

1 **MEK inhibitor and anti-EGFR antibody overcome sotorasib resistance signals and**  
2 **enhance its antitumor effect in colorectal cancer cells**

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8 Nao Hondo, Masato Kitazawa, Makoto Koyama, Satoshi Nakamura, Shigeo Tokumaru,

9 Satoru Miyazaki, Masahiro Kataoka, Kai Seharada and Yuji Soejima

10 Division of Gastroenterological, Hepato-Biliary-Pancreatic, Transplantation and

11 Pediatric Surgery, Department of Surgery, Shinshu University School of Medicine,

12 Matsumoto, Japan

13

14 Corresponding author: Masato Kitazawa, Division of Gastroenterological, Hepato-

15 Biliary-Pancreatic, Transplantation and Pediatric Surgery, Department of Surgery,

16 Shinshu University School of Medicine, 3-1-1 Asahi, Matsumoto, Nagano 390-8621,

17 Japan. Phone: +81-263-37-2654; Fax +81-263-35-1282; E-mail: [kita118@shinshu-](mailto:kita118@shinshu-)

18 [u.ac.jp](mailto:kita118@shinshu-u.ac.jp)

19

20 **Abstract**

21 The Kirsten rat sarcoma (KRAS) oncogene was “undruggable” until sotorasib, a  
22 KRAS<sup>G12C</sup> selective inhibitor, was developed with promising efficacy. However,  
23 inhibition of mutant KRAS in colorectal cancer cells (CRC) is ineffective due to feedback  
24 activation of MEK/ERK downstream of KRAS. In this study, we screened for  
25 combination therapies of simultaneous inhibition to overcome sotorasib resistance using  
26 our previously developed Mix Culture Assay. We evaluated whether there was an additive  
27 effect of sotorasib administered alone and in combination with two or three drugs:  
28 trametinib, a MEK inhibitor, and cetuximab, an anti-epidermal growth factor receptor  
29 (EGFR) antibody. The MAPK pathway was reactivated in KRAS<sup>G12C</sup>-mutated cell lines  
30 treated with sotorasib alone. Treatment with KRAS and MEK inhibitors suppressed the  
31 reactivation of the MAPK pathway, but upregulated EGFR expression. However, the  
32 addition of cetuximab to this combination suppressed EGFR reactivation. This three-drug  
33 combination therapy resulted in significant growth inhibition *in vitro* and *in vivo*. Our  
34 data suggest that reactive feedback may play a key role in the resistance signal in CRC.  
35 Simultaneously inhibiting KRAS, MEK, and EGFR is a potentially promising strategy  
36 for patients with KRAS<sup>G12C</sup>-mutated CRC.

37

38 **Keywords:** Colorectal cancer, Kirsten rat sarcoma 2 viral oncogene homolog, G12C,  
39 Sotorasib, Trametinib, Cetuximab

40

## 41 **1. Introduction**

42 Kirsten rat sarcoma (KRAS) is one of the most commonly mutated oncogenes in  
43 human cancers [1, 2]. KRAS protein normally functions as a molecular switch that cycles  
44 between an active state (GTP-bound form) and an inactive state (GDP-bound form) [3,  
45 4]. Mutations in KRAS are found in approximately 40% of colorectal cancers (CRC) and  
46 typically occur at hotspots in codons 12, 13, and 61 [5-9]. These mutant KRAS proteins  
47 are in a constitutively GTP-binding active state and persistently activate downstream  
48 signals, including the RAF-MEK-ERK (MAPK) pathway, which enhances cell  
49 proliferation and survival [6,10,11]. Due to its high affinity for nucleotide and the lack of  
50 tractable binding pockets for small-molecule inhibitors, the therapeutic targeting of  
51 mutant KRAS has remained “undruggable” for more than three decades [4,12,13].  
52 Recently, covalent inhibitors targeting a KRAS<sup>G12C</sup> mutation have been developed and  
53 shown promising efficacy in preclinical studies [14-16]. Two KRAS<sup>G12C</sup> inhibitors,  
54 sotorasib and adagrasib, have already entered clinical application. However, in clinical

55 trials, KRAS<sup>G12C</sup> inhibitors were not as effective against CRC as against non-small cell  
56 lung cancer (NSCLC) [1, 5, 17]. In the phase 2 trial of sotorasib, the objective response  
57 rate was 37.1% in NSCLC but 9.7% in CRC [5]. Another clinical trial of adagrasib  
58 showed that the partial response rate and the duration of response were 53.3% and 16.4  
59 months in NSCLC but 50% and 4.2 months in CRC, respectively, treated at the  
60 recommended phase 2 dose [17].

61 Historically, resistance by feedback reactivation to single-agent therapies in  
62 patients with CRC has been suggested. For example, due to feedback reactivation,  
63 patients with CRC with BRAF<sup>V600E</sup> have limited sensitivity to a single-agent BRAF  
64 inhibitor [18]. However, the simultaneous inhibition of epidermal growth factor receptor  
65 (EGFR) and mitogen-activated protein kinase kinase (MEK) to suppress feedback  
66 activation, in addition to BRAF inhibitors, can enhance antitumoral effects [19-21].  
67 Therefore, we hypothesized that a combination therapy targeting feedback reactivation  
68 would overcome KRAS<sup>G12C</sup> inhibitors resistance in CRC.

69 In the present study, we screened for effective combination therapies for CRC  
70 with KRAS<sup>G12C</sup>. First, we assessed the feedback reactivation to KRAS<sup>G12C</sup> inhibition in  
71 NSCLC and CRC cells. Next, we screened agents that would be effective in combination  
72 therapy using Mix Culture Assays [22-24]. Furthermore, we evaluated the antitumor

73 effect of the combined inhibition of KRAS, EGFR, and MEK in CRC cells. This study  
74 demonstrates that the simultaneously inhibition of MEK and EGFR may overcome  
75 resistance signals to KRAS<sup>G12C</sup> inhibition and enhance the antitumoral effects both of *in*  
76 *vivo* and *in vitro*.

77

## 78 **2. Materials and Methods**

### 79 **2.1. Cell culture**

80 CACO-2 (wild-type KRAS, RRID: CVCL\_0025) was purchased from RIKEN  
81 Cell Bank. SW48 (wild-type KRAS, RRID: CVCL\_1724), SW1463 (KRAS G12C,  
82 RRID: CVCL\_1718), NCI-H3122 (wild-type KRAS, RRID: CVCL\_5160), and NCI-  
83 H358 (KRAS G12C, RRID: CVCL\_1559) were purchased from the American Type  
84 Culture Collection (ATCC). Calu-1 (KRAS G12C, RRID: CVCL\_0608), SW837 (KRAS  
85 G12C, RRID: CVCL\_1729), and DLD-1 (KRAS G13A, RRID: CVCL\_0248) were  
86 purchased from European Collection of Authenticated Cell Cultures (ECACC), Japanese  
87 Collection of Research Bioresources (JCRB) Cell Bank, and Cell Resource Center for  
88 Biomedical Research Institute of Development, Aging and Cancer, Tohoku University,  
89 respectively.

