

***On the equivariant K-groups***  
 ***$K_{U_F(n)}^*(CS_F^n, S_F^n)$  and  $K_{SU_F(n)}^*(CS_F^n, S_F^n)$***

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§ 1.

**1. Introduction.** Let  $F$  denote  $\mathbf{R}$ ,  $\mathbf{C}$ , or  $\mathbf{H}$ . Let  $U_F(n)$  denote  $O(n)$  for  $F = \mathbf{R}$ ,  $U(n)$  for  $F = \mathbf{C}$ , and  $S_P(n)$  for  $F = \mathbf{H}$ . Let  $S_F^n$  denote  $S^{n-1}$  for  $F = \mathbf{R}$ ,  $S^{2n-1}$  for  $F = \mathbf{C}$ , and  $S^{4n-1}$  for  $F = \mathbf{H}$ . We can regard  $S_F^n$  as a  $U_F(n)$ -space (respectively  $SU_F(n)$ -space) with a natural  $U_F(n)$  action (respectively  $SU_F(n)$  action) on  $F^n$ . We can consider  $F^n \subset F^{n+1}$  where a vector in  $F^n$  has zero in its  $(n+1)$ -coordinate when viewed in  $F^{n+1}$ . Consequently, there exists natural inclusions  $U_F(n-1) \subset U_F(n)$ ,  $SU_F(n-1) \subset SU_F(n)$ . Let  $CS_F^n$  be a cone over  $S_F^n$ . Then  $CS_F^n$  is a  $U_F(n)$ -space (respectively  $SU_F(n)$ -space) with group action  $g(y, t) = (gy, t)$ .

Now, in this paper we shall prove the following

**Theorem.** For  $F = \mathbf{C}$ , or,  $\mathbf{H}$ ,  $K_{U_F(n)}^*(CS_F^n, S_F^n)$  is an  $\mathbf{R}(U_F(n))$ -module with one generator.  $K_{SU(n)}^*(CS^{2n-1}, S^{2n-1})$  is an  $\mathbf{R}(SU(n))$ -module with one generator.  $K_{SO(2r+1)}^*(CS^{2r}, S^{2r})$  is an  $\mathbf{R}(SO(2r+1))$ -module with one generator.  $K_{SO(2r)}^*(CS^{2r-1}, S^{2r-1})$  is an  $\mathbf{R}(SO(2r))$ -module with two generators.

Let  $G$  be a compact Lie group and  $H$  a closed subgroup of  $G$ , then from 4.1 and 4.11 of [1], we have the following isomorphism between  $\mathbf{Z}_2$ -graded  $\mathbf{R}(G)$ -modules :

$$(1.1) \quad K_{(G,H)}^*(\text{point}) \cong K_G^*(G/H), \quad G/H.$$

From 3.5 Theorem of [1], the sequence

$$(1.2) \quad 0 \longrightarrow K_{(G,H)}^*(\text{point}) \xrightarrow{j^*} K_G^*(\text{point}) \xrightarrow{i^*} K_H^*(\text{point}) \xrightarrow{\partial} K_{(G,H)}^*(\text{point}) \longrightarrow 0$$

is exact, and each of the maps is a  $K_G^*(\text{point})$ -module map. Therefore, we have the following isomorphisms between  $\mathbf{R}(G)$ -modules : (Particularly, if  $(G, H) = (U_F(n), U_F(n-1))$  or  $(SU_F(n), SU_F(n-1))$ ,  $G/H = S_F^n$ ).

On the equivariant K-groups  $K_{U_F(n)}^*(CS_F^n, S_F^n)$  and  $K_{SU_F(n)}^*(CS_F^n, S_F^n)$

$$(1.3) \quad \begin{aligned} K_G(C(G/H), G/H) &\cong \text{Kernel } i^* \\ K_G^1(C(G/H), G/H) &\cong \text{Cokernel } i^*. \end{aligned}$$

## § 2

The following results on the representation theory will be required from [2]. Throughout this paper we use the same notations as in [2].

