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Title: Adverse Effect of Cake Collapse on the Functional Integrity of Freeze-Dried Bull Spermatozoa

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Abstract: Under optimal freeze-drying conditions, solutions exhibit a cake-like porous structure. However, if the solution temperature is higher than the glass transition temperature of the maximally freeze-concentrated phase (Tg') during drying phase, the glassy matrix undergoes viscous flow, resulting in cake collapse. The purpose of the present study was to investigate the effect of cake collapse on the integrity of freeze-dried bull spermatozoa. In a preliminary experiment, factors affecting the Tg' of conventional EGTA buffer (consisting of Tris-HCl, EGTA and NaCl) were investigated in order to establish the main experimental protocol because EGTA buffer Tg' was too low (-45.0°C) to suppress collapse. Modification of the EGTA buffer composition by complete removal of NaCl and addition of trehalose (mEGTA buffer) resulted in an increase of Tg' up to -27.7°C. In the main experiment, blastocyst yields after ooplasmic injection of freeze-dried sperm preserved in collapsed cakes (drying temperature: 0 or -15°C) were significantly lower than those of sperm preserved in non-collapsed cake (drying temperature: -30°C). In conclusion, freeze-dried cake collapse may be undesirable for maintaining sperm functions to support embryonic development, and can be inhibited by controlling both Tg' of freeze-drying buffer and temperature during the drying phase.

1 **Title:**

2 **Adverse Effect of Cake Collapse on the Functional Integrity of Freeze-Dried Bull**

3 **Spermatozoa** [☆]

4

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22 **ABSTRACT**

23 Under optimal freeze-drying conditions, solutions exhibit a cake-like porous structure. However,
24 if the solution temperature is higher than the glass transition temperature of the maximally
25 freeze-concentrated phase (T_g') during drying phase, the glassy matrix undergoes viscous flow,
26 resulting in cake collapse. The purpose of the present study was to investigate the effect of cake
27 collapse on the integrity of freeze-dried bull spermatozoa. In a preliminary experiment, factors
28 affecting the T_g' of conventional EGTA buffer (consisting of Tris-HCl, EGTA and NaCl) were
29 investigated in order to establish the main experimental protocol because EGTA buffer T_g' was
30 too low (-45.0°C) to suppress collapse. Modification of the EGTA buffer composition by
31 complete removal of NaCl and addition of trehalose (mEGTA buffer) resulted in an increase of
32 T_g' up to -27.7°C. In the main experiment, blastocyst yields after ooplasmic injection of
33 freeze-dried sperm preserved in collapsed cakes (drying temperature: 0 or -15°C) were
34 significantly lower than those of sperm preserved in non-collapsed cake (drying temperature:
35 -30°C). In conclusion, freeze-dried cake collapse may be undesirable for maintaining sperm
36 functions to support embryonic development, and can be inhibited by controlling both T_g' of
37 freeze-drying buffer and temperature during the drying phase.

38

39 **Keywords:** Bull spermatozoa; Cake collapse; Freeze-drying; Glass transition temperature; ICSI;

40 T_g'

41

42 **Introduction**

43 Although cryopreservation is the most common method for sperm preservation, liquid
44 nitrogen use is associated with various problems such as high maintenance cost and risk of
45 accidental loss of frozen cell stock. To overcome these problems, freeze-drying has been
46 proposed as an alternative method for sperm preservation. [Wakayama and Yanagimachi \[36\]](#)
47 first reported that freeze-dried mouse sperm stored at refrigerator temperature could support
48 full-term development after intracytoplasmic sperm injection (ICSI). Since then, several studies
49 have been conducted to improve the developmental ability of oocytes injected with freeze-dried
50 spermatozoa, paying special attention to buffer composition [[13,14,18,24](#)]. A relatively simple
51 buffer consisting of Tris-HCl, EGTA and NaCl (defined hereafter as EGTA buffer) has been
52 used for suspending and freeze-drying spermatozoa [[6,14,15,18,24](#)]. Until now, successful birth
53 of live offspring derived from freeze-dried spermatozoa has been reported in several
54 mammalian species including mouse [[13,14,18,19,36](#)], rat [[8,9](#)], hamster [[26](#)] and rabbit [[21](#)].
55 However, practically acceptable offspring rates were achieved only with rodents. For large
56 domestic species including cattle and pigs, blastocysts have been harvested from ICSI oocytes
57 with freeze-dried sperm cells [[16,20,24](#)].

58 In addition to the buffer composition, the drying conditions are also important for
59 pharmaceutical protein production [[12](#)]. Frozen samples are generally dried at the maximum
60 allowable product temperature (T_{\max}) to acquire the highest dehydration speed [[29](#)]. For a solute
61 system which forms amorphously after freezing, the theoretically optimal value of T_{\max} depends
62 on the glass transition temperature of the maximally freeze-concentrated phase (T_g'). The
63 solution exhibits a cake-like porous structure under the optimal drying conditions. But, if the
64 product temperature is higher than the T_g' during the drying phase, the glassy matrix will
65 undergo viscous flow, resulting in loss of its porous structure. This loss is defined as a collapse

66 phenomenon [27,33]. Collapsed cakes contain high amounts of residual water [37], and
67 prolonged reconstitution time may be required during rehydration due to reduced surface area
68 [1,35]. In freeze-drying of pharmaceutical proteins, collapse can adversely affect acellular
69 product stability [12,23,28]. However, it remains unclear whether collapse incidence in
70 freeze-dried sperm suspensions has a detrimental effect on rehydrated cell function. In previous
71 studies, we used freeze-drying conditions for rat and bull spermatozoa which did not consider
72 this phenomenon, and we recently observed that those conditions can produce cake collapse
73 (unpublished observation). Additionally in somatic cell freeze-drying for nuclear transfer in
74 sheep, collapse can be confirmed qualitatively in figures which depict its typical characteristics
75 [22].