90 CACO-2 was maintained in a Dulbecco's modified Eagles medium (DMEM;

91 FUJIFILM Wako Pure Chemicals Corporation, Osaka, Japan). SW48 was maintained in  
92 RPMI-1640 with high glucose (FUJIFILM Wako Pure Chemicals Corporation). SW837,  
93 SW1463, and Calu-1 were maintained in DMEM/F12 (FUJIFILM Wako Pure Chemicals  
94 Corporation). DLD-1, NCI-H3122, and NCI-H358 were maintained in RPMI-1640  
95 (FUJIFILM Wako Pure Chemicals Corporation). All cells were incubated with 10% fetal  
96 bovine serum (Biowest, Nuaillé, France) and 1% penicillin/streptomycin (FUJIFILM  
97 Wako Pure Chemicals Corporation) at 37 °C and 5% CO<sub>2</sub>. All cells were routinely tested  
98 for *Mycoplasma* contamination using EZ-PCR™ Mycoplasma Detection Kit (Biological  
99 Industries, Beit Haemek, Israel.).

100

## 101 **2.2. Antibodies and reagents**

102 The following antibodies were used: Monoclonal mouse FLAG (#014-22383,  
103 RRID: AB\_10660291; FUJIFILM Wako Pure Chemicals Corporation), monoclonal  
104 rabbit p-Erk1/2 (#4376, RRID: AB\_331772; Cell Signaling Technology [CST], Danvers,  
105 MA, USA), monoclonal rabbit Erk1/2 (#4695, RRID: AB\_390779; CST), monoclonal  
106 rabbit p-MEK1/2 (#9154, RRID: AB\_2138017; CST), monoclonal rabbit MEK1/2  
107 (#8727, RRID: AB\_10829473; CST), monoclonal rabbit p-AKT (#4060, RRID:  
108 AB\_2315049; CST), monoclonal rabbit AKT (#4691, RRID: AB\_915783; CST),

109 monoclonal rabbit p-EGF Receptor (#3777, RRID: AB\_2096270; CST), monoclonal  
110 rabbit EGF Receptor (#4267, RRID: AB\_2246311; CST), monoclonal rabbit p-mTOR  
111 (#5536, RRID: AB\_10691552; CST), monoclonal rabbit mTOR (#2972, RRID:  
112 AB\_330978; CST), monoclonal mouse ribosomal s6 kinase (RSK; #sc-393147; Santa  
113 Cruz Biotechnology Santa Cruz, CA, USA), monoclonal mouse p-RSK (#sc-377526;  
114 Santa Cruz Biotechnology),  $\beta$ -actin (#sc-47778; Santa Cruz Biotechnology) , and Ki-76  
115 (#SAB5700770; Sigma-Aldrich, St. Louis, MO, USA). The secondary antibodies used  
116 were polyclonal goat anti-mouse (#P0447; Dako, Agilent Technologies, Santa Clara, CA,  
117 USA) IgG and polyclonal goat anti-rabbit (#P0448; Dako, Agilent Technologies) IgG  
118 conjugated with HRP.

119         7-Aminoactinomycin D (7-AAD; #640912) was obtained from BioLegend, (San  
120 Diego, CA, USA). Sotorasib (AMG510), Adagrasib (MRTX849), RMC-4550, crizotinib,  
121 and gefitinib were purchased from Selleckchem (Houston, TX, USA). Trametinib and  
122 cobimetinib were purchased from Cayman Chemical (Ann Harbor, MI, USA). Cetuximab,  
123 panitumumab, and rapamycin were purchased from Merck KGaA (Darmstadt, Germany),  
124 Takeda Pharmaceutical Company (Tokyo, Japan), and Sigma-Aldrich, respectively.

125

126 ***2.3. Construction and retroviral transduction of KRAS mutations***

127 Total mRNA content from CACO-2 cells was extracted using NucleoSpin  
128 RNAPlus (#740984; Takara Bio, Shiga, Japan), and cDNA was synthesized using  
129 PrimeScript RT Master Mix from the PrimeScript™ RT reagent kit (#RR037; Takara Bio).  
130 KRAS-4B carrying a C-terminal FLAG was amplified using PCR with PrimeSTAR®  
131 Max DNA Polymerase (#R045; Takara Bio) using CACO-2 cDNA as a template. The  
132 amplified KRAS-4B was inserted into the pMXs-IRES-GFP vector using the In-Fusion®  
133 HD Cloning kit (#639649; Takara Bio) by the inverse PCR method. Next, the pMXs-  
134 IRES-GFP vector carrying the KRAS wild-type gene was used as a template to create  
135 vectors carrying the KRAS mutations (G12C and G12D) with C-termined FLAG using the  
136 In-Fusion® HD Cloning kit by the inverse PCR method. Then, using the pMXs-IRES-  
137 GFP (wild-type KRAS, G12C, and G12D) vectors as a template, pDON-5 Neo DNA  
138 vectors (Takara Bio) carrying the wild-type KRASgene and its mutations were  
139 constructed using the In-Fusion® HD Cloning kit by the inverse PCR method. The DNA  
140 sequences of all the constructs were confirmed using ABI 3130xl Genetic Analyzer using  
141 BigDye® Terminator v3.1 Cycle Sequencing Kit (#4337454; Thermo Fisher Scientific,  
142 Waltham, MA, USA). These vectors were transfected into amphotropic packaging cells  
143 Phoenix-AMPHO (ATCC) using PEI MAX (Polysciences, Inc., Warrington, PA, USA)  
144 for retroviral transduction. The virus-containing supernatants were harvested 24 and 48 h



145 after gene transduction, and CACO-2 and SW48 cells were infected with the retroviral  
146 particles on plates coated with RetroNectin (#T100; Takara Bio). The transduction  
147 efficiency of pMXs-IRES-GFP vectors was confirmed using the GFP-positive ratio as  
148 measured using a flow cytometer (BD FACSCanto II; BD Biosciences, Franklin Lakes,  
149 NJ, USA) and analyzed with Kaluza 2.1 software (Beckman Coulter, Brea, CA, USA).  
150 The following transduction using pDON-5Neo DNA vectors, the transduced cells were  
151 selected via culture with G418 for 10 d. The transduction efficiency of pDON-5Neo DNA  
152 vectors was confirmed using western blotting. The methods of creating these vectors and  
153 retroviral transduction are shown in the paper by Koyama et al. [22].

154

#### 155 ***2.4. Cell proliferation assay***

156 Cell proliferation was measured using a Cell Counting Kit-8 (CCK-8) (#343-  
157 07623; Dojindo Laboratories, Kumamoto, Japan). Cells ( $5.0 \times 10^3$ /well) were seeded into  
158 a 96-well tissue culture plate and incubated at 37 °C. After 16 h of incubation, each agent  
159 (sotorasib 1 to  $10^5$  nmol/L, trametinib 1 nmol/L, and cetuximab 5 µg/mL) or DMSO was  
160 added to the cells. Following 24 or 72 h, the CCK8 reagent (10 µl/well) was added and  
161 incubated at 37 °C for 2 h. Absorbance was measured using a plate reader at 450 nm, and  
162 cell viability was calculated as relative values from DMSO absorbance. The data are

163 representative of three independent experiments in triplicate.

