

Doctoral Dissertation (Shinshu University)

**Metallophthalocyanine analogues fused with
polycyclic aromatics and their optoelectronic functions**

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Abbreviations

AFM	Atomic force microscope
C₆₀	fullerene
Col_r	Rectangular columnar
DBU	1,8-Diazabicyclo[5.4.0]-7-undecene
DFT	Density functional theory
DSC	Differential scanning calorimetry
HOMO	Highest occupied molecular orbital
HPLC	High performance liquid chromatography
ITO	Indium tin oxide
IR	Infrared
LUMO	Lowest occupied molecular orbital
MALDI	Matrix assisted laser deposition/ionization
MPc	Metallophthalocyanine
Nc	Naphthalocyanines
NMR	Nuclear magnetic resonance
OFET	Organic field effect transistor
Pc	Phthalocyanine
S-Phos	2-Dicyclohexylphosphino-2',6'-dimethoxybiphenyl
TGA	Thermo gravimetric analysis
THF	Tetrahydrofuran
TOF	Time of flight
TPOM	Temperature-controlled polarized microscope
UV-Vis	Ultraviolet-visible
XRD	X-ray diffraction
π-A	The surface pressure versus area

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Chapter 1

General introduction

Concept

The systematically exploring of molecular structures and control of molecular orientation generate superior molecular functions. In this thesis, the author has focused on molecular functions of two-dimensional (2D) π -conjugated molecular materials. The expansion of conjugation allows a delocalization of π electrons across all the adjacent aligned π -orbitals, and may lower the overall energy of the molecules.¹ Recently, there is growing interest in developing conjugated molecules possessing two or three dimensional conjugation.² The extension of π -electron delocalization showed various unique properties, including high mobility and variable band-gap, and can alter intermolecular interactions among molecules. The author developed several bottom-up synthetic routes for 2D conjugated molecules containing heteroatoms and metal ions, and investigated the structural effects of 2D molecules on their electronic and photonic properties.

1.1 Polycyclic Aromatics

Graphene has been regarded as an attractive material since it was discovered by Geim and Novoselov *et al.* in 2004, and has drawn enormous interest among researchers as a result of its intrinsic electronic and magnetic properties.³⁻⁶ Polycyclic aromatic hydrocarbons (PAHs), which make up graphene segments, have large π -conjugated systems. Following the synthetic break-through of hexa-*peri*-hexabenzocoronene (*p*-HBC) by Müllen *et al.* in 1995, impressive progress has been achieved, and more complex PAHs with different shapes and sizes have been reported; for example, a giant molecular graphene containing 222 carbon atoms with a disk diameter of 3.2 nm.^{7,8}

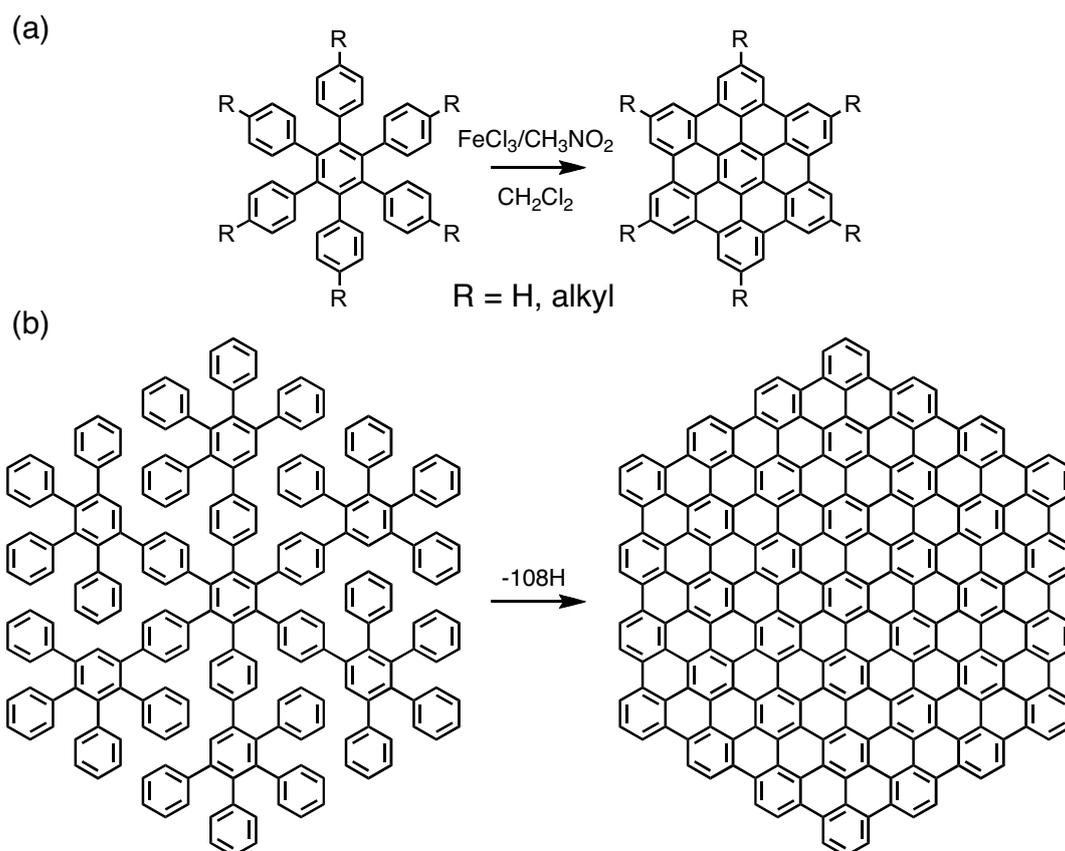


Fig. 1. a) Route to *p*-HBC. b) Example of giant molecular graphene.

In the last decade, the extended PAHs have thus attracted renewed organic synthetic interest and more academic attention as structurally well-defined graphenoid molecules with great potential in future applications, such as in nanoelectronics, optoelectronics and spintronics.⁹⁻¹⁴ In particular, *p*-HBC is a typical graphene fragment with a planar disk-shaped structure and displays remarkable performance in liquid crystalline materials because of its strong intermolecular π - π interactions and highly ordered columnar packing.¹⁵⁻¹⁷

Recently, this large body of work inspired new research directions, such as studies focussed on *cata*-fused HBC isomers (*c*-HBC) and large heterocyclic aromatic hydrocarbons (H-PAHs). Nuckolls *et al.* reported the parent *c*-HBC and a variety of its substituted derivatives, and disclosed their unique nonplanar structure and intriguing electronic and self-assembly properties, such as their strong tendency to self-assemble into nanowires and nanotube, and to form shape-complementary complexes with C₆₀.¹⁴⁻¹⁸

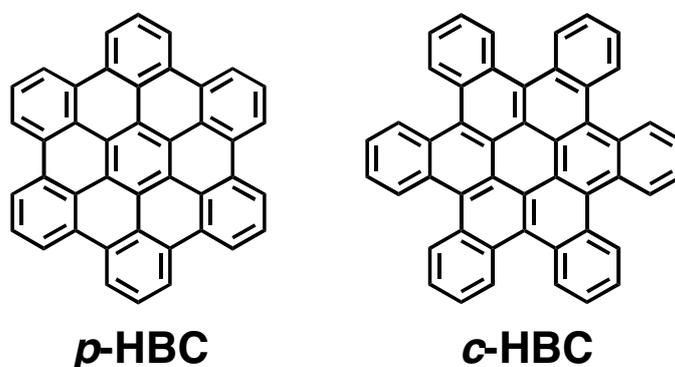


Fig. 2. Structure of HBC isomers.

H-PAHs are a new class of materials that possess properties and functions different from those of the parent PAHs. Among these, thiophene-fused PAHs have been extensively explored due to their wide-ranging applications in organic electronic devices.^{19,20} In 2007, Müllen *et al.* synthesized a tribenzothiophene-fused

p-HBC as the first example of this type of molecule with embedded thiophene rings.²¹ In addition, Nuckolls *et al.* developed a synthesis of dibenzotetrathienocoronene (DBTTC), a thiophene-fused *c*-HBC analogue.^{22,23} The aromatic core of DBTTC could self-assemble into a columnar superstructure, which merged into a three-dimensional network of supramolecular cables. When the acceptor C₆₀ was evaporated onto this network, a nanostructured p–n bulk heterojunction was formed, providing a power conversion efficiency (PCE) of 1.9% in a solar cell device. Furthermore, solution-processed photovoltaic devices of DBTTC and phenyl-C₇₀-butyric acid methyl ester (PC₇₀BM) showed a PCE of 2.7%, where a supramolecular complex between DBTTC and PC₇₀BM was demonstrated to play an important role in the charge separation process.²⁴

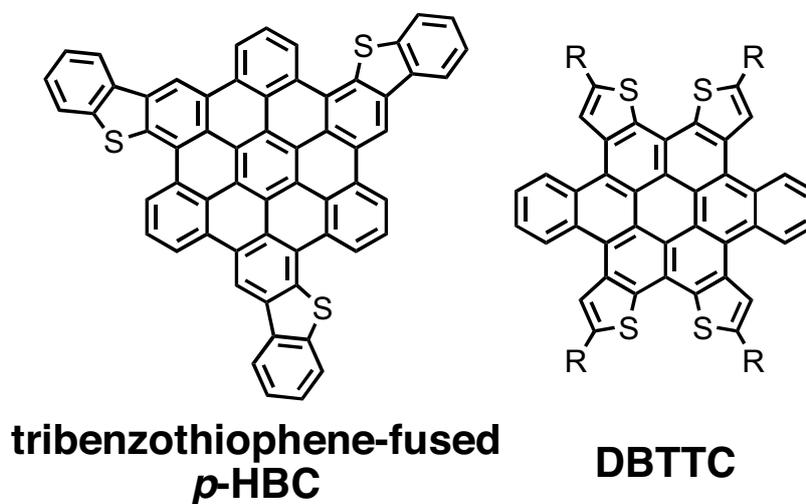


Fig. 3. Example of thiophene-fused PAHs

1.2 Phthalocyanines

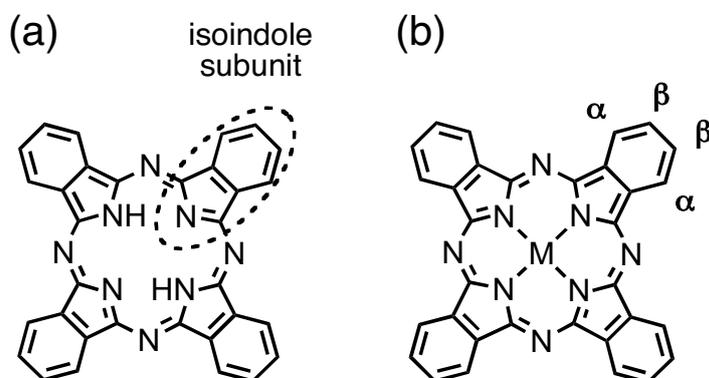


Fig. 4. a) Metal-free phthalocyanine. b) Metallophthalocyanines.

Phthalocyanine

Phthalocyanines (Pcs) are porphyrin analogues composed of four isoindole subunits linked together through nitrogen atoms. The name “phthalocyanine” was first used by Linstead in 1933 to describe a class of organic dyes whose colors range from blue to green.²⁵ Papers reported by Linstead contained the experimental details for the preparation of Pcs from phthalonitrile (*o*-dicyanobenzene), which is still considered the best precursor for Pc synthesis on a laboratory scale. Currently, the two hydrogen atoms in the center of the molecule can be replaced by more than 70 different elements, including virtually all the metals in the periodic table, forming various types of metallophthalocyanine (MPc).

Almost all the early Pcs were unsubstituted on the periphery of the core structure and had high insolubility in most known solvents, even in high-boiling aromatic solvents such as 1-chloro- or 1-bromonaphthalene and quinoline, whereas sulfuric acid was found to be the best solvent. To increase the solubility of Pcs in common organic solvents, a great variety of substituents can be introduced into the periphery (α - and β -positions) of the macrocycle. Furthermore, this also permits the

electronic structure of the system to be altered, which can be used for an accurate tuning of optical, redox, and organizational properties of Pcs. Therefore, the chemical flexibility, good environmental stability, as well as electrical and photochemical properties of this class of compound allow the preparation of a large variety of unique derivatives for an incredible number of applications, including organic solar cells, field effect transistors, nonlinear optics, high dielectric materials, electrochromic devices, photodynamic therapy (PDT), and chemical sensors.²⁶⁻³²

Character of phthalocyanine

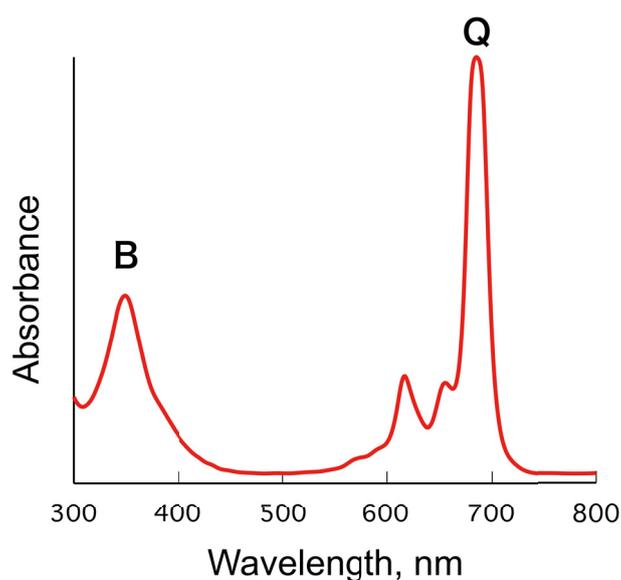


Fig. 5. UV-Vis spectrum of MPcs in THF.

MPcs are the most commercially important material of porphyrazine analogues because of the intense π - π^* transitions from doubly-degenerate orbitals in the visible region. The main and most characteristic feature of the electronic absorption spectra of MPcs is the presence of two very intensive bands, the Q-band and the Soret or B-band. Due to their 18 π -electron conjugated chromophore system, MPcs

exhibit high extinction coefficients of approximately $10^5 \text{ M}^{-1}\text{cm}^{-1}$. The Q band is usually found in the region of 650-730 nm, and is responsible for the green or blue color of these compounds. This single main band is associated with transitions from the highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO). In the case of MPcs, having D_{4h} symmetry, the LUMO is degenerate, and only one band is observed. In contrast, the Q-band of metal-free Pcs is split, due to their D_{2h} symmetry. Consequently, the LUMO orbital loses degeneracy, giving rise to Qx and Qy states. Interestingly, it was found that the oscillator strength of the Q band of the MPcs is about twice that of the metal-free absorbance band. The Soret band is situated at higher energies in the spectrum, and is related to $\pi\text{-}\pi^*$ transitions from lower-energy molecular orbitals.

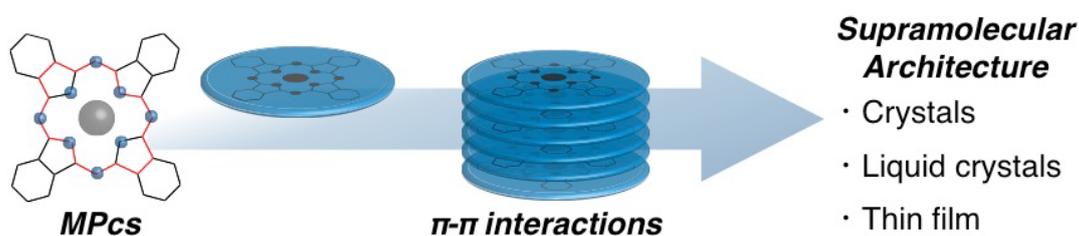


Fig. 6. Schematic illustrations of self-organized MPcs.

Pc molecules exhibit a natural tendency to aggregate through strong $\pi\text{-}\pi$ interactions between Pc macrocycles, which assist in the formation of multiple and highly ordered condensed phases, such as crystals, liquid crystals, and thin films by using a suitable choice of substituents and central element. In many cases, the type of supramolecular architecture formed can be predicted, and it will determine the final properties and applications of the material.^{33,34}

1.3 Ring-expanded Phthalocyanine analogues

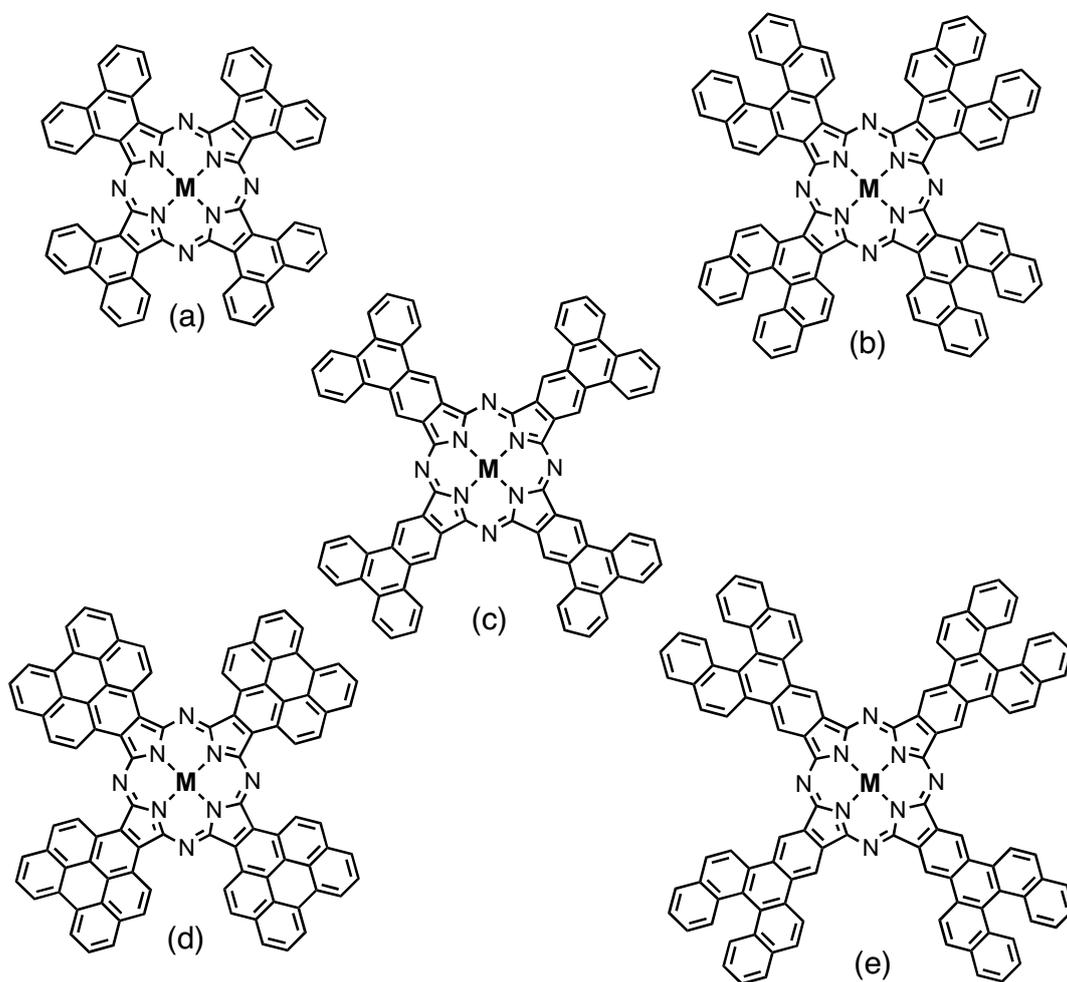


Fig. 7. Ring-expanded phthalocyanine analogues: a) Phenanthrothalocyanine; b) Helicenocyanine; c) Triphenylenophthalocyanine; d) Perylenophthalocyanine; e) Benzohelicenocyanine.