76 The purpose of the present study was to investigate the effect of cake collapse on the
77 functional integrity of freeze-dried and rehydrated bull spermatozoa. Since it was found that T_g'
78 of the EGTA buffer was too low to suppress collapse, in a preliminary experiment (Experiment
79 1), factors affecting T_g' (including composition of the EGTA buffer and cooling rate during
80 freezing) were examined using calorimetric measurements in order to establish the subsequent
81 experimental protocol. In the main experiment (Experiment 2), collapsed and non-collapsed
82 cakes were produced by drying bull sperm suspensions in modified EGTA buffers at different
83 temperatures. The functional integrity of the rehydrated sperm cells was assessed by ICSI,
84 alkaline comet assay and transmission electron microscopic observation (TEM).

85 **Materials and methods**

86 *Experimental design*

87 In Experiment 1, the T_g' of the EGTA buffer conventionally used for sperm freeze-drying
88 was determined by differential scanning calorimetry (DSC), and the effect of buffer composition
89 modification (removal of NaCl, and addition of trehalose at different concentrations) on T_g' was
90 investigated. Effects of three different cooling rates were also investigated. In Experiment 2,
91 bull spermatozoa were resuspended in the modified EGTA buffer and freeze-dried under three
92 different temperatures. Both collapsed and non-collapsed cakes were used for measurements of
93 residual water content and glass transition temperature (T_g). The functional integrity of the
94 rehydrated sperm cells was assessed by blastocyst yield 8 days after ICSI, followed by alkaline
95 comet assay and TEM.

96

97 *Measurement of T_g' and T_g by DSC*

98 Unless otherwise stated, all chemicals used in this study were purchased from
99 Sigma-Aldrich Chemicals (St. Louis, MO, USA). The EGTA buffer consisted of 10 mM
100 Tris-HCl, 50 mM EGTA and 50 mM NaCl (pH 8.0), and was modified by complete removal of
101 NaCl and/or addition of trehalose to give a final concentration of 0.01, 0.05, 0.1, 0.5 and 1.0 M.
102 Fifteen μ L of the EGTA buffer with or without the above-mentioned modifications was
103 transferred to an aluminum pan and cooled to -80°C in DSC (Seiko Instruments Inc., Chiba,
104 Japan). The aluminum pan was then scanned at a rate of $10^\circ\text{C}/\text{min}$ until it reached 20°C , using
105 an empty aluminum pan as a reference. Otherwise, the cooling rate was adjusted to be -1 or
106 $-20^\circ\text{C}/\text{min}$ in DSC, or a presumed $< -1,000^\circ\text{C}/\text{min}$ by direct plunging into liquid nitrogen (5
107 min). In this series, NaCl-free EGTA buffer supplemented with 0.5 M trehalose, defined
108 hereafter as “mEGTA buffer”, was used.

109 T_g of sperm suspension cakes was measured to reflect freeze-drying completeness. Cakes
110 (> 1 mg) were collected and transferred to aluminum pans in a glove box filled with nitrogen
111 gas and were then hermetically sealed. Cooling rate of the cakes in DSC was $-20^\circ\text{C}/\text{min}$ and the
112 scanning rate was $10^\circ\text{C}/\text{min}$. The second scanning was conducted after annealing at $110\text{-}130^\circ\text{C}$
113 for 5 min to eliminate interference from enthalpy relaxation [34], because the first DSC
114 thermogram of the cake was too unclear to determine T_g .

115

116 *Freeze-drying of bull sperm*

117 Commercially available frozen semen from a Japanese Black bull was thawed in a water
118 bath at 37°C for 30 sec. The contents of a 0.5 mL straw was layered on the top of Percoll density
119 gradient consisting of 2 mL of 45% (v/v) Percoll/TALP above 2 mL of 90% (v/v) Percoll/TALP
120 in a 15 mL conical tube, and centrifuged for 20 min at 700g. The sperm pellet was re-suspended
121 in 4 mL of mEGTA buffer and then washed twice (5 min at 300g each). Fifteen μL of sperm
122 suspension at a concentration of $2\text{-}4 \times 10^7$ sperm/mL was placed in a 5-mL volume glass vial
123 and transferred onto shelf (pre-cooled to -30°C) of a programmable freeze-dryer (ALPHA 2-4;
124 Christ, Harz, Germany). After freezing for 30 min (the cooling rate between $+25$ and -25°C was
125 estimated as $-20^\circ\text{C}/\text{min}$), the sperm suspension was dried for 6 h at 0, -15 or -30°C relative to
126 the shelf temperature. The chamber pressures employed during the drying phase were
127 determined as one-third of the vapor pressure of ice at each temperature [27]; they were 1.98,
128 0.57 and 0.12 hPa for drying at 0, -15 and -30°C , respectively. After sealing of vials with rubber
129 caps and aluminum stoppers, the samples were stored overnight at -20°C . Residual water
130 contents (% [w/w]) in freeze-dried cakes were calculated gravimetrically, using reference
131 weights of sperm suspension before freeze-drying (=100% content) and after heating in oven at
132 96°C for 24 h (=0% content).