164

## 165 ***2.5. Protein sample preparation and western blotting***

166           After seeding and drug treatment, cells were washed with cold phosphate-  
167 buffered saline and lysed in RIPA Lysis Buffer System ( #sc-24948; Santa Cruz  
168 Biotechnology) on ice for 30 min. Lysates were separated by centrifugation at 10,000 ×  
169 g for 10 min at 4 °C, and the resultant supernatant was collected as the total cell lysate.  
170 Protein was quantified using a Pierce BCA Protein assay kit (#23227; Thermo Fisher  
171 Scientific), and 10–15 µg of protein was separated using NuPage 4-12% gel (# NP0322;  
172 Thermo Fisher Scientific) and then electroblotted onto a PVDF membrane. The  
173 membrane was blocked with Tris-buffered saline containing 5% non-fat dry milk and  
174 0.1% Tween-20 for 1 h at room temperature and then probed using the primary antibodies  
175 at 4 °C for 16 h. The membrane was then incubated with horseradish peroxidase-  
176 conjugated secondary antibody for 1 h at room temperature, which was detected by  
177 enhanced chemiluminescence using Immobilon Western HRP (Amersham ECL Prime  
178 Western Blotting Detection Reagent; #RPN2236; Cytiva, Marlborough, UK). The density  
179 of the target protein measured using Image Lab Software version 6.0.1 (Bio-Rad  
180 Laboratories, Hercules, CA, USA) was divided by the density of each β-actin band to

181 obtain the actual density.

182

## 183 ***2.6. Mix Culture assay***

184 We have previously developed and reported a Mix Culture Assay for the stable  
185 and reliable screening of effective therapeutic targets, using the pMXs-IRES-GFP vector  
186 and measured by a flow cytometer. The outline of this experimental system, the  
187 calculation method for the relative proliferation ratio (RPR), and the experimental  
188 example are shown in our previous report [22-24]. Wild-type and mutant KRAS genes  
189 (G12C and G12D) were inserted into CACO-2 cells using the pMX-IRES-GFP vector for  
190 this assay. High gene transduction efficiency of  $\geq 90\%$  was obtained as determined by the  
191 GFP-positive rate (%) measured using a flow cytometer. After gene transfer, GFP  
192 expression in gene-transduced cells stabilizes at approximately 7 passages; therefore,  
193 cells with 7–10 passages were used. Parental cells (GFP-negative) and gene-transduced  
194 cells (GFP-positive) were mixed at an ideal 1:1 ratio. At it is not possible to maintain the  
195 GFP-positive rate constant at 50%, an acceptable range of  $50\% \pm 10\%$  is considered  
196 acceptable. On the first day, the mixed cells were seeded at 20% confluency into a 12-  
197 well plate and cultured for 12 d with the targeting agents. They were then passaged at a  
198 5:1 ratio before reaching confluence. On d 12, the cells were harvested and stained with

199 7-AAD. The population that was 7-AAD-negative, representing viable cells, was gated,  
200 and the GFP-positive ratio of these populations was determined using a flow cytometer.  
201 We calculated the RPR using the following formula, d 0 GFP-positive rate (%) (A) and d  
202 12 GFP-positive rate (%) (B).  $RPR = \frac{B(100-A)}{A(100-B)}$ . A low RPR indicated that the  
203 GFP-positive cell population was sensitive to the drug, whereas a high RPR indicated  
204 drug resistance. Using this system, we evaluated the drug sensitivities of  
205 KRAS-transduced cells to several molecular-targeting drugs.

206

## 207 ***2.7. Establishment of sotorasib-resistant cells***

208 Sotorasib-resistant cells were established by exposure of KRAS<sup>G12C</sup>-induced  
209 CACO-2 cells to increasing concentrations of sotorasib. The initial concentration of  
210 sotorasib was 50 nM. When the cells adapted to the drug, the concentration of sotorasib  
211 was gradually increased by 1.5 to 2 times every week to a final concentration of 1  $\mu$ M.

212

## 213 ***2.8. In vivo xenograft experiments***

214 All animal experiments were carried out in compliance with the Guide for the  
215 Care and Use of Laboratory Animals (eighth Edition) and approved by the Institutional  
216 Animal Care and Use Committee with Shinshu University (Matsumoto, Japan; approval

217 no. 020085). Male 6–8-week-old BALB/c nude mice (weight, 23–27 g) were purchased  
218 from CLEA Japan (Shizuoka, Japan). The total number of mice used throughout was n=52.  
219 Mice were maintained in a specific pathogen-free room with a 12-h light/dark cycle and  
220 free access to water and food. For the reagent experiment, xenograft tumors were  
221 generated via the subcutaneous injection of CACO-2 cells ( $5 \times 10^6$ ) stably expressing wild-  
222 type, G12C or G12D mutant KRAS in a 200  $\mu$ L solution [50% Hank's Balanced Salt  
223 Solution (FUJIFILM Wako Pure Chemicals Corporation) + 50% Matrigel (Corning, Inc.,  
224 Corning, NY, USA)] into the flanks of mice. Vehicle (12.5% Cremophor, 12.5% ethanol,  
225 75%), sotorasib (0.5 mg/kg), and/or trametinib (0.1 mg/kg) were orally administered once  
226 daily for 21 consecutive days to mice (n=4 per group) when tumor size reached 100–200  
227  $\text{mm}^3$ . Cetuximab (50 mg/kg) was intraperitoneally administered once a week. The tumor  
228 volume was measured twice weekly according to the following formula: Volume ( $\text{mm}^3$ )  
229 =  $0.5 \times \text{width}^2$  (mm)  $\times$  length (mm). All mice were sacrificed by cervical dislocation under  
230 3% sevoflurane anesthesia on d 23.

231

### 232 **2.9. Immunohistochemistry (IHC)**

233 The resected xenograft tumors were fixed in 4% paraformaldehyde for 16 h at room  
234 temperature and embedded in paraffin, and then tissue sections (4  $\mu$ m) were prepared.

235 Antigen retrieval was performed by boiling the sections at 98° °C for 25 min in 1 mM  
236 EDTA2Na solution (pH 9.0). The slides were then subjected to endogenous peroxidase  
237 blocking with 3% H<sub>2</sub>O<sub>2</sub>. The primary antibodies were added to the slides, which were  
238 incubated for 16 h at 4 °C in a humidified chamber. Subsequently, the slides were  
239 visualized using the Histofine Simplestain Max PO kit (cat. no. 414142F; Nichirei  
240 Bioscience, Tokyo, Japan) for 1 h at room temperature. HRP-conjugated streptavidin was  
241 used to attach peroxidase to the antibodies, and DAB chromogen was used for  
242 visualization. Then, hematoxylin was used for nuclear counterstaining for 30 s at room  
243 temperature.

244

## 245 ***2.10. Statistical analysis***

246 All statistical analyses were performed with EZR (Saitama Medical Center, Jichi  
247 Medical University, Saitama, Japan) [25], which is a graphical user interface for R (The  
248 R Foundation for Statistical Computing, Vienna, Austria, version 1.51). More precisely,  
249 it is a modified version of R commander (version 2.6-2) designed to add statistical  
250 functions frequently used in biostatistics. Statistical significance was evaluated using an  
251 unpaired Student's t-test or one-way analysis of variance followed by Bonferroni's  
252 correction. All error bars represent means with standard error of the mean. Values with

253  $P < 0.05$  was considered statistically significant.