**2.1 Theorem.** *The ring  $R(U(n))$  equals the polynomial ring*

$$\mathbb{Z}[\lambda_1(n), \dots, \lambda_n(n), \lambda_n(n)^{-1}]$$

where as a subring of  $R(T(n)) = \mathbb{Z}[\alpha_1, \alpha_1^{-1}, \dots, \alpha_n, \alpha_n^{-1}]$  the relation

$$\lambda_k(n) = \sum_{i(1) < \dots < i(k)} \alpha_{i(1)} \dots \alpha_{i(k)}$$

holds. The ring  $R(SU(n))$  equals the polynomial ring

$$\mathbb{Z}[\lambda_1(n), \dots, \lambda_{n-1}(n)].$$

**2.2 Theorem.** *The ring  $R(Sp(n))$  equals the polynomial ring*

$$\mathbb{Z}[\lambda_1(n), \dots, \lambda_n(n)],$$

where, as a subring of  $\mathbb{Z}[\alpha_1, \alpha_1^{-1}, \dots, \alpha_n, \alpha_n^{-1}]$  the element  $\lambda_k(n)$  is the  $k$ -th symmetric function in the  $2n$  variables  $\alpha_1, \alpha_1^{-1}, \dots, \alpha_n, \alpha_n^{-1}$ .

**2.3 Theorem.** *In the case  $n = 2r + 1$ ,  $R(\text{Spin}(n))$  equals the polynomial ring*

$$\mathbb{Z}[\lambda^1(\rho_n), \dots, \lambda^r(\rho_n), \Delta_n],$$

and  $R(SO(n))$  equals the polynomial ring

$$\mathbb{Z}[\lambda^1(\rho_n), \dots, \lambda^r(\rho_n)].$$

The following relation holds :

$$\Delta_n^2 = \lambda^r(\rho_n) + \dots + \lambda^1(\rho_n) + 1.$$

In the case  $n = 2r$ ,  $R(\text{Spin}(n))$  equals the polynomial ring

$$\mathbb{Z}[\lambda^1(\rho_n), \dots, \lambda^r(\rho_n), \Delta_n^+, \Delta_n^-]$$

and  $R(SO(n))$  equals the polynomial ring

$$\mathbb{Z}[\lambda^1(\rho_n), \dots, \lambda^{r-1}(\rho_n), \lambda_+^r(\rho_n), \lambda_-^r(\rho_n)],$$

with one relation

$$\begin{aligned} & (\lambda_+^r + \lambda^{r-2} + \lambda^{r-4} + \dots) (\lambda_-^r + \lambda^{r-2} + \lambda^{r-4} + \dots) \\ &= (\lambda^{r-1} + \lambda^{r-3} + \lambda^{r-5} + \dots)^2. \end{aligned}$$

In  $\mathbf{R}(\text{Spin}(2r))$  the following relation holds :

$$\begin{aligned} (\Delta_{2r}^+)^2 &= \lambda_+^r(\rho_{2r}) + \lambda^{r-2}(\rho_{2r}) + \lambda^{r-4}(\rho_{2r}) + \dots, \\ (\Delta_{2r}^+) (\Delta_{2r}^-) &= \lambda^{r-1}(\rho_{2r}) + \lambda^{r-3}(\rho_{2r}) + \dots, \\ (\Delta_{2r}^-)^2 &= \lambda_-^r(\rho_{2r}) + \lambda^{r-2}(\rho_{2r}) + \lambda^{r-4}(\rho_{2r}) + \dots. \end{aligned}$$

From the selection of  $\alpha_i$  in [2], throughout this paper we shall write  $\mathbf{R}(T(n)) = \mathbf{Z}[\alpha_1, \alpha_1^{-1}, \dots, \alpha_n, \alpha_n^{-1}]$  and  $\mathbf{R}(T(n-1)) = \mathbf{Z}[\alpha_1, \alpha_1^{-1}, \dots, \alpha_{n-1}, \alpha_{n-1}^{-1}]$  with the same notations  $\alpha_i$ .