133

134 ***Blastocyst production by ICSI***

135 Abattoir-derived bovine ovaries were transported to the laboratory in saline (maintained at
136 10 to 12°C) within 24 h after slaughter. The contents of 2-8 mm follicles were aspirated and
137 oocytes surrounded with at least two layers of compact cumulus cells were collected from the
138 follicular fluid. Maturations were conducted in Hepes-buffered TCM-199 (Earle's salt; Gibco
139 BRL, Grand Island, NY, USA), supplemented with 10% (v/v) fetal bovine serum (FBS; SAFC
140 Biosciences, Lenexa, KS, USA), 0.2 mM sodium pyruvate, 0.02 AU/mL FSH
141 (Kawasaki-Mitaka Pharmaceutical, Kanagawa, Japan), 1 µg/mL 17 β-estradiol, and 50 µg/mL
142 gentamycin sulfate for 22 h at 38.5°C under 5% CO₂ in air. Next, cumulus cells were removed
143 by a brief vortex-mixing in the Hepes-buffered TCM-199 supplemented with 3 mg/mL bovine
144 serum albumin (BSA), 0.2 mM sodium pyruvate, 1,000 IU/mL hyaluronidase and 50 µg/mL
145 gentamycin sulfate. Matured oocytes extruding the first polar body were used for the following
146 experiment.

147 Freeze-dried spermatozoa were rehydrated with 15 µL of ultrapure water immediately
148 before ICSI, and non-dried control spermatozoa were those after Percoll-washing. Each sperm
149 suspension was washed twice with modified Brackett and Oliphant (mBO) medium (IVF100;
150 Institute for Functional Peptides, Yamagata, Japan) at 300g for 5 min. Two µL of sperm
151 suspension was mixed with 8 µL of M2 medium [30] containing 10% (w/v)
152 polyvinylpyrrolidone (PVP), and then ICSI was performed with a piezo-driven
153 micromanipulator (PMAS-CT150; Prime Tech, Ibaraki, Japan) as described previously [11]. The
154 ICSI oocytes prepared within 1 h of rehydration were treated with 5 µM ionomycin in
155 Ca²⁺/Mg²⁺-free phosphate-buffered saline (PBS) for 5 min and incubated in Hepes-buffered
156 TCM-199 supplemented with 5% (v/v) FBS, 0.2 mM sodium pyruvate and 50 µg/mL

157 gentamycin sulfate at 38.5°C under 5% CO₂ in air for 3 h. Next, the oocytes were treated with
158 7% (v/v) ethanol in Hepes-buffered TCM-199 supplemented 1 mg/mL PVP for 5 min and
159 subsequently 2 mM 6-dimethylaminopurine (6-DMAP) in modified synthetic oviduct fluid
160 (mSOF) [10], supplemented with 30 µL/mL essential amino acids (× 50 solution, Gibco-11130),
161 10 µL/mL non-essential amino acids (× 100 solution, Gibco-11140) and 5% (v/v) FBS (defined
162 hereafter as mSOFaa/FBS) at 38.5°C under 5% CO₂ in air for 3 h. The presumptive zygotes
163 were cultured in a 250 µL microdrop of the mSOFaa/FBS at 39.0°C under 5% CO₂, 5% O₂ and
164 90% N₂ for 8 days. Cleavage rate and blastocyst formation rate were determined after 2 and 8
165 days of culture, respectively.

166

167 *Alkaline comet assay*

168 To estimate DNA damage in the freeze-dried spermatozoa, alkaline comet assay [32] was
169 conducted according to the operation manual of the Comet Assay kit (Trevigen, Helgerman Ct,
170 USA) with some modifications. Briefly, spermatozoa freeze-dried at 0 and -30°C, as well as
171 non-dried control spermatozoa (10 µL of 1 × 10⁶ cells/mL suspension) were mixed with 1%
172 (w/v) low-melting agarose gel (90 µL), added onto agarose-covered slides, treated with lysis
173 solution for 3 h (including 10 mM dithiothreitol for 0.5 h and 4 mM lithium diiodosalicylate for
174 1.5 h in the latter two-third) and then processed with electrophoresis under a pH>13 alkaline
175 condition (10 V, 20 min). Half of the sperm suspension was treated with 10 mM H₂O₂ for 20
176 min at 4°C before being mixed with low-melting agarose gel. Sperm DNA were stained with
177 SYBR Green, and the captured BMP images of the comet (> 100 comets per sample) were
178 analyzed by the Comet Score software. The DNA fragmentation index (tail moment) was
179 calculated as the length of comet tail (pixel) × the % DNA librated.