254

255

### 256 **3. Results**

#### 257 *3.1 Feedback reactivation of the RAS/MAPK pathway occurs following treatment with* 258 *sotorasib in CRC lines*

259 To understand the mechanistic basis for differential clinical responses to  
260 treatment with the selective KRAS<sup>G12C</sup> inhibitor sotorasib in CRC and NSCLC, we  
261 analyzed the effect of this drug in a panel of cell lines with wild-type KRAS, KRAS<sup>G12C</sup>  
262 mutations, and other mutations (Fig. 1A). Response to sotorasib was measured in a dose-  
263 response cell proliferation assay. Sotorasib inhibited the proliferation of cells with the  
264 KRAS<sup>G12C</sup> but not that of cells with wild-type KRAS or other type mutations. However,  
265 at high concentrations (5  $\mu$ M), the antiproliferative effects of sotorasib were more  
266 pronounced in NSCLC cells than in CRC cells (Table S1).

267 We then explored the potential role of feedback reactivation under of KRAS  
268 inhibition. NSCLC (NCI-H358 and Calu-1) and CRC cell lines (SW837 and SW1463)  
269 with the KRAS<sup>G12C</sup> mutation and those with wild-type KRAS (NCI-H3122 and DLD-1)  
270 were treated with sotorasib, and feedback reactivation was assessed at different time points

271 after treatment (4–24 h). In both NSCLC and CRC cell lines with the KRAS<sup>G12C</sup> mutation,  
272 the expressions of pERK and pAKT were suppressed at 4 h of exposure to sotorasib.  
273 However, after 24 h of treatment, the expression of pERK remained suppressed in  
274 NSCLC cell lines but was increased in CRC cell lines (Fig. 1B). These data suggest the  
275 resistance to sotorasib in CRC cells corresponded with feedback reactivation, particularly  
276 the reactivation of the MAPK pathway.

277 To identify the impact of KRAS mutations on feedback reactivation following  
278 KRAS<sup>G12C</sup> inhibition in CRC, we assessed the effects of sotorasib using KRAS gene-  
279 transduced CRC cells. Transduction of wild-type or mutant KRAS genes were  
280 confirmed using an anti-FLAG antibody (Fig. 2A), KRAS-transduced cells were treated  
281 with sotorasib, and the MAPK pathway was investigated. MAPK pathway was  
282 suppressed after 4 h of exposure to sotorasib in KRAS<sup>G12C</sup> transduced cells, but not in  
283 cells transduced with the wild-type KRAS nor KRAS<sup>G12D</sup> genes. Feedback reactivation  
284 of MAPK was also observed in only KRAS<sup>G12C</sup> transduced cells after 24 h of sotorasib  
285 exposure (Fig. 2B, C). The PI3K/AKT pathway was not affected by sotorasib in this  
286 model. This result indicates that KRAS-mutation-transduced CRC cells showed the same  
287 feedback reactivation as KRAS-mutant CRC cell lines. Furthermore, these data also  
288 suggest that inhibiting the feedback reactivation of the MAPK pathway could enhance



289 the efficacy of sotorasib.

290

### 291 ***3.2. Screening for combination therapy with sotorasib using mix culture assay***

292 We further evaluated the drug sensitivities of KRAS-transduced CRC cells. We  
293 have previously developed and reported a mix culture assay for the stable and reliable  
294 screening of effective therapeutic targets [22-24]. In the present study, parental cells  
295 (GFP-negative) and KRAS gene-transduced cells (GFP-positive) were mixed and treated  
296 for 12 d, and drug sensitivity was evaluated by changes in the positive rate of GFP. First,  
297 the drug sensitivities to KRAS<sup>G12C</sup> inhibitors were evaluated (Fig. 3A) and the results  
298 showed that RPR was only significantly reduced in G12C. This result indicates that the  
299 effects of sotorasib and adagrasib are selective for KRAS<sup>G12C</sup> mutation and do not cross-  
300 react with other KRAS mutations, which is consistent with the results reported by  
301 Kitazawa et al. [24]. Next, we evaluated the combined effect of sotorasib with several  
302 molecular-targeting agents for the screening of effective treatments. The combination of  
303 the MEK inhibitor, trametinib, and cobimetinib, significantly enhanced the RPR-lowering  
304 effect of sotorasib in G12C (Fig. 3B). Anti-EGFR antibodies, cetuximab, and  
305 panitumumab, induced a significantly high RPR in cells with KRAS mutations in a dose-  
306 dependent manner, indicating that KRAS mutations led to resistance to EGFR inhibitors

307 (Fig. 3C), as previously reported [22]. The combination of sotorasib with anti-EGFR  
308 antibodies alleviated the resistance to anti-EGFR antibodies in KRAS<sup>G12C</sup>-induced cells.  
309 The plots of the flow cytometry analysis are shown in Supplementary Fig. S1. No other  
310 agents targeting FGF/FGFR, mTOR/AKT, and ALK/ROS1/MET signals showed  
311 concomitant effects with sotorasib (Fig. S2). Based on these results, we investigated the  
312 efficacy of the combination therapy of sotorasib, MEK inhibitor, and anti-EGFR antibody.

313

### 314 ***3.3. MEK inhibitor and anti-EGFR antibodies enhance the efficacy of sotorasib***

315 We evaluated the resistance signal of sotorasib and the efficacy of the combined  
316 use of the MEK inhibitor and/or anti-EGFR antibodies in KRAS<sup>G12C</sup>-induced cells.  
317 KRAS gene-transduced cells were treated with sotorasib, trametinib, and/or cetuximab  
318 for 24 h, followed by analysis of the EGFR and RAS/MAPK pathways by western blot  
319 analysis (Fig. 4A). A single treatment with sotorasib for 24 h increased the protein  
320 expression of in pMEK and pERK. However, pERK remained suppressed in cells  
321 receiving the combination treatment of sotorasib and trametinib, whereas the expression  
322 of pEGFR was upregulated. Notably adding cetuximab suppressed the reactivation of  
323 EGFR. Next, cell proliferation was measured by a CCK-8 assay (Fig. 4B). Consistent  
324 with the mix culture assay results, sotorasib showed a specific inhibition effect on

325 KRAS<sup>G12C</sup>-induced cells. KRAS<sup>G12C</sup>-transduced cells showed resistance to treatment with  
326 cetuximab, which was alleviated by the combination treatment with sotorasib. The  
327 comparison of treatment with sotorasib alone vs. sotorasib plus trametinib or sotorasib  
328 plus cetuximab showed a more pronounced inhibitory effect with the two-drug  
329 combination; however, the difference was not significant. In contrast, the combination of  
330 the three drugs showed a significantly more inhibitory effect on the growth of KRAS<sup>G12C</sup>-  
331 induced cells compared to treatment with sotorasib alone.