### § 3

**3.1 Lemma.** Let  $i_n^* : \mathbf{R}(U(n)) \longrightarrow \mathbf{R}(U(n-1))$  be a natural homomorphism (by the inclusion  $U(n-1) \subset U(n)$ ), then we have

$$(3.1.1) \quad i_n^*(\lambda_l(n)) = \begin{cases} \lambda_l(n-1) + \lambda_{l-1}(n-1) & (\text{for } l < n-1) \\ \lambda_{n-1}(n-1) & (\text{for } l = n-1). \end{cases}$$

**Proof.** The diagram

$$\begin{array}{ccc} \mathbf{R}(U(n)) & \longrightarrow & \mathbf{R}(T(n)) \\ \downarrow i_n^* & & \downarrow i_n^* \\ \mathbf{R}(U(n-1)) & \longrightarrow & \mathbf{R}(T(n-1)) \end{array}$$

is commutative, and we have  $i_n^*(\alpha_i) = \alpha_i$  for  $i \leq n-1$  and  $i_n^*(\alpha_n) = 1$ . since  $\lambda_l(n)$  is a  $l$ -th symmetric function in the  $n$  variables  $\alpha_1, \dots, \alpha_n$ , we have easily (3.1.1).

**3.2 Lemma.** In  $\mathbf{R}(U(n))$ , we set

$$\bar{\lambda}_l(n) = \sum_{i=0}^l (-1)^i \lambda_{l-i}(n),$$

then  $\mathbf{R}(U(n))$  equals the polynomial ring

$$\mathbf{Z}[\bar{\lambda}_1(n), \dots, \bar{\lambda}_n(n), (\bar{\lambda}_n(n) + \bar{\lambda}_{n-1}(n))^{-1}].$$

**Proof.** Since  $\bar{\lambda}_1(n), \dots, \bar{\lambda}_n(n)$  are algebraically independent, and  $\bar{\lambda}_n(n) + \bar{\lambda}_{n-1}(n) = \lambda_n$ , it is trivial.

**3.3 Theorem.** We have the following isomorphism between  $\mathbf{Z}_2$ -graded  $\mathbf{R}(U(n))$ -

modules and  $\mathbf{R}(SU(n))$ -modules :

$$\begin{aligned} K_{U(n)}^*(CS^{2n-1}, S^{2n-1}) &\cong \{\overline{\lambda}_n(n)\} \\ K_{SU(n)}^*(CS^{2n-1}, S^{2n-1}) &\cong \{\overline{(\lambda_{n-1}(n)-1)}\} \end{aligned}$$

where  $\{\overline{\lambda}_n(n)\}$  is an  $\mathbf{R}(U(n))$ -module generated by  $\overline{\lambda}_n(n)$  and  $\{\overline{(\lambda_{n-1}(n)-1)}\}$  is an  $\mathbf{R}(SU(n))$ -module generated by  $\overline{(\lambda_{n-1}(n)-1)}$ .

**Proof.** From the definitions of  $\overline{\lambda}_l(n)$ , we have

$$(3.3.1) \quad i_n^* \overline{\lambda}_l(n) = \begin{cases} \lambda_{l-1}(n-1) & (\text{for } l \leq n-1) \\ 0 & (\text{for } l = n). \end{cases}$$

Therefore, from the last statement in §1, it is sufficient to determine Kernel  $i_n^*$ .