180

181 ***Ultrastructural analysis***

182 TEM was applied to the spermatozoa freeze-dried at 0 and -30°C and non-dried control
183 spermatozoa. Sperm cells were fixed with 2.5% (v/v) glutaraldehyde in 0.1 M PBS (pH 7.4) at
184 4°C. After centrifugation at 300g for 5 min, the sperm pellets were post-fixed with 2% (w/v)
185 osmium tetroxide in the same buffer at 4°C for 1 h. The sperm cells were subsequently
186 dehydrated in the graded series of ethanol, substituted with the propylene oxide and embedded
187 in epoxy resin (Okenshoji Co., Tokyo, Japan). Ultrathin sections were cut on an ultra-microtome
188 (Leica Microsystems, Wetzlar, Germany) at 0.1 µm thicknesses, mounted on copper grids and
189 stained with 1% (w/v) uranyl acetate and lead citrate. Electron micrographs were taken with a
190 transmission electron microscope (JEOL Ltd., Tokyo, Japan). The number of sperm with
191 membrane gaps was recorded.

192

193 ***Statistical analysis***

194 Arcsine-transformed percentage data in residual water content, cleavage and blastocyst
195 yield were compared using one-way ANOVA. Values of T_g' in freeze-drying buffers, T_g of
196 freeze-dried cakes and tail moment were also compared using one-way ANOVA. When ANOVA
197 reached significance, differences among means were analyzed using *post hoc* Tukey's tests.
198 Incidences of membrane damage in TEM were compared using a chi-square test with a
199 Bonferroni correction. P values less than 0.05 were considered significant.

200 **Results**

201 *Experiment 1*

202 T_g' of the conventional EGTA buffer was as low as $-45.0 \pm 0.61^\circ\text{C}$ (mean \pm SD) in DSC
203 measurement (Fig. 1 and 2). Complete removal of NaCl from the EGTA buffer resulted in a T_g'
204 increase to $-41.0 \pm 0.59^\circ\text{C}$ (Fig. 2). Supplementation of trehalose to the NaCl-free EGTA buffer
205 (0.01 to 0.5 M) made it possible to further increase the T_g' (up to $-27.7 \pm 0.36^\circ\text{C}$; Fig. 1 and 2),
206 but supplementation of 1.0 M trehalose had no further effect on T_g' increase and rendered
207 post-centrifugation sperm retrieval difficult due to the high specific gravity. The T_g' of mEGTA
208 buffer frozen rapidly in liquid nitrogen ($-29.4 \pm 0.08^\circ\text{C}$) was significantly lower than when
209 frozen slowly (-27.2 ± 0.94 and $-27.6 \pm 0.05^\circ\text{C}$ at -1 and $-20^\circ\text{C}/\text{min}$, respectively).

210

211 *Experiment 2*

212 Freeze-dried cake collapse was observed when the drying phase temperature was higher
213 than the T_g' of mEGTA buffer (Fig. 3A and B). On the other hand, when processed at -30°C the
214 sperm suspension cake exhibited a silky porous structure without any signs of collapse (Fig. 3C).
215 Collapsed cakes contained a higher amount of residual water when compared with
216 non-collapsed cakes (3.3 to 3.6% vs 0.7%), as shown in Table 1. T_g values of collapsed cake
217 were significantly lower than that in their non-collapsed counterparts (13.5 to 14.1 $^\circ\text{C}$ vs 50.1 $^\circ\text{C}$;
218 Table 1).

219 Embryonic cleavage ability with freeze-dried spermatozoa was comparable regardless of
220 collapse incidence, but blastocyst yields after injection of sperm dried at 0 and -15°C were lower
221 than those when dried at -30°C (0.7 to 3.7% vs 14.2%; Table 2). Both cleavage rate and
222 blastocyst yield in the non-dried control group were significantly higher than those in
223 freeze-dried groups. Alkaline comet assay revealed that the freeze-drying process did not induce

224 sperm DNA damage when the baseline of tail moment was not stimulated by H₂O₂ treatment
225 (Table 3). After application of the H₂O₂ treatment, harmful effects of freeze-drying on sperm
226 DNA integrity were clearly detected (almost double with respect to control), but there was no
227 significant difference in the values of tail moment between sperm samples dried at 0 and -30°C.
228 Analysis of TEM images revealed that sperm with severe or moderate membrane gaps (Fig. 4A
229 and B) were frequently observed after drying at 0°C versus -30°C (43.6%, 140/321 versus
230 28.7%, 90/314; P < 0.05). These proportions were significantly higher than those in non-dried
231 control sperm (10.9%, 36/330).

232 Discussion

233 In sperm preservation by freeze-drying, maintenance of cellular function can be influenced
234 by drying conditions. However, few studies have focused on the drying condition for
235 mammalian sperm freeze-drying. Kawase et al. [15] reported that the ability of mouse
236 spermatozoa to support full-term development was better maintained when the sperm were dried
237 under a chamber pressure of 0.37 hPa versus 1.03 and 0.04 hPa, but did not include information
238 regarding the drying temperature. In freeze-drying of macroscopic samples, it is known that
239 chamber pressure is associated with drying temperature [17]. Dehydration speed is dramatically
240 decreased if the chamber pressure is higher than the vapor pressure of ice at the product
241 temperature; on the other hand, excessively low chamber pressure induces low product
242 temperature, resulting in dehydration speed decreases [5,27]. It has therefore been
243 recommended that the chamber pressure should be set to the one-quarter to one-half of the
244 vapor pressure of ice at the product temperature [27]. In the present study, chamber pressures
245 were fixed to one-third of the vapor pressure at the shelf temperature in order to clarify the
246 effect of collapse on sperm function independent of chamber pressure.