332         Next, we established sotorasib-resistant CRC cells using KRAS<sup>G12C</sup>-induced  
333 cell lines. The sensitivity of non-resistant and resistant cell lines to sotorasib is shown in  
334 Supplementary Fig. S3. We evaluated the effect of the combination therapy on EGFR and  
335 RAS/MAPK signaling and the proliferation of resistant cells. Although stronger  
336 reactivation of pERK was observed in sotorasib-resistant cells, the three-drug  
337 combination therapy suppressed pERK reactivation in resistant cells (Fig. 4C). The CCK-  
338 8 assay revealed that sotorasib alone had no inhibitory effect on cell proliferation, whereas  
339 the combination of trametinib or cetuximab showed some inhibition, and the combination  
340 of three drugs showed a more pronounced inhibition on cell proliferation (Fig. 4D).

341

342 ***3.4. Combination therapy of sotorasib, trametinib, and cetuximab is effective in vivo***

343 We generated murine xenografts from KRAS-transduced CACO-2 cells and  
344 investigated sotorasib efficacy *in vivo*. Similar to the *in vitro* results, a single-agent use of  
345 1 mg/kg/day of sotorasib showed selective antitumor effects on KRAS<sup>G12C</sup> mutated cells  
346 only (Fig. 5A). We then evaluated whether there was an additive effect of sotorasib  
347 administered alone and in combination with two or three drugs: trametinib, a MEK  
348 inhibitor, and cetuximab, an anti-EGFR antibody drug. The dose of sotorasib was reduced  
349 to 0.5 mg/kg/day, and the doses of trametinib and cetuximab were set to doses that did  
350 not show tumor growth inhibition alone in this xenograft model. Notably, in this *in vivo*  
351 study, the two-drug combinations of sotorasib plus trametinib and sotorasib plus  
352 cetuximab showed significant growth inhibition effects compared to sotorasib alone, and  
353 the three-drug combination showed significant inhibition versus those two-drug  
354 combinations (Fig. 5B). The expression of pERK was induced by treatment with sotorasib  
355 alone whereas the three-drug combination suppressed pERK expression and reduced the  
356 number of Ki-67 positive cells (Fig. 5C). More importantly, the three-drug combination  
357 induced significantly massive tumor shrinkage in xenografts with KRAS<sup>G12C</sup> mutated  
358 tumors without reducing the body weight of mice. The fluctuation in body weight was  
359 within 10% in all mice throughout the experiments (Fig. S4).

360

361

#### 362 4. Discussion

363 Recently developed covalent KRAS<sup>G12C</sup> inhibitors have shown promising  
364 efficacy in patients with KRAS<sup>G12C</sup> mutation in phase 1–2 clinical trials; however, these  
365 reports showed substantial differences in the response rate between patients with NSCLC  
366 and CRC [1, 5, 17]. In the present study, we demonstrated that reactivation of the MAPK  
367 pathway and EGFR occurred following treatment with KRAS<sup>G12C</sup> inhibitors in CRC cells.  
368 Furthermore, combined treatment of MEK inhibitors and anti-EGFR antibodies enhanced  
369 the antitumor effect of the KRAS<sup>G12C</sup> inhibitor both *in vivo* and *in vitro*. Previous efforts  
370 on a single agent to target the RAS/RAF/MEK pathway have shown poor efficacy in  
371 patients with CRC. For example, BRAF<sup>V600E</sup> cancers, one of the driver oncogene  
372 mutations of the RAS/RAF/MAPK pathway, were treated with a BRAF inhibitor. The  
373 inhibitor showed promising efficacy in single-agent therapy against many cancers, such  
374 as melanoma and NSCLC, but was not as effective in patients with CRC [18]. These  
375 resistances in CRC are considered to correspond to adaptive feedback reactivation [21,  
376 26]; when BRAF was inhibited, ERK-dependent negative feedback mediators were  
377 reduced, and the MAPK pathway was reactivated by the activation of other RAF kinases,  
378 such as CRAF, in patients with CRC. Clinically, the simultaneous inhibition of BRAF,

379 MEK, and EGFR is effective in patients with CRC with BRAF<sup>V600E</sup> [19, 21]. This  
380 adaptive feedback also may lead to therapeutic resistance to treatment with KRAS<sup>G12C</sup>  
381 inhibitors in patients with CRC. Previous studies reported rebound activation of the  
382 MAPK pathway was observed in CRC cells under KRAS<sup>G12C</sup> inhibition [27, 28] and  
383 suggested this feedback reactivation was induced by the activation of EGFR and other  
384 RAS, such as NRAS and HRAS. These reports also showed that the vertical inhibition of  
385 factors upstream of RAS, such as EGFR and SHP-2 suppresses feedback reactivation and  
386 shows a stronger antitumor effect than a single treatment.

387 In this study, we screened for effective co-target inhibition to overcome the  
388 resistance feedback reactivation induced by KRAS<sup>G12C</sup> inhibition using a unique and  
389 useful screening procedure: Mix Culture Assay [22, 24]. Our data indicated that the  
390 combined inhibition of KRAS with MEK showed a stronger inhibitory effect on CRC  
391 proliferation than single KRAS inhibition or in combination with other RTK inhibitors.  
392 We also found that cells with KRAS mutations showed resistance to anti-EGFR  
393 antibodies, as reported by Koyama et al. [22], which was alleviated by the combination  
394 treatment with KRAS inhibitor in cells with the KRAS<sup>G12C</sup> mutation. Moreover, the  
395 simultaneous inhibition with KRAS, MEK, and EGFR inhibitors suppressed the  
396 proliferation of CRC cells more effectively than single or two-drug therapy *in vivo*. The

397 combination therapy also effectively inhibited the proliferative activity of resistant cell  
398 lines, suggesting that it may overcome the real-world problem of drug resistance  
399 acquisition. Notably, the combination of the three drugs at the doses used in this study did  
400 not induced weight loss in mice. Furthermore, as these agents have been previously used  
401 in clinical practice, this three-drug combination therapy is expected to be a feasible  
402 treatment in humans and could be a promising therapeutic strategy in the near future.

403         In addition, drug resistance could occur by other mechanisms, such as a gain of  
404 a stem cell-like state. Cancer stem cells have been associated with the development of  
405 CRCs, drug resistance, recurrence, and metastasis [29, 30]. In this study, although we did  
406 not analyze the effect of stem cells, we revealed that the resistance signal associated with  
407 the EGFR and RAS/MAPK pathways was overcome by the upstream vertical inhibition.  
408 In addition, we did not investigate the effect of the tumor microenvironment because our  
409 study was limited to xenograft models using nude mice. Therefore, there might be  
410 additional signaling from tumor stroma that activates other signals in cancer cells, which  
411 should be investigated in future studies.

412         The present study suggests that vertical inhibition of the target single-RTK  
413 pathway can effectively overcome the resistance feedback reactivation induced by  
414 KRAS inhibitors in CRC [27, 28]. These results provide important insights into the

415 development of treatments for other KRAS mutations. In CRC, KRAS mutations  
416 frequently occur in G12D (28–34%), G12V (17–18%), and G13D (18–20%), and less  
417 frequently in G12C (5–10%) [9, 31, 32]. Recently, a selective, noncovalent inhibitor  
418 targeting KRAS<sup>G12D</sup> mutation, MRTX1133, has been developed [33]. MRTX1133  
419 showed a promising antitumor effect in KRAS<sup>G12D</sup> pancreatic cells *in vitro* and *in vivo*.  
420 Although clinical trials with different KRAS<sup>G12D</sup> inhibitors are expected, resistance  
421 similar to KRAS<sup>G12C</sup> inhibitors in CRC might still occur. Nevertheless, a simultaneous  
422 vertical inhibition targeting feedback reactivation would be an effective treatment in  
423 patients with CRC, despite resistance to KRAS inhibitors.