From (3.3.1), Kernel  $i_n^*$  is an ideal generated by  $\overline{\lambda}_n(n)$  in  $\mathbf{R}(U(n))$ , so kernel  $i_n^*$  is an  $\mathbf{R}(U(n))$ -module generated by  $\overline{\lambda}_n(n)$ . For  $\mathbf{R}(SU(n))$ , observe that the ring  $\mathbf{R}(ST(n))$  is an ideal generated by  $(\lambda_n - 1)$ . Therefore Kernel  $i_n^*$  ( $i_n^* : \mathbf{R}(SU(n)) \rightarrow \mathbf{R}(SU(n-1))$ ) is an  $\mathbf{R}(SU(n))$ -module generated by  $\overline{(\lambda_{n-1}(n)-1)}$ .

**Remark.** For  $A^e, A^o$  we take the representations of  $U(n)$  on the even and odd parts of the exterior algebra  $A^*(\mathbf{C}^n)$ , and we identify these two parts by exterior multiplication with the  $n$ -th basic vector  $e_n$  of  $\mathbf{C}^n$ . Since  $U(n-1)$  keeps  $e_n$  fixed, this identification is compatible with the action of  $U(n-1)$ . So we obtain an element  $[\gamma]$  of  $K_{(U(n), U(n-1))}$  (point) such that  $j^*([\gamma]) = \overline{\lambda}_n(n)$ . Therefore  $K_{(U(n), U(n-1))}^*$  (point) equals the  $\mathbf{R}(U(n))$ -module generated by  $[\gamma]$ .

#### § 4

**4.1** Let  $\lambda_l(n)$  be a  $l$ -th symmetric function in the  $2n$  variables  $\alpha_1, \alpha_1^{-1}, \dots, \alpha_n, \alpha_n^{-1}$ , and  $\lambda'_l(n)$  be a  $l$ -th symmetric function in the  $(2n+1)$  variables  $\alpha_1, \alpha_1^{-1}, \dots, \alpha_n, \alpha_n^{-1}, 1$  and  $i^*(\lambda_l(n))$  be a  $l$ -th symmetric function in the  $2n$  variables  $\alpha_1, \alpha_1^{-1}, \dots, \alpha_{n-1}, \alpha_{n-1}^{-1}, 1, 1$ . Then

$$(4.1.1) \quad \prod_{i=0}^n (x - \alpha_i)(x - \alpha_i^{-1}) = \sum_{i=0}^n (-1)^i \lambda_i(n) x^{2n-i} + \sum_{i=0}^{n-1} (-1)^i \lambda_i(n) x^i$$

implies

$$(4.1.2) \quad \lambda_l(n) = \lambda_l(n-1) + (\alpha_n + \alpha_n^{-1}) \lambda_{l-1}(n-1) + \lambda_{l-2}(n-1)$$

$$(4.1.3) \quad \lambda'_l = \lambda_l(n) + \lambda_{l-1}(n)$$

$$(4.1.4) \quad \begin{aligned} i^*(\lambda_l(n)) &= \lambda_l(n-1) + 2\lambda_{l-1}(n-1) + \lambda_{l-2}(n-1) \\ &= \lambda'_l(n-1) + \lambda'_{l-1}(n-1), \end{aligned}$$

where  $\lambda_0(n) = 1$  and  $\lambda_{-1}(n) = 0$ .

**4.2 Lemma.** *Let  $i_n^* : \mathbf{R}(S_P(n)) \rightarrow \mathbf{R}(S_P(n-1))$  be a natural homomorphism (by the inclusion  $S_P(n-1) \subset S_P(n)$ ), then we have*

$$(4.2.1) \quad i_n^*(\lambda_l(n)) = \begin{cases} 2(\lambda_{n-1}(n-1) + \lambda_{n-2}(n-1)) & (\text{for } l = n) \\ \lambda_l(n-1) + 2\lambda_{l-1}(n-1) + \lambda_{l-2}(n-1) & (\text{for } l < n). \end{cases}$$