247 Collapse of freeze-dried cake is believed to harm the stability of acellular pharmaceutical
248 protein products [12,23,28]. Since the conventional EGTA buffer has very low T_g' value (-45°C;
249 Fig. 1 and 2), it may be reasonable to assume that conventional freeze-dryers (without drying
250 temperature control) and even programmable freeze-dryers (the lowest shelf temperature of our
251 programmable freeze-dryer was -30°C) could have produced collapsed cake in previous studies.
252 In order to suppress collapse, the EGTA buffer composition was modified to yield a higher T_g' .
253 NaCl removal and trehalose addition resulted in T_g' increases from -45°C to -28°C (Fig. 1 and 2).
254 Electrolytes such as NaCl increase the quantity of unfrozen water in the freeze-concentrate,
255 where the unfrozen water acts as a plasticizer [7]. Trehalose is a disaccharide which is known to

256 facilitate glass formation, and whose solution T_g' has been reported to be between -22 and -32°C
257 [4]. Rapid cooling with liquid nitrogen, routinely employed for sperm suspension freezing prior
258 to drying [6,14,36], was also found to affect the T_g' of mEGTA buffer. Such small decreases in
259 T_g' may be the result of glass transition prior to sufficient freeze-concentration during rapid
260 cooling. In viral vaccine freeze-drying, the importance of freezing conditions has been described
261 [38].

262 Collapse of sperm suspension cake was dependent on drying temperatures, as expected from
263 a correlation between T_g' of mEGTA buffer and drying temperature (Fig. 3). This allowed an
264 empirical investigation of the correlation between collapse incidence of freeze-dried sperm
265 suspension and function of rehydrated sperm cell. Drying the sperm samples at -40°C was not
266 impossible if our programmable freeze-dryer was run in a cold room (+4°C), but the drying
267 process seemed to be insufficient after the scheduled 6 h running (data not shown). The ability
268 of rehydrated sperm to support blastocyst development was impaired when the sperm were
269 preserved in collapsed cakes (Table 2). High residual water content was characteristic of
270 collapsed cakes (Table 1), consistent with previous results [37]. It is known that high residual
271 water content decreases the T_g of freeze-dried cake. If T_g is lower than the storage temperature,
272 the sample stability would decrease with residual water content due to increased molecular
273 mobility [2]. However, the residual water would not be responsible for the lower blastocyst
274 yields in the present study, because T_g of collapsed cake (approximately +14°C) was higher than
275 the storage temperature (-20°C). Since collapse leads to increased product resistance to water
276 vapor flow and decreased sublimation rates, collapse may cause loss of sperm function during
277 the drying phase. These results suggest that collapse should be avoided in order to recover the
278 functional sperm cells after rehydration.

279 In standard alkaline comet assay procedures, H_2O_2 treatment serves as positive control

280 reference. In this study, this standard procedure failed to detect differences in DNA damage,
281 both between freeze-dried and non-dried spermatozoa, and between spermatozoa dried at 0 and
282 -30 °C (Table 3). However, when the baseline of tail moment was stimulated by H₂O₂ treatment,
283 freeze-drying was found to harm sperm DNA integrity. Nevertheless there was no significant
284 difference in the moment values between spermatozoa dried at 0 and -30°C. Since membrane
285 permeability of H₂O₂ is enough to neglect influence [3], the present results may suggest the
286 higher sensitivity of freeze-dried sperm to oxidative stress which is likely to deteriorate sperm
287 DNA damage caused by reactive oxygen species present in oocytes.

288 TEM image analysis indicated that freeze-dried sperm damage was localized to their plasma
289 membrane (Fig. 4A and B), especially in sperm dried at 0 versus -30°C. Comparable cleavage
290 rates after ICSI of sperm dried at 0 and -30°C contradict the hypothesis that active sperm-borne
291 oocyte activating factor flows out through damaged plasma membranes. The damaged
292 membrane resulted in the increase of sperm stickness and rendered the ICSI operation difficult
293 (data not shown). Although TEM unexpectedly failed to detect other ultrastructural damage, the
294 nuclear matrix was reported to be essential for paternal DNA replication [31]. Lower blastocyst
295 yield after ICSI with freeze-dried bull sperm, compared with previous reports [16,24], may be
296 caused by low quality of oocytes retrieved from 1-day-stored ovaries [25] and/or suboptimal
297 method to activate ICSI oocytes with freeze-dried spermatozoa. Blastocyst-to-calf
298 developmental potential needs to be further investigated by transfer to recipients, following
299 proof of normal karyotype [16].