424         Patients with CRC with KRAS mutation have a poor prognosis because anti-  
425 EGFR antibodies are ineffective against KRAS-mutated cells [7, 8]. However, our data  
426 showed that combining EGFR inhibitors with KRAS<sup>G12C</sup> inhibitors can alleviate the  
427 resistance of KRAS-mutated cells to anti-EGFR antibodies. In KRAS-mutant CRC,  
428 mutant KRAS is continuously active, promoting the proliferation of tumor cells.  
429 Inhibition of mutant KRAS leads to the suppression of stimulation to RTKs. Thus, we  
430 observed an antitumor effect of anti-EGFR antibodies on KRAS<sup>G12C</sup> mutant CRC cells in  
431 combination with KRAS and MEK inhibitors. Combination therapy with mutant-KRAS  
432 inhibitors, MEK inhibitors, and anti-EGFR antibodies to suppress adaptive feedback



433 reactivations is expected to significantly improve the prognosis of patients with CRC with  
434 mutant KRAS with drug resistance and poor prognosis. Similar to how anti-EGFR  
435 antibodies have dramatically improved the prognosis of CRC with wild-type KRAS,  
436 combination therapy based on mutant-KRAS inhibitors may revolutionize the treatment  
437 of CRC with KRAS mutations. Furthermore, therapeutic strategies targeting other gene  
438 mutations in CRC may also be effective.

439

## 440 **5. Conclusion**

441 This study suggests that feedback reactivation of the MAPK pathway and EGFR  
442 may play a key role in the resistance signal to sotorasib in CRC, and that the combination  
443 of MEK inhibitors and anti-EGFR antibodies with sotorasib may overcome this resistance  
444 signal and enhance the antitumoral effects against CRC. This three-drug combination  
445 therapy may become a promising strategy for patients with KRAS<sup>G12C</sup>-mutated CRC.

446

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452

### 453 **Data availability**

454 The data generated in this study are available within the article and its supplementary data  
455 files.

456

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549

550

551 Figure Legends

552 Figure 1. Feedback reactivation of the RAS/MAPK pathway occurs following treatment  
553 with sotorasib in colorectal cancer (CRC) cell lines. A) CRC and non-small cell lung  
554 cancer (NSCLC) cell lines with various KRAS statuses were treated for 7 h with an  
555 increasing concentration of sotorasib, and proliferation was measured by CCK-8 assay.  
556 NCI-H358, Calu-1 and NCI-H3122 are NSCLC cell lines, and SW837, SW1463,  
557 CACO-2, SW48 and DLD-1 are CRC cell lines. NCI-H358, Calu-1, SE837 and  
558 SW1463 have the KRASG12C mutation. B) Cell lines with wild-type KRAS and  
559 KRASG12C were treated with sotorasib (1  $\mu$ M) for 0, 4, and 24 h. Western blot analysis  
560 was performed to analyze the expression of proteins related to the EGFR, RAS/MAPK,  
561 and PI3K/AKT pathways, and  $\beta$ -actin was used as a loading control.

562

563 Figure 2. Feedback reactivation of the RAS/MAPK pathway occurs following treatment  
564 with sotorasib in KRAS gene-transduced CRC cells. Wild-type and mutant KRAS genes  
565 (G12C and G12D) were transduced into CACO-2 and SW48, wild-type KRAS CRC  
566 cell lines. A) Transduction was confirmed using an anti-FLAG antibody. B) CACO-2  
567 and C) SW48 transduced with wild-type and mutated KRAS genes were treated with  
568 sotorasib (1  $\mu$ M) for 0, 4, and 24 h. Western blot analysis was performed to analyze the



569 expression of proteins related to the EGFR, RAS/MAPK, and PI3K pathways, and  $\beta$ -  
570 actin was used as a loading control.

571

572

573 Figure 3. Mix culture assay for screening to find therapeutics effective for co-inhibition  
574 with sotorasib. A) The relative proliferation rate (RPR) of KRASG12C transduced cells  
575 decreased in a concentration-dependent manner of KRASG12C inhibitors. KRASG12C  
576 inhibitors selectively reduce RPR with KRASG12C transduced cells. B, C) The RPRs  
577 of combination treatment with sotorasib in KRASG12C transduced cells. B) MEK  
578 inhibitor enhanced the reduction of the RPR with sotorasib, and the combination  
579 treatment of sotorasib and trametinib decreased RPR, specifically in KRASG12C  
580 transduced cells. C) Anti-EGFR antibodies induced high RPR in a dose-dependent  
581 manner. The combination treatment of sotorasib and cetuximab reduced the RPR in  
582 KRASG12C transduced cells. \* $P < 0.05$  and \*\* $P < 0.01$ .

583

584 Figure 4. MEK inhibitor and anti-EGFR antibody enhance the efficacy of sotorasib. A)  
585 CACO-2 and SW48 transduced KRASG12C mutation were treated with sotorasib (1  
586  $\mu\text{M}$ ), trametinib (1 nM), and/or cetuximab (5  $\mu\text{g}/\text{mL}$ ) for 24 h, and cell lysate was