**Proof.** From (4.1.4), it is trivial.

**4.3 Lemma.** *we set  $a_{-1} = 0$ ,  $a_0 = 1$ ,  $a_1 = -2$ ,  $a_2 = 3$ , and inductively  $a_l = -2a_{l-1} - a_{l-2}$  for  $l = 3, 4, \dots, n-1$ . (In fact,  $a_l = (-1)^l(l+1)$ ). we set*

$$\begin{aligned} \overline{\lambda}_l(n) &= \sum_{i=0}^l (-1)^i (i+1) \lambda_{l-i}(n) \quad (\text{for } l < n) \\ \overline{\lambda}_n(n) &= \lambda_n - 2 \left( \sum_{i=0}^n (-1)^{n+1} \lambda_{n-i-1}(n) \right), \end{aligned}$$

then we have

$$(4.3.1) \quad i_n^*(\lambda_l(n)) = \begin{cases} \lambda_l^{(n-1)} & (\text{for } l < n) \\ 0 & (\text{for } l = n). \end{cases}$$

**Proof,** From (4.1.4), it is trivial.

**4.4 Theorem.** *We have the following isomorphism between  $\mathbf{Z}_2$ -graded  $\mathbf{R}(S_P(n))$ -modules :*

$$\mathbf{K}_{S_P(n)}^*(CS^{4n-1}, S^{4n-1}) \cong \{\overline{\lambda}_n(n)\},$$

where  $\overline{\lambda}_n(n)$  is as in 4.3, and  $\{ \}$  is the same notation as in 3.3.

**Proof.** Since  $\overline{\lambda}_1(n), \dots, \overline{\lambda}_n(n)$  are algebraically independent and  $i_n^* : \mathbf{R}(S_P(n)) \rightarrow \mathbf{R}(S_P(n-1))$  is an onto homomorphism, the result follows at once.

## § 5

**5.1 Lemma.** *Let  $i_r^* : \mathbf{R}(SO(2r)) \rightarrow \mathbf{R}(SO(2r-1))$  be a natural homomorphism (by the inclusion  $SO(n-1) \subset SO(n)$ ), then we have*

$$(5.1.1) \quad i_r^*(\lambda^l(\rho_{2r})) = \begin{cases} \lambda^l(\rho_{2r-1}) + \lambda^{l-1}(\rho_{2r-1}) & (\text{for } l < r) \\ 2\lambda^{r-1}(\rho_{2r-1}) & (\text{for } l = r) \end{cases}$$

$$(5.1.2) \quad i_r^*(\lambda_{\pm}^r(\rho_{2r})) = \lambda^{r-1}(\rho_{2r-1}).$$

**Proof.** For (5.1.1), from (4.1.4), it is trivial. For (5.1.2), from 2.3 we have

$$\begin{aligned}\lambda_{\pm}^r(\rho_{2r}) &= (A_{2r}^{\pm})^2 - (\lambda^{r-2}(\rho_{2r}) + \lambda^{r-4}(\rho_{2r}) + \cdots). \\ i_r^* ((A_{2r}^{\pm})^2) &= (A_{2r-1})^2 \quad (\text{c. f. Proposition 9.4 of [2]}) \\ (A_{2r-1})^2 &= \lambda^{r-1}(\rho_{2r-1}) + \cdots + \lambda^1(\rho_{2r-1}) + 1.\end{aligned}$$

Therefore (5.1.1) implies (5.1.2).

**5.2 Lemma.** *We set*

$$\overline{\lambda}^l(\rho_{2r}) = \sum_{i=0}^l (-1)^i \lambda^{l-i}(\rho_{2r}) \quad (\text{for } l=1, 2, 3, \dots, r-1),$$

then we have

$$(5.2.1) \quad \begin{aligned}\lambda^l(\rho_{2r}) &= \overline{\lambda}^l(\rho_{2r}) + \overline{\lambda}^{l-1}(\rho_{2r}) \\ i_r^* (\overline{\lambda}^l(\rho_{2r})) &= \lambda^l(\rho_{2r-1}) \\ i_r^* (\lambda_{\pm}^r(\rho_{2r})) &= \lambda^{r-1}(\rho_{2r-1}).\end{aligned}$$