Ring-expanded phthalocyanine analogues

The electronic properties of core motifs strongly depend on the extent of π -conjugation, and increasing the size of the π system is advantageous in many potential applications. More precisely, extension of the conjugated system, thereby increasing the π -conjugation, continuously destabilizes the HOMO level and shifts

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the absorption to longer wavelengths. In addition to the influence on the HOMO–LUMO gap, larger aromatic residues increase the tendency for π -stacking, and hence, aggregation.

Pcs are important molecular materials because of the potential for these types of molecule to form the functional component of optoelectronic devices. Their use in such applications typically requires a combination of molecular (e.g. optical absorption/band gap, redox) and bulk (e.g. processability, self-assembly, mesophase formation) properties. Pc itself can be modified extensively to tune both molecular and bulk properties. The extended π system so-produced allows tuning of the molecular properties, and careful choice of derivative also permits their processing (solubility) and self-assembly (mesophase) properties.

The synthesis of Pcs with extended π -systems is commonly achieved by using an *o*-dicyano precursor for the cyclotetramerization reaction to the Pc.³⁵⁻³⁹ Due to a lack of methods for the direct *o*-functionalization of PAHs, precursors were built from long synthetic pathways. To overcome solubility problems, synthetic routes to multiply benzannulated Pcs have been designed to include solubilizing alkyl chains. Considering these three requirements (*o*-dicyano function, short synthesis from large PAH precursors, and solubilizing alkyl chains), new methods are required for the convenient synthesis of new core-extended Pcs.

1.4 Purpose of research

Because structurally well-defined graphenoid molecules have a great potential in future applications, the extended PAHs have attracted renewed organic synthetic interest and more academic attention as structurally interesting species.

In addition, the major approaches for the structural modification of Pcs include: (1) coordination with elements ranging from main group to transition metals via the central nitrogen atoms; (2) introduction of substituents with electron donating or withdrawing effects onto the α - and/or β -positions; and (3) extension of the π -system conjugation using fused aromatic rings. Due to the versatility of chemical synthesis, various Pc-based materials with desired electronic and structural features have been developed.

In this thesis, the author has focused on the design and syntheses of ring-expanded MPc analogues fused with polycyclic aromatics. To control the optical, electronic, and organizational properties of the developed materials, the author synthesized new materials by means of various ring-closure reactions, and then investigated the relationships between molecular structure and characteristic features.

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Chapter 2

Self-organized one-dimensional columns of benzo[*b*]thiophene-fused tetraazaporphyrins



Abstract

Ring-expanded metallophthalocyanines fused with thiophene rings **1–4** have been synthesized by ring closing reaction from the *o*-chloroethynylbenzene substructures with Na₂S 9H₂O. The self-organizing properties of these compounds have been studied by UV-vis spectroscopy in solution, π -A isotherms at air-water interface, X-ray diffraction (XRD) patterns of a solid, and atomic force microscopy (AFM). Whereas all compounds exhibit good solubility in organic solvents, thiophene-fused phthalocyanines form stable aggregates through strong intermolecular π - π interaction. Copper phthalocyanine Cu-**2** possessing phenyl spacers produced long nano-fibrous assemblies from solution.

2.1 Introduction

Large-area and flexible electronic devices fabricated by simple printing technologies using solution-based semiconducting materials have recently been attracting much intense attention due to their potential applications such as flexible displays, smart labels, and light-weight solar cells.¹⁻⁶ Various organic and inorganic semiconducting materials have been applied as functional inks for printed electronics. Among these semiconducting materials, well-defined thiophene-fused polycyclic aromatic derivatives with solubilizing groups exhibited excellent performance for organic-based electronic devices.⁷ Takimiya *et al.* succeeded at systematic syntheses of solution-processable thiophene-fused aromatic derivatives. Solution-processed organic field-effect transistors (OFETs) fabricated by using solution-cast films of these materials have shown high field-effect mobility values above $1 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$.⁸⁻¹¹ The molecular design of solution-processable organic semiconducting molecules requires long-range intermolecular orbital overlap in the solid state as well as high solubility in solution.

Phthalocyanines (Pcs) and their metal complexes (MPcs) have been used as molecular components in organic-based devices due to their intense absorbing Q-bands (600–700 nm), high molar extinction coefficients ($\epsilon > 100,000 \text{ M}^{-1} \text{ cm}^{-1}$), and good thermal, chemical, and photochemical stabilities.¹² Extended flat hydrophobic Pc ligands, modified at either four or eight positions on each Pc with solubilizing side chains, can self-organize to form one-dimensional columns through intermolecular π - π stacking interactions.¹² The π - π overlap of MPcs within the columnar stacks provides a transport pathway for charge or energy parallel to the columnar axis. The long-range transportation of charge and energy in the columnar stacks can enhance the device performance of organic-based optical and electronic devices. The length of self-organized columns depends on the

strength of intermolecular π - π stacking interactions among MPcs. In this context, many attempts have been made to control self-organization properties of MPcs by exploring molecular architecture of Pc ligand.¹³⁻¹⁸

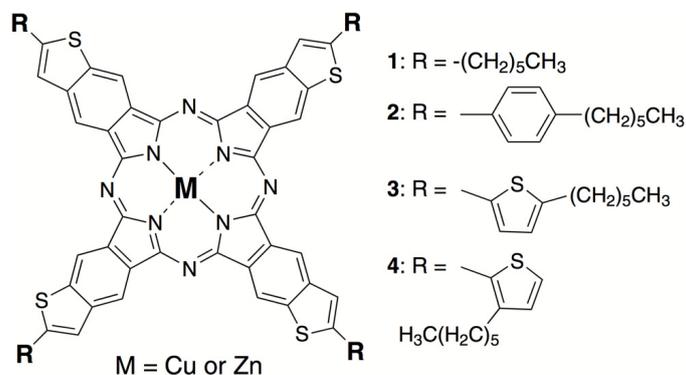


Fig. 1. Structure of thiophene-fused MPcs **1–4**. The structure is one of structural isomers.

This chapter reports syntheses of thiophene-fused MPc derivatives **1–4** through a ring closing reaction from the *o*-chloroethynylbenzene substructures, and their self-organizing properties of ring-expanded MPcs in solution and a solid state (Fig. 1). Although several thiophene-fused tetraazaporphyrins have been synthesized, a benzothiophene-fused tetraazaporphyrin has not been reported.¹⁹⁻²¹ The introduction of four peripheral alkyl chains with ring-expanded MPcs exhibits high solubility in organic solvents. Thiophene-fused Cu-**2** decorated with *n*-hexylphenyl substituents formed long fibrous aggregates through enhanced π - π interaction among ring-expanded MPcs. The author also reports preliminary results of the fabrication of OFETs using spin-coated thin films of Cu-**1** and Cu-**2** and the preparation of insoluble Pc-networked film by the electrochemical polymerization of Cu-**4**.

2.2 Experimental section

2.2.1 General procedures

NMR spectra were recorded on a Bruker AVANCE 400 FT NMR spectrometer at 399.65 MHz and 100.62 MHz for ^1H and ^{13}C in CDCl_3 solution. Chemical shifts are reported relative to internal TMS. IR spectra were obtained on a SHIMAZU IR Prestige-21 with DuraSample IR II. UV-vis spectra were measured on a JASCO V-650. MALDI-TOF mass spectra were obtained on a Bruker autoflex spectrometer with dithranol as matrix.

All chemicals were purchased from commercial suppliers and used without purification. Column chromatography was performed with activated alumina (Wako, 200 mesh) or silica gel (Wakogel C-200). Recycling preparative gel permeation chromatography was carried out by a JAI recycling preparative HPLC using CHCl_3 as an eluent. Analytical thin layer chromatography was performed with commercial Merck plates coated with silica gel 60 F₂₅₄ or aluminum oxide 60 F₂₅₄.

The transition temperatures were measured by differential scanning calorimetry with a SII DSC 6200 operated at a scanning rate of $10\text{ }^\circ\text{C min}^{-1}$ on heating and cooling. The apparatus was calibrated with indium as standard. The XRD patterns were obtained with a Rigaku XRD-DSC with $\text{Cu K}\alpha$ radiation. Spacings were obtained from Bragg's law. Atomic force microscopy images were acquired in non-contact mode by a JEOL JSPM-5400 system.

2.2.2 Syntheses

Phthalonitriles

5. To a solution of 4,5-dichlorophthalonitrile (0.60 g, 3.05 mmol) and 1-octyne

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(0.50 g, 4.56 mmol) in triethylamine (4.0 mL) and THF (2.0 mL) were added PdCl₂(PPh₃)₂ (64 mg, 0.91 mmol) and Cu(I) (17 mg, 0.90 mmol). The reaction mixture was heated at 80 °C for 12 h under N₂ atmosphere. After cooling to room temperature, the reaction mixture was poured into water and extracted with CH₂Cl₂. The organic layer was dried over MgSO₄ and the solvent was evaporated. The residues was purified by column chromatography on silica gel by eluting with CH₂Cl₂ and *n*-hexane (1:1 v/v) and recycling preparative HPLC to give **5** (0.39 g, 47%). ¹H NMR (CDCl₃, 400.13 MHz): δ, ppm 7.82 (1H, s, ArH), 7.80 (1H, s, ArH), 2.53 (2H, t, *J* = 7.2 Hz, -CH₂-), 1.62–1.69 (2H, m, -CH₂-), 1.46–1.52 (2H, m, -CH₂-), 1.31–1.35 (4H, m, -CH₂-), 0.90 (3H, t, *J* = 7.2 Hz, -CH₃). ¹³C NMR (CDCl₃, 100.61 MHz): δ, ppm 141.6, 137.7, 134.3, 130.4, 114.8, 114.7, 114.6, 114.4, 105.6, 75.9, 31.6, 28.9, 28.4, 22.9, 20.2, 14.4. IR (ATR): ν, cm⁻¹ 2230 (-CN).

6. Compound **6** was synthesized from 4,5-dichlorophthalonitrile and 1-ethynyl-4-hexylbenzene by the same procedure as for **5**. Yield 52%. ¹H NMR (CDCl₃, 400.13 MHz): δ, ppm 7.91 (1H, s, ArH), 7.83 (1H, s, ArH), 7.49 (2H, d, *J* = 8.4 Hz, ArH), 7.22 (2H, d, *J* = 8.4 Hz, ArH), 2.65 (2H, t, *J* = 7.6 Hz, -CH₂-), 1.58–1.66 (2H, m, -CH₂-), 1.28–1.35 (6H, m, -CH₂-), 0.89 (3H, t, *J* = 6.8 Hz, -CH₃). ¹³C NMR (CDCl₃, 100.61 MHz): δ, ppm 146.4, 141.3, 137.4, 134.4, 132.5, 130.0, 129.3, 118.5, 115.0, 114.7, 114.6, 114.5, 103.1, 83.5, 36.5, 32.1, 31.5, 29.3, 23.0, 14.5. IR (ATR): ν, cm⁻¹ 2230 (-CN).

7. Compound **7** was synthesized from 4,5-dichlorophthalonitrile and 2-ethynyl-5-hexylthiophene by the same procedure as for **5**. Yield 42%. ¹H NMR (CDCl₃, 400.13 MHz): δ, ppm 7.88 (1H, s, ArH), 7.83 (1H, s, ArH), 7.27 (1H, d, *J* = 3.6 Hz, ArH), 6.76 (1H, d, *J* = 3.6 Hz, ArH), 2.84 (2H, t, *J* = 7.6 Hz, -CH₂-), 1.65–1.73 (2H, m, -CH₂-), 1.29–1.40 (6H, m, -CH₂-), 0.89 (3H, t, *J* = 6.8 Hz, -CH₃). ¹³C NMR (CDCl₃, 100.61 MHz): δ, ppm 152.7, 140.6, 136.8, 135.4, 134.3, 129.8, 125.5, 118.3, 114.7, 114.6, 114.5, 96.9, 87.4, 31.9, 31.3, 30.8, 29.1, 22.9,

14.5. IR (ATR): ν , cm^{-1} 2232 (–CN).

8. Compound **8** was synthesized from 4,5-dichlorophthalonitrile and 2-ethynyl-3-hexylthiophene by the same procedure as for **5**. Yield 25%. ^1H NMR (CDCl_3 , 400.13 MHz): δ , ppm 7.89 (1H, s, ArH), 7.85 (1H, s, ArH), 7.37 (1H, d, $J = 5.2$ Hz, ArH), 6.96 (1H, d, $J = 5.2$ Hz, ArH), 2.81 (2H, t, $J = 7.6$ Hz, – CH_2 –), 1.63–1.70 (2H, m, – CH_2 –), 1.28–1.37 (6H, m, – CH_2 –), 0.88 (3H, t, $J = 6.8$ Hz, – CH_3). ^{13}C NMR (CDCl_3 , 100.61 MHz): δ , ppm 152.1, 140.4, 136.7, 134.4, 130.0, 129.8, 129.2, 116.4, 114.7, 114.6, 96.4, 90.3, 32.0, 30.7, 30.3, 29.4, 23.0, 14.5. IR (ATR): ν , cm^{-1} 2232 (–CN).

Phthalocyanines

Cu-9. A mixture of **5** (150 mg, 0.55 mmol), urea (67 mg, 1.1 mmol), and $\text{Cu}(\text{OAc})_2 \cdot \text{H}_2\text{O}$ (28 mg, 0.14 mmol) in *N,N'*-dimethylpropyleneurea (3.0 mL) was heated at 160 °C for 12 h under N_2 atmosphere. After cooling to 60 °C, methanol was added to the reaction mixture and stirred for 15 min. The resulting suspension was filtered off and washed with methanol. The solid residue was purified by column chromatography on activated alumina by eluting with CHCl_3 and recycling preparative HPLC to give **Cu-9** (53 mg, 33%). UV-vis (10 mM in CHCl_3): λ , nm 628 and 695. MALDI-TOF MS (dithranol): m/z 1146.5 ($[\text{M} + \text{H}]$, 100%), calcd. for $\text{C}_{64}\text{H}_{60}\text{Cl}_4\text{N}_8\text{Cu}$: m/z 1146.6.

Zn-9. **Zn-9** was synthesized from **5** and $\text{Zn}(\text{OAc})_2$ by the same procedure as for **Cu-9**. Yield 55%. UV-vis (10 mM in CHCl_3): λ , nm 696. ^1H NMR (CDCl_3 , 400.13 MHz): δ , ppm 8.5–9.0 (4H, br, ArH), 6.4–6.7 (4H, br, ArH), 2.7–2.9 (8H, m, – CH_2 –), 1.7–2.0 (16H, m, – CH_2 –), 1.0–1.2 (16H, m, – CH_2 –), 0.8 (12H, br, – CH_3). MALDI-TOF MS (dithranol): m/z 1148.4 ($[\text{M} + \text{H}]$, 100%), calcd. for $\text{C}_{64}\text{H}_{60}\text{Cl}_4\text{N}_8\text{Zn}$: m/z 1148.4.

Cu-10. **Cu-10** was synthesized from **6** and $\text{Cu}(\text{OAc})_2 \cdot \text{H}_2\text{O}$ by the same procedure as for **Cu-9**. Yield 49%. UV-vis (10 mM in CHCl_3): λ , nm 634 and 710^{sh}. MALDI-TOF MS (dithranol): m/z 1449.2 ($[\text{M} + \text{H}]$, 100%), calcd. for $\text{C}_{88}\text{H}_{76}\text{Cl}_4\text{N}_8\text{Cu}$: m/z

1450.9.

Zn-10. Zn-10 was synthesized from **6** and Zn(OAc)₂ by the same procedure as for Cu-9. Yield 51%. UV-vis (10 mM in CHCl₃): λ, nm 655 and 705. ¹H NMR (CDCl₃, 400.13 MHz): δ, ppm 6.4–9.0 (24H, br, ArH), 3.5–3.9 (8H, m, –CH₂–), 2.3–2.6 (8H, m, –CH₂–), 1.6–1.9 (8H, m, –CH₂–), 1.2–1.4 (16H, m, –CH₂–), 0.8 (12H, br, –CH₃). MALDI-TOF MS (dithranol): *m/z* 1452.2 ([M + H], 100%), calcd. for C₈₈H₇₆Cl₄N₈Zn: *m/z* 1452.8.

Cu-11. Cu-11 was synthesized from **7** and Cu(OAc)₂ H₂O by the same procedure as for Cu-9. Yield 49%. UV-vis (10 mM in CHCl₃): λ, nm 644 and 712^{sh}. MALDI-TOF MS (dithranol): *m/z* 1472.7 ([M + H], 100%), calcd. for C₈₀H₆₈Cl₄N₈S₄Cu: *m/z* 1471.2.

Cu-12. Cu-12 was synthesized from **8** and Cu(OAc)₂ H₂O by the same procedure as for Cu-9. Yield 66%. UV-vis (10 mM in CHCl₃): λ, nm 648 and 711. MALDI-TOF MS (dithranol): *m/z* 1473.7 ([M + H], 100%), calcd. for C₈₀H₆₈Cl₄N₈S₄Cu: *m/z* 1471.2.