300 In conclusion, freeze-dried cake collapse may adversely affect sperm function. Since
301 temperatures higher than the T_g' of freeze-drying buffer induce cake collapse, controlling both
302 the buffer T_g' and the drying-phase temperature can reduce collapse incidence.

303

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- 408

409 **Figure Captions**

410 **Fig. 1.** DSC thermogram of EGTA buffer and mEGTA buffer. The arrow indicates the glass
411 transition temperature of the maximally freeze-concentrated phase (T_g') of the buffer.
412 Data are expressed as the mean \pm SD of 3 replicates in each group.

413

414 **Fig. 2.** Effect of EGTA buffer composition on the glass transition temperature of the
415 maximally freeze-concentrated phase (T_g'). Squares with solid lines represent EGTA
416 buffers, and circles with dotted lines represent NaCl-free EGTA buffers.

417

418 **Fig. 3.** Freeze-dried cakes appearance. (A) Collapsed cake dried at 0°C, (B) Collapsed cake
419 dried at -15°C, (C) Non-collapsed cake dried at -30°C, (D) Zoomed-out view of the
420 glass vial containing a cake. Scale bars represent 2 mm (A-C) and 2 cm (D).

421

422 **Fig. 4.** Ultrastructural damage observed in sperm plasma membrane. (A) Sperm with severe
423 membrane gaps, (B) Sperm with moderate membrane gaps, and (C) Intact
424 spermatozoa. Arrows indicate break of sperm plasma membranes. Scale bar
425 represents 0.4 μ m.

426

427 **Table 1** Residual water contents and glass transition temperature (T_g) of freeze-dried cakes.

428	<hr/>		
429	Temperature	Residual water	T_g
430	during drying phase (°C)	contents (% [w/w])	(°C)
431	<hr/>		
432	0	3.6 ± 0.1^a	14.1 ± 3.3^a
433	-15	3.3 ± 0.2^a	13.5 ± 2.1^a
434	-30	0.7 ± 0.1^b	50.1 ± 1.0^b
435	<hr/>		

436 Data are expressed as the mean \pm SD of 3 replicates in each group.

437 ^{a,b} Superscripts represent significantly different groups ($P < 0.05$).

438 **Table 2** Developmental potential of bovine oocytes injected with bull spermatozoa dried at
 439 different temperatures.

		Oocytes (n [%])*		
Freeze-drying	Temperature during drying phase (°C)	Sperm-injected	Cleaved	Developed to blastocysts
+	0	173	67 (37.9 ± 5.3) ^a	1 (0.7 ± 0.6) ^a
+	-15	173	70 (39.1 ± 4.0) ^a	3 (3.7 ± 1.6) ^a
+	-30	172	68 (41.5 ± 3.1) ^a	9 (14.2 ± 2.5) ^b
-	-	149	89 (60.5 ± 2.4) ^b	27 (30.5 ± 4.3) ^c

450 Percentages are expressed as the mean ± SEM of at least 4 replicates in each group. Blastocyst
 451 yields are calculated from the number of cleaved oocytes.

452 ^{a,b,c} Superscripts represent significantly different groups within columns (P < 0.05).

453

454 **Table 3** DNA damage of freeze-dried bull sperm detected by alkaline comet assay.

455

456

457

458

459

Freeze-drying	Temperature during drying phase (°C)	Values of tail moment	
		Standard protocol	H ₂ O ₂ -treated
+	0	6.8 ± 0.5	65.4 ± 1.3 ^a
+	-30	6.3 ± 0.7	65.6 ± 1.6 ^a
-	-	6.9 ± 0.3	37.1 ± 2.0 ^b

463

464 Data are expressed as the mean ± SD of 3 replicates in each group.

465 ^{a,b} Superscripts represent significantly different groups (P < 0.05).

