

# 博士論文の内容の要旨

## Abstract of Doctoral Dissertation

氏名 Full Name	矢澤 明喜子 Yazawa, Akiko
学位名 Name of Degree	博士 Doctor of (理学/SCIENCE)
学位授与年月日 Date of The Degree Conferral	2022年 9月30日/September 30th
論文題目 Dissertation Title	The Hessian matrices of generating polynomials associated to graphs and matroids (グラフやマトロイドに付随する母関数のヘッセ行列について)

(博士論文の内容の要旨 Abstract of Doctoral Dissertation)

We consider the generating polynomials for matroids and graded Artinian Gorenstein algebras defined by the polynomials. We study the strong Lefschetz property of the algebras and strictly log-concavity of the polynomials. We show their properties by using the Hessian matrices of the polynomials. This thesis is based on the papers [7, 8, 10, 11].

First, we recall the strong Lefschetz property. The strong Lefschetz property is a ring abstraction of the hard Lefschetz theorem. Let  $A = \sum_k A_k$  be a graded Artinian  $\mathbb{K}$ -algebra over a field  $A_0 = \mathbb{K}$  of characteristic zero. We say that  $A$  has the *strong Lefschetz property* if there exists an element  $L \in A_1$  such that the multiplication map  $\times L^{s-2k}: A_k \rightarrow A_{s-k}$  is bijective for  $k \in \{0, 1, \dots, \frac{s}{2}\}$ .

We usually consider the strong Lefschetz property of a graded Artinian Gorenstein algebra. Let  $A = \sum_{k=0}^s A_k$  be a graded Artinian ring over  $\mathbb{K}$ . It is known that the algebra  $A$  is a standard grading Gorenstein algebra with  $\mathbb{K}$  of characteristic zero if and only if there exists a homogeneous polynomial  $F$  such that  $A \simeq \mathbb{K}[x_1, \dots, x_n]/\text{Ann}(F)$ , where  $\text{Ann}(F)$  is the annihilator ideal generated by the polynomials annihilating  $F$  by partial derivative operator. The Hessian criterion of the strong Lefschetz property of a graded Artinian Gorenstein algebra is known: Let  $F \in \mathbb{K}[x_1, \dots, x_n]$  be a homogeneous polynomial of degree  $s$ . We define  $A_F$  to be  $\mathbb{K}[x_1, \dots, x_n]/\text{Ann}(F)$ . Let  $A_k$  be the homogeneous spaces of  $A_F$ , and  $\Lambda_k$  a basis for  $A_k$ . We define the matrix  $H_F^{(k)}$  by

$$H_F^{(k)} = \left( e_i \left( \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right) e_j \left( \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right) F \right)_{e_i, e_j \in \Lambda_k}.$$

We call  $H_F^{(k)}$  the *kth Hessian matrix* of  $F$  with respect to the basis  $\Lambda_k$ . Note that if we can take a basis  $\{x_1, \dots, x_n\}$  for  $A_1$ , then the first Hessian matrix  $H_F^{(1)}$  with respect to the basis is a usual Hessian matrix  $H_F$ .

**Proposition 1** ([9, 6]). *Consider a graded Artinian Gorenstein algebra  $A_F$ . The algebra  $A_F$  has the strong Lefschetz property at degree  $k$  if and only if  $\det H_F^{(k)}(\mathbf{a}) \neq 0$ .*

Next, we recall the strictly log-concavity of a homogeneous polynomial. We say that a homogeneous polynomial  $F$  is *strictly log-concave* if for  $v, v' \in \mathbb{R}_{\geq 0}^n$  and  $\lambda \in (0, 1)$ , we have  $F(\lambda v + (1 - \lambda)v') > F(v)^\lambda F(v')^{(1-\lambda)}$ . We can restate the definition by using matrices: We say that a homogeneous polynomial  $F$  is strictly log-concave at  $\mathbf{a} \in \mathbb{R}^n$  if

$$-FH_F + (\nabla F)(\nabla F)^\top \left\{ \bigoplus_{\mathbf{a}} \right\}$$

is positive definite, where  $\nabla F$  is the gradient vector of  $F$ . If the log-concavity of  $F$  is already known, the degeneracy of the Hessian matrix  $H_F$  of  $F$  implies the strictly log-concavity.

**Proposition 2.** *If  $F$  is log-concave and  $\det H_F \left\{ \bigoplus_{\mathbf{a}} \right\} \neq 0$ , then  $F$  is strictly log-concave at  $\mathbf{a}$ .*

Finally, we recall matroids. A matroid is a generalization of the concept of the independency of a vector space. A matroid defined by some vectors is called a vector matroid. A vector matroid is one of important classes of matroids. We also have another important class of matroids, defined from graphs. If we think cycles in a graph as dependent sets, then a graph has the structure of a matroid. A matroid defined from a graph  $\Gamma$  is called the graphic matroid  $M(\Gamma)$  of the graph. An independent set of the graphic matroid  $M(\Gamma)$  of a graph  $\Gamma$  corresponds to a tree, i.e., a subgraph without cycles, in the graph  $\Gamma$ . For a connected graph  $\Gamma$ , a basis for the graphic matroid  $M(\Gamma)$  corresponds to a spanning tree.