Thiophene-fused phthalocyanines

Cu-1. A mixture of Cu-9 (27 mg, 0.23 mmol), NaS 9H₂O (45 mg, 18.8 mmol) in *N*-methylpyrrolidone (5.0 mL) was stirred at 190 °C with stirring for 12 h under N₂ atmosphere. After cooling to room temperature, the reaction mixture was poured into 50 mL saturated NH₄Cl aqueous solution and stirred for 30 min. The resulting suspension was filtered off and washed with methanol. The solid residue was purified by column chromatography on activated alumina by eluting with CHCl₃ and recycling preparative HPLC to give Cu-1 (11 mg, 41%). UV-vis (10 mM in CHCl₃): λ, nm 669 and 726. MALDI-TOF MS (dithranol): *m/z* 1135.1 ([M + H], 100%), calcd. for C₆₄H₆₄N₈S₄Cu: *m/z* 1135.4. Elemental analysis calcd. (%) for C₆₄H₆₄N₈S₄Cu: C 67.60, H 5.67, N 9.85; found C 67.7, H 5.7, N 9.7. Purity (HPLC) > 99%.

Zn-1, Cu-2, Zn-2, Cu-3, and Cu-4 were synthesized by the same procedure as for

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Cu-1.

Zn-1. Yield 24%. UV-vis (10 mM in CHCl₃): λ , nm 670^{sh} and 734. ¹H NMR (CDCl₃, 400.13 MHz): δ , ppm 6.6–8.5 (12H, br, ArH), 3.6–3.8 (16H, m, –CH₂–), 2.0 (8H, br, –CH₂–), 1.3 (16H, br, –CH₂–), 0.7 (12H, br, –CH₃). MALDI-TOF MS (dithranol): m/z 1137.3 ([M + H], 100%), calcd. for C₆₄H₆₄N₈S₄Zn: m/z 1136.3. Elemental analysis calcd. (%) for C₆₄H₆₄N₈S₄Zn: C 67.50, H 5.66, N 9.84; found C 67.6, H 5.6, N 9.7. Purity (HPLC) > 99%.

Cu-2. Yield 36%. UV-vis (10 mM in CHCl₃): λ , nm 665 and 740^{sh}. MALDI-TOF MS (dithranol): m/z 1441.4 ([M + H], 100%), calcd. for C₈₈H₈₀N₈S₄Cu: m/z 1441.4. Elemental analysis calcd. (%) for C₈₈H₈₀N₈S₄Cu: C 73.33, H 5.59, N 7.77; found C 73.4, H 5.6, N 7.8. Purity (HPLC) > 99%.

Zn-2. Yield 25%. UV-vis (10 mM in CHCl₃): λ , nm 682 and 735. ¹H NMR (CDCl₃, 400.13 MHz): δ , ppm 6.6–8.5 (28H, br, ArH), 3.6–3.8 (8H, m, –CH₂–), 2.4–2.6 (8H, m, –CH₂–), 1.9 (8H, br, –CH₂–), 1.3 (16H, br, –CH₂–), 0.9 (12H, br, –CH₃). MALDI-TOF MS (dithranol): m/z 1443.4 ([M + H], 100%), calcd. for C₈₈H₈₀N₈S₄Zn: m/z 1443.3. Elemental analysis calcd. (%) for C₈₈H₈₀N₈S₄Zn: C 73.23, H 5.59, N 7.76; found C 73.3, H 5.6, N 7.7. Purity (HPLC) > 99%.

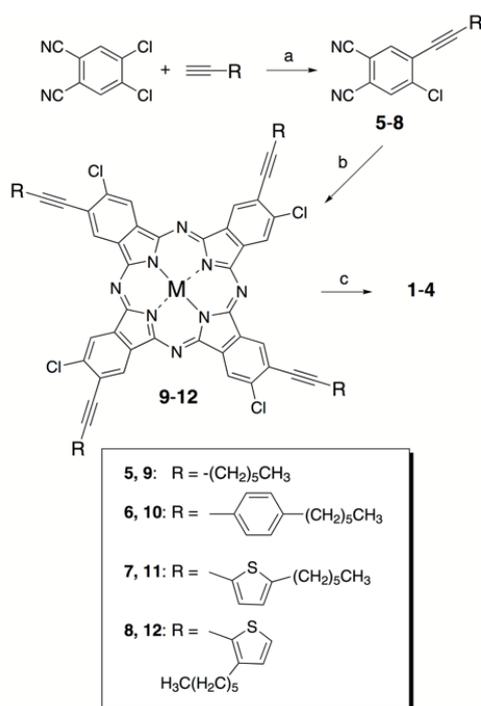
Cu-3. Yield 50%. UV-vis (10 mM in CHCl₃): λ , nm 670^{sh} and 734. MALDI-TOF MS (dithranol): m/z 1463.3 ([M + H], 100%), calcd. for C₈₀H₇₂N₈S₈Cu: m/z 1463.3. Elemental analysis calcd. (%) for C₈₀H₇₂N₈S₈Cu: C 65.57, H 4.95, N 7.65; found C 65.5, H 5.0, N 7.6. Purity (HPLC) > 99%.

Cu-4. Yield 43%. UV-vis (10 mM in CHCl₃): λ , nm 682 and 735. MALDI-TOF MS (dithranol): m/z 1464.7 ([M + H], 100%), calcd. for C₈₀H₇₂N₈S₈Cu: m/z 1463.3. Elemental analysis calcd. (%) for C₈₀H₇₂N₈S₈Cu: C 65.57, H 4.95, N 7.65; found C 65.6, H 4.9, N 7.7. Purity (HPLC) > 99%.

2.3 Results and Discussion

2.3.1 Syntheses of thiophene-fused metallophthalocyanines

Scheme 1. Syntheses of Thiophene-fused MPcs 1-4.



(a) $\text{PdCl}_2(\text{PPh}_3)_2$, CuI, triethylamine-THF, (b) $\text{M}(\text{AcO})_2$, urea, *N,N'*-dimethylpropyleneurea, (c) $\text{Na}_2\text{S} \cdot 9\text{H}_2\text{O}$, NMP. MPcs 1-4 and 9-12 contain structural isomers.

Scheme 1 illustrates the synthetic route of thiophene-fused MPcs 1-4. Phthalocyanine precursors 5-8 were synthesized through palladium-catalyzed Sonogashira coupling of 4,5-dichlorophthalonitrile with alkynes. MPcs 9-12 fused with *o*-chloroethynylbenzene were prepared in the presence of metal salts, and the final ring closing reaction with sodium sulfide²² obtained 1-4 in good yields. The MALDI-TOF-MS spectra of all final compounds exhibited the expected parent molecular ion peak, and their purities were confirmed by HPLC analyses. All MPcs decorated with four flexible substituents at the α -positions of the fused thiophene rings revealed good solubility ($>10 \text{ g L}^{-1}$) in organic solvents such as THF, toluene and CHCl_3 .

2.3.2 Optical and electrochemical properties

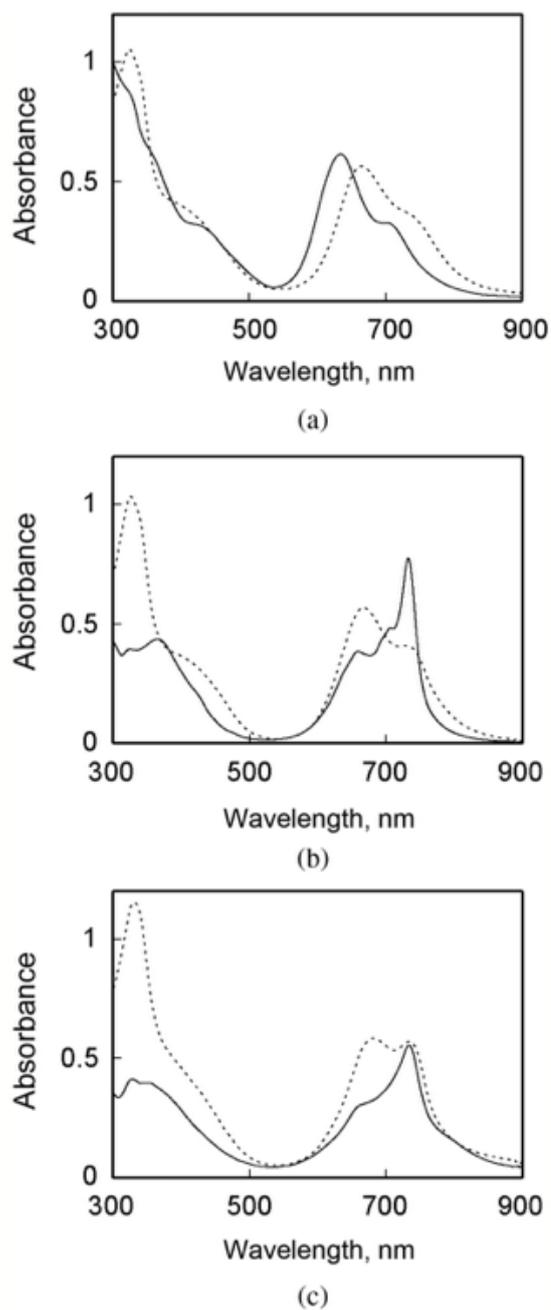


Fig. 2. Absorption spectra of a) Cu-10 (solid line) and Cu-2 (dashed line) in CHCl₃, b) Cu-1 (solid line) and Cu-2 (dashed line) in 1,3,5-trimethylbenzene, and c) Zn-1 (solid line) and Zn-2 (dashed line) in CHCl₃. [MPcs] = 10 μ M.

The shape and location of the Q-band of MPcs are known to be indicators for determining the aggregation behavior of MPcs. All CuPcs exhibit a broad absorption Q-band in the range of 550–850 nm in CHCl_3 (Fig. 2a and Table 1). The broadening of the Q-band is caused by exciton coupling between neighboring phthalocyanine rings in aggregates.²³ No changes on the absorption spectral shape in CHCl_3 were detected in the concentration range of 5 μM to 0.1 mM, indicating the formation of stable aggregates in solution. The absorption maxima of the Q-bands for thiophene-fused CuPcs **1–4** shift to longer wavelengths relative to the parent compounds. The red-shifting of Q-band is ascribed to the enlargement of the π -conjugated system by fusing thiophenes with the phthalocyanine ring. The Q-bands of Cu-3 and Cu-4 were red-shifted as compared with the spectra of Cu-1 and Cu-2 due to the attachment of electron-donating thiophene spacers with thiophene-fused phthalocyanine ring (Fig. 3).

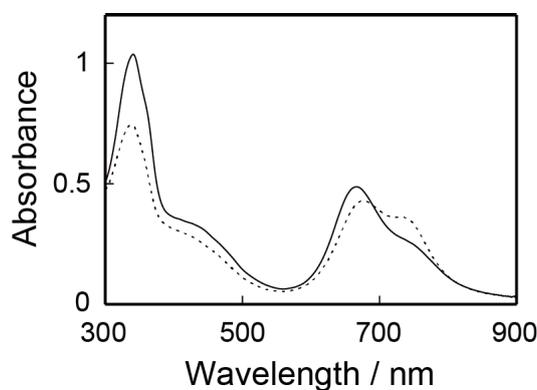


Fig. 3. Absorption spectra of Cu-3 (solid line) and Cu-4 (dashed line) in CHCl_3 . $[\text{MPcs}] = 10 \mu\text{M}$.

Fig. 2b shows the absorption spectra of Cu-1 and Cu-2 in 1,3,5-trimethylbenzene. The spectrum of Cu-1 in 1,3,5-trimethylbenzene displayed a peak at 733 nm, indicating the dissociation of aggregates into monomeric species caused by solvent changing. In contrast, the Q-bands of Cu-2 remained unaltered in

1,3,5-trimethylbenzene. The aggregation properties in solution were enhanced by the presence of phenyl spacer in the solubilizing side chains. Whereas Cu-1 exhibits a broad Q-band in CHCl₃, the peak at 734 nm is observed in the spectrum of Zn-1 (Fig. 2c). The difference in the aggregation behavior reflected the attracting force between MPcs that had different central metals. The attracting force between CuPcs was stronger than that between ZnPcs.

Table 1. Photophysical and electrochemical data for MPcs 1-4.

Ligand	Metal	λ_{max} , nm ^a	$E_{1/2}$, V vs. Fc/Fc^{+b}
1	Cu	669, 726 ^{sh}	0.14, 0.83
	Zn	670 ^{sh} , 734	0.14, 1.03
2	Cu	665, 740 ^{sh}	0.12, 0.90
	Zn	682, 735	0.13, 1.14
3	Cu	672, 750 ^{sh}	0.14, 1.02
4	Cu	677, 750 ^{sh}	0.14, 1.02

^a In CHCl₃. ^b Determined by differential pulse voltammetry in CH₂Cl₂ containing 0.1 M Bu₄NClO₄ at 295 K, scan rate = 50 mV/s^{sh} Shoulder peak.

Electrochemical studies of thiophene-fused MPcs were performed through cyclic voltammetry measurement in CH₂Cl₂ containing 0.1M Bu₄NClO₄ as a supporting electrolyte (Table 1). Cu-1 exhibits two reversible oxidation waves at +0.14 and +0.83 V vs. ferrocene/ferrocenium redox couple (Fc/Fc^{+}). Since Cu(II) does not undergo a redox process within the potential window in CH₂Cl₂, the observed oxidation processes can be ascribed to thiophene-fused phthalocyanine-centered ring oxidations.²⁴ The highest occupied molecular orbital (HOMO) energy levels of Cu-1 and Cu-2 were estimated to be -4.94 and -4.92 eV from the first oxidation potentials calibrated by the Fc/Fc^{+} redox potential vs. vacuum (Table 1). The HOMO energy levels of Cu-1 and Cu-2 were slightly higher than that of copper phthalocyanines (HOMO = -5.2 eV). The enlargement of π -system resulted in the destabilization of the HOMO.

2.3.3 Self-assembly properties

Phthalocyanines substituted with linear or branched alkyl, alkoxyethyl, or alkoxy chains form a major class of discotic liquid-crystalline materials that self-organize in columnar stacks.²⁵⁻²⁹ The type of side chain, its length, the symmetry of Pc molecule influence the self-organized structure. The self-organizing properties of Cu-1 and Cu-2 were investigated by differential scanning calorimetry (DSC), temperature-controlled polarized optical microscopy (TPOM), and X-ray diffraction (XRD). No typical textures in TPOM and no transitions in DSC were observed in Cu-1 and Cu-2 on heating and cooling from -50 to 250 °C. The number and length of alkyl chains in Cu-1 and Cu-2 are not sufficient to exhibit liquid crystal phases.

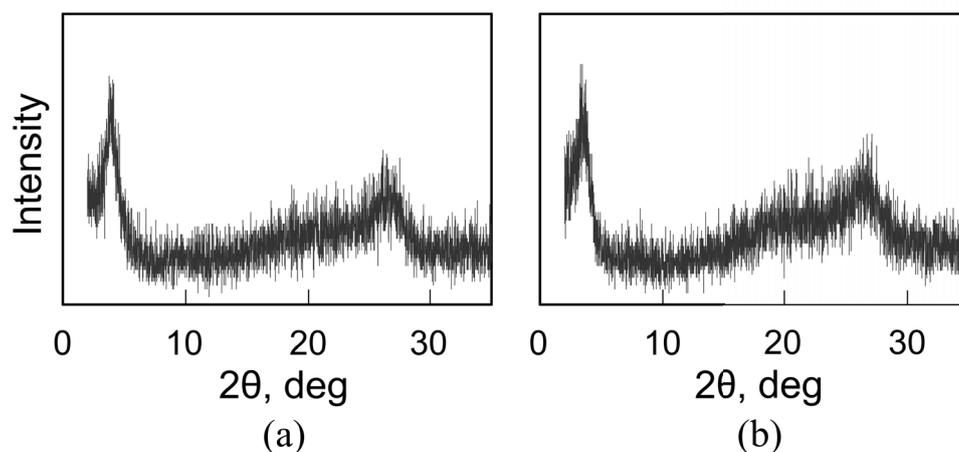


Fig. 4. XRD patterns of a) Cu-1 and b) Cu-2 at room temperature.

As shown in Fig. 4, the XRD patterns of Cu-1 and Cu-2 at room temperature show one reflection peak corresponding to the d spacing of 2.23 and 2.53 nm, which is attributed to the average distance between stacked columns in the solid. Cu-2 with phenyl spacer has a longer average distance than Cu-1. Since the XRD patterns in the small angle region showed only one peak, two- or three-dimensional

lattices could not be assigned from the XRD patterns. The reflection peak at 0.33 nm characteristic of the π - π stacking is observed, thus indicating a long-range ordering along the columnar axis. The broad and diffuse halo around 0.43 nm can be ascribed to the liquid-like disorder in alkyl chains.

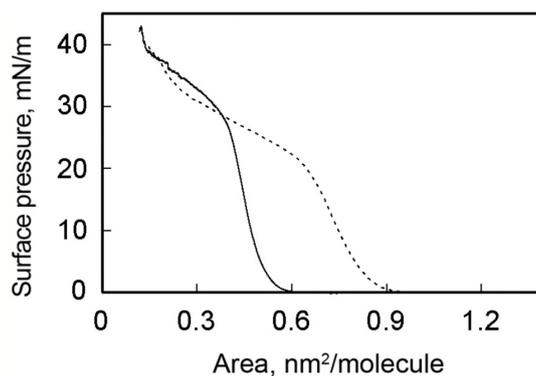


Fig. 5. Surface pressure vs. area per molecule isotherms for Cu-1 (solid line) and Cu-2 (dashed line) on triply distilled water at 25 °C.