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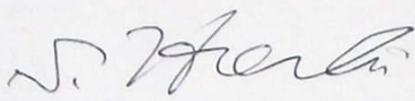
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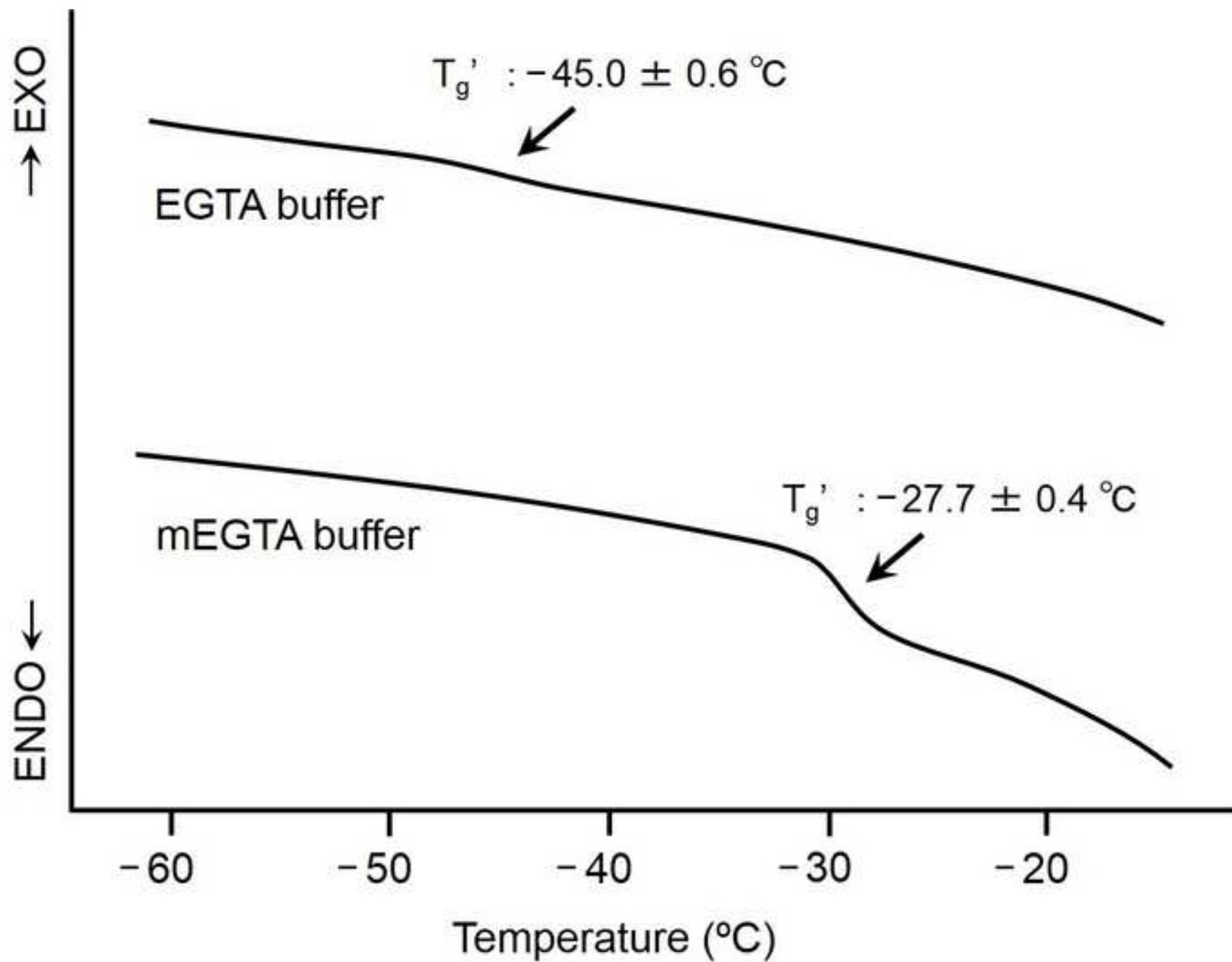


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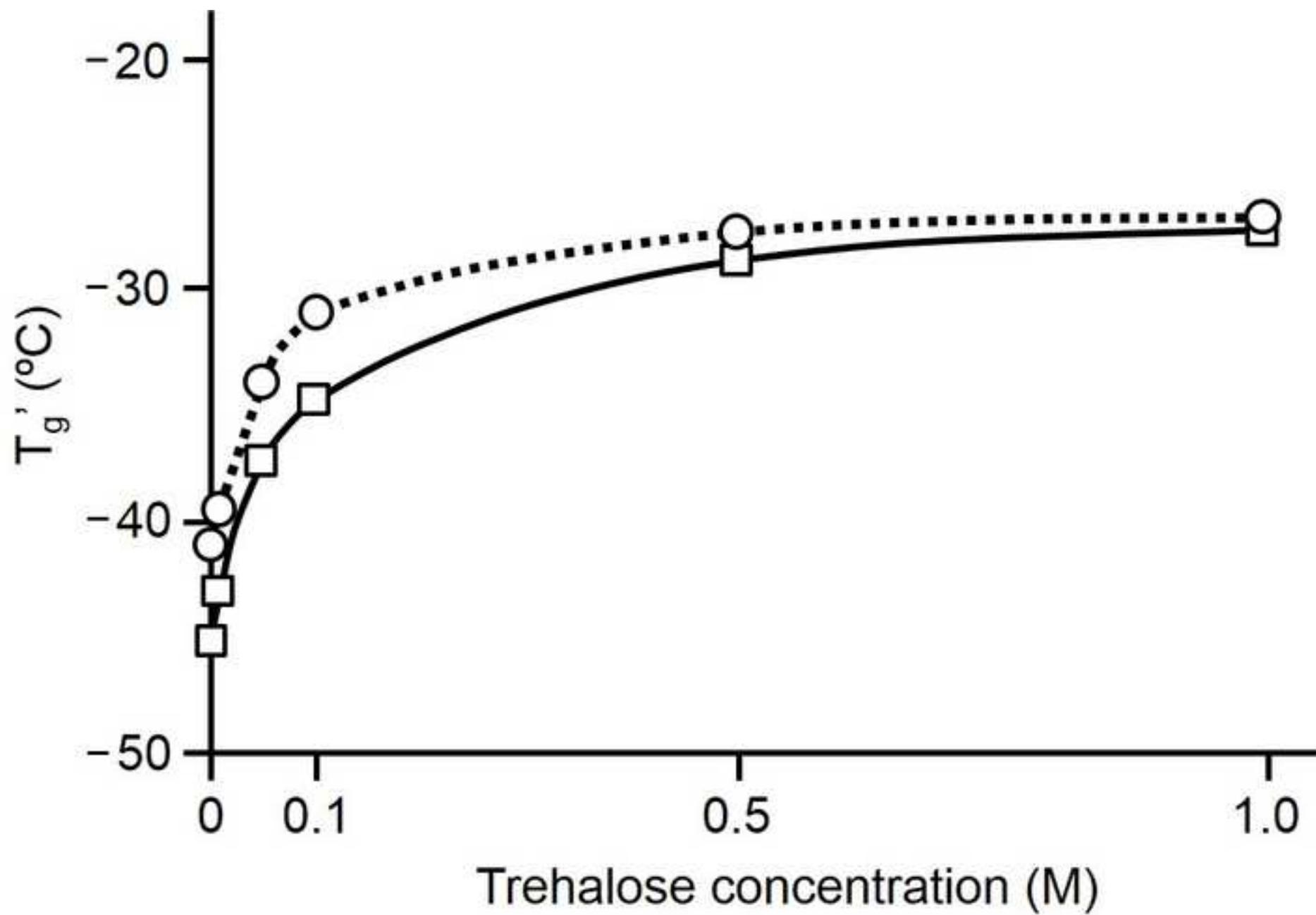