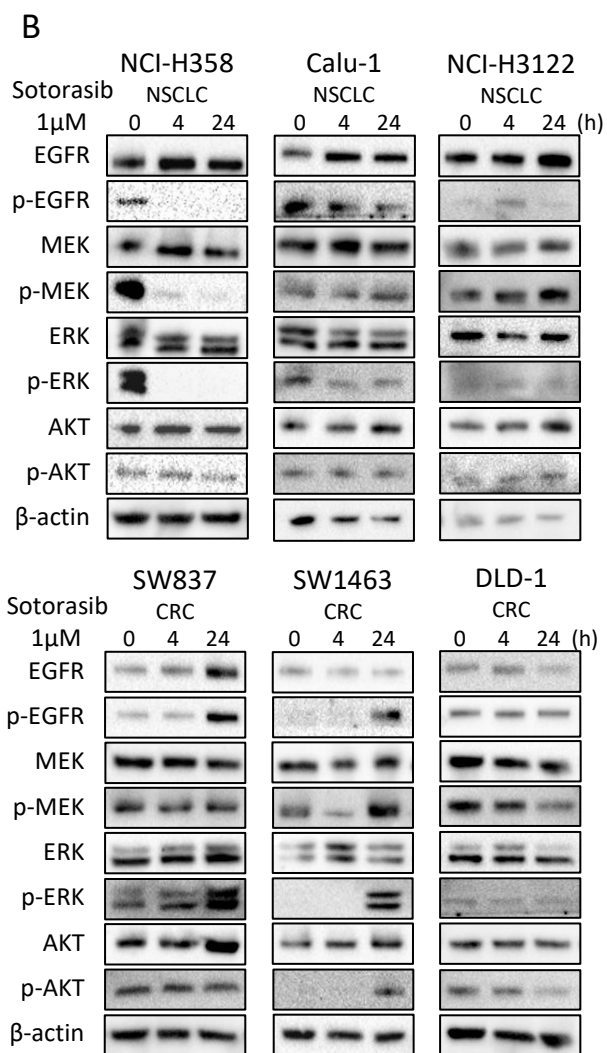
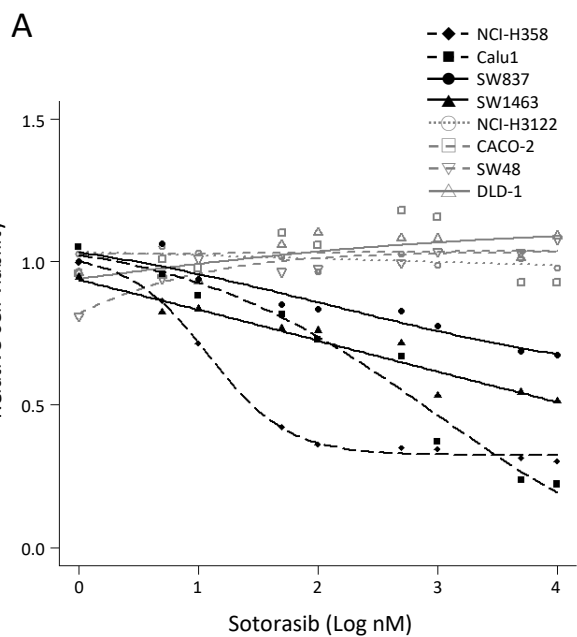
587 collected. Western blot analysis was performed to analyze the expression of proteins  
588 related to the EGFR and MAPK pathways, and  $\beta$ -actin was used as a loading control. B)  
589 CACO-2 and SW48 transduced with wild-type and mutated KRAS were treated with  
590 sotorasib (1  $\mu$ M), trametinib (1 nM), and/or cetuximab (5  $\mu$ g/mL) for 24 h, and  
591 proliferation was assessed by the CCK-8 assay. The combination use of these drugs  
592 showed significant growth inhibition to KRASG12C-induced cells. C, D) Sotorasib-  
593 resistant and non-resistant cells of CACO-2 transduced KRASG12C mutation were  
594 treated with sotorasib (1  $\mu$ M), trametinib (1 nM), and/or cetuximab (5  $\mu$ g/mL) for 24 h.  
595 Activation of the EGFR and MAPK pathways was analyzed using western blot analysis  
596 (C). Proliferation was assessed using the CCK-8 assay (D). \*P<0.05 and \*\*P<0.01.

597

598 Figure 5. Combination therapy of sotorasib, trametinib, and cetuximab is effective in  
599 vivo. A) KRAS-transduced CACO-2 xenografts were treated with sotorasib (1.0 mg/kg  
600 orally once a day) for 21 d. Sotorasib showed a significant effect on tumor reduction  
601 compared to the control group in G12C. B) CACO-2 with KRASG12C xenograft  
602 treated with sotorasib (0.5mg/kg orally once a day), trametinib (0.05mg/kg orally once a  
603 day), cetuximab (50mg/kg intraperitoneally once a week), or combination for 21 d. The  
604 three-drug combination significantly inhibited tumor growth compared to the single or

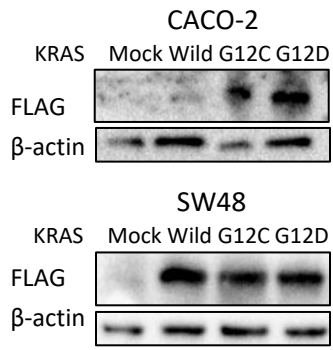
605 double-drug treatment. C) Immunohistochemistry analysis of KRASG12C-transduced  
606 CACO-2 xenograft models. Statistical significance was evaluated compared to the  
607 relative size of the 21st day, \*P<0.05 and \*\*P<0.01.