The organized structures of Cu-1 and Cu-2 were analyzed by a surface pressure vs. area (π -A) isotherm on pure water as the substrate (Fig. 5).³⁰ A continuous rise in the pressure as the area decreased was observed up to 40 mN m⁻¹, whereupon the monomolecular film collapsed. The limiting surface areas per molecules of Cu-1 and Cu-2 were 0.52 and 0.84 nm²/molecule determined by extrapolating the slope of the π -A isotherms in the liquid- condensed region to zero pressure. The limiting surface area of Cu-2 mostly agreed with the area occupied in an edge-on arrangement of molecules on the water surface. This indicates that Cu-2 forms stacks with phthalocyanine plane perpendicular to the air-water interface. In contrast, the limiting area of Cu-1 is smaller than the estimated edge area (0.74 nm²), suggesting the formation of slipped stacks on the interface.³¹

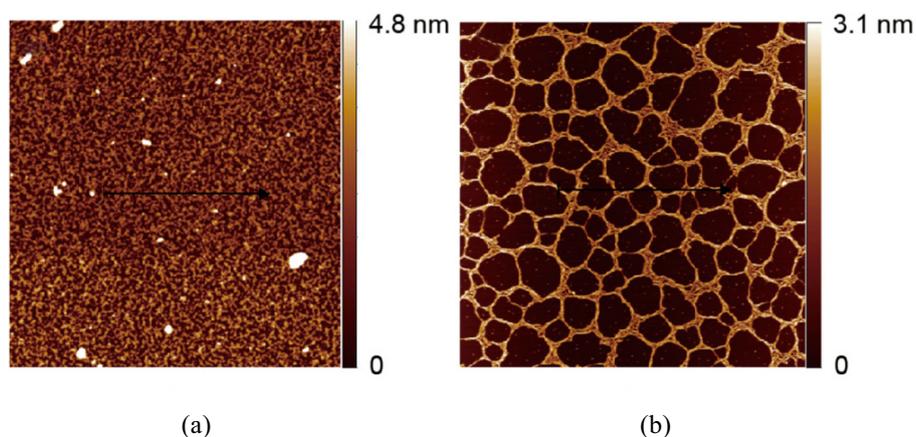


Fig. 6. AFM images (area: $5 \times 5 \mu\text{m}$) of a) Cu-1 and b) Cu-2 on mica substrates.

The organized morphologies of Cu-1 and Cu-2 were visualized by atomic force scanning microscopy (AFM) (Fig. 6). A droplet of 5×10^{-5} M solutions of Cu-1 or Cu-2 in CHCl_3 was placed on a mica substrate, and the solution was evaporated in vacuum. Although the AFM height image of Cu-1 shows no featured dots, Cu-2 assembled into network structures of fibers with a 2.4 nm height. The fibers consist of bundles of finer strands, and some isolated single strands can be seen. The length of fibers is in an order of a few micrometers. The observed height of fibers is in fair agreement with the average intercolumnar distance as obtained from the XRD analysis and the diameter of molecular model of Cu-2. These results may conclude that Cu-2 self-assembles in CHCl_3 solution to produce long one-dimensional stacks. The phenyl spacers in Cu-2 enhance the attracting force among molecules, and the enhanced attracting force induces the formation of nanoscopic fibers in solution. On the other hand, Cu-1 assembles short stacks in solution relative to Cu-2. The short stacks result in the slipped stacks in air-water interface and the dotted structures on mica.

2.3.4 OFET properties

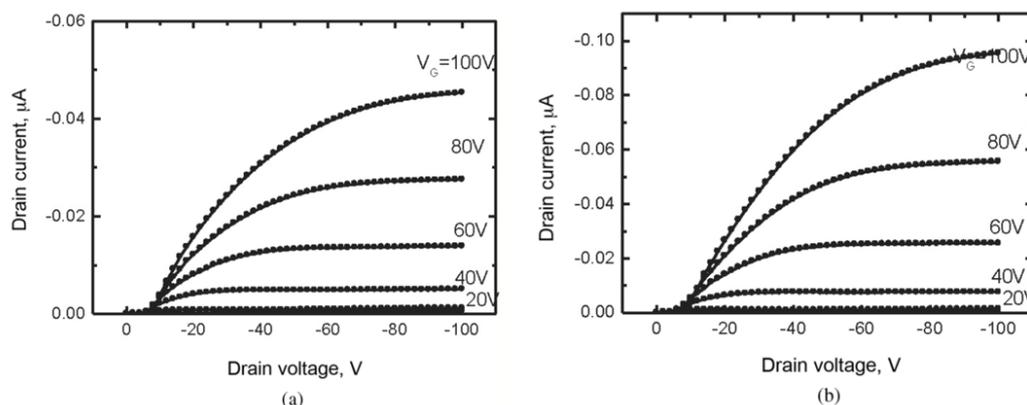


Fig. 7. Drain-source current vs. drain-source voltage characteristics for a) Cu-1 and b) Cu-2.

Solution-processed OFETs using Cu-1 and Cu-2 were fabricated using the top-contact device configuration. Thin films were deposited onto a Si/SiO₂ substrate with hydrophobic surface treatment using an insulating polymer by spin coating using 20 mg/mL solutions of Cu-1 or Cu-2 in CHCl₃ containing 1.0 wt% 1,3,5-trichlorobenzene at 1000 rpm for 40 sec. In AFM images, the obtained thin films appeared to be smooth and have a homogeneous surface with a surface roughness RMS of less than 0.3 nm in the region of 3 × 3 mm. Gold source and drain electrodes with 70 nm thickness were vapor-deposited on top of the thin films. The channel length and the width of the source and drain electrodes were 50 mm and 5.5 mm, respectively. FET characteristics were measured in a nitrogen glove box system. Both the Cu-1 and Cu-2 devices showed typical p-channel FET responses (Fig. 7). The field-effect mobilities measured in the saturation regime were $1.06 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for Cu-1 and $2.37 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for Cu-2 with $I_{\text{on}}/I_{\text{off}}$ of 10^2 – 10^3 . The device performance is lower than the reported values for phthalocyanine-based OFETs.^{19, 32-38} The XRD patterns of spin-coated films on the Si/SiO₂ substrate revealed broad reflection peaks, indicating their low crystallinity. The low crystallinity of thin films results in low performance of OFETs.

2.3.5 Electrochemical polymerization

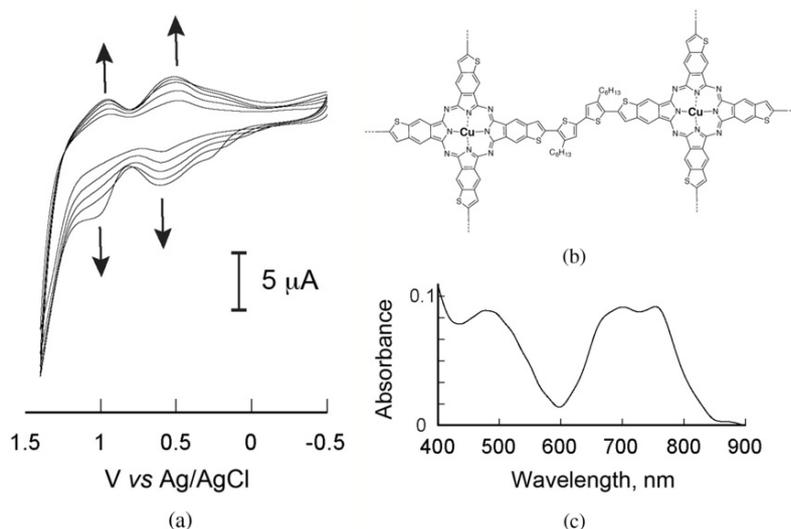


Fig. 8. a) Repeated CV cycles of Cu-4 in CH_2Cl_2 containing 0.1 M Bu_4NPF_6 (scan rate: 100 mV s^{-1}). b) Network structure of electropolymerized Cu-4. c) Absorption spectrum of electropolymerized Cu-4 deposited onto an ITO electrode.

The terminal α -positions in Cu-4 are available for electrochemical polymerization to produce cross-linked films. Electrochemical polymerization provides a convenient way for redox-active monomers to deposit a polymer film directly onto the surface of electrodes.³⁹⁻⁴¹ The electrochemical polymerization of Cu-4 was performed in a three-electrode cell using platinum as a counter electrode, Ag/AgCl as a reference electrode, and ITO-coated glass substrate as a working electrode. Cu-4 was electrodeposited as film using 0.1 M concentration of CH_2Cl_2 containing 0.1 M Bu_4NPF_6 as a supporting electrolyte and scanning between -0.5 and 1.4 V at a scan rate of 50 mV/s. The polymer film of Cu-4 on an ITO electrode was prepared by five cycles of potential scans. Two reversible oxidation waves at 0.53 and 1.04 V vs. Ag/AgCl were observed (Fig. 8a). The peak currents increased upon the repeated scans and a yellow-green film was deposited onto the surface of an ITO electrode. The resulting film on ITO is designated as polymerized Cu-4. Since

four thiophene terminals in Cu-4 could undergo the oxidative coupling reaction, the resulting polymers possess a three-dimensional network, where the thiophene-fused CuPcs are connected with bithiophene segments in the network polymer. The polymerized films were rinsed with CH₂Cl₂ to remove any residual soluble species (Fig. 8b). The rinsed films exhibited two oxidation waves at the same positions as observed in the electrochemical polymerization of Cu-4, and less than 5% loss of electroactivity after 50 repeated scans between 0 and 1.4 V in monomer-free electrolyte solution. The absorption spectrum of polymerized Cu-4 is almost the same as that of Cu-4 in solution, suggesting the stacking of CuPcs within the three-dimensional network (Fig. 8c).⁴²⁻⁴⁴

2.4 Conclusion

In summary, the author has synthesized thiophene-fused phthalocyanines 1–4 through the ring closing reaction from the *o*-chloroethynylbenzene substructures. The self-organizing properties of thiophene-fused phthalocyanines were investigated in solution, at air-water interface, and in the solid state. The attachment of *n*-hexylphenyl units at α -positions of the fused thiophene rings creates the network structure of nanoscopic fibrous assemblies with excellent long-range stacking through the intermacrocycle interaction with the additional interaction between peripheral phenyl spacers. Cu-1 and Cu-2 acted as a soluble p-channel organic semiconductor, but the field-effect mobility was not high due to the low crystallinity in the solid state. Cu-4 possessing thiophene spacers was polymerized into the electrochemically active films onto an electrode by the connection of four thiophene terminals through the oxidative coupling reaction. Further structural modifications of thiophene-fused phthalocyanines to control molecular ordering in the solid phase are now underway in our group.

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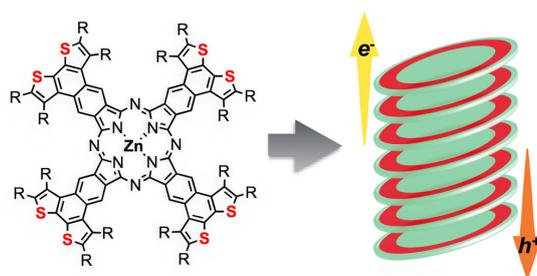
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Chapter 2

Chapter 3

Topological control of columnar stacking made of liquid-crystalline thiophene-fused metallonaphthalocyanines



Abstract

Two structural regioisomers of thiophene-fused zinc naphthalocyanines **ZnTNC_{endo}** and **ZnTNC_{exo}** have been designed and synthesized to obtain photo- and electroactive liquid crystalline materials. Both compounds exhibited liquid crystalline behavior over a wide temperature range through intermolecular π - π interactions and local phase segregation between the aromatic cores and peripheral side chains. The structural differences between **ZnTNC_{endo}** and **ZnTNC_{exo}** affected the stacking mode in self-assembled columns as well as symmetry of the two-dimensional rectangular columnar lattice. The columnar structure in liquid crystalline phase exhibited an ambipolar charge-transport behavior. The enlargement of the π -conjugated core and the control of self-organized nanostructures enhanced the carrier mobilities.

3.1 Introduction

Spontaneous organization of two-dimensional polyaromatic molecules into well-defined nanostructures through non-covalent interactions has been intensively explored, to realize organic-based electronic and optoelectronic devices.¹⁻³ The decoration of polyaromatic cores with flexible alkyl chains results in the formation of liquid-crystalline phases, which correspond to one-dimensional columnar stacks of polyaromatic molecules driven by the intermolecular π - π interactions and the local phase segregation between the flat rigid aromatic cores and peripheral side chains.^{4,5} The intermolecular π - π overlap among polyaromatic molecules within the columns provides a transport pathway for charge or energy along the columnar axis. The charge carrier mobilities and semiconductivities can be tailored by designing polyaromatic cores and controlling the self-organization of molecules in the bulk.

Metallophthalocyanines (MPcs) and their analogues have been widely used as a molecular material in applications from organic pigments to semiconductors for electronic devices because of their unique optical properties and thermal stability.^{6,7} Since the first report of liquid crystalline Pc by Piechocki and Simon⁸, liquid crystalline MPcs have been modified to control the self-organized nanostructures, and applied as molecular components in organic thin-film transistors (OTFT) and solar photovoltaics (OPV).^{9,10} The optical and electronic properties of MPcs strongly depend on the size of the π -system.¹¹ The expansion of the phthalocyanine π -system has been achieved by condensation of fused polyaromatic precursors.¹²⁻¹⁸ The size and geometry of the annulated aromatic residues on the tetraazaporphyrin core affect the position of the Q band, as well as the HOMO and LUMO energy levels. Moreover, the expansion of the π -system enhances the tendency for π -stacking. Although various ring-expanded MPcs with alkyl chains have been synthesized and their self-assembly properties in solution or on substrates investigated, there have been few reports on the spontaneous organization of liquid

crystalline ring-expanded MPcs into nanostructures.¹⁹⁻²²

In this chapter, the author reports the syntheses of two isomeric, thiophene-fused zincnaphthalocyanines (Nc), **ZnTNc_{endo}** and **ZnTNc_{exo}** decorated with sixteen dodecyl chains, and their self-organization properties. Thiophene-fused polyaromatic molecules display excellent performance in OTFT and OPV, due to the formation of three-dimensional molecular stacks through sulfur-sulfur contact between thiophene segments.^{23,24} The author hoped to control the organized structure of ring-expanded MPcs and enhance the charge mobilities by fusing with thiophene rings at the peripheral positions of the Nc ring. The physical properties and self-organized structures in the liquid crystalline phase, and the carrier mobilities of the two isomers were compared with each other.

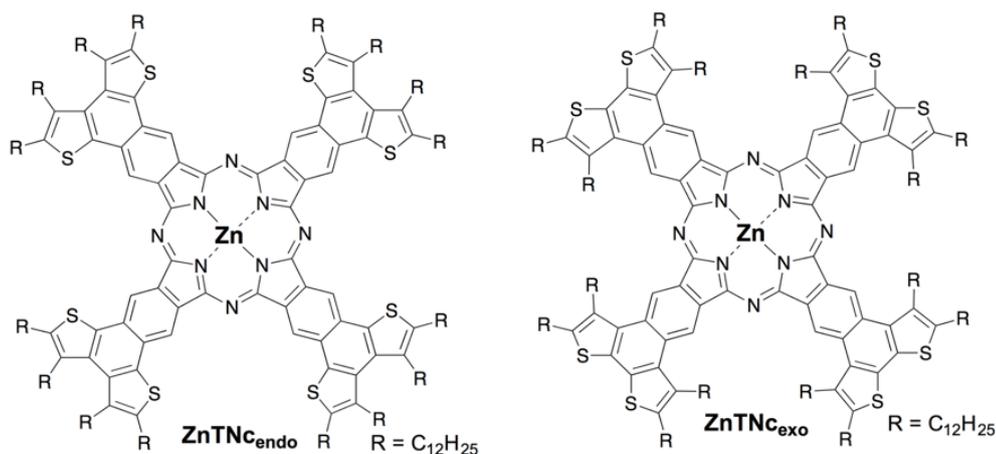


Chart. ZnTNc_{endo} and ZnTNc_{exo}.

3.2 Experimental section

3.2.1 General procedures

NMR spectra were recorded on a Bruker AVANCE 400 FT NMR spectrometer at 399.65 MHz and 100.62 MHz for ^1H and ^{13}C in CDCl_3 solution. Chemical shifts are reported relative to internal TMS. IR spectra were obtained on a SHIMAZU IR Prestige-21 with DuraSample IR II. Absorption spectra were measured on a SHIMAZU UV-2600. MALDI-TOF mass spectra were obtained on a Bruker Autoflex spectrometer with dithranol as matrix.

All chemicals were purchased from commercial suppliers and used without further purification. 2-Bromo-4,5-didodecylthiophene was synthesized according to the literature method.²⁶ Column chromatography was performed with activated alumina (Wako, 200mesh) or silica gel (Wakogel C-200). Recycling preparative gel permeation chromatography was carried out by a JAI recycling preparative HPLC using CHCl_3 as an eluent. Analytical thin layer chromatography was performed with commercial Merck plates coated with silica gel 60 F₂₅₄ or aluminum oxide 60 F₂₅₄.

3.2.2 Syntheses

Replacement of substitution position^{26,27}

3-Bromo-4, 5-didodecylthiophene **1**: To a solution of diisopropylamine (1.43 mL, 10.2 mmol) in THF (60 mL) was slowly added *n*-butyllithium (1.6 M in hexanes, 4.5 mL, 7.20 mmol), and the reaction kept for 30 min at 0 °C under an Ar atmosphere. A solution of 2-bromo-4,5-didodecylthiophene (3.0 g, 6.00 mmol) in THF (15 mL) was added, and the mixture stirred for 12 h at room temperature, then the reaction mixture poured into water and extracted with *n*-hexane. The organic

layer was washed with saturated aqueous NH_4Cl and water, and dried over Na_2SO_4 . The residue was purified by column chromatography on silica gel by eluting with *n*-hexane, to give **1** as a colorless liquid (2.41 g, 80%). ^1H NMR (CDCl_3 , 400.13 MHz, ppm): δ 6.95 (1H, s, ArH), 2.72 (2H, t, $J = 7.2$ Hz, $-\text{CH}_2-$), 2.53 (2H, t, $J = 7.6$ Hz, $-\text{CH}_2-$), 1.57-1.65 (2H, m, $-\text{CH}_2-$), 1.45-1.52 (2H, m, $-\text{CH}_2-$), 1.26-1.32 (36H, m, $-\text{CH}_2-$), 0.88 (6H, t, $J = 6.4$ Hz, $-\text{CH}_3$). ^{13}C NMR (CDCl_3 , 100.61 MHz, ppm): δ 140.2, 136.7, 119.1, 112.9, 32.5, 32.1, 30.5, 30.3, 30.2, 30.1, 30.0, 29.9, 29.4, 28.4, 23.3, 14.6.