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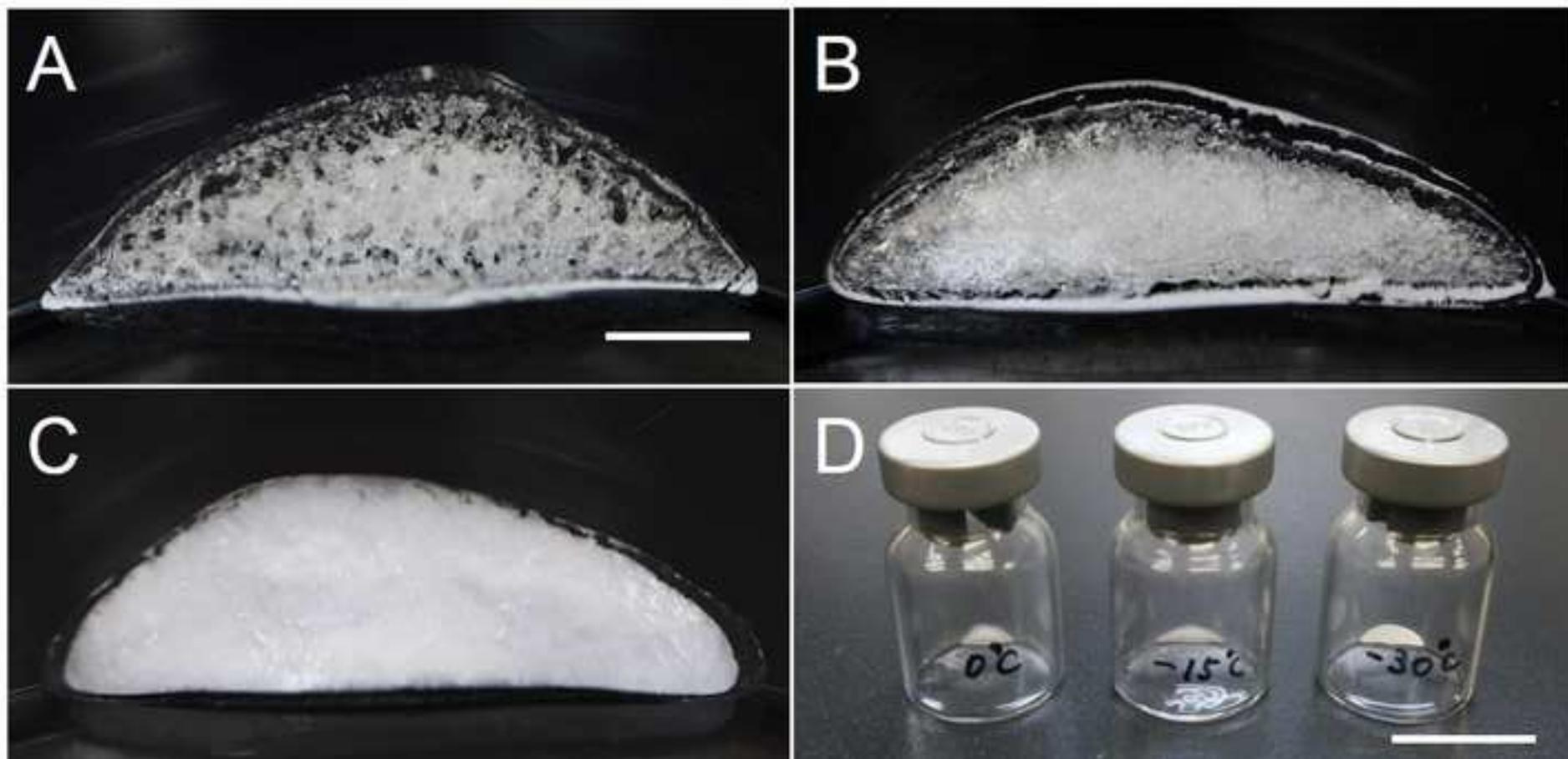


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