Let us consider the basis generating polynomial  $F_M$ , independent set generating polynomial  $P_M$ , and reduced independent set generating polynomial  $\bar{P}_M$  of a matroid  $M$ . Let us consider the algebras defined by the polynomials. The log-concavity of the generating polynomials for a matroid is shown in [1, 2, 3, 4]. Moreover, the authors of [5, 6] show more stronger property called Lorentzian property, which relates to the strong Lefschetz property. Our main theorem is the following:

**Theorem 3** [7]. *Let  $M$  be a simple matroid on  $[n]$  of rank  $r \geq 1$ . Then, we have*

- 1 *The Hessian matrices of  $F_M$  evaluated  $\mathbf{a} \in \mathbb{R}_{>0}^n$  have exactly one positive eigenvalue. Moreover, the Hessian does not vanish.*  
*The Hessian of  $P_M$  evaluated  $(0, \mathbf{a}) \in \{0\} \times \mathbb{R}_{>0}^n$  is zero.*  
*If  $M$  is not a uniform matroid, then the Hessian matrix of  $\bar{P}_M$  evaluated  $\mathbf{a} \in \mathbb{R}_{>0}^{n+1}$  has exactly one positive eigenvalue. Moreover, the Hessian does not vanish.*

By Propositions 1 and 2, we have the following as a corollary to Theorem 3.

**Theorem 4** [7]. *Let  $M$  be a simple matroid on  $[n]$  of rank  $r \geq 1$ . Then, we have*

- 1 *The polynomial  $F_M$  is strictly log-concave on the positive orthant.*  
*If  $M$  is not a uniform matroid, then  $\bar{P}_M$  is strictly log-concave on the positive orthant.*  
*The algebras  $A_{F_M}$  and  $A_{\bar{P}_M}$  have the strong Lefschetz property at degree one.*

#### REFERENCES

- [1] Nima Anari, Kuikui Liu, Shayan Oveis Gharan, and Cynthia Vinzant, *Log-concave polynomials III: Mason’s Ultra-Log-Concavity Conjecture for Independent Sets of Matroids*, arXiv:1811.01600, URL <https://arxiv.org/abs/1811.01600>.
- [2] ———, *Log-concave polynomials II: High-dimensional walks and an FPRAS for counting bases of a matroid*, STOC’19—Proceedings of the 51st Annual ACM SIGACT Symposium on Theory of Computing, ACM, New York, 2019, pp. 1–12, URL <https://doi.org/10.1145/3313276.3316385>. MR 4003314
- [3] Nima Anari, Shayan Oveis Gharan, and Cynthia Vinzant, *Log-concave polynomials, entropy, and a deterministic approximation algorithm for counting bases of matroids*, 59th Annual IEEE Symposium on Foundations of Computer Science—FOCS 2018, IEEE Computer Soc., Los Alamitos, CA, 2018, pp. 35–46, URL <https://doi.org/10.1109/FOCS.2018.00013>. MR 3899575
- [4] Petter Brändén and June Huh, *Hodge-Riemann relations for Potts model partition functions*, arXiv:1811.01696, URL <https://arxiv.org/abs/1811.01696>.
- [5] ———, *Lorentzian polynomials*, Ann. of Math. (2) **192** (2020), no. 3, 821–891, URL <https://doi.org/10.4007/annals.2020.192.3.4>. MR 4172622
- [6] Toshiaki Maeno and Junzo Watanabe, *Lefschetz elements of Artinian Gorenstein algebras and Hessians of homogeneous polynomials*, Illinois J. Math. **53** (2009), no. 2, 591–603, URL <http://projecteuclid.org/euclid.ijm/1266934795>. MR 2594646
- [7] Satoshi Murai, Takahiro Nagaoka, and Akiko Yazawa, *Strictness of the log-concavity of generating polynomials of matroids*, J. Combin. Theory Ser. A **181** (2021), Paper No. 105351, 22, URL <https://doi.org/10.1016/j.jcta.2020.105351>. MR 4223331
- [8] Takahiro Nagaoka and Akiko Yazawa, *Strict log-concavity of the Kirchhoff polynomial and its applications to the strong Lefschetz property*, J. Algebra **577** (2021), 175–202, URL <https://doi.org/10.1016/j.jalgebra.2021.01.037>. MR 4234203
- [9] Junzo Watanabe, *A remark on the Hessian of homogeneous polynomials*, The curves seminar at Queen’s, vol. XIII, Queen’s Papers in Pure and Appl. Math., vol. 119, Queen’s Univ., Kingston, ON, 2000, pp. 171–178.
- [10] Akiko Yazawa, *The eigenvalues of Hessian matrices of the complete and complete bipartite graphs*, J. Algebraic Combin. **54** (2021), no. 4, 1137–1157, URL <https://doi.org/10.1007/s10801-021-01041-x>. MR 4348920
- [11] ———, *The eigenvalues of the Hessian matrices of the generating functions for trees with  $k$  components*, Linear Algebra Appl. **631** (2021), 48–66, URL <https://doi.org/10.1016/j.laa.2021.08.017>. MR 4308087