Attachment of the borate ester

2-(4,4,5,5-Tetramethyl-1,3,2-dioxaborolan-2-yl)-4,5-didodecylthiophene (**2**)²⁸: To a solution of 2-bromo-4,5-didodecylthiophene (4.0 g, 8.01 mmol) in THF (100 mL) was added dropwise *n*-butyllithium (1.6 M in hexanes, 6.0 mL, 9.61 mmol) at -78 °C under an Ar atmosphere, and the mixture stirred for 1 h at the same temperature under an Ar atmosphere. To this reaction mixture was slowly added 2-isopropoxy-4,4,5,5-tetramethyl-1,3,2-dioxaborolane (1.79 g, 9.62 mmol), and the reaction mixture stirred overnight at room temperature. The reaction was quenched by adding a small amount of methanol, and ether added to the mixture. The organic layer was washed with brine, water, dried over Na_2SO_4 , and the solvent evaporated. The residue was purified by column chromatography on silica gel by eluting with ethyl acetate/*n*-hexane (1:10 v/v), to give **2** as a colorless liquid (3.17 g, 72%). ^1H NMR (CDCl_3 , 400.13 MHz, ppm): δ 7.37 (1H, s, ArH), 2.73 (2H, t, $J = 7.2$ Hz, $-\text{CH}_2-$), 2.49 (2H, t, $J = 7.6$ Hz, $-\text{CH}_2-$), 1.54-1.66 (4H, m, $-\text{CH}_2-$), 1.26-1.41 (36H, m, $-\text{CH}_2-$), 1.23 (12H, s, $-\text{CH}_3$), 0.88 (6H, t, $J = 6.4$ Hz, $-\text{CH}_3$). ^{13}C NMR (CDCl_3 , 100.61 MHz, ppm): δ 147.5, 140.0, 139.6, 84.1, 32.4, 32.1, 31.2, 30.2, 30.1, 30.0, 29.9, 29.8, 29.7, 28.6, 28.5, 25.2, 25.1, 23.2, 14.5.

3-(4,4,5,5-Tetramethyl-1,3,2-dioxaborolan-2-yl)-4,5-didodecylthiophene (**3**)^{29,30}: To a solution of **1** (1.0 g, 2.00 mmol) in 1,4-dioxane (10 mL) were added bis(pinacolato)diboron (0.762 g, 3.00 mmol), [1,1'-bis(diphenylphosphino)

-ferrocene]dichloropalladium(II), complex with dichloromethane [$\text{Pd}(\text{dppf})\text{Cl}_2 \cdot \text{CH}_2\text{Cl}_2$] (0.163 g, 0.200 mmol), and KOAc (0.589 g, 6.00 mmol). The reaction mixture was stirred at 80 °C for 6 h under an Ar atmosphere, then the reaction quenched by adding water, and extracted with ether. The organic layer was washed with brine and water, dried over Na_2SO_4 , and the solvent evaporated. The residue was purified by column chromatography on silica gel by eluting with ethyl acetate/*n*-hexane (1:3 v/v), to give **3** as a colorless liquid (0.480 g, 44%). ^1H NMR (CDCl_3 , 400.13 MHz, ppm): δ 7.65 (1H, s, ArH), 2.69-2.74 (4H, m, $-\text{CH}_2-$), 1.58-1.65 (2H, m, $-\text{CH}_2-$), 1.42-1.50 (2H, m, $-\text{CH}_2-$), 1.29 (12H, s, $-\text{CH}_3$), 1.27-1.37 (36H, m, $-\text{CH}_2-$), 0.88 (6H, t, $J = 6.4$ Hz, $-\text{CH}_3$). ^{13}C NMR (CDCl_3 , 100.61 MHz, ppm): δ 143.3, 140.0, 134.3, 83.4, 32.6, 32.4, 32.1, 30.3, 30.2, 30.1, 29.9, 29.8, 28.5, 28.5, 28.1, 25.2, 23.2, 14.6.

Suzuki-Miyaura Coupling Reaction³¹

Compound **4**: To a solution of 4,5-dichlorophthalonitrile (90.1 mg, 0.457 mmol) and **2** (1.00 g, 1.83 mmol) in a mixed solvent of toluene (2.0 mL), 1,2-dimethoxyethane (2.0 mL) and H_2O (1.0 mL) were added $\text{Pd}(\text{OAc})_2$ (1.03 mg, 4.59 μmol), 2-dicyclohexylphosphino-2',6'-dimethoxybiphenyl (S-Phos) (3.75 mg, 9.13 μmol) and K_3PO_4 (0.397 g, 1.83 mmol), and the reaction mixture stirred at 85 °C for 12 h under an Ar atmosphere. After cooling to room temperature, the reaction mixture was poured into water, and extracted with CH_2Cl_2 . The organic layer was dried over MgSO_4 and the solvent evaporated. The residue was purified by column chromatography on silica gel by eluting with CH_2Cl_2 /*n*-hexane (1/1 v/v) and recycling preparative HPLC, to give **4** as a pale yellow oily liquid (0.269 g, 61%). ^1H NMR (CDCl_3 , 400.13 MHz, ppm): δ 7.81 (2H, s, ArH), 6.72 (2H, s, ArH), 2.69 (4H, t, $J = 7.6$ Hz, $-\text{CH}_2-$), 2.43 (4H, t, $J = 7.2$ Hz, $-\text{CH}_2-$), 1.55-1.63 (4H, m, $-\text{CH}_2-$), 1.44-1.49 (4H, m, $-\text{CH}_2-$), 1.26-1.33 (72H, m, $-\text{CH}_2-$), 0.88 (12H, t, $J = 6.8$ Hz, $-\text{CH}_3$). ^{13}C NMR (CDCl_3 , 100.61 MHz, ppm): δ 143.6, 139.4, 139.3, 135.5, 134.2, 131.2, 115.8, 113.6, 32.6, 32.2, 31.2, 30.2, 30.1, 30.0, 29.9, 29.8, 29.7,

28.5, 28.3, 23.1, 14.5.

Compound 5: Compound **5** was synthesized from 4,5-dichlorophthalonitrile (66.1 mg, 0.335 mmol) and **3** (0.737 g, 1.35 mmol) by the same procedure as for **4**. Yield 67% (yellow oily liquid). ^1H NMR (CDCl_3 , 400.13 MHz, ppm): δ 7.75 (2H, s, ArH), 6.68 (2H, s, ArH), 2.67 (4H, t, $J = 7.6$ Hz, $-\text{CH}_2-$), 2.18 (4H, t, $J = 6.4$ Hz, $-\text{CH}_2-$), 1.58 (4H, t, $J = 7.2$ Hz, $-\text{CH}_2-$), 1.26-1.37 (76H, m, $-\text{CH}_2-$), 0.879 (12H, t, $J = 7.2$ Hz, $-\text{CH}_3$). ^{13}C NMR (CDCl_3 , 100.61 MHz, ppm): δ 143.4, 141.5, 138.4, 136.1, 135.6, 122.6, 115.8, 114.1, 32.4, 32.2, 31.0, 30.1, 30.0, 29.9, 29.8, 29.7, 29.6, 29.4, 28.7, 27.3, 23.1, 21.7, 14.5.

FeCl₃-mediated Oxidative Cyclization^{26,27}

Compound 6: To a CH_2Cl_2 solution (50 mL) of **4** (0.269 g, 0.307 mmol) was added a CH_3NO_2 solution (10 mL) of FeCl_3 (0.226 g, 1.39 mmol) at 0 °C under an Ar atmosphere, and the resulting solution stirred at room temperature for 30 min. The reaction was quenched by adding methanol (25 mL), and an organic extract washed with brine and saturated aqueous NH_4Cl , dried over Na_2SO_4 , and the solvent evaporated. The residue was purified by column chromatography on silica gel by eluting with $\text{CH}_2\text{Cl}_2/n$ -hexane (1/1 v/v) and recrystallization from acetone, to give **6** as a pale yellow solid (0.193 g, 72%). ^1H NMR (CDCl_3 , 400.13 MHz, ppm): δ 8.42 (2H, s, ArH), 2.97-3.05 (8H, m, $-\text{CH}_2-$), 1.75-1.82 (4H, m, $-\text{CH}_2-$), 1.17-1.46 (76H, m, $-\text{CH}_2-$), 0.85-0.89 (12H, m, $-\text{CH}_3$). ^{13}C NMR (CDCl_3 , 100.61 MHz, ppm): δ 144.5, 137.5, 136.2, 133.8, 130.8, 127.7, 116.6, 109.6, 32.4, 32.0, 30.4, 30.2, 30.1, 30.0, 29.9, 29.8, 29.7, 23.1, 14.5. Melting point (Mp): 53 °C. IR (ATR): 2228 cm^{-1} (νCN). MALDI-TOF Ms (dithranol): m/z 962.08 (M+H, 100%), Calcd. for $\text{C}_{64}\text{H}_{102}\text{N}_2\text{S}_2$: m/z 962.75. UV-Vis (in CH_2Cl_2): λ ($\log \epsilon / \text{M}^{-1} \text{cm}^{-1}$) = 336 (4.76) nm.

Compound 7: Compound **7** was synthesized from **5** (0.216 g, 0.224 mmol) and FeCl_3 (0.181 g, 1.12 mmol) by the same procedure as for **6**. Yield 86% (0.185 g). ^1H NMR (CDCl_3 , 400.13 MHz, ppm): δ 8.78 (2H, s, ArH), 3.03 (4H, t, $J = 6.8$ Hz,

-CH₂-), 2.93 (4H, t, $J = 7.6$ Hz, -CH₂-), 1.73-1.81 (4H, m, -CH₂-), 1.26-1.67 (76H, m, -CH₂-), 0.878 (12H, t, $J = 7.2$ Hz, -CH₃). ¹³C NMR (CDCl₃, 100.61 MHz, ppm): δ 141.6, 136.2, 134.6, 131.0, 130.5, 129.6, 116.9, 108.6, 32.4, 30.1, 30.0, 29.9, 29.8, 29.7, 29.4, 29.2, 23.1, 14.5. Mp: 57 °C. IR (ATR): 2228 cm⁻¹ (vCN). MALDI-TOF Ms (dithranol): m/z 962.28 (M+H, 100%), Calcd. for C₆₄H₁₀₂N₂S₂: m/z 962.75. UV-Vis (in CH₂Cl₂): λ (log $\epsilon / M^{-1} \text{ cm}^{-1}$) = 285 (4.80), 317 (4.67), 395 (4.03) nm.

Teteracyclization of 6 and 7^{32,33}

ZnTNc_{endo}. A mixture of **6** (100.0 mg, 0.104 mmol), 1,8-diazabicyclo[5.4.0]-7-undecene (DBU) (15.5 μ L, 0.104 mmol), Zn(OAc)₂ (4.76 mg, 25.9 μ mol) in 1-pentanol (1.0 mL) was stirred at 140 °C for 12h under an Ar atmosphere. After cooling to room temperature, the reaction mixture was poured into water and extracted with CH₂Cl₂. The organic layer was washed with water, dried over Na₂SO₄, and the solvent evaporated. The residue was purified by column chromatography on activated alumina by eluting with *n*-hexane and recycling preparative HPLC, to give **ZnTNc_{endo}** (51.8 mg, 51%). ¹H NMR (CDCl₃, 400.13 MHz, ppm): δ 9.33 (8H, brs, ArH), 2.79-3.53 (32H, br, -CH₂-), 1.28-2.09 (320H, br, -CH₂-), 0.82-0.89 (48H, br, -CH₃). MALDI-TOF Ms (dithranol): m/z 3914.91 (M+H, 100%), Calcd. for C₂₅₆H₄₀₈N₈S₈Zn: m/z 3914.92. UV-Vis-NIR (in THF): λ (log $\epsilon / M^{-1} \text{ cm}^{-1}$) = 363 (5.13), 452 (4.83), 692 (4.84), 740 (4.83), 775 (5.57) nm.

ZnTNc_{exo}: **ZnTNc_{exo}** was synthesized from **7** (80 mg, 83.0 μ mol), DBU (12.4 μ L, 20.8 μ mol) and Zn(OAc)₂ (3.81 mg, 83.0 μ mol) by the same procedure as for **ZnTNc_{endo}**. Yield 50% (41.0 mg). ¹H NMR (CDCl₃, 400.13 MHz, ppm): δ 10.86 (8H, brs, ArH), 2.51-4.41 (32H, br, -CH₂-), 0.48-2.34 (368H, br, -CH₂-, -CH₃). MALDI-TOF Ms (dithranol): m/z 3914.44 (M+H, 100%), Calcd. for C₂₅₆H₄₀₈N₈S₈Zn: m/z 3914.92. UV-Vis-NIR (in THF): λ (log $\epsilon / M^{-1} \text{ cm}^{-1}$) = 353 (5.24), 475 (4.26), 692 (4.85), 739 (4.81), 778 (5.55) nm.

3.2.3 Time-of-flight measurements^{34,35}

Measurement cells for TOF were composed of two Indium tin oxide (ITO) patterned glass substrates and a silicon spacer containing silica beads with 15 μm diameter. The effective area of the cells was 0.25 cm^2 . Powdered samples of **ZnTnc_{endo}** or **ZnTnc_{exo}** (ca. 5mg) were put on the bottom ITO substrate, and the cells placed in a vacuum oven. After evacuation, the oven was filled with argon, and heated at 210 or 260 $^{\circ}\text{C}$ for **ZnTnc_{exo}** and **ZnTnc_{endo}**, respectively. The area between the two ITO electrodes was filled with melted **ZnTnc_{exo}** or **ZnTnc_{endo}** by injection from the cell slit through capillary action, and the cell was then cooled to room temperature at 1 $^{\circ}\text{C min}^{-1}$ inside the oven, to achieve the formation of large-area domains. The transient photocurrents were detected using a digital oscilloscope after light irradiation with a 377 nm N_2 -pulse laser having a pulse width of 800 ps under various electric fields. An electric field was created in the cell using a DC power supply. The temperature of the cells was controlled within 0.2 K using a temperature controller and a hot stage. Carrier (hole or electron) mobility μ was calculated from the following equation, $\mu = d^2 / (V t_t)$ where d is the sample thickness, V is the applied bias, and t_t is the transit time of the photo-generated carriers traversing the sample layer. The t_t values of the photo-generated carriers in the material were determined from an inflection point on the double logarithmic plot of the transient photocurrent decay curves.

3.3 Results and Discussion

3.3.1 Syntheses of thiophene-fused phthalonitriles

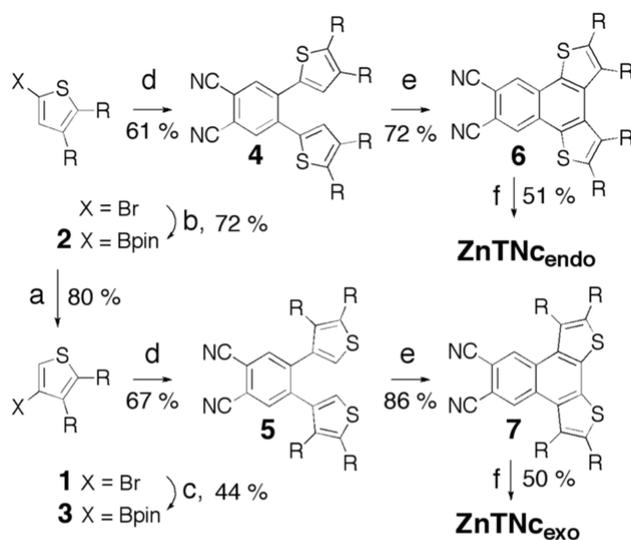
Thiophene-fused ZnNc derivatives were synthesized from 4,5-dithienylphthalonitrile by Yan et al., and they studied their aggregation behavior in solution.³⁶ In contrast, when the author synthesized a thiophene-fused ZnNc decorated with eight alkyl chains according to this synthetic route, the resulting ZnNc did not show thermotropic liquid crystalline behavior. This suggests that the introduction of eight alkyl chains is insufficient for forming liquid crystalline phases for the large thiophene-fused ZnNc core. Thus, the author designed and synthesized two isomers **ZnTNC_{endo}** and **ZnTNC_{exo}** possessing sixteen dodecyl chains, in which flexible dodecyl chains were attached to the two positions of the peripheral thiophene rings.

Regioisomers of thiophene-fused phthalonitriles **6** and **7** were synthesized from 2-bromo-4,5-didodecylthiophene as a starting material (Scheme 1). 2-Bromo-4,5-didodecylthiophene was prepared from 3-bromothiophene according to the procedure reported by Kuo et al.²⁶ Compound **2** was synthesized from 2-bromo-4,5-didodecylthiophene by the selective lithiation at the α -hydrogen of the thiophene ring using *n*-butyllithium, followed by reaction with 2-isopropoxy-4,4,5,5-tetramethyl-1,3,2-dioxaborolane.²⁸ The other isomer **3** was prepared in two synthetic steps: isomerization of 2-bromo-4,5-didodecylthiophene with lithium diisopropylamide (LDA),^{26,27} and the palladium-catalyzed Miyaura borylation reaction with bis(pinacolato)diboron.^{29,30} Phthalonitrile precursors **4** and **5** were synthesized by the Suzuki-Miyaura coupling reaction of 4,5-dichlorophthalonitrile with **2** or **3** in the presence of a palladium catalyst using S-Phos ligand.³¹ The two thiophenes in **4** and **5** were fused by FeCl₃-mediated oxidative cyclization.^{26,27} Phthalonitriles **6** and **7**, in which two thiophenes are annealed at different positions, were isolated as yellow solids, and unambiguously

characterized by NMR spectroscopy, FT-IR, and MALDI-TOF mass spectrometry.

Scheme 1. Syntheses of $\text{ZnTnc}_{\text{endo}}$ and $\text{ZnTnc}_{\text{exo}}$ ^a

$R = n\text{-C}_{12}\text{H}_{25}$



^a Reagents and conditions: (a) LDA, THF, RT; (b) (i) 1.6 M *n*-BuLi, THF, -78 °C; (ii) 2-isopropoxy-4,4,5,5-tetramethyl-1,3,2-dioxaborolane, RT; (c) bis(pinacolato)diboron, Pd(dppf)Cl₂ · CH₂Cl₂, KOAc, 1,4-dioxane, 80 °C; (d) 4,5-dichlorophthalonitrile, Pd(OAc)₂, S-Phos, K₃PO₄, Toluene, DME, H₂O, 85 °C; (e) FeCl₃, CH₃NO₂, DCM, 0 °C; (f) Zn(OAc)₂, DBU, 1-pentanol, 140 °C.