**Proof.** From 5.1, it is trivial.

**5.3 Theorem.** *We have the following isomorphism between  $\mathbb{Z}_2$ -graded  $R(SO(2r))$ -modules :*

$$K\mathfrak{S}_{SO(2r)}(CS^{2r-1}, S^{2r-1}) \cong \{(\overline{\lambda}^{r-1}(\rho_{2r}) - \lambda_+^r(\rho_{2r})), (\overline{\lambda}^{r-1}(\rho_{2r}) - \lambda_-^r(\rho_{2r}))\}$$

, where { } is the same notation as in 3.3.

**Proof.** From 5.2,  $i_r^* : R(SO(2r)) \rightarrow R(SO(2r-1))$  is an onto homomorphism, so it is sufficient to determine Kernel  $i_r^*$ . Now, from the definition of  $\overline{\lambda}^l(\rho_{2r})$ ,  $\overline{\lambda}^1(\rho_{2r}), \dots, \overline{\lambda}^{r-1}(\rho_{2r})$  are algebraically independent. Therefore (5.2.2) and (5.2.3) implies the result.

## § 6

**6.1 Lemma.** *Let  $i_r^* : R(SO(2r+1)) \rightarrow R(SO(2r))$  be a natural homomorphism (by the inclusion  $SO(2r) \subset SO(2r+1)$ ) then we have*

$$i_r^* (\lambda^l(\rho_{2r+1})) = \lambda^l(\rho_{2r}) + \lambda^{l-1}(\rho_{2r}).$$

**Proof.** From (4.1.4), it is trivial.

**6.2 Theorem.** *We have the following isomorphism between  $\mathbb{Z}_2$ -graded  $R(SO(2r+1))$ -modules :*

$$K\mathfrak{S}_{SO(2r+1)}(CS^{2r}, S^{2r}) \cong \{\lambda_+^r(\rho_{2r})\}$$

**Proof.** From 6.1,  $i_r^* : R(SO(2r+1)) \rightarrow R(SO(2r))$  is a monomorphism, and

Image  $i_r^*$  equals the subring

$$\mathbb{Z}[\lambda^l(\rho_{2r}), \dots, \lambda^{r-1}(\rho_{2r}), (\lambda_+^r(\rho_{2r}) + \lambda_-^r(\rho_{2r}))]$$

in  $R(SO(2r))$ . So it is sufficient to determine Cokernel  $i_r^*$ . From the relation in  $R(SO(2r))$ ,  $(\lambda_-^r(\rho_{2r}))(\lambda_+^r(\rho_{2r}))$  is an element of Image  $i_r^*$ . We set  $x = \lambda_+^r(\rho_{2r})$ ,  $y = \lambda_-^r(\rho_{2r})$  and  $B = \text{Image } i_r^*$ . Since

$$\begin{aligned} x^2 &= (x + y)x - yx \\ x^k &= (x + y)x^{k-1} - (yx)x^{k-2}, \quad (\text{for } k \geq 3), \end{aligned}$$

we can write

$$(6.1.1) \quad x^k = \sum a_i x \pmod{B}$$

where  $a_i$  is an element of  $B$ . Since

$$y^k = (x + y - x)^k = \sum_{i=0}^k \binom{k}{i} (x+y)^i (-1)^{k-i} x^{k-i},$$

from (6.1.1), we can write

$$(6.1.2) \quad y^k = \sum b_i x \pmod{B},$$

where  $b_i$  is an element of  $B$ . Therefore Cokernel  $i_r^*$  is an  $R(SO(2r + 1))$ -module generated by  $\lambda_+^r(\rho_{2r})$ .

### References

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- [2]. DALE HUSEMOLLER, *Fibre bundles*, Mcgraw Hill Book Co., New York, N.Y., 1966.