1(G)) \cong \pi_1(\kappa_2(G))$ and we have (ii).

Lemma 12. *ker ι_F has the least element in the above semiorder.*

Proof. Let $\{\kappa_\alpha\}$ be an increasing system in ker ι_F and set $\kappa_\alpha(G) = G_\alpha$. Then there are Lie epimorphisms κ_α^β , $\beta < \alpha$ and Lie monomorphisms ι_α such that

$$\kappa_\alpha^\beta \kappa_\alpha = \kappa_\beta^\beta, \quad \kappa_\alpha^\beta : G_\alpha \longrightarrow G_\beta, \quad \iota_\alpha : G_\alpha \longrightarrow G, \quad \kappa_\alpha^\beta \iota_\alpha = \iota_\beta.$$

Hence $\lim[G_\alpha : \kappa_\alpha^\beta] = G_0$, $\kappa_0 : G \longrightarrow G_0$ and $\iota_0 : G_0 \longrightarrow G$ are defined. Since κ_α^β and ι_α are Lie maps, κ_0 is a Lie epimorphism and ι_0 is a Lie monomorphism.

By lemma 9, (ii), there exists $f_\alpha \in G_{c(D), M_F}$ such that $(f_\alpha)^g = (\kappa_\alpha)_g^{-1} f_{\alpha g}$ for any α . Then, since $(\kappa_\alpha^\beta f_\alpha)^g = (\kappa_\beta)_g^{-1} f_{\beta g}$, set

$$f_0 = \iota_0 \{(\kappa_\beta^\alpha f_\alpha)\},$$

$f_0 \in G_{c(D), M_F}$. Because each κ_α^β is a smooth map and $f_0^g = (\kappa_0)_g^{-1} f_{0g}$. Hence by Zorn's lemma, there exist minimum elements in ker ι_F . But if κ_1 and κ_2 are different minimum elements in ker ι_F , $\kappa_1 \kappa_2$ and $\kappa_2 \kappa_1$ are in ker ι_F by lemma 11, (i). Hence $\kappa_1 > \kappa_2$ and $\kappa_2 > \kappa_1$. Therefore $\kappa_1 \sim \kappa_2$ and ker ι_F has the least element.

Definition. *The least element of ker ι_F is called the monodromy homomorphism (or representation) of $D \otimes 1_H - L$.*

By lemma 10, (ii), lemma 12 and the definition of the monodromy groups of D -Fuchs type operators, we obtain

Theorem 1. *If G is a Lie group, a D -Fuchs type operator has the monodromy group.*

Proof. Since $D \otimes 1_H - L$ has the monodromy homomorphism and the image of G by the monodromy homomorphism is the least structure group of $\delta(L)$ as a $c(D)$ -class bundle, we have the theorem.

§3. Characteristic classes related to $c(D)$ -class bundles

7. In this § and next §, we assume $H = \mathbb{C}^n$ and $G = GL(n, \mathbb{C})$.

By the commutative diagram in n°3, example, we have the following commutative diagram with exact lines

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H^1(M, \mathbb{C}^*_{c(D)}) & \xrightarrow{i^*} & H^1(M, \mathbb{C}^*_d) & \xrightarrow{\rho_D^*} & H^1(M, \text{LC}^*,_D) \xrightarrow{\delta_1} H^2(M, \mathbb{C}^*_{c(D)}) \longrightarrow \cdots \\ & & \uparrow & & \uparrow & & \uparrow \\ & & 0 & \longrightarrow & H^1(M, \mathcal{L}_{\mathbb{C}, D}) & \xrightarrow[\equiv]{\delta_2} & H^2(M, \mathbb{C}_{c(D)}) \longrightarrow 0 \end{array}$$

$k^* \uparrow =$

Lemma 13 (i). $\xi \in H^1(M, \mathbf{C}_d^*)$ is in i^* -image if and only if $\delta_2 k^{*-1} \rho_D^*(\xi) = 0$.
(ii). Let $ch : H^1(M, \mathbf{C}_d^*) \xrightarrow{\cong} H^2(M, \mathbf{Z})$ be the isomorphism given by $ch(\xi) = c^1(\xi)$, the first Chern class of ξ , and $\iota : \mathbf{Z} \rightarrow \mathbf{C}_{c(D)}$ the inclusion, then

$$(17) \quad \delta_2 k^{*-1} \rho_D^*(\xi) = \iota^* ch(\xi), \quad \xi \in H^1(M, \mathbf{C}_d^*).$$

Proof. (i) follows from the definition. By the definition of k , we have

$$\delta_2 k^{*-1} \rho_D^*(\xi) = \frac{1}{2\pi\sqrt{-1}} (\log g_{UV} + \log g_{VW} + \log g_{WU}), \quad \xi = \{g_{UV}\}.$$

Since this right hand side represents $ch(\xi)$, we get (17).

Definition. Let ξ be a $GL(n, \mathbf{C})$ -bundle over M , denote $ch(\xi)$ its total Chern class, then we call $\iota^*(ch(\xi))$ the (total) $c(D)$ -characteristic class of ξ . The component of $\iota^*(ch(\xi))$ in $H^{2p}(M, \mathbf{C}_{c(D)})$ is called p -th $c(D)$ -characteristic class of ξ .

Example. If $r(D)$ is maximal, $c(D)$ -characteristic class is the (total) complex Chern class. If M is a compact Kaehler manifold and $D = \bar{\partial}$, p -th $c(D)$ -characteristic class is the $(0, 2p)$ -component of p -th complex Chern class.

In the rest, we denote the flag manifold $GL(m, \mathbf{C})/A(m, \mathbf{C}) = U(m)/T^m$ by $F = F(m)$. The associate Flag bundle of a $c(D)$ -class $GL(m, \mathbf{C})$ -bundle ξ is denoted by $M_F = \{M_F, F, M, \pi_F\}$.

Lemma 14. Under the above notations, if ξ is a $c(D)$ -class bundle, $\pi_F^* : H^*(M, \mathbf{C}_{c(D)}) \rightarrow H^*(M_F, \mathbf{C}_{c(\pi_F^*(D))})$ is a monomorphism.

Proof. If $\pi_F^{-1}(U) = U \times F$, $(\mathbf{C}_{c(D)}|_U) \otimes \mathbf{C}_d(F)$ is dense in $\mathbf{C}_{c(\pi_F^*(D))}|_{\pi_F^{-1}(U)}$, that is $H^0(U, \mathbf{C}_{c(D)}) \otimes H^0(F, \mathbf{C}_d)$ is dense by the \mathcal{C}^∞ -topology in $H^0(\pi_F^{-1}(U), \mathbf{C}_{c(\pi_F^*(D))})$. Since $\mathbf{C}_d(F)$ is a fine sheaf, $H^*(M_F, \mathbf{C}_{c(\pi_F^*(D))})$ is calculated by a covering of the form $\{\pi_F^{-1}(U)\}$ by Leray's theorem. Then, taking the invariant measure $d\mu$ on F such that $\int_F d\mu = 1$, we set

$$\int_F \{g_{i_0, \dots, i_p}\} = \left\{ \int_F g_{i_0, \dots, i_p} d\mu \right\},$$

$$g_{i_0, \dots, i_p} \text{ is defined on } \pi_F^{-1}(U_{i_0}) \cap \dots \cap \pi_F^{-1}(U_{i_p}) = \pi_F^{-1}(U_{i_0} \cap \dots \cap U_{i_p}).$$

By definition, \int_F defines a homomorphism from $H^*(M_F, \mathbf{C}_{c(\pi_F^*(D))})$ into $H^*(M, \mathbf{C}_{c(D)})$ and $\int_F \pi_F^*$ is the identity. Hence we get the lemma.

Corollary. Under the same assumptions, $c(D)$ -characteristic class of ξ vanishes if and only if $c(\pi_F^*(D))$ -characteristic class of $\pi_F^*(\xi)$ vanishes.