3.3.2 Optical properties of precursor phthalonitriles

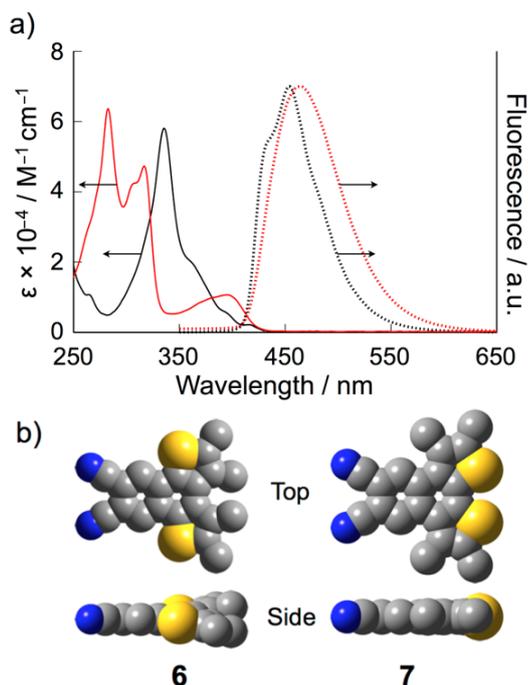


Fig. 1. a) Absorption and fluorescence spectra of **6** (black line) and **7** (red line) in CH_2Cl_2 . b) Optimized structures of **6** and **7** (methyl-substituted analogues) obtained by DFT at the B3LYP/6-31G(d) level.

Fig. 1a shows the absorption and fluorescence spectra of **6** and **7** in CH_2Cl_2 . The shapes of the absorption spectra of **6** and **7** were different, and the emission maximum of **7** was red-shifted relative to that of **6**. Density functional theory (DFT) calculations were conducted for **6** and **7**, in order to gain insight into the equilibrium geometries and electronic structures of the frontier orbitals of the compounds. The transitions calculated by time-dependent (TD) DFT agreed well with the experimental absorption spectra of **6** and **7** (Fig. 2). While **7** has a planar geometry, the other isomer **6** shows a less planar structure as shown in Fig. 1b. The steric hindrance arising from the methyl units of two different thiophenes in **7** induces strain in the thiophene-fused phthalonitrile. The differences in the absorption and fluorescence spectra of **6** and **7** can be explained by a change in

conjugation caused by the strain of the aromatic rings.³⁷

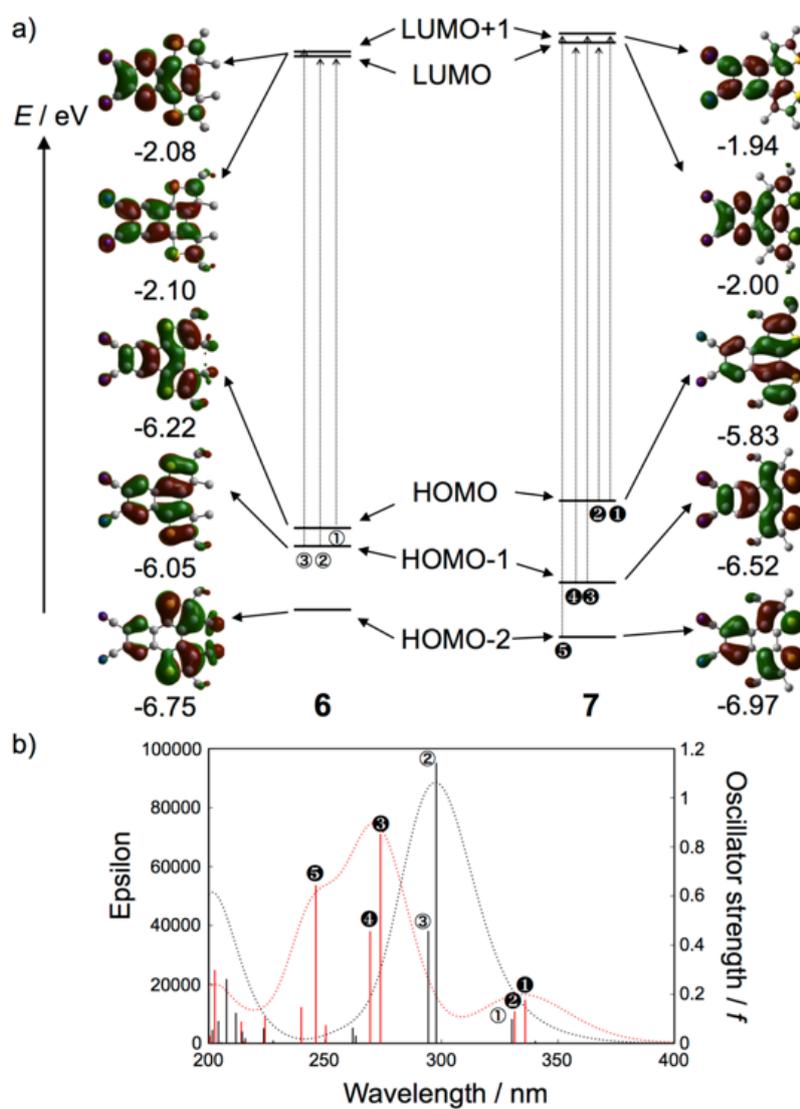


Fig. 2. a) Molecular orbitals and energy diagrams of **6** and **7** (methyl-substituted analogues) obtained by DFT at the B3LYP/6-31G(d) level, respectively. b) Simulated absorption spectra and oscillator strength of **6** (black) and **7** (red) obtained by TD-DFT at the CAM-B3LYP/6-31G(d) level.

3.3.3 Syntheses and optical-electrochemical properties of thiophene-fused zinc naphthalocyanines

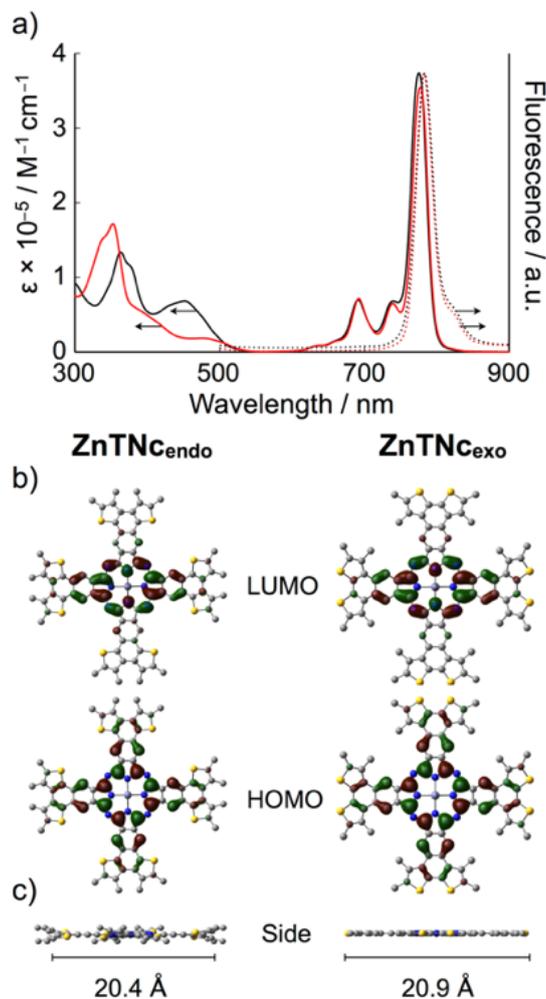


Fig. 3. a) Absorption and fluorescence spectra of $\text{ZnTNC}_{\text{endo}}$ (black line) and $\text{ZnTNC}_{\text{exo}}$ (red line) in THF. b), c) HOMO/LUMO orbitals and optimized structures of $\text{ZnTNC}_{\text{endo}}$ and $\text{ZnTNC}_{\text{exo}}$ (methyl-substituted analogues) obtained by DFT at the B3LYP/6-31G(d) level.

The syntheses of $\text{ZnTNC}_{\text{endo}}$ and $\text{ZnTNC}_{\text{exo}}$ decorated with sixteen alkyl chains were achieved by heating **6** or **7** with DBU and zinc acetate in *n*-pentanol.^{32,33} Both complexes were characterized by NMR spectroscopy and MALDI-TOF mass

spectrometry, and were found to be highly soluble in many organic solvents except for acetone and alcohols. Phthalocyanine analogues exhibit a strong absorption band (Q band) in the near IR region, and the Q band position can be shifted to longer wavelength with increasing size of the π -system.¹¹ However, **ZnTNC_{endo}** and **ZnTNC_{exo}** showed sharp Q bands at 775 and 778 nm in THF (Fig. 3a and Table 1), and the positions of the Q band in **ZnTNC_{endo}** and **ZnTNC_{exo}** are equal to that of zinc(II) tetra(*tert*-butyl)naphthalocyanine (Zn(*t*-Bu)Nc) lacking fused thiophene rings ($\lambda_{\text{max}} = 778 \text{ nm}$).³⁸ The fluorescence spectra of **ZnTNC_{endo}** and **ZnTNC_{exo}** have a peak at 783 nm with a near mirror image of the Q band and a very small Stoke-shift. The optical HOMO-LUMO band-gaps (E_g) for **ZnTNC_{endo}** and **ZnTNC_{exo}** were determined to be 1.6 eV from the crossing point of the normalized absorption and fluorescence spectra. The electron density distributions of the HOMO and LUMO calculated by DFT are mainly populated over the Nc skeleton within **ZnTNC_{endo}** and **ZnTNC_{exo}** (Fig. 3b and 4). While the Q band position of phthalocyanine can be shifted to the near-IR by linear annulation, to form Nc and further to anthracocyanine, the angular annulation does not result in a red-shift of the Q band.^{11,39} The fusing of thiophene rings at the angular positions of Nc in **ZnTNC_{endo}** and **ZnTNC_{exo}** does not effect a shift of the Q band as well as the change of E_g .

According to the equilibrium geometries calculated by DFT (Fig. 3c), the peripheral two thiophene rings in **ZnTNC_{endo}** are twisted, due to the steric repulsion of neighboring alkyl groups. The molecular dimensions of **ZnTNC_{endo}** and **ZnTNC_{exo}** were estimated from the analysis of the surface pressure versus area (π -A) isotherm on pure water as the subphase (Fig. 5).⁴⁰ The limiting surface areas per molecule of **ZnTNC_{endo}** and **ZnTNC_{exo}** were estimated to be 1.47 and 1.10 nm², determined by extrapolating the slope of the π -A isotherm in the liquid-condensed region to zero pressure. The observed area of **ZnTNC_{endo}** was larger than that of **ZnTNC_{exo}**, suggesting a larger stacking distance between the thiophene-fused Nc

rings within the edge-on stacks on water by distortion of the structure from planarity in $\text{ZnTNC}_{\text{endo}}$.

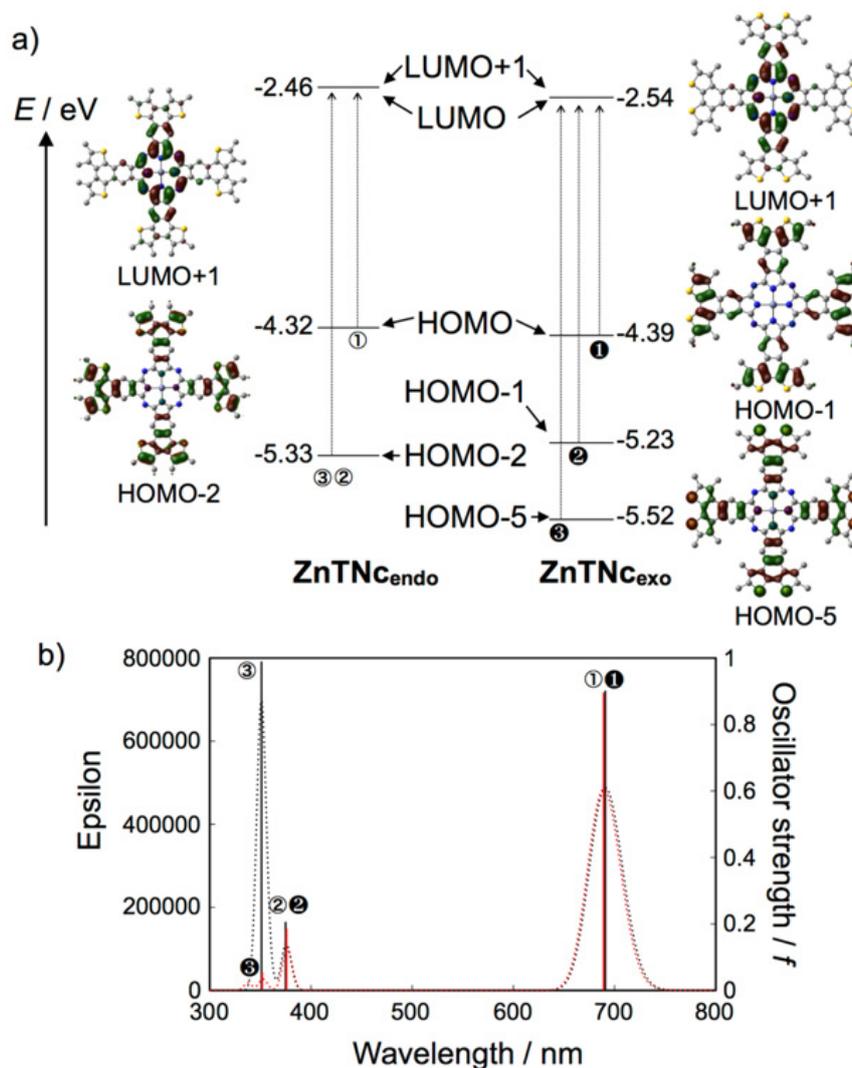


Fig. 4. a) Molecular orbitals and energy diagrams of $\text{ZnTNC}_{\text{endo}}$ and $\text{ZnTNC}_{\text{exo}}$ (methyl-substituted analogues) obtained by DFT at the B3LYP/6-31G(d) level, respectively. b) Simulated absorption spectra and oscillator strength of $\text{ZnTNC}_{\text{endo}}$ (black) and $\text{ZnTNC}_{\text{exo}}$ (red) obtained by TD-DFT at the CAM-B3LYP/6-31G(d) level.

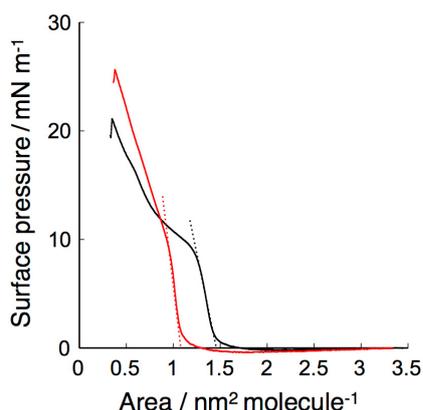


Fig. 5. Surface pressure vs. area per molecule isotherms for **ZnTNC_{endo}** (black line) and **ZnTNC_{exo}** (red line) on triply distilled water at 25 °C.

The HOMO energy levels of **ZnTNC_{endo}** and **ZnTNC_{exo}** were determined from the first oxidation potential (E_{ox}) by differential pulse voltammetry measurements in dry dichloromethane containing 0.1 M *n*-Bu₄NClO₄ as a supporting electrolyte. Both complexes exhibited one oxidation peak for the oxidation of the Nc ring (ZnNc(-1)/ZnNc(-2)) at -0.02 and -0.15 V vs. the ferrocene/ferrocenium couple (Fc/Fc^+), which were negative relative to the E_{ox} of Zn(*t*-Bu)Nc (Table 1).³⁸ The HOMO energy levels of **ZnTNC_{endo}** and **ZnTNC_{exo}** were estimated to be -4.8 and -4.7 eV from E_{ox} calibrated by the Fc/Fc^+ redox potential vs. vacuum. The HOMO and LUMO energy levels of **ZnTNC_{endo}** and **ZnTNC_{exo}** were lower than those of Zn(*t*-Bu)Nc, indicating that the fusing of thiophene rings with the Nc ring leads to the stabilization of HOMO and LUMO energy levels.³⁸

Table 1. Photochemical, Electrochemical and Thermal analysis data of **ZnTNC_{endo}** and **ZnTNC_{exo}**.

	λ_{abs}^a (nm)	$\epsilon_{\text{max}} \times 10^{-5}$ (M ⁻¹ cm ⁻¹)	λ_{fl}^a (nm)	$E_{\text{g}}^{\text{opt}b}$ (eV)	HOMO ^c (eV)	LUMO ^c (eV)	$T[\Delta H]^d$ (°C[kJmol ⁻¹])	T_{d}^e (°C)
ZnTNC_{endo}	775	3.72	783	1.6	-4.8	-3.2	-5.5[8], 257[6]	259
ZnTNC_{exo}	778	3.55	782	1.6	-4.7	-3.1	5.1[16], 204[12]	245

^a Measured in THF. ^b Estimated from cross point of normalized absorption and fluorescence spectra. ^c HOMO and LUMO energy levels were determined by HOMO = $-(4.8 + E_{\text{ox}})^{1/2}$ (vs. Fc/Fc^+) and LUMO = HOMO + $E_{\text{g}}^{\text{opt}}$. ^d Phase transition temperatures and their enthalpies determined by DSC. ^e Temperature of starting weight loss determined by TGA.

3.3.4 Liquid crystal properties

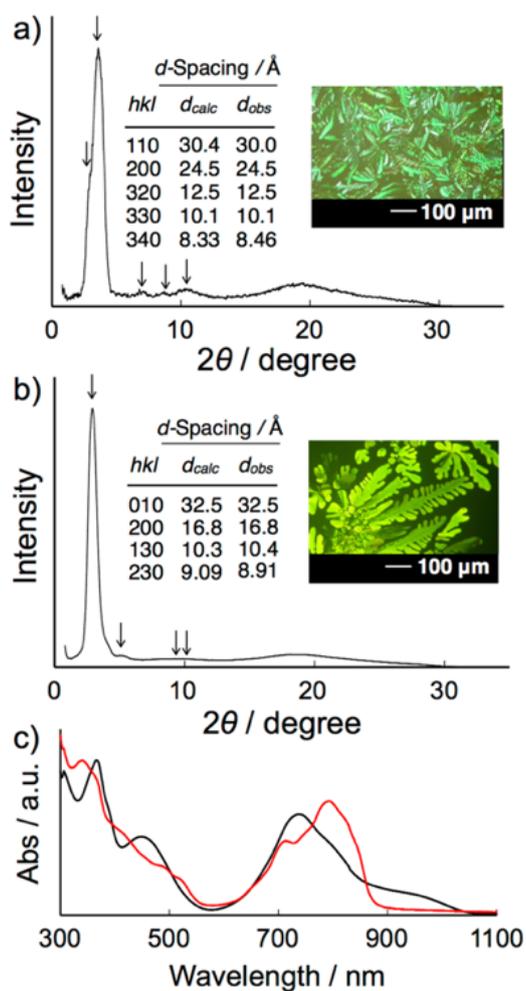


Fig. 6. X-ray diffraction patterns of a) ZnTNC_{exo} at 100 °C and b) ZnTNC_{endo} at 160 °C. The insets show crossed polarized optical micrographs of ZnTNC_{exo} at 190 °C and ZnTNC_{endo} at 240 °C, and d-spacing values for ZnTNC_{exo} and ZnTNC_{endo} . c) Absorption spectra of ZnTNC_{endo} (black line) and ZnTNC_{exo} (red line) in their liquid crystalline states on quartz plates.