# Figure 1

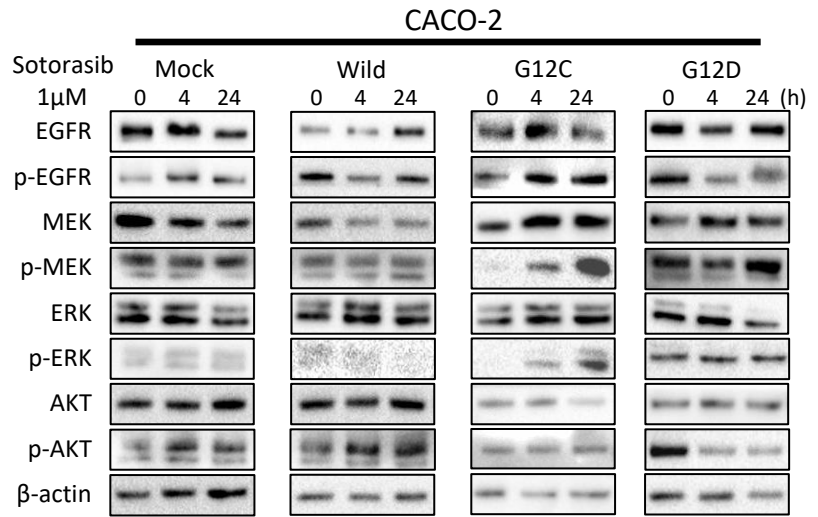


# Figure 2

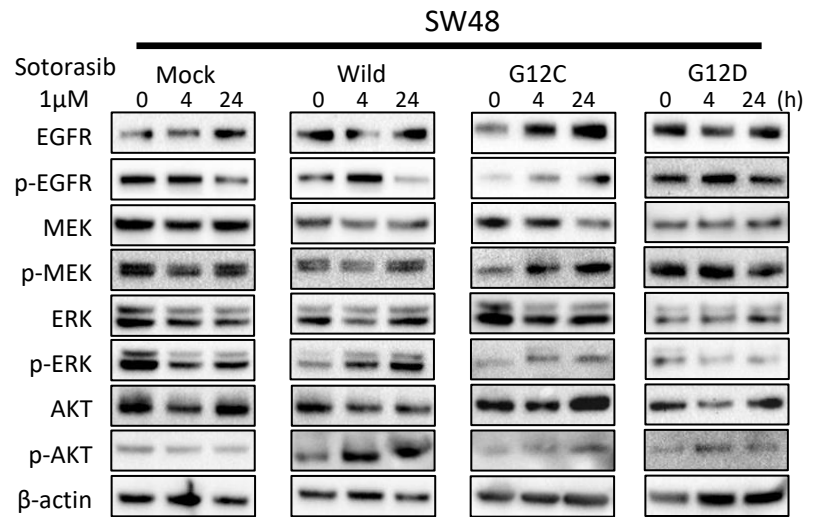
## A



## B



## C



**Figure3**

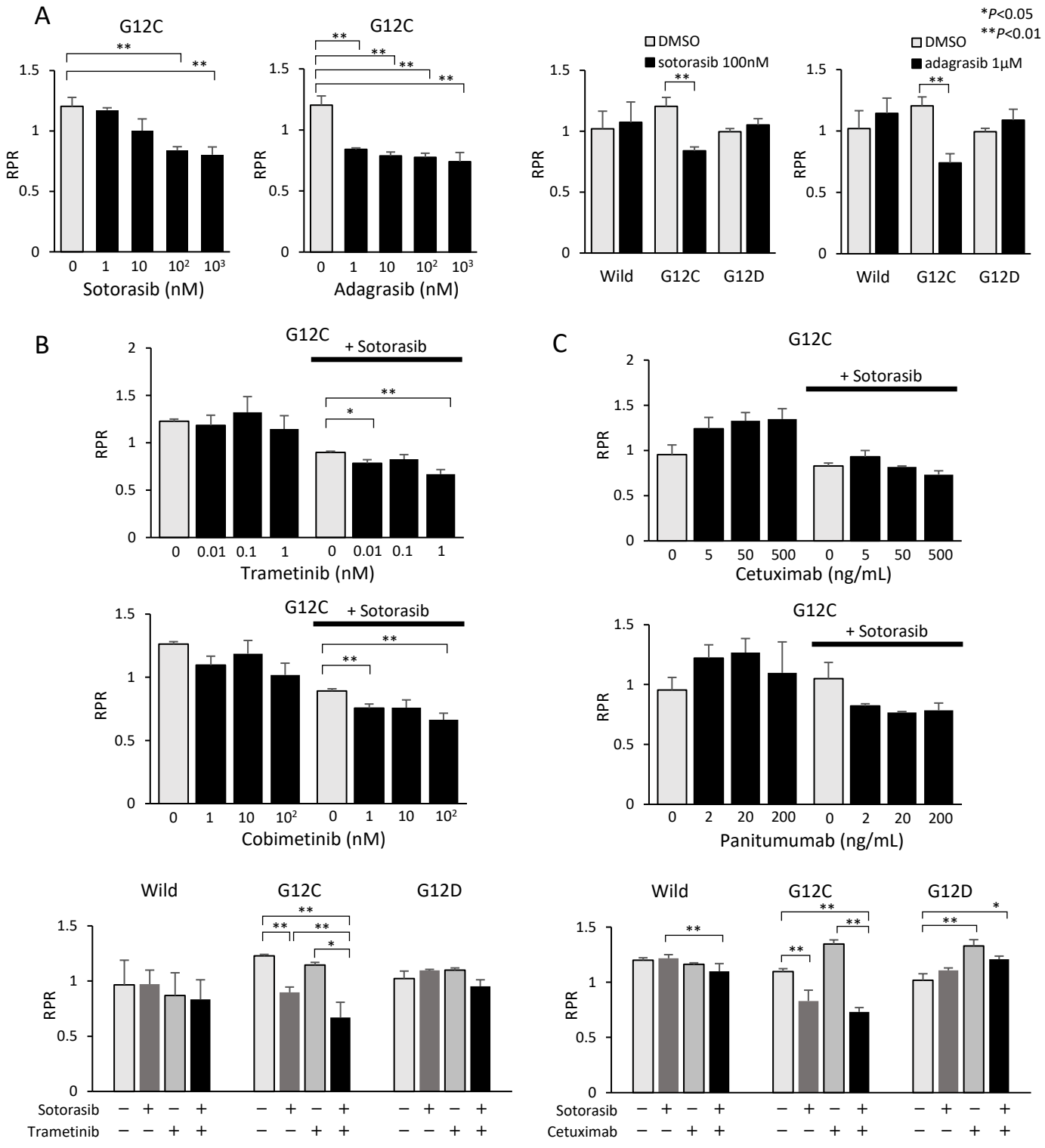


Figure 4

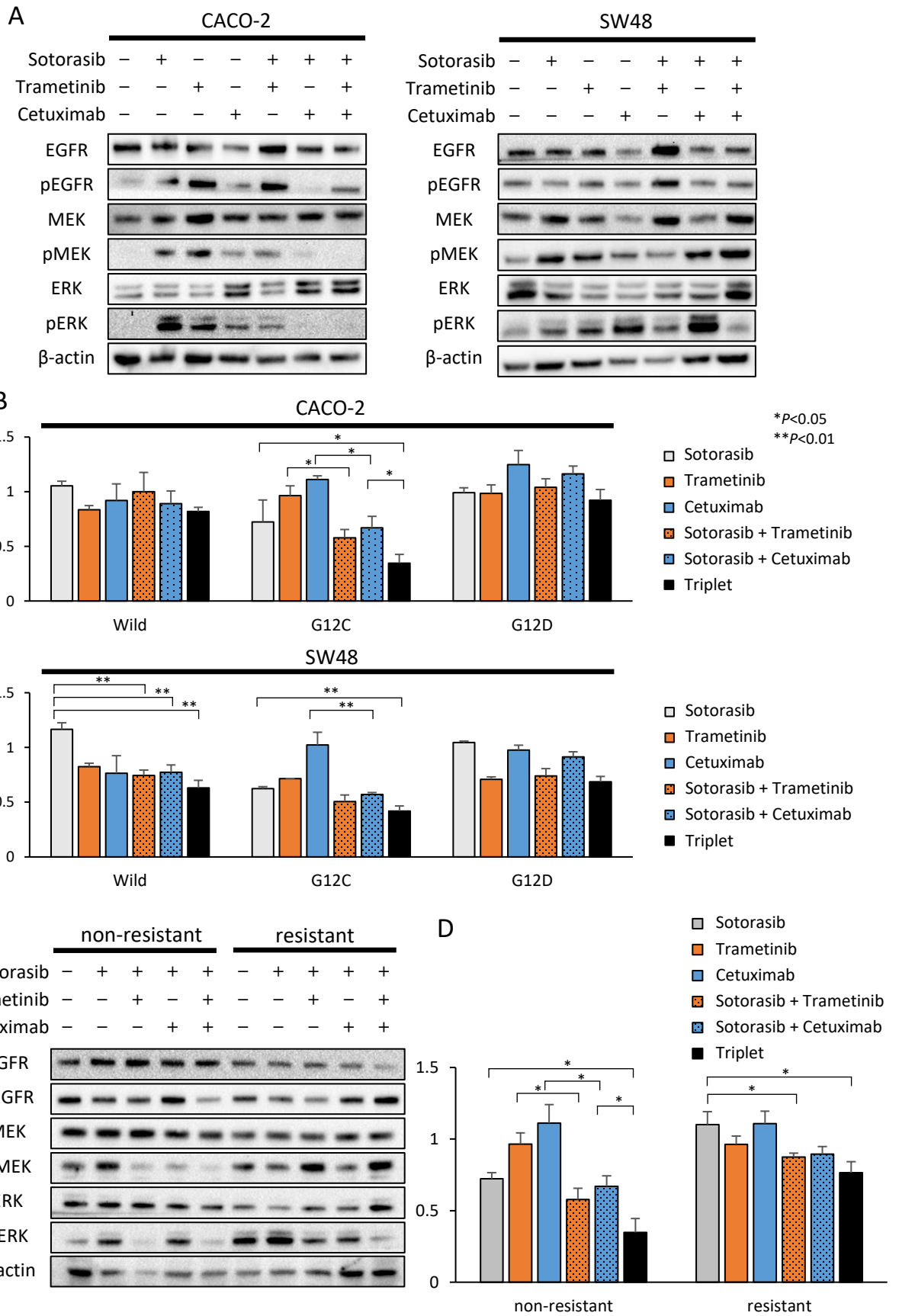