Proof. Since π_F^* in both sides in the following commutative diagram are monomorphisms, we have the lemma.

$$\begin{array}{ccc}
H^*(M_F, \mathbb{Z}) & \xrightarrow{\iota^*} & H^*(M_F, C_{c(\pi_F^*(D))}) \\
\pi_F^* \uparrow & & \uparrow \pi_F^* \\
H^*(M, \mathbb{Z}) & \xrightarrow{\iota^*} & H^*(M, C_{c(D)}).
\end{array}$$

Proposition 2. *If ξ is a $c(D)$ -class $GL(m, \mathbb{C})$ -bundle, its $c(D)$ -characteristic class vanishes.*

Proof. By lemma 13, the proposition is true if $m=1$. Set $m=q+1$ and assume the proposition is true for $c(D)$ -class $GL(r, \mathbb{C})$ -bundle if $r \leq q$.

On M_F , $\pi_F^*(\xi)$ is an extension bundle of a $c(\pi_F^*(D))$ -class $GL(q, \mathbb{C})$ -bundle η_q and a $c(\pi_F^*(D))$ -class complex line bundle η_1 . Since $C_{c(\pi_F^*(D))}$ is a sheaf of rings by lemma 2, (i), $\iota^*(ch(\eta_1)) \cup \iota^*(ch(\eta_q))$ is defined and we have

$$\iota^*(ch(\pi_F^*(\xi))) = \iota^*(ch(\eta_1)) \cup \iota^*(ch(\eta_q)) = 0,$$

by inductive assumption. Hence we obtain the proposition by corollary of Lemma 14.

Note. For flat bundles and holomorphic bundles, this proposition is known. In fact, a vector bundle is flat if and only if its curvature form is equal to 0 and therefore its complex Chern class is equal to 0. On the other hand, a vector bundle is equivalent to a holomorphic bundle if and only if (0, 2)-type part of its curvature form is equal to 0. Hence (0, 2p)-type part of the Chern class of a holomorphic vector bundle is equal to 0.

8. For $\{g_{i_0, \dots, i_p}\} \in C^p(\mathfrak{U}, \mathbb{C}^*_{c(D)})$ and $\{h_{i_0, \dots, i_q}\} \in C^q(\mathfrak{U}, \mathbb{C}^*_{c(D)})$, we set

$$\begin{aligned}
(g*h)_{i_0, \dots, i_{p+q+1}} &= \exp \left[\frac{1}{2\pi\sqrt{-1}} \log g_{i_0, \dots, i_p} (\delta \log h)_{i_{p+1}, \dots, i_{p+q+1}} \right], \\
(\delta \log h)_{i_0, \dots, i_{q+1}} &= \sum_{j=0}^{q+1} (-1)^j \log h_{i_0, \dots, i_{j-1}, i_{j+1}, \dots, i_{q+1}}.
\end{aligned}$$

Here we assume \mathfrak{U} is sufficiently fine and $\log g_{i_0, \dots, i_p}$ or $\log h_{i_0, \dots, i_q}$ are determined as 1-valued functions. The choice of the branch of logarithm is arbitrary, and therefore this definition of $(g*h)$ depend on the choice of the branch of logarithm.

Lemma 15. (i). *If $\{g_{i_0, \dots, i_p}\}$ and $\{h_{i_0, \dots, i_q}\}$ are both cocycles, $\{(g*h)_{i_0, \dots, i_{p+q+1}}\}$ is a cocycle and its cohomology class in $H^{p+q+1}(M, \mathbb{C}^*_{c(D)})$ does not depend on the choice of the branch of logarithm.*

(ii). *If either of $\{g_{i_0, \dots, i_p}\}$ or $\{h_{i_0, \dots, i_q}\}$ is a coboundary and the other is a cocycle, $\{(g*h)_{i_0, \dots, i_{p+q+1}}\}$ is a coboundary.*

Proof. Since we have

$$\begin{aligned}
& \log g_{i_1, \dots, i_{p+1}} (\delta \log h)_{i_{p+1}, \dots, i_{p+q+2}} - \\
& - \log g_{i_0, i_2, \dots, i_{p+1}} (\delta \log h)_{i_{p+1}, \dots, i_{p+q+2}} + \dots +
\end{aligned}$$

$$\begin{aligned}
& +(-1)^p \log g_{i_0, \dots, i_{p-1}, i_{p+1}} (\delta \log h)_{i_{p+1}, \dots, i_{p+q+2}} + \\
& +(-1)^{p+1} \log g_{i_0, \dots, i_p} (\delta \log h)_{i_p, i_{p+2}, \dots, i_{p+q+2}} + \dots + \\
& +(-1)^{p+q+2} \log g_{i_0, \dots, i_p} (\delta \log h)_{i_p, \dots, i_{p+q+1}} \\
& = (\delta \log g)_{i_0, \dots, i_{p+q+1}} (\delta \log h)_{i_{p+1}, \dots, i_{p+q+2}} + \\
& +(-1)^p \log g_{i_0, \dots, i_p} \{\delta(\delta \log h)\}_{i_p, \dots, i_{p+q+2}},
\end{aligned}$$

$\{(g_*h)_{i_0, \dots, i_{p+q+1}}\}$ is a cocycle if $\{g_{i_0, \dots, i_p}\}$ and $\{h_{i_0, \dots, i_q}\}$ are both cocycles. If we take other branches of logarithm in the definition of (g_*h) , denote \log' other branches of \log , we get

$$\begin{aligned}
& (g_*h)_{i_0, \dots, i_{p+q+1}} \{(g_*h)'_{i_0, \dots, i_{p+q+1}}\}^{-1} \\
& = \exp\left[\frac{1}{2\pi\sqrt{-1}} \{(\log g_{i_0, \dots, i_p} - \log' g_{i_0, \dots, i_p}) (\delta \log h)_{i_p, \dots, i_{p+q+1}} + \right. \\
& \left. + \log' g_{i_0, \dots, i_p} \{(\delta \log h)_{i_p, \dots, i_{p+q+1}} - (\delta \log' h)_{i_p, \dots, i_{p+q+1}}\}\right].
\end{aligned}$$

Since $(1/2\pi\sqrt{-1}) (\delta \log h)_{i_p, \dots, i_{p+q+1}}$ is an integer if $\{h_{i_0, \dots, i_q}\}$ is a cocycle, we get by this formula

$$\begin{aligned}
& (g_*h)_{i_0, \dots, i_{p+q+1}} \{(g_*h)'_{i_0, \dots, i_{p+q+1}}\}^{-1} \\
& = g_{i_0, \dots, i_p}^{(n_{i_{p+1}, \dots, i_{p+q+1}} - n_{i_p, i_{p+2}, \dots, i_{p+q+1}} + \dots + (-1)^{q+1} n_{i_p, \dots, i_{p+q}})},
\end{aligned}$$

where each n_{i_0, \dots, i_q} is an integer. Then, to define $f_{i_0, \dots, i_{p+q}}$ by

$$f_{i_0, \dots, i_{p+q}} = g_{i_0, \dots, i_p}^{n_{i_p, \dots, i_{p+q}}},$$

we get

$$\begin{aligned}
& (\delta f)_{i_0, \dots, i_{p+q+1}} \\
& = (g_{i_1, \dots, i_p} g_{i_0, i_2, \dots, i_{p+1}}^{-1} \dots g_{i_0, \dots, i_{p-1}, i_{p+1}}^{(-1)^p})^{n_{i_{p+1}, \dots, i_{p+q+1}}} \\
& \quad \cdot g_{i_0, \dots, i_p}^{((-1)^{p+1} n_{i_p, i_{p+2}, \dots, i_{p+q+1}} + \dots + (-1)^{p+q+1} n_{i_p, \dots, i_{p+q}})} \\
& = g_{i_0, \dots, i_p}^{(-1)^p n_{i_{p+1}, \dots, i_{p+q+1}} g_{i_0, \dots, i_p}^{((-1)^{p+1} n_{i_p, i_{p+2}, \dots, i_{p+q+1}} + \dots + (-1)^{p+q+1} n_{i_p, \dots, i_{p+q}})},
\end{aligned}$$

if $\{g_{i_0, \dots, i_p}\}$ is a coboundary. Hence we obtain the second assertion of (i).

If $\{h_{i_0, \dots, i_q}\}$ is a coboundary, we also get

$$(g_*h)_{i_0, \dots, i_{p+q+1}} = g_{i_0, \dots, i_p}^{(n_{i_{p+1}, \dots, i_{p+q+1}} - n_{i_p, i_{p+2}, \dots, i_{p+q+1}} + \dots + (-1)^{q+1} n_{i_p, \dots, i_{p+q}})},$$

because $\{(1/2\pi\sqrt{-1}) (\delta h)_{i_0, \dots, i_{q+1}}\}$ is an integral coboundary in this case. Hence

$\{(g_*h)_{i_0, \dots, i_{p+q+1}}\}$ is a coboundary if $\{g_{i_0, \dots, i_p}\}$ is a cocycle. But since

$$\begin{aligned} & \delta(\log g_{i_0, \dots, i_p} \log h_{i_p, \dots, i_{p+q}})_{i_0, \dots, i_{p+q+1}} \\ &= (\delta \log g)_{i_0, \dots, i_{p+1}} \log h_{i_{p+1}, \dots, i_{p+q+1}} + \\ &+ (-1)^p \log g_{i_0, \dots, i_p} (\delta \log h)_{i_p, \dots, i_{p+q+1}}, \end{aligned}$$

we may define g_*h by

$$\begin{aligned} & (g_*h)_{i_0, \dots, i_{p+q+1}} \\ &= (-1)^{p+1} \left[\frac{1}{2\pi\sqrt{-1}} (\delta \log g)_{i_0, \dots, i_{p+1}} \log h_{i_{p+1}, \dots, i_{p+q+1}} \right]. \end{aligned}$$

Hence $\{(g_*h)_{i_0, \dots, i_{p+q+1}}\}$ is a coboundary if $\{g_{i_0, \dots, i_p}\}$ is a coboundary and $\{h_{i_0, \dots, i_q}\}$ is a cocycle. Therefore we obtain (ii).

Definition. If $c_p \in H^p(M, \mathbf{C}^*_{c(D)})$ and $c_q \in H^q(M, \mathbf{C}^*_{c(D)})$ are the cohomology classes of cocycles $\{g_{i_0, \dots, i_p}\}$ and $\{h_{i_0, \dots, i_q}\}$, we denote $c_p * c_q$ the cohomology class of $\{(g_*h)_{i_0, \dots, i_{p+q+1}}\}$ in $H^{p+q+1}(M, \mathbf{C}^*_{c(D)})$ and call the $*$ -product of c_p and c_q .

Lemma 16. (i). $\sum_p H^p(M, \mathbf{C}^*_{c(D)})$ is a ring by the $*$ -product. That is, we have

$$\begin{aligned} c_1 * (c_2 * c_3) &= (c_1 * c_2) * c_3, \quad c_1 * c_2 = (-1)^{p+1} c_2 * c_1, \quad c_1 \in H^p(M, \mathbf{C}^*_{c(D)}), \\ c_1 * (c_2 c_3) &= (c_1 * c_2) (c_1 * c_3), \quad cc' \text{ is the usual product in } \sum_p H^p(M, \mathbf{C}^*_{c(D)}). \end{aligned}$$

(ii). Let $\delta : \sum_p H^{p-1}(M, \mathbf{C}^*_{c(D)}) \longrightarrow \sum_p H^p(M, \mathbb{Z})$ be the coboundary homomorphism, we have

$$(18) \quad \delta(c_1 * c_2) = (\delta c_1) \cup \delta(c_2).$$

Proof. Since we have

$$\begin{aligned} & \delta(\log g_{i_0, \dots, i_p} (\delta \log h)_{i_p, \dots, i_{p+q+1}}) \\ &= \delta \log g_{i_0, \dots, i_{p+1}} (\delta \log h)_{i_{p+1}, \dots, i_{p+q+2}} \\ & \quad \log g_{i_0, \dots, i_p} (\delta \log h)_{i_{p+1}, \dots, i_{p+q+1}} - \\ & \quad - (-1)^{p+1} (\delta \log g_{i_0, \dots, i_{p+1}}) \log h_{i_{p+1}, \dots, i_{p+q+1}} \\ &= (-1)^{p+1} (\delta (\log g_{i_0, \dots, i_p} \log h_{i_p, \dots, i_{p+q}})_{i_0, \dots, i_{p+q+1}}), \\ & \quad \delta \log f_{i_0, \dots, i_{p+1}} (\log gh)_{i_{p+1}, \dots, i_{p+q+1}} \\ &= \delta \log f_{i_0, \dots, i_{p+1}} (\log g_{i_{p+1}, \dots, i_{p+q+1}} + \log h_{i_{p+1}, \dots, i_{p+q+1}}), \end{aligned}$$

we obtain (i) by lemma 15.

By the definition of $*$ -product, we get

$$\begin{aligned} & \frac{1}{2\pi\sqrt{-1}}(\delta \log (g_*h))_{i_0, \dots, i_{p+q+1}} \\ &= \frac{1}{2\pi\sqrt{-1}}(\delta \log g)_{i_0, \dots, i_{p+1}} \frac{1}{2\pi\sqrt{-1}}(\delta \log h)_{i_{p+1}, \dots, i_{p+q+2}}. \end{aligned}$$

Since this right hand side represents $\delta(c_1) \cup \delta(c_2)$, we obtain (ii).

Corollary. $\delta: \sum_p H^{p-1}(M, \mathbf{C}^*_{c(D)}) \longrightarrow \sum_p H^p(M, \mathbf{Z})$ is a ring homomorphism, where the products are $*$ -product and cup-product. Especially, $\sum_p H^{2p-1}(M, \mathbf{C}^*_{c(D)})$ is a commutative ring.

Note. We know $\delta: \sum_p H^{p-1}(M, \mathbf{C}^*_d) \cong \sum_p H^p(M, \mathbf{Z})$. In this case, we have $c_1 * c_2 = \delta^{-1}(\delta(c_1) \cup \delta(c_2))$ by (18).

9. As in $n^\circ 7$, we fix a $c(D)$ -class $GL(q, \mathbf{C})$ -bundle ξ and its associate $F(q)$ -bundle $M_F = \{M_F, F(q), M, \pi_F\}$. Then we have the following commutative diagram with exact lines.

$$\begin{array}{ccccccc} H^{2p-1}(M_F, \mathbf{Z}) & \xrightarrow{\iota^*} & H^{2p-1}(M_F, \mathbf{C}^*_{c(\pi_F^*(D))}) & \xrightarrow{\exp^*} & H^{2p-1}(M_F, \mathbf{C}^*_{c(\pi_F^*(D))}) & \longrightarrow & \\ \pi_F^* \uparrow & & \pi_F^* \uparrow & & \pi_F^* \uparrow & & \\ H^{2p-1}(M, \mathbf{Z}) & \xrightarrow{\iota^*} & H^{2p-1}(M, \mathbf{C}^*_{c(D)}) & \xrightarrow{\exp^*} & H^{2p-1}(M, \mathbf{C}^*_{c(D)}) & \longrightarrow & \\ & \delta \longrightarrow & H^{2p}(M_F, \mathbf{Z}) & & & & \\ & \delta \uparrow \pi_F^* & & & & & \\ & \longrightarrow & H^{2p}(M, \mathbf{Z}) & & & & \end{array}$$

In this diagram, each π_F^* is a monomorphism except $\pi_F^*: H^{2p-1}(M, \mathbf{C}^*_{c(D)}) \longrightarrow H^{2p-1}(M_F, \mathbf{C}^*_{c(\pi_F^*(D))})$. Hence $\pi_F^*: H^{2p-1}(M, \mathbf{C}^*_{c(D)}) \longrightarrow H^{2p-1}(M_F, \mathbf{C}^*_{c(\pi_F^*(D))})$ is also a monomorphism. On the other hand, if $c \in H^{2p-1}(M_F, \mathbf{C}^*_{c(\pi_F^*(D))})$ is in δ -kernel, set $c = \exp^*(b)$, $b \in H^{2p-1}(M_F, \mathbf{C}^*_{c(\pi_F^*(D))})$, $\int_F b$ is defined. Since $\int_F \iota^*(a)$, $a \in H^{2p-1}(M_F, \mathbf{Z})$, is in ι^* -image by the definition of $\int_F \exp^*(\int_F b) \in H^{2p-1}(M, \mathbf{C}^*_{c(D)})$ is determined by c . Hence we may define $\int_F c$ by

$$\int_F c = \exp^* \left(\int_F b \right), \quad c = \exp^*(b).$$

On M_F , $\pi_F^*(\xi)$ is an m -fold extension of $c(\pi_F^*(D))$ -class \mathbf{C}^* -bundles η_1, \dots, η_q as a $c(\pi_F^*(D))$ -class bundle. Then, regard each η_i to be an element of $H^1(M_F, \mathbf{C}^*_{c(\pi_F^*(D))})$, we have

$$(19)' \quad \pi_F^*(c^p(\xi)) = \sum \delta(\eta_{i_1}) \cup \dots \cup \delta(\eta_{i_p}), \quad p \leq q.$$

Here $c^p(\xi)$ is the p -th integral Chern class of ξ and $\sum X_{i_1} \dots X_{i_p}$ is the p -th ele-

mentary symmetric function of indeterminants X_1, \dots, X_q .

By lemma 16, $\prod \eta_{i_1}^* \dots \eta_{i_p}^* \in H^{2p-1}(M_F, \mathbf{C}^*_{c(\pi_F^*(D))})$ is defined and we have

$$(20) \quad \delta(\prod \eta_{i_1}^* \dots \eta_{i_p}^*) = \pi_F^*(c^p(\xi)).$$

Since $c^p(\xi)$ is in δ -image by proposition 2, there is an element $b^p \in H^{2p-1}(M, \mathbf{C}^*_{c(D)})$ such that

$$\delta(\pi_F^*(b^p)) = \delta(\prod \eta_{i_1}^* \dots \eta_{i_p}^*).$$

Hence $\int_F (\prod \eta_{i_1}^* \dots \eta_{i_p}^* - \pi_F^*(b^p))$ is defined. If $\delta(\pi_F^*(b')) = \delta(\prod \eta_{i_1}^* \dots \eta_{i_p}^*)$, we get

$$\begin{aligned} & \int_F \{(\prod \eta_{i_1}^* \dots \eta_{i_p}^* - \pi_F^*(b))\} - \int_F \{(\prod \eta_{i_1}^* \dots \eta_{i_p}^* - \pi_F^*(b'))\} \\ &= \int_F \pi_F^*(b' - b) = b' - b. \end{aligned}$$

Because π_F^* is a monomorphism. Hence $b^p + \int_F \{\prod (\eta_{i_1}^* \dots \eta_{i_p}^* - \pi_F^*(b^p))\} \in H^{2p-1}(M, \mathbf{C}^*_{c(D)})$ does not depend on the choice of b^p .

Definition. For a $c(D)$ -class $GL(q, \mathbf{C})$ -bundle ξ , we define $b^p(\xi) \in H^{2p-1}(M, \mathbf{C}^*_{c(D)})$ by

$$(21) \quad b^p(\xi) = b^p + \int_F \{(\prod \eta_{i_1}^* \dots \eta_{i_p}^* - \pi_F^*(b^p))\}, \quad \delta(\pi_F^*(b^p)) = \delta(\prod \eta_{i_1}^* \dots \eta_{i_p}^*).$$

We also set $b(\xi) = \sum_{p \geq 1} b^p(\xi)$.

By the definition of $b^p(\xi)$ and (20), we obtain

Theorem 2. (i). $b^p(\xi) = 0$ if $p > q$ and we have

$$(19) \quad \delta(b^p(\xi)) = c^p(\xi), \text{ the } p\text{-th integral Chern class of } \xi.$$

(ii). If $M_Y = \{M_Y, Y, M, \pi_Y\}$ is a $c(D)$ -class bundle over M with the smooth fibre Y , and ξ is a $c(D)$ -class $GL(q, \mathbf{C})$ -bundle over M , then

$$\pi_Y^*(b^p(\xi)) = b^p(\pi_Y^*(\xi)).$$

(iii). If ξ is a $c(D)$ -class extension of $c(D)$ -class bundles η_1 and η_2 , then

$$1 + b(\xi) = (1 + b(\eta_1)) * (1 + b(\eta_2)).$$

(iv). If $\xi = \delta(L)$, $b^p(\xi)$ is in \exp^* -image and if the monodromy group of $D \otimes 1_{\mathbf{C}}^q - L$ is contained in $GL(q_0, \mathbf{C})$, $q_0 < q$, then $b^p(\xi) = 0$, $p > q_0$.

Note. In some cases, for example $D = d$ or \bar{d} , $\mathbf{C}^*_{c(D)}$ is also defined on M_F and $\pi_F^* : H^{2p-1}(M, \mathbf{C}^*_{c(D)}) \cong H^{2p-1}(M_F, \mathbf{C}^*_{c(D)})^W$, the invariant subgroup of $H^{2p-1}(M_F, \mathbf{C}^*_{c(D)})$ under the action of Weyl group. In these cases, we can define $b^p(\xi)$ by

$$b^p(\xi) = \pi_F^{*-1}(\prod \eta_{i_1}^* \dots \eta_{i_p}^*).$$

§4. Characteristic classes related to D -Fuchs type operators

10. We denote the tangent and cotangent bundles of M by $T=T(M)$ and $T^*=T^*(M)$. Their fibres at x are denoted by T_x and T_x^* . Set $T^C=T\otimes\mathbb{C}$, etc., the subspace of T_x^C spanned by $r_1(D(x))$ is denoted by $T_x^{C,D}$ and set $T^{C,D}=\cup_{x\in M}T_x^{C,D}$. For $T^{C,D}$, we assume there is an open covering $\{U\}$ of M such that on each U , there is a system of smooth vector fields $\{X^U_1,\dots,X^U_m\}$ as follows: (i). $\{X^U_1(x),\dots,X^U_m(x)\}$ spans $T_x^{C,D}$ if $x\in U$. (ii). $\{X^U_1(x),\dots,X^U_m(x)\}$ are linear independent if x is in some dense open subset of U . Under these assumptions, there is a constant m such that $\dim T_x^{C,D}\leq m$ and $T^{C,D}$ is a vector bundle over some open dense subset M_0 of M . To fix an Hermitian structure of T^C , we can determine the dual space $T^{*C,D}_x$ of $T_x^{C,D}$ as the subspace of T^{*C}_x for each $x\in M$. Set $T^{*C,D}=\cup_{x\in M}T^{*C,D}_x$, $T^{*C,D}|_{M_0}$ is the dual bundle of $T^{C,D}|_{M_0}$ and contained in $T^{*C}|_{M_0}$. In the rest, we assume $\{X^U_1,\dots,X^U_m\}$ to be an O.N.-basis of $T_x^{C,D}$ if $x\in M_0$, for the given Hermitian structure. Their dual basis are denoted by $\{X^{U*}_1,\dots,X^{U*}_m\}$.

Definition. For a smooth function f on U , we set

$$d^D f(x) = \sum_{i=1}^m (X^U_i f)(x) X^{U*}_i(x), \quad x \in U.$$

By definition, d^D is defined on M and does not depend on the choice of $\{X^U_1,\dots,X^U_m\}$. Set $A^b T^{*C,D} = \cup_{x\in M} A^b T^{*C,D}_x$, d^D induces a differential operator $d^D : C^\infty(M, A^b T^{*C,D}) \longrightarrow C^\infty(M, A^{b+1} T^{*C,D})$ for any b . Therefore, denote the sheaf of germs of smooth sections of $A^b T^{*C,D}$ by $C^{b,D}_d$, we have the following exact sequence of sheaves

$$(22) \quad 0 \longrightarrow C_{c(D)} \xrightarrow{i} C_d \xrightarrow{d^D} C^{1,D}_d \xrightarrow{d^D} \dots \xrightarrow{d^D} C^{b,D}_d \xrightarrow{d^D} \dots$$

$$\xrightarrow{d^D} C^{n,D}_d \longrightarrow 0.$$

By the definitions of d^D and $C^{1,D}_d$, the sequence $0 \longrightarrow C_{c(D)} \xrightarrow{i} C_d \xrightarrow{d^D} C^{1,D}_d$ is exact if and only if (3) is hold for D . $d^D d^D$ is not equal to 0 unless the Lie algebra spanned by $\{X^U_1,\dots,X^U_m\}$ is abelian.

Note. If D is homogeneous, $r_1(D)$ is determined by $\sigma(r(D))$, the principal symbol of $r(D)$. Hence d^D is determined by $\sigma(r(D))$.

Assumption. In this §, we assume that there is an Hermitian structure on T^C such that the sequence (22) is exact.

Under this assumption, denote the kernel sheaf of d^D in $C^{b,D}_d$ by $B^{b,D}_d$, we have the isomorphism

$$(23) \quad H^b(M, C_{c(D)}) \cong H^0(M, B^{b,D}_d) / d^D H^0(M, C^{b-1,D}_d), \quad b \leq 1.$$

Because the sheaves \mathbb{C}_d , $\mathbb{C}^{1,D}_d, \dots$, are fine.

Example. If $r_1(D)$ is maximal, D satisfies the assumption and the sequence (22) is the de Rham complex. Similarly, if $r(D)=r_1(D)=\bar{\partial}$, D satisfies the assumption and the sequence (22) is the Dolbeault complex.

Lemma 17. *If D satisfies the assumption, $M_Y = \{M_Y, Y, M, \pi_Y\}$ is a $c(D)$ -class bundle over M with the fibre Y , a smooth manifold, then $\pi_Y^*(D)$ also satisfies the assumption.*

Proof. By assumption, denote T_Y the fibre of the tangent bundle of Y , we have $T^{\mathbb{C}}, \pi_Y^{*(D)} = \pi_Y^*(T^{\mathbb{C}, D}_{\pi_Y(x)}) \otimes T_Y$. Hence $d^{\pi_Y^{*(D)}} = \pi_Y^*(d^D) \otimes 1_Y$ at \mathbb{C}_d . Therefore we have the lemma.

By the definition of d^D and the assumption on D , d^D has same formal properties as d . For example, d^D is linear, $d^D d^D = 0$ and

$$d^D(\varphi \wedge \psi) = d^D \varphi \wedge \psi + (-1)^b \varphi \wedge d^D \psi, \quad \varphi \in C^\infty(U, \wedge^b T^* \mathbb{C}, D).$$

11. In the sense of de Rham, the $(2p-1)$ -dimensional generator ω^b of $H^*(GL(n, \mathbb{C}), \mathbb{C}) = H^*(U(n), \mathbb{C})$ is given by

$$\begin{aligned} \omega^b(T) &= \text{tr}(dT T^{-1} \wedge \dots \wedge dT T^{-1}) \\ &= \sum_{i_1, \dots, i_{2p-1}, j_1, \dots, j_{2p-1}} \zeta^{j_1, i_2, \dots, j_{2p-2}, i_{2p-1}} \zeta^{j_{2p-1}, i_1} \cdot dz_{i_1, j_1} \wedge \dots \wedge dz_{i_{2p-1}, j_{2p-1}}, \\ T &= (z_i, j), \quad T^{-1} = (\zeta^i, j), \end{aligned}$$

([5], [10]). Hence if $f: U \rightarrow GL(n, \mathbb{C})$ is a smooth map, we have

$$(24) \quad f^*(\omega^b) = \text{tr}(dff^{-1} \wedge \dots \wedge dff^{-1}).$$

We also set

$$(24)' \quad f^{*D}(\omega^b) = \text{tr}(d^D ff^{-1} \wedge \dots \wedge d^D ff^{-1}).$$

Example. If $D = \bar{\partial}$, $f^{*D}(\omega^b)$ is the type $(0, 2p-1)$ -part of $f^*(\omega^b)$.

Lemma 18. *If $\log f$ is defined, we have*

$$(25) \quad f^*(\omega^b) = \text{tr}(d \log f \wedge \dots \wedge d \log f),$$

$$(25)' \quad f^{*D}(\omega^b) = \text{tr}(d^D \log f \wedge \dots \wedge d^D \log f).$$

Proof. Since $dff^{-1} = (d(fC))(fC)^{-1}$ and $d^D ff^{-1} = (d^D(fC))(fC)^{-1}$ for any constant matrix C , we may assume $f-I$ is invertible and $\log f$ is given by the Taylor series $\sum_{m \geq 1} (-1)^{m-1} (1/m) (f-I)^m$ on U , an open set of M . Then, since $f-I$ is invertible by assumption, we get

$$\begin{aligned} & \text{tr}[(f-I)^{k_0} df(f-I)^{k_1} \wedge \dots \wedge df(f-I)^{k_{2p-2}} \wedge df(f-I)^{k_{2p-1}-k_0}] \\ &= \text{tr}[df(f-I)^{k_1} \wedge \dots \wedge df(f-I)^{k_{2p-1}}], \end{aligned}$$

for any integers $k_0, k_1, \dots, k_{2p-1}$. Therefore we obtain

$$\begin{aligned} & \text{tr}(d \log f \wedge \dots \wedge d \log f) \\ &= \sum_{k_1, \dots, k_{2p-1}} (-1)^{k_1 + \dots + k_{2p-1}} \text{tr}[df(f-I)^{k_1} \wedge \dots \wedge df(f-I)^{k_{2p-1}}], \end{aligned}$$

because tr is linear. Since $f^{-1} = \sum_{k \geq 0} (-1)^k (f-I)^k$ under our assumption, this right hand side is equal to $\text{tr}(dff^{-1} \wedge \dots \wedge dff^{-1})$. Therefore we obtain (25). (25)' is obtained by the same way, because d^D has same formal properties as d .

Corollary. $f^{*D}(\omega^b)$ is d^D -closed.

Lemma 19. Let $L = \{\rho_D(h_U)\}$ be an element of $H^0(M, L_{G,D})$. Then to set

$$(26) \quad L^*(\omega^b) \mid U = h_U^{*D}(\omega^b),$$

$L^*(\omega^b)$ is a d^D -closed $(2p-1)$ -form on M and does not depend on the choice of $\{h_U\}$.

Proof. Since $\rho_D(h_U) = \rho_D(h_V)$ on $U \cap V$, we get $h_U^{*D}(\omega^b) = h_V^{*D}(\omega^b)$ on $U \cap V$. On the other hand, if $\rho_D(h_U) = \rho_D(h_{U'})$, $h_{U'}$ is written as $h_U f_U$, where f_U is a $c(D)$ -class $GL(n, \mathbb{C})$ -valued function. Hence $h_U^{*D}(\omega^b)$ is equal to $h_{U'}^{*D}(\omega^b)$. Therefore we have the lemma.

Lemma 20. Set $\langle L^*(\omega^b) \rangle$ the cohomology class of $L^*(\omega^b)$ in $H^{2p-1}(M, \mathbb{C}_{c(D)})$, we have

$$\begin{aligned} & \langle L^*(\omega^b) \rangle \\ &= \{(-1)^{b-1} \text{tr}[\log g_{i_0, i_1} (\delta \log g)_{i_1, i_2, i_3} \dots (\delta \log g)_{i_{2p-3}, i_{2p-2}, i_{2p-1}}]\}, \\ & g_{ij} = h_{U_i}^{-1} h_{U_j}, \quad (\delta \log g)_{ijk} = \log g_{jk} - \log g_{ik} + \log g_{ij}. \end{aligned}$$

Proof. Since we can take the open covering $\{U\}$ sufficiently fine, we may assume $\log h_U$ is defined for any $U \in \{U\}$. Then, by lemma 18, to set

$$L^*(\Omega^q) = \overline{d^D \log h_U \wedge \dots \wedge d^D \log h_U}^q,$$

we have

$$\text{tr } L^*(\Omega^{2p-1}) = \text{tr } L^*(\omega^b), \quad L^*(\Omega^q) = (-1)^{q-1} d^D [L^*(\Omega^{q-1}) \log h_U].$$

Moreover, by the same calculation as in the proof of lemma 18, we get

$$\begin{aligned} & \text{tr}[L^*(\Omega^q) \wedge d^D (\log h_U^{-1} h_V)] \\ &= \text{tr}[L^*(\Omega^q) \wedge d^D \log h_V - L^*(\Omega^q) \wedge d^D \log h_U]. \end{aligned}$$

Hence the Čech cocycle represents the class of $L^*(\Omega^b)$ in $H^1(M, B^{2p-2,D}_d)$ is $\{\text{tr } [L^*(\Omega^{2p-2}) \log g_{ij}]\}$. Then, since $\delta\{(\delta \log g)_{i_0, i_1, i_2} = 0\}$, we get

$$\begin{aligned} & \log h_{i_1} (\delta \log g)_{i_1, i_2, i_3} - \log h_{i_0} (\delta \log g)_{i_0, i_2, i_3} + \\ & + \log h_{i_0} (\delta \log g)_{i_0, i_1, i_3} - \log h_{i_0} (\delta \log g)_{i_0, i_1, i_2} \end{aligned}$$

$$=(\log h_{i_1}-\log h_{i_0}) \delta \log g)_{i_1, i_2, i_3}.$$

Hence in $H^2(M, B^{2p-3}, D_d)$, $L^*(\omega^p)$ is represented by $\{-\text{tr}[L^*(Q^{2p-3}) \log g_{i_0, i_1} (\delta \log g)_{i_1, i_2, i_3}]\}$. Since $(\delta \log g)_{i_1, i_2, i_3}$ is a constant matrix, we can repeat this process. Therefore we have the lemma because $(-1)^{(p-1)(2p-1)} = (-1)^{p-1}$.

Corollary. Denote c^p the $(2p-1)$ -dimensional generator of $H^*(GL(n, \mathbb{C}), \mathbb{Z}) = H^*(U(n), \mathbb{Z})$, we have

$$\iota^*(c^p) = \frac{(-1)^{p-1}}{(2\pi\sqrt{-1})^p} \langle \omega^p \rangle.$$

Proof. Since $(\delta g)_{ijk} = I$, the identity matrix, $(\delta \log g)_{ijk} = 2\pi\sqrt{-1} N_{ijk}$, where N_{ijk} is a matrix with integral proper values, for any i, j, k . On the other hand, $\log g_{ij} = 2\pi\sqrt{-1} N_{ij}$ if $h_{U_i} = h_{U_j}$ on $U_i \cap U_j$. Hence $f^*(\omega^p)$ is represented by a cocycle of the form $\{(-1)^{p-1}(2\pi\sqrt{-1})^p n_{i_0, \dots, i_{2p-1}}\}$ in $H^{2p-1}(M, \mathbb{C})$, where $n_{i_0, \dots, i_{2p-1}}$ is an integer for any $(i_0, i_1, \dots, i_{2p-1})$ and $f: M \rightarrow GL(n, \mathbb{C})$ is a smooth map. On the other hand $\iota^*(c^p)$ is represented by $a_p \omega^p$ where a_p is a constant, $\iota^*(f^*(c^p))$ is represented by $\{(-1)^{p-1} a_p (2\pi\sqrt{-1})^p n_{i_0, \dots, i_{2p-1}}\}$ and it is an integral class. Since we can take f and M arbitrarily, $(-1)^{p-1} a_p (2\pi\sqrt{-1})^p$ should be equal to 1. Therefore we obtain the corollary.

12. Definition. We define $\beta^p(L) \in H^{2p-1}(M, \mathbb{C}_{c(D)})$ by

$$\beta^p(L) = \frac{(-1)^{p-1}}{(2\pi\sqrt{-1})^p} \langle L^*(\omega^p) \rangle.$$

Theorem 3. (i). If $L \in H^0(M, L_{\mathbb{C}^*, D})$, then

$$(27) \quad \beta^1(L) = \delta k^{*-1}(L).$$

(ii). Let $F_{q, p}(Y_1, \dots, Y_p) = \sum a_{i_1, \dots, i_p} Y_1^{i_1} \dots Y_p^{i_p}$ be the polynomial $F_{q, p}(s_1, \dots, s_p) = \sum_{i=1}^p X_i^p$, where s_r is the r -th elementary symmetric function of indeterminants X_1, \dots, X_q , and set

$$F_{q, p}(b_1, \dots, b_p) = \prod [\overline{b_1 \dots b_1}^{i_1} \dots \overline{b_p \dots b_p}^{i_p}]^{a_{i_1, \dots, i_p}},$$

$$b_r \in H^{2r-1}(M, \mathbb{C}^*_{c(D)}).$$

Then we have

$$(28) \quad \exp^*(\beta^p(L)) = (-1)^{p-1} F_{q, p}(b^1(\delta(L)), \dots, b^p(\delta(L))).$$

(iii). If $L = \rho_D(f)$, f is a smooth $GL(n, \mathbb{C})$ -valued function on M , then

$$(29) \quad \beta^p(L) = \iota^*(f^*(c^p)).$$

(iv). If $L|U = \rho_D(h_U)$, h_U is a smooth $\Delta(q, \mathbb{C})$ -valued function on U , for each $U \in \{U\}$, then

$$(30) \quad \beta^p(L) = 0, \quad p \geq 2.$$

(v). If $M_Y = \{M_Y, Y, M, \pi_Y\}$ is a $c(D)$ -class bundle over M with the smooth fibre Y , set $\pi_Y^*(L) = \{\rho_{\pi_Y^*(D)}(\pi_Y^*(h_U))\}$, we have

$$\beta^p(\pi_Y^*(L)) = \pi_Y^*(\beta^p(L)).$$

(vi). If D is homogeneous and satisfies the assumption in $n^{\circ}10$, $\beta^p(L)$ is determined by $\sigma(L)$, the principal symbol of L .

Proof. If $L = \{\rho_D(h_U)\} \in H_0(M, \text{Lc}^*,_D)$, $\delta k^{*-1}(L)$ is given by $(1/2\pi\sqrt{-1})(\log h_U - \log h_V)$. Hence we have (i) by lemma 20. (iii) also follows from lemma 20 and (v) follows from the definitions of $\beta^p(L)$, $\pi_Y^*(L)$ and lemma 17.

To show (ii), first we assume $\delta(L) = \{g_{ij}\}$ is a $\Delta(q, \mathbb{C})$ -bundle. Then $\delta(L)$ is a q -fold extension of $c(D)$ -class \mathbb{C}^* -bundles η_1, \dots, η_q and the transition function of each η_m is given by the m -th diagonal element $\{g_{ij, m}\}$ of $\{g_{ij}\}$. Since g_{ij} is a $\Delta(q, \mathbb{C})$ -valued function, $\log g_{ij}$ is a $\Delta(q, \mathbb{C})$ -valued function whose m -th diagonal element is $\log g_{ij, m}$. Hence we have

$$\begin{aligned} & \text{tr}[\log g_{i_0, i_1}(\delta \log g)_{i_1, i_2, i_3} \cdots (\delta \log g)_{i_{2p-3}, i_{2p-2}, i_{2p-1}}] \\ &= \sum_{m=1}^q \log g_{i_0, i_1, m}(\delta \log g)_{i_1, i_2, i_3, m} \cdots (\delta \log g)_{i_{2p-3}, i_{2p-2}, i_{2p-1}, m}. \end{aligned}$$

Therefore we obtain

$$\exp^*(\beta^p(L)) = \left\{ \sum_{m=1}^q \eta_m^* \cdots \eta_m^* \right\}^{(-1)^{p-1}}.$$

Hence by the definitions of $b^p(\xi)$ and $F_{q, p}$, we have (28) by lemma 16.

To show (ii) in general, we use the commutative diagram

$$\begin{array}{ccc} H^{2p-1}(M_F, \mathbb{C}_{c(\pi_F^*(D))}) & \xrightarrow{\exp^*} & H^{2p-1}(M_F, \mathbb{C}^*_{c(\pi_F^*(D))}) \\ \pi_F^* \uparrow & & \uparrow \pi_F^* \\ H^{2p-1}(M, \mathbb{C}_{c(D)}) & \xrightarrow{\exp^*} & H^{2p-1}(M, \mathbb{C}^*_{c(D)}), \end{array}$$

where M_F is the associate $F(q)$ -bundle of $\delta(L)$. Since $\pi_F^*(D)$ satisfies the assumption of $n^{\circ}10$ by lemma 17, $\beta^p(\pi_F^*(L))$ is defined and since $\pi_F^*(\delta(L))$ is a $c(D)$ -class $\Delta(q, \mathbb{C})$ -bundle, we have

$$\exp^*(\beta^p(\pi_F^*(L))) = (-1)^{p-1} F_{q, p} [b^1(\delta(\pi_F^*(L))), \dots, b^p(\delta(\pi_F^*(L)))].$$

But since $\delta(\pi_F^*(L)) = \pi_F^*(\delta(L))$ by the definition of $\pi_F^*(L)$, we have by (v) and theorem 2, (ii)

$$\pi_F^*(\exp^*(\beta^p(L))) = \pi_F^*[(-1)^{p-1}F_{q,p}(b^1(\partial(L)), \dots, b^p(\partial(L)))],$$

because by the definition of $*$ -product, we get $\pi_F^*(a_*b) = \pi_F^*(a) * \pi_F^*(b)$. Then, since each π_F^* is a monomorphism, we obtain (ii).

If h_U is a $\Delta(q, \mathbb{C})$ -valued function, $d^p h_U h_U^{-1}$ is a $\Delta(q, \mathbb{C})$ -valued 1-form. Hence to set $d^p h_U h_U^{-1} = (\varphi_{ij})$, we get

$$\text{tr}(L^*(\Omega^r)) = \sum_{i=1}^q \left| \begin{array}{c} \text{---} r \text{---} \\ \varphi_{i,i} \wedge \dots \wedge \varphi_{i,i} \end{array} \right|, \quad r \geq 2.$$

This shows (iv).

If D is homogeneous, $\sigma(L)$ is determined by $r_1(D)$. Hence we have (vi).

Corollary. *If $\delta(L) = \delta(L')$, $\beta^p(L) - \beta^p(L')$ is in ι^* -image for all p .*

Note 1. By (ii), we have

$$(28)' \quad b^1(\delta(L)) = \exp^*(\beta^1(L)).$$

On the other hand, since the diagram

$$\begin{array}{ccccccc} H^0(M, \mathbb{C}_d) & \longrightarrow & H^0(M, \mathcal{L}_{\mathbb{C}, D}) & \xrightarrow{\delta_2} & H^1(M, \mathbb{C}_{c(D)}) & \longrightarrow & 0 \\ & & \uparrow k^{*-1} = & & \uparrow \exp^* & & \\ & & H^0(M, \mathbb{L}_{\mathbb{C}^*, D}) & \xrightarrow{\delta_1} & H^1(M, \mathbb{C}_{c(D)}^*) & & \\ & & & & \downarrow \delta & & \\ & & & & H^2(M, \mathbb{Z}) & & \end{array}$$

is commutative, we can define, $\beta^1(L)$ by (i) without any assumption about D and it satisfies (28)'.

Note 2. If $d^p = d$ or $\bar{\partial}$, we can define $\pi_Y^*(\beta^p(L))$ and $\beta^p(\pi_Y^*(L))$ (resp. $\pi_Y^*(b^p(\xi))$ and $b^p(\pi_Y^*(\xi))$) as the elements of $H^{2p-1}(M_Y, \mathbb{C})$ or $H^{2p-1}(M_Y, \mathbb{C}\omega)$ (resp. $H^{2p-1}(M_Y, \mathbb{C}^*)$ or $H^{2p-1}(M_Y, \mathbb{C}^*\omega)$) and for these elements, theorem 3, (v) (resp. theorem 2, (ii)) hold.

Appendix. Curvature operators of connections of differential operators

In this appendix, we assume $E_1 = E_2 = E$, that is D is defined on $C^\infty(M, E)$ and maps into itself. For a differential operator $L : C^\infty(U, E \otimes H) \longrightarrow C^\infty(U, E \otimes H)$ with order at most $k-1$, $k = \text{ord } D$, we set

$$\Theta_D(L) = (D \otimes 1_H)L + L(D \otimes 1_H) - L^2,$$

and call the curvature operator of L with respect to D . By definition, if $-L = \{-L_U\}$ is a connection of D with respect to ξ , a G -bundle with the fibre H ([3]), set $D_L = \{D \otimes 1_H - L_U\} : C^\infty(M, E \otimes \xi) \longrightarrow C^\infty(M, E \otimes \xi)$, we have

$$D_L^2|U = D_U^2 \otimes 1_H - \Theta_D(L_U).$$

Hence if L is flat, that is $L = \rho_D(h)$, we obtain

$$\Theta_D(L) = \rho_{D^2}(h).$$

Example 1. Let $C^\infty(M, E_1) \xrightarrow{D_1} C^\infty(M, E_2) \xrightarrow{D_2} \dots \xrightarrow{D_m} C^\infty(M, E_{m+1})$ be a differential complex, ξ a G -bundle with the fibre H , $-\theta_i$ is a connection of D_i with respect to ξ , $1 \leq i \leq m$. Then, to set $E = E_1 \oplus \dots \oplus E_{m+1}$, $D(f_1 \oplus \dots \oplus f_{m+1}) = 0 \oplus D_1 f_1 \oplus \dots \oplus D_m f_m$ and $\theta(f_1 \oplus \dots \oplus f_{m+1}) = 0 \oplus \theta_1 f_1 \oplus \dots \oplus \theta_m f_m$, θ is a connection of D with respect to ξ and $\Theta_D(\theta) = -(D\theta)^2$. Therefore the series

$C^\infty(M, E_1 \otimes \xi) \xrightarrow{D_1, \theta_1} C^\infty(M, E_2 \otimes \xi) \xrightarrow{D_2, \theta_2} \dots \xrightarrow{D_m, \theta_m} C^\infty(M, E_{m+1} \otimes \xi)$ is a differential complex if and only if the curvature operator of θ with respect to D vanishes. To vanish the curvature operator of θ , it is sufficient there exist $h_U \in C^\infty(U, G_d)$ such that $\theta_{i,U} = \rho_{D_i}(h_U)$, $1 \leq i \leq m$, for all U .

Example 2. In the above example, if $D_i = d$ or \bar{d} for each i , $\Theta_D(\theta)$ is equal to $d\theta - \theta \wedge \theta$ or $\bar{d}\theta - \theta \wedge \theta$.

Lemma 1. *We have*

- (1)i $\Theta_D(cL) = c\Theta_D(L) + (c - c^2)L^2$, c is a constant G -valued function,
- (1)ii $\Theta_D(L_1 + L_2) = \Theta_D(L_1) + \Theta_D(L_2) - (L_1 L_2 + L_2 L_1)$,
- (1)iii $\Theta_D(L^g) = [\Theta_D(L)]^g + [\Theta_D(g)L^g + L^g\Theta_D(g)]$.

Corollary 1. *If $\Theta_D(L) = \Theta_D(L)^g + \rho_{D^2}(g)$, then there exists a differential operator P such that $L^g + \rho_D(g) = L + P$, $\Theta_D(P) = L_1 P + P L_1$.*

Corollary 2. (i). *If $L = \{L_U\}$ is a connection of D with respect to $\xi = \{g_{UV}\}$, then*

$$(2) \quad \Theta_D(L_U) = \Theta_D(L_V)^{g_{UV}} + \rho_{D^2}(g_{UV}), \text{ on } U \cap V.$$

(iii). *If (2) is hold for $L = \{L_U\}$, then*

$$(3) \quad \Theta_D(L_U + P_{UV}) = \Theta_D(L_U) \text{ on } U \cap V, P_{UV} = (D_U - L_U) - (D_V - L_V)^{g_{UV}}.$$

Proof. If $L = \{L_U\}$ is a connection of D with respect to ξ , we have $(D_U - L_U)^2 = (D_V^{g_{UV}} - L_V^{g_{UV}})^2$ on $U \cap V$. Since $(D_U - L_U)^2 = D_U^2 - \Theta_D(L_U)$ and $(D_V^{g_{UV}} - L_V^{g_{UV}})^2 = D_U^2 - \rho_{D^2}(g_{UV}) - [\Theta_D(L_V)]^{g_{UV}}$, we get (i). Since $(D_U - L_U)^2 - (D_V^{g_{UV}} - L_V^{g_{UV}})^2 = 0$ if (2) is hold, set $P_{UV} = (D_U - L_U) - (D_V - L_V)^{g_{UV}}$, we get (3) by (1)ii.

Corollary 3. *If $\Theta_D(L) = \rho_{D^2}(h)$, L is equal to $\rho_D(h) + P$, where $\Theta_{Dh}(P) = 0$.*

Definition. Let $L, L' : C^\infty(U, E \otimes H) \rightarrow C^\infty(U, E \otimes H)$ be differential operators of order at most $k-1$, we call $L \sim L'$ mod. Θ_D if there exists a smooth G -valued function g on U such that $\Theta_D(L) = \Theta_D(L')^g + \rho_{D^2}(g)$.

By lemma 1, $L \sim L'$ is an equivalence relation and it induces an equivalence relation on $\mathcal{D}_{E \otimes H}^{k-1}$, the sheaf of germs of differential operators $L : C^\infty(U, E \otimes H) \rightarrow C^\infty(U, E \otimes H)$ of order at most $k-1$. The quotient sheaf of $\mathcal{D}_{E \otimes H}^{k-1}$ by this

relation is denoted by $\tilde{\Theta}_D \mathcal{D}_{E \otimes H}^{k-1}$. The map from $\mathcal{D}_{E \otimes H}^{k-1}$ onto $\tilde{\Theta}_D \mathcal{D}_{E \otimes H}^{k-1}$ induced by the relation $L \sim L'$ is denoted by $\tilde{\Theta}_D$. The kernel sheaf of $\tilde{\Theta}_D$ is denoted by $\tilde{L}_{G, D}$. $\tilde{L}_{G, D}$ contains $L_{G, D}$.

Definition For $\xi = \{g_{UV}\} \in H^1(M, G_d)$, $\{L_U\} \in C^\infty(U, \mathcal{D}_{E \otimes H}^{k-1})$ and $\{L_{UV}\} \in C^1(U, \mathcal{D}_{E \otimes H}^{k-1})$ we set

$$\delta_\xi \{L\}_{UV} = L_U - L_V^{g_{UV}}, \quad \delta_\xi \{L\}_{UVW} = L_{UV} + L_V^{g_{UV}} + L_W^{g_{UW}}.$$

Lemma 2. $\delta_\xi(\delta_\xi \{L\})_{UVW} = 0$ and if $\langle \delta_\xi L \rangle_{UVW} = 0$ and there is a partition of unity by smooth functions subordinate to $\{U\}$, $\{L_{UV}\} = \{\delta_\xi(R)_{UV}\}$ for some $\{R_U\} \in C^\infty(U, \mathcal{D}_{E \otimes H}^{k-1})$ (cf. [3]).

Proof. $\delta_\xi(\delta_\xi \{L\})_{UVW} = 0$ follows from the definitions. If $\langle \delta_\xi L \rangle_{UVW} = 0$, we have $L_{UU} = 0$ and $L_{UV} = -L_V^{g_{UV}}$. Hence set $R_U = \sum_{W \cap U \neq \emptyset} e_W L_{UW}$, $\{e_W\}$ is the Partition of unity subordinate to U , we have $\delta_\xi(R)_{UV} = L_{UV}$.

Denote L_U the section of $\mathcal{D}_{E \otimes H}^{k-1}$ on U and set $U = \{U\}$, an open covering of M , we set

$$H_D^0(U, \tilde{L}_{G, D}) = \{ \{L_U\} \mid \langle \delta_\xi L \rangle_{UV} = \rho_D(g_{UV}), \text{ for some } \xi = \{g_{UV}\} \in H^1(M, G_d),$$

$$L_U \text{ is a section of } \tilde{L}_{G, D} \text{ on } U \}.$$

$$H_D^0(U, \mathcal{D}_{E \otimes H}^{k-1}) = \{ \{L_U\} \mid \langle \delta_\xi L \rangle_{UV} = \rho_D(g_{UV}) \text{ for some } \xi = \{g_{UV}\} \in H^1(M, G_d) \}.$$

$$\begin{aligned} H^0(U, \tilde{\Theta}_D \mathcal{D}_{E \otimes H}^{k-1}) &= \{ \{\Theta_D L_U\} \mid \delta_\xi(\Theta_D L)_{UV} = \rho_D(g_{UV}) \text{ for some } \xi \\ &= \{g_{UV}\} \in H^1(M, G_d) \}. \end{aligned}$$

We define $H_D^0(M, \tilde{L}_{G, D})$, $H_D^0(M, \mathcal{D}_{E \otimes H}^{k-1})$ and $H^0(M, \tilde{\Theta}_D \mathcal{D}_{E \otimes H}^{k-1})$ as the limits of these sets. We also set

$$B^1_{\Theta_D}(U, \mathcal{D}_{E \otimes H}^{k-1}) = \{ \{R_{UV}\} \mid R_{UV} = \langle \delta_\xi L \rangle_{UV} \text{ for some } \xi = \{g_{UV}\} \in H^1(M, G_d)$$

$$\text{and } \Theta_D(R_{UV}) = \rho_D(g_{UV}) - [\{ \rho_D(g_{UV}) - R_{UV} \} L_V^{g_{UV}} + L_V^{g_{UV}} \{ \rho_D(g_{UV}) - R_{UV} \}].$$

We call $\{R_{UV}\}$ and $\{R_{UV'}\} \in B^1_{\Theta_D}(U, \mathcal{D}_{E \otimes H}^{k-1})$ to be equivalent if

$$R_{UV} = \langle \delta_\xi L \rangle_{UV}, \quad R_{UV'} = \langle \delta_\xi(L + Q) \rangle_{UV}, \quad \Theta_D(Q_U) = L_U Q_U + Q_U L_U.$$

The quotient set of $B^1_{\Theta_D}(U, \mathcal{D}_{E \otimes H}^{k-1})$ by this relation is denoted by $H^1_{\Theta_D}(U, \mathcal{D}_{E \otimes H}^{k-1})$. Its limit set is denoted by $H^1_{\Theta_D}(M, \mathcal{D}_{E \otimes H}^{k-1})$. Then by lemma 1 and lemma 2, we have the following exact sequence of cohomology sets

$$(4) \quad 0 \longrightarrow H_D^0(M, \tilde{L}_{G, D}) \xrightarrow{i} H_D^0(M, \mathcal{D}_{E \otimes H}^{k-1}) \xrightarrow{\tilde{\Theta}_D} H^0(M, \tilde{\Theta}_D \mathcal{D}_{E \otimes H}^{k-1}) \xrightarrow{\delta} 0$$

$$\longrightarrow H^1_{\theta D}(M, \mathcal{D}_{E \otimes H}^{k-1}) \xrightarrow{i} H^1(M, \mathcal{D}_{E \otimes H}^{k-1}) = \{0\}.$$

Note. By the definition of δ^L (n°4), there is an inclusion map $\iota: H^1(M, L_{G,D}) \longrightarrow H^1_{\theta D}(M, \mathcal{D}_{E \otimes H}^{k-1})$ and we have the commutative diagram

$$\begin{array}{ccc} H^1_{\theta D}(M, \mathcal{D}_{E \otimes H}^{k-1}) & \xrightarrow{i_1} & H^1(M, \mathcal{D}_{E \otimes H}^{k-1}) = \{0\} \\ \uparrow \iota & & \uparrow i_2 \\ H^1(M, G_d) & \xrightarrow{\rho_D^*} & H^1(M, L_{G,D}) \end{array}$$

In this diagram, the explicit trivialization of $i_2 \rho_D^*(\xi)$ is the connection of D with respect to ξ . If the category is not smooth (for example, holomorphic category or topological category), $H^1(M, \mathcal{D}_{E \otimes H}^{k-1})$ may not be equal to $\{0\}$ and $i_2 \rho_D^*(\xi)$ gives the obstruction class to have a connection in this category (cf. [2], [4]).

Definition. Regard $\{L_U\} \in H_0^D(\mathcal{D}_{E \otimes H}^{k-1})$ to be a connection of D with respect to ξ , we call $\tilde{\Theta}_D(\{L_U\})$ to be the curvature operator of $\{L_U\}$.

Theorem. A $c(D)$ -class G -bundle ξ has a connection of D with respect to ξ with the curvature operator equal to 0. Conversely, if $\tilde{L}_{G,D} = L_{G,D}$, a G -bundle ξ is of $c(D)$ -class if D has a connection with respect to ξ with a curvature operator equal to 0.

Proof. Since a $c(D)$ -class G -bundle ξ allows $\{0\}$ as a connection of D with respect to ξ , we have the first assertion. If $\tilde{L}_{G,D} = L_{G,D}$, we have $\rho_D(g_{UV}) = \rho_D(h_U) - \rho_D(h_V)^{g_{UV}}$ if $\xi = \{g_{UV}\}$ has a connection of D with respect to ξ with the curvature operator is equal to 0. Hence $\{g_{UV}\}$ is in δ -image in the sequence (6) of n°4. Therefore we obtain the theorem.

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