The thermal phase transition behavior of ZnTNC_{endo} and ZnTNC_{exo} was investigated by a combination of differential scanning calorimetry (DSC) and temperature-controlled polarizing optical microscopy (TPOM). The DSC profile of ZnTNC_{exo} showed two reversible transitions at 5 and 204 °C upon heating (Table 1).

In thermogravimetric analysis (TGA) curves, **ZnTNc_{exo}** exhibited an onset of weight loss above 240 °C, suggesting that decomposition does not occur at the transition point, as observed in the DSC (Fig. 7).

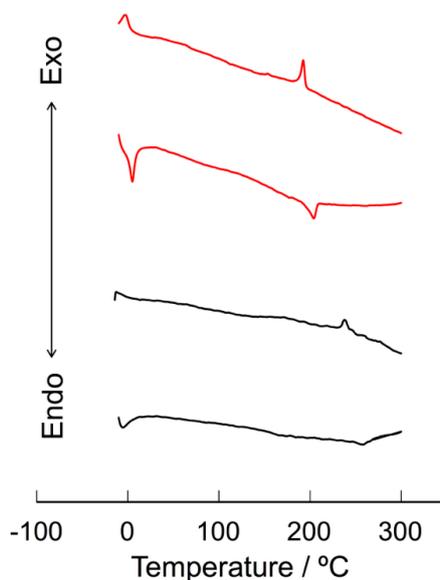


Fig. 7. DSC profiles for **ZnTNc_{endo}** (black line) and **ZnTNc_{exo}** (red line) at scan rate of 10 °C/min.

Under TPOM, a birefringent dendritic texture appeared at 190 °C on cooling from the isotropic melt, and remained without any change until room temperature (Fig. 6a). This texture is typical of columnar liquid crystalline materials.^{41,42} To confirm the self-organized structure of **ZnTNc_{exo}** in the liquid crystalline state, temperature-controlled X-ray diffraction (XRD) measurements were performed. The XRD profile of **ZnTNc_{exo}** at 100 °C revealed a set of diffraction peaks corresponding to a d spacing of 30.0, 24.5, 12.5, 10.1, and 8.46 Å, which were indexed in sequence as (100), (200), (320), (330), and (340) of a rectangular columnar (Col_r) lattice with lattice parameters of $a = 49.0$ and $b = 38.7$ Å, and with the number of molecules in the unit cell $Z = 2$. The rectangular lattice has a $P2_1/a$ symmetry, as shown in Fig. 8a. Moreover, the XRD profile in the wide angle

region showed only a broad halo around $d = 4.6 \text{ \AA}$, and did not exhibit reflections corresponding to the stacking periodicity in the columnar assemblies. While the other isomer **ZnTNc_{endo}** also exhibited a columnar liquid crystalline phase, the transition temperature of **ZnTNc_{endo}** from the liquid crystalline state to the isotropic melt was $53 \text{ }^\circ\text{C}$ higher than that of **ZnTNc_{exo}**. The texture of **ZnTNc_{endo}** in the liquid crystalline state under TPOM was also different from **ZnTNc_{exo}** (Fig. 6b). The XRD reflections of **ZnTNc_{endo}** were fitted to a two-dimensional Col_r lattice with $P2m$ symmetry (Fig. 8b).

Fig. 6c shows UV-Vis spectra of thin films of **ZnTNc_{exo}** and **ZnTNc_{endo}** in the liquid crystalline state on quartz plates. UV-Vis spectra provide information on the type of aggregated structures, such as face-to-face, edge-to-edge, and herringbone arrangements.^{43,44} The maximum of the Q band for the film of **ZnTNc_{endo}** in the liquid crystalline phase was found to be 737 nm , and the blue shift of the Q band compared to the solution spectrum indicates the formation of stacks having a face-to-face arrangement. On the other hand, the **ZnTNc_{exo}** film showed a red shift of the Q band position relative to the solution spectrum, suggesting that the aromatic planes in **ZnTNc_{endo}** were stacked in an eclipsed conformation within the one-dimensional stacks (Fig. 8a).

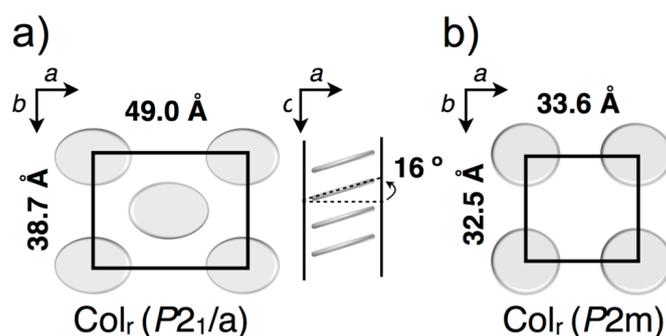


Fig. 8. Schematic illustrations of two-dimensional columnar packing symmetry and one-dimensional molecular stacking feature of a) **ZnTNc_{exo}** and b) **ZnTNc_{endo}**.

The tilted angle of the aromatic plane of **ZnTNc_{exo}** in the stacks was estimated to be 16 degrees from the horizontal axis, based on the lattice parameters in the XRD analysis and the molecular dimensions from the DFT calculations. Liquid crystalline Pc and benzoporphyrins substituted with alkyl chains at the lateral positions also showed an eclipsed conformation with a tilt angle to the columnar axis.⁴⁵⁻⁴⁷ The lateral alkyl chains located at the crowded bay areas of **ZnTNc_{exo}** reduce the strength of intermolecular interactions between the aromatic cores due to the steric repulsion of alkyl chains arranged out of the core plane. Recently, Aida and coworkers reported liquid crystalline behavior of propeller-shaped fused oligothiophenes.²⁷ They found the formation of long-range columnar assemblies of oligothiophenes through well-organized intermolecular sulfur-sulfur contacts. The transition enthalpy of **ZnTNc_{exo}** from the Col_r to the isotropic phase was larger than that of **ZnTNc_{endo}**. The peripheral sulfur atoms in **ZnTNc_{exo}** can interact with the other molecules in the neighboring column, and the sulfur-sulfur interaction may stabilize the intercolumnar assemblies in the Col_r phase. However, the author does not have data to support the formation of intermolecular sulfur-sulfur interactions at this stage. A detailed structural analysis of the columnar packing of **ZnTNc_{exo}** is currently underway.

The well-organized columnar nanostructures made from liquid crystalline π -conjugated polyaromatic materials can create long-range pathways for mobile charge carriers.⁴⁹ The carrier mobilities of liquid crystalline materials have been measured by time-of-flight (TOF) and radiolysis-time resolved microwave conductivity.⁵⁰⁻⁵³ The TOF method gives mobilities of more than micrometer-scale distance in bulk materials. To evaluate the mobilities of **ZnTNc_{exo}** and **ZnTNc_{endo}**, TOF measurements have been performed for **ZnTNc_{exo}** and **ZnTNc_{endo}** in the liquid crystalline states. Since the onset of the thermal decomposition of **ZnTNc_{endo}** is very close to the melting point, the carrier mobility μ of **ZnTNc_{endo}** could not be obtained because of the decomposition during the preparation of

measurement cell. In contrast, the other isomer **ZnTnc_{exo}** showed transient photocurrents in the Col_r phase of **ZnTnc_{exo}** at 50 and 150 °C under different applied biases at ±65 and ±80 V. Compound **ZnTnc_{exo}** exhibited an ambipolar carrier transport behavior (Fig. 9).

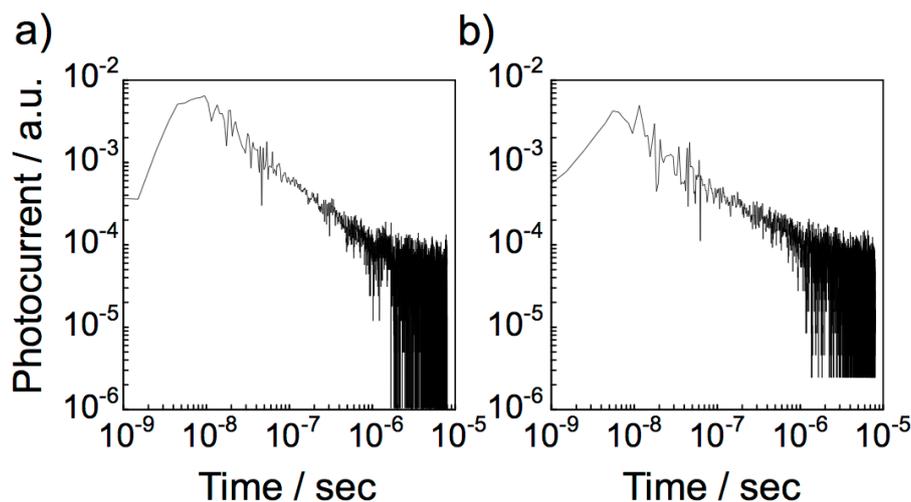


Fig. 9. Photocurrent decay properties for a) positive (bias voltage = -80 V) and b) negative (bias voltage = 80 V) charge carriers of **ZnTnc_{exo}** at 150 °C.

The hole and electron mobilities are calculated to be 7×10^{-2} and $8 \times 10^{-2} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, and the mobilities are independent of electrical field as well as temperature. This behavior is a characteristic property often seen for ordered columnar liquid crystalline semiconductors.^{48,54} On the other hand, it is known that carrier mobilities are strongly dependent on applied electrical fields in disordered materials in which hopping sites are distributed spatially energetically.⁵⁵ Because **ZnTnc_{exo}** formed multidomains with imperfect alignment of columns between two electrodes, the hole and electron mobilities of **ZnTnc_{exo}** are slightly lower than the reported values of liquid crystalline Pcs. The enlargement of the π -conjugated core and the formation of well-defined nanostructures enhanced the carrier mobilities.⁵⁶

3.4 Conclusion

In conclusion, the author has designed and synthesized two structural regioisomers of near-IR light absorbing **ZnTnc_{exo}** and **ZnTnc_{endo}** decorated with sixteen long alkyl chains. The author has elucidated the influence of the molecular structural differences in the two isomers on their self-organizing behavior and photoconductivity. Ring-expanded **ZnTnc_{exo}** and **ZnTnc_{endo}** assemble into one-dimensional columnar nanostructures over a wide temperature range from room temperature. TOF experiments have revealed that the organized **ZnTnc_{exo}** shows a well-balanced ambipolar carrier transport behavior, with carrier mobilities of the order of $10^{-2} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. Spontaneous alignment of columnar assemblies on substrates is a key issue for improving the carrier mobilities. Work is underway in our laboratory to control the columnar alignment on the substrate by structural elaboration of thiophene-fused naphthalocyanines.

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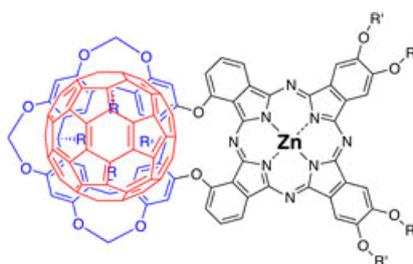
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Chapter 4

Supramolecular complex formation of resorcin[4]arene [4]arene-modified zinc phthalocyanine and fullerene



Abstract

A resorcin[4]arene-strapped zinc phthalocyanine **1**, in which two benzene rings of the upper rim of resorcin[4]arene were fused to the adjacent phthalocyanine ligand, was synthesized from two phthalonitriles. Introducing resorcin[4]arene on one side of zinc phthalocyanine strongly affects the spectroscopic property of the phthalocyanine. The Q-band of **1** in CH₂Cl₂ is split into 694 and 740 nm due to the self-protonation of **1** on the *meso*-nitrogen of phthalocyanine ligand. Bowl-shaped resorcin[4]arene can capture C₆₀ through the non-covalent interactions, and the complexation between **1** and C₆₀ results in an efficient photo-induced electron transfer.

4.1 Introduction

For the past two decades, much attention has been focused on the construction of supramolecular functional systems consisting of functional molecular components.^{1,2} The components are correctly oriented with respect to one another and interact in a well-defined intercomponent relationship. Fullerenes and π -conjugated macrocycles such as porphyrins and phthalocyanines have been utilized as important molecular components for the design of artificial photosynthesis and solar energy conversion, and a number of donor-acceptor (D-A) dyads have been designed and synthesized through covalent linkages or non-covalent assemblies between π -conjugated macrocycles and C_{60} .³⁻⁸ Porphyrins and phthalocyanines in the dyads acted as electron donors as well as a light-harvesting antenna in the visible light region. Fullerenes have been employed as electron acceptors to exhibit efficient electron transfer properties in the D-A dyad systems. The spatial arrangements of components in the D-A dyads affected the charge separated lifetimes after an intermolecular electron transfer from π -conjugated macrocycles to C_{60} .³⁻⁸

Bowl shaped calixarenes and resorcinarenes are versatile frameworks of molecular hosts for guest molecules.⁹ These hosts can form stable supramolecular complexes with fullerenes because the recognition sites in the hosts are pre-organized in the hydrophobic cavity to favorably surround a spherical fullerene guest.⁹⁻¹¹ The author expected that introducing bowl shaped hosts to a photoactive zinc phthalocyanine would lead to a supramolecular electron-transfer system with C_{60} through the non-covalent capturing of C_{60} within the host cavity.¹²⁻¹⁵

This chapter reports the synthesis of resorcin[4]arene-strapped zinc phthalocyanine **1** and the formation of a supramolecular complex between **1** and C_{60} in toluene.

4.2 Experimental section

4.2.1 General procedures

NMR spectra were recorded on a Bruker AVANCE 400 FT NMR spectrometer at 399.65 MHz and 100.62 MHz for ^1H and ^{13}C in CDCl_3 solution. Chemical shifts are reported relative to internal TMS. IR spectra were obtained on a SHIMAZU IR Prestige-21 with DuraSample IR II. UV-Vis spectra and fluorescence spectra were measured on a JASCO V-650 and a JASCO FP-750. MALDI-TOF mass spectra were obtained on a Bruker autoflex spectrometer with dithranol as matrix.

All chemicals were purchased from commercial suppliers and used without purification. The partially bridged resorcin[4]arene **2** and the phthalonitrile **4** were synthesized according to the reported procedure.^{24,33} Column chromatography was performed with activated alumina (Wako, 200 mesh) or silica gel (Wakogel C-200). Recycling preparative gel permeation chromatography was carried out by a JAI recycling preparative HPLC using CHCl_3 as an eluent. Analytical thin layer chromatography was performed with commercial Merck plates coated with silica gel 60 F₂₅₄ or aluminum oxide 60 F₂₅₄.

4.2.2 Syntheses

3: Potassium carbonate (0.5g, 3.50mmol), 3-nitrophthalonitrile (0.18g, 1.05mmol), and **2** (0.4g, 0.35mmol) were stirred in dry DMF (5 ml) at room temperature under a nitrogen atmosphere for one night. The reaction mixture was poured into water (50 ml), and the aqueous layer was extracted with CH_2Cl_2 . After being dried over with MgSO_4 , the organic layer was evaporated, and the residue was purified by column chromatography (silica gel, eluent: CH_2Cl_2 , $R_f = 0.1$) and preparative recycle HPLC. Yield 0.2g (42%). ^1H NMR (CDCl_3 , 400.13MHz): δ (ppm) = 7.62 (t,

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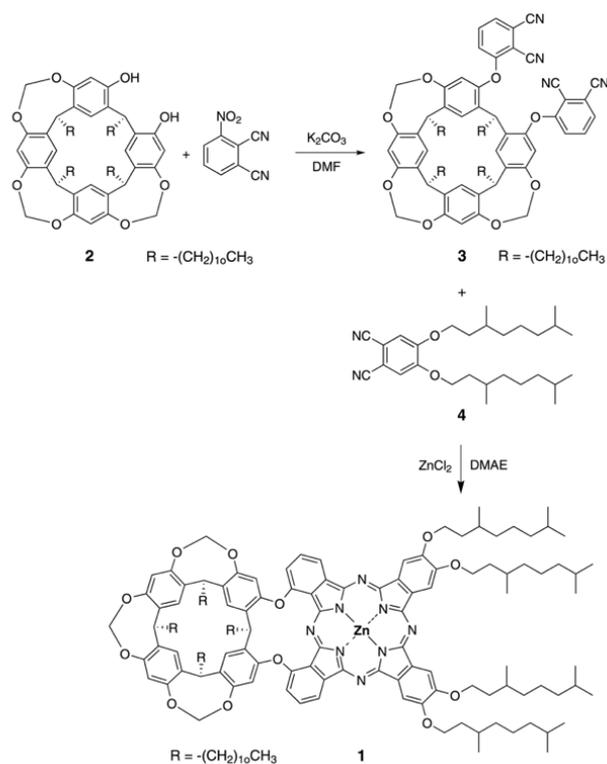
$J = 7.6$ Hz, 2H, ArH), 7.50 (s, 2H, ArH), 7.31 (s, 2H, ArH), 7.25 (d, $J = 8.0$ Hz, 2H, ArH), 7.12 (d, $J = 8.0$ Hz, 2H, ArH), 6.53 (s, 2H, ArH), 6.32 (s, 2H, ArH), 5.71-5.74 (m, 6H, -OCH₂O-), 4.75 (s, 4H, Ar-CH-Ar), 2.17-2.31 (m, 8H, -ArCH₂-), 1.23-1.28 (m, 72H, -CH₂-), 0.88 (t, $J = 6.4$ Hz, 12H, -CH₃). ¹³C NMR (CDCl₃, 100.61MHz): δ (ppm) = 159.8, 156.0, 155.2, 154.6, 151.1, 138.5, 137.2, 134.6, 132.1, 128.2, 124.1, 123.7, 120.2, 117.6, 116.8, 114.9, 112.6, 107.8, 99.6, 33.9, 33.4, 31.9, 30.2, 29.8, 27.9, 22.7, 14.1. IR (ATR): ν (cm⁻¹) = 2249 (-CN). MALDI-TOF Ms (dithranol): m/z 1394.09 (M+H), Calcd for C₉₁H₁₁₆N₄O₈: m/z 1393.92.

1: A mixture of **3** (0.15 g, 0.11 mmol), **4** (0.14g, 0.32 mmol), ZnCl₂ (7.3 mg, 53.8 mmol) in DMAE (4 mL) was heated at 150 °C with stirring for 6 h. After the mixture was cooled, the solvent was removed and washed with methanol several times to remove excess Zn ion. The residue was purified by column chromatography on activated alumina (eluent: CH₂Cl₂) and recycling preparative HPLC to give **1**. Yield: 60 mg (24%). ¹H NMR (CDCl₃, 400.13MHz): δ (ppm) = 8.71-9.46 (m, 6H, ArH), 7.31-7.98 (m, 10H, ArH), 6.52 (s, 2H, ArH), 5.73-5.76 (m, 6H, -OCH₂O-), 4.56-4.70 (m, 12H, Ar-CH-Ar, -OCH₂-), 0.85-2.35 (m, 168H, -ArCH₂-, -CH₂-, -CH-, -CH₃). MALDI-TOF Ms (dithranol): m/z 2337.26 (M+H), Calcd for C₁₄₇H₂₀₄N₈O₁₂Zn: m/z 2337.49. UV-Vis (THF): λ_{\max} (log ϵ) = 686 (5.21), 618 (4.45), 355 (4.76).

4.3 Results and Discussion

4.3.1 Synthesis of resorcin[4]arene-strapped Zinc Phthalocyanine

Scheme 1. Syntheses of side-strapped ZnPc 1



Low-symmetry AABB-type Zinc phthalocyanine (ZnPc) **1** attached with one resorcin[4]arene cavity was prepared from two phthalonitriles **3** and **4**, as shown in Scheme 1.¹⁶⁻¹⁸ The phthalocyanine ring in **1** is strapped with resorcinarene as a bridging group at 1 and 25 positions. The side-strapped Pcs have been synthesized by using bisphthalonitriles bridged at the 3-position.¹⁹⁻²² In this study, the author prepared a new bisphthalonitrile **3**, in which two phthalonitriles were introduced at the upper rim of resorcin[4]arene through the nucleophilic aromatic substitution reaction between 3-nitrophthalonitrile and a partially bridged resorcin[4]arene **2**.

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The partially bridged resorcin[4]arene **2** was synthesized according to the reported procedure.^{23,24} The remaining two hydroxyl groups at the upper rim of **2** reacted with 3-nitrophthalonitrile in the presence of K_2CO_3 to give cavitand **3** in 42%. Long alkyl chains were introduced at the lower rim of **3** to improve the solubility in organic solvents.

Cavitand ZnPc **1** was prepared using a mixed tetracyclization of **3** and **4** in a 1:3 molecular ratio in the presence of $ZnCl_2$ in 2-(dimethylamino)ethanol (DMAE) in 24 % yield (scheme 1). The required AABB-type ZnPc **1** was separated by column chromatography and preparative HPLC. ZnPc **1** was characterized by MALDI-TOF mass spectrometry and 1H NMR spectroscopy. Two benzene rings on one side of phthalocyanine ligand were strapped with resorcin[4]arene, and the other two benzene rings were decorated with four branched alkoxy chains. The compound **1** exhibits good solubility in organic solvents such as THF, toluene, CH_2Cl_2 and *n*-hexane.

4.3.2 Cavitand property of precursor phthalonitrile

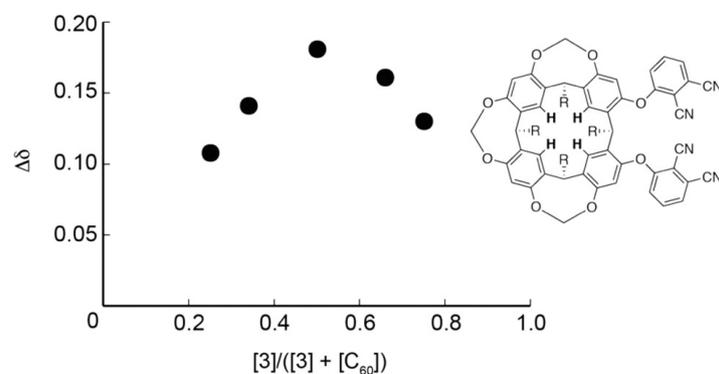


Fig. 1. Job plot for the chemical shift change of benzene protons **H** at the lower rim in **3** + C₆₀ system (¹H NMR, C₆D₅CD₃, 400 MHz, 298 K).

Aromatic macrocycles such as *p*-*tert*-butylcalix[8]arene and *p*-*tert*-butylcalix[6]arene can form well-defined supramolecular complexes with fullerenes in solvents.⁹⁻¹¹ The author also expected a supramolecular complex to form between the bridged resorcin[4]arene **3** and C₆₀ in solution. The complex formation between **3** and C₆₀ was monitored by ¹H NMR spectral changes.²⁵ Upon the addition of C₆₀ to a solution of **3** in toluene-*d*₈, the chemical shift of benzene protons at the lower rim of **3** moved downfield, suggesting the inclusion of C₆₀ within the cavity of **3**. A Job plot for the titration study on **3** with C₆₀ in ¹H NMR spectroscopy confirmed the formation of a 1:1 complex (Fig. 1).²⁶ The binding constant of **3** with C₆₀ was 1100 M⁻¹ in toluene-*d*₈ as inferred from ¹H NMR spectroscopic analysis. The cavity in **3** can form a supramolecular complex with C₆₀ through C-H ···π interactions.⁹

4.2.3 Optical property

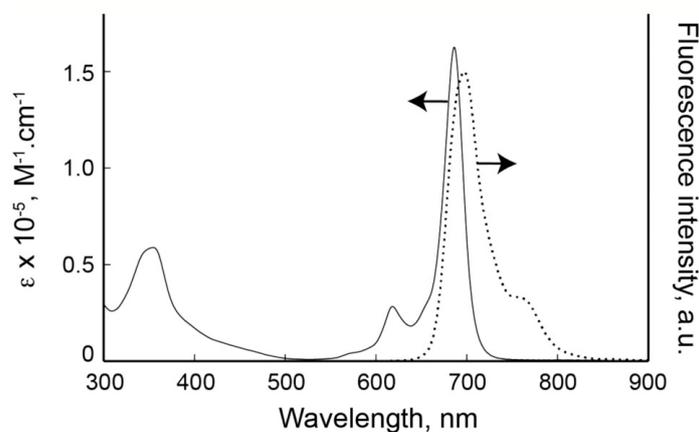


Fig. 2. Absorption (solid line) and fluorescence spectra (dotted line) of **1** in THF.

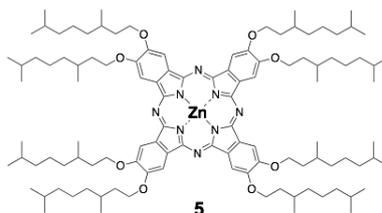


Chart 1 Structure of **5**: $\lambda_{\max} (\log \epsilon) = 685 \text{ nm} (5.20)$.

As shown in Fig. 2, the absorption spectrum of **1** in THF showed a sharp and strong peak at $\lambda_{\max} = 686 \text{ nm}$ and a relatively weak peak at $\lambda_{\max} = 365 \text{ nm}$ as the Q band and the Soret band of ZnPcs.²⁷ The absorption spectra of **1** did not change within the concentration range of 3.0×10^{-6} to $1.2 \times 10^{-5} \text{ mol L}^{-1}$. The absorption spectrum of **1** in THF is typical nonaggregated ZnPcs, which follow the Beer-Lambert law. Compound **1** fluoresced at 697 nm in degassed THF upon excitation at the Soret band. The absorption and fluorescence spectra of **1** in THF exhibited nearly the same spectrum at the symmetric 2,3,9,10,16,17,23,24-octa-(3',5'-dimethylhexyloxy) phthalocyaninatozinc complex **5** lacking the resorcin[4]arene strap ($\lambda_{\max} (\log \epsilon) = 685 \text{ nm} (5.20)$). From these spectral results, the introduction of resorcin[4]arene does not affect the electronic conditions of ZnPc.²⁸

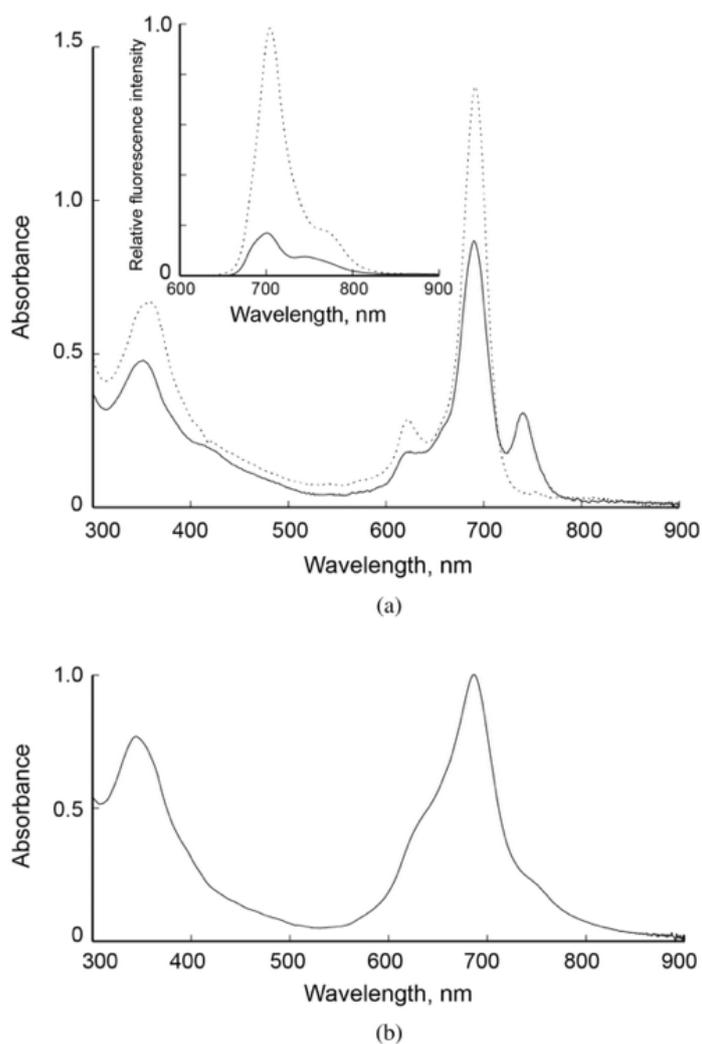


Fig. 3. a) Absorption spectra of **1** in CH_2Cl_2 (solid line) and CH_2Cl_2 +pyridine (0.1 vol%) (dotted line). $[\mathbf{1}] = 5.8 \mu\text{M}$. The inset shows the fluorescence spectral change by the addition of pyridine. $[\mathbf{1}] = 1.5 \mu\text{M}$. b) Absorption spectrum of **1** in *n*-hexane. $[\mathbf{1}] = 10.6 \mu\text{M}$.

Fig. 3a shows the absorption and fluorescence spectra of **1** in CH_2Cl_2 . While **5** in CH_2Cl_2 displays the same spectrum as that in THF, the Q band of **1** in CH_2Cl_2 splits at 694 and 740 nm. The fluorescence spectrum in CH_2Cl_2 is slightly red-shifted relative to that in THF. The formation of aggregates among MPcs affects the shape and location of the Q band.²⁹ However, the red shoulder peak of Q band at 740 nm remained unaltered as the concentration of **1** in CH_2Cl_2

decreased, revealing that the appearance of a new shoulder peak of **1** in CH₂Cl₂ was not attributed to the formation of aggregates. The shoulder peak at 740 nm was eliminated, and the solution color was changed from green to blue by adding pyridine to CH₂Cl₂ solution of **1**. Moreover, the fluorescence intensity of **1** was significantly increased by adding pyridine as shown in the inset of Fig. 3a. Micó et al. reported the solvatochromic behavior of unsymmetrical ZnPc possessing a 2-hydroxy-1-naphthylazo moiety.³⁰ Reddy et al. also reported absorption spectral changes of trifluoroethoxy-substituted ZnPcs with deoxyribonucleosides by light irradiation.³¹ These compounds displayed a red shoulder peak of the Q band in CH₂Cl₂ due to the partial protonation on the *meso*-nitrogen of phthalocyanine ligand. The side strapping of one side of phthalocyanine ligand with the resorcin[4]arene macrocycle in **1** stabilizes the protonation of nitrogen close to the strap, and the self-protonation in CH₂Cl₂ results in the appearance of the red shoulder peak of the Q band. The reference ZnPc **5** showed a broad Q band with absorption maximum at 635 nm in *n*-hexane, typical of aggregated MPcs having a cofacial arrangement through π - π interactions. Although the Q band of **1** was slightly broadened in *n*-hexane, **1** showed the same position of Q band at 686 nm as that in THF (Fig. 3b). Introducing bulky resorcin[4]arene prevents the cofacial stacking of ZnPcs.

4.3.4 Host material properties

Since the resorcin[4]arene moiety in **1** can interact with C_{60} , the author expected a photoinduced electron transfer from ZnPc to C_{60} incorporated within the cavity of resorcin[4]arene.³² The Q band of ZnPc showed no changes after the addition of a large excess of C_{60} in toluene, indicating a negligible interaction between ZnPc and C_{60} . The fluorescence of **1** decreased in intensity upon the titration with C_{60} , and the Stern-Volmer plots of **1** showed a highly efficient fluorescence quenching at a low concentration of C_{60} as compared with **5** (Fig. 4). The larger slope of the Stern-Volmer plot for **1** suggests the static quenching through the complexation of the fluorophore and quencher.

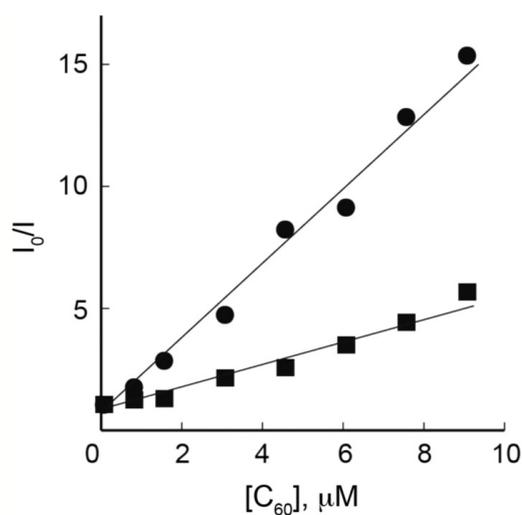


Fig. 4. Stern–Volmer plots for fluorescence quenching of **1** (●) and **5** (■) with C_{60} in degassed toluene solution. The fluorescence intensity was monitored at 705 nm upon exciting at 353 nm. $[1, 5] = 1.5 \mu\text{M}$

4.4 Conclusion

In summary, the author has synthesized a resorcin[4]arene-strapped zinc phthalocyanine **1** from two phthalonitriles **3** and **4**. Two benzene rings on one side of phthalocyanine ligand were strapped with resorcin[4]arene, and the other two benzene rings were decorated with four branched alkoxy chains. Introduction of resorcin[4]arene strongly affects the spectroscopic property of the phthalocyanine. The shapes and positions of absorption spectra of **1** depend strongly on the nature of solvents. The Q band of **1** in CH₂Cl₂ is split into 694 and 740 nm due to the self-protonation of **1** on the *meso*-nitrogen of phthalocyanine ligand. Bowl-shaped resorcin[4]arene can capture C₆₀ through the non-covalent interactions, and the complexation between **1** and C₆₀ leads to an efficient electron transfer. Further investigation of photophysical properties of the supramolecular complex of **1** with C₆₀ is now underway in our group.

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Summary and Conclusions

In this thesis, the author has designed and synthesized thiophene-fused metallophthalocyanine analogues (**Chapter 2**), thiophene-fused zinc naphthalocyanine analogues (**Chapter 3**), and resorcin[4]-strapped zinc phthalocyanine (**Chapter 4**) for investigation of their optical and electronic functions in various states.

In **Chapter 2** thiophene-fused phthalocyanines **1–4** were synthesized through ring closing reactions from *o*-chloroethynylbenzene substructures. The self-organizing properties of thiophene-fused phthalocyanines were investigated in solution, at the air-water interface, and in the solid state. The attachment of *n*-hexylphenyl units at α -positions of the fused thiophene rings creates a network structure of nanoscopic fibrous assemblies with excellent long-range stacking through intermacrocycle interactions, which with the additional interaction between peripheral phenyl spacers. Cu-**1** and Cu-**2** acted as a soluble p-channel organic semiconductor, but the field-effect mobility was not high due to the low crystallinity in the solid state. Cu-**4** possessing thiophene spacers was polymerized into the electrochemically active films onto an electrode by the connection of four thiophene terminals through an oxidative coupling reaction.

In **Chapter 3** two structural regioisomers of near-IR light absorbing $\text{ZnTnc}_{\text{exo}}$ and $\text{ZnTnc}_{\text{endo}}$ decorated with sixteen long alkyl chains were designed and synthesized. The author has elucidated the influence of the molecular structural differences between the two isomers on their self-organizing behavior and photoconductivity. Ring-expanded $\text{ZnTnc}_{\text{exo}}$ and $\text{ZnTnc}_{\text{endo}}$ assemble into one-dimensional columnar nanostructures over a wide temperature range from

room temperature. TOF experiments have revealed that organized **ZnTNC_{exo}** shows a well-balanced ambipolar carrier transport behavior, with carrier mobilities of the order of $10^{-2} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. Spontaneous alignment of columnar assemblies on substrates is a key issue for improving the carrier mobilities.

In **Chapter 4** a resorcin[4]arene-strapped zinc phthalocyanine **1** was synthesized from two phthalonitriles **3** and **4**. Two benzene rings on one side of phthalocyanine ligand were strapped with resorcin[4]arene, while the other two benzene rings were decorated with four branched alkoxy chains. The introduction of resorcin[4]arene strongly affects the spectroscopic properties of the phthalocyanine. The shapes and positions of the absorption spectra of **1** depend strongly on the nature of the solvents. The Q band of **1** in CH_2Cl_2 is split into 694 and 740 nm due to the self-protonation of **1** on the *meso*-nitrogen of the phthalocyanine ligand. Bowl-shaped resorcin[4]arene can capture C_{60} through non-covalent interactions, and the complexation between **1** and C_{60} leads to an efficient electron transfer.

Publications

Papers

1. Hiroyuki Suzuki, Naoya Yamada, Ken-ichi Nakayama and Mutsumi Kimura, **Self-organized one-dimensional columns of benzo[b]thiophene-fused tetraazaporphyrins**, *J. Porphyrins Phthalocyanines* 2014; **18**: 259-266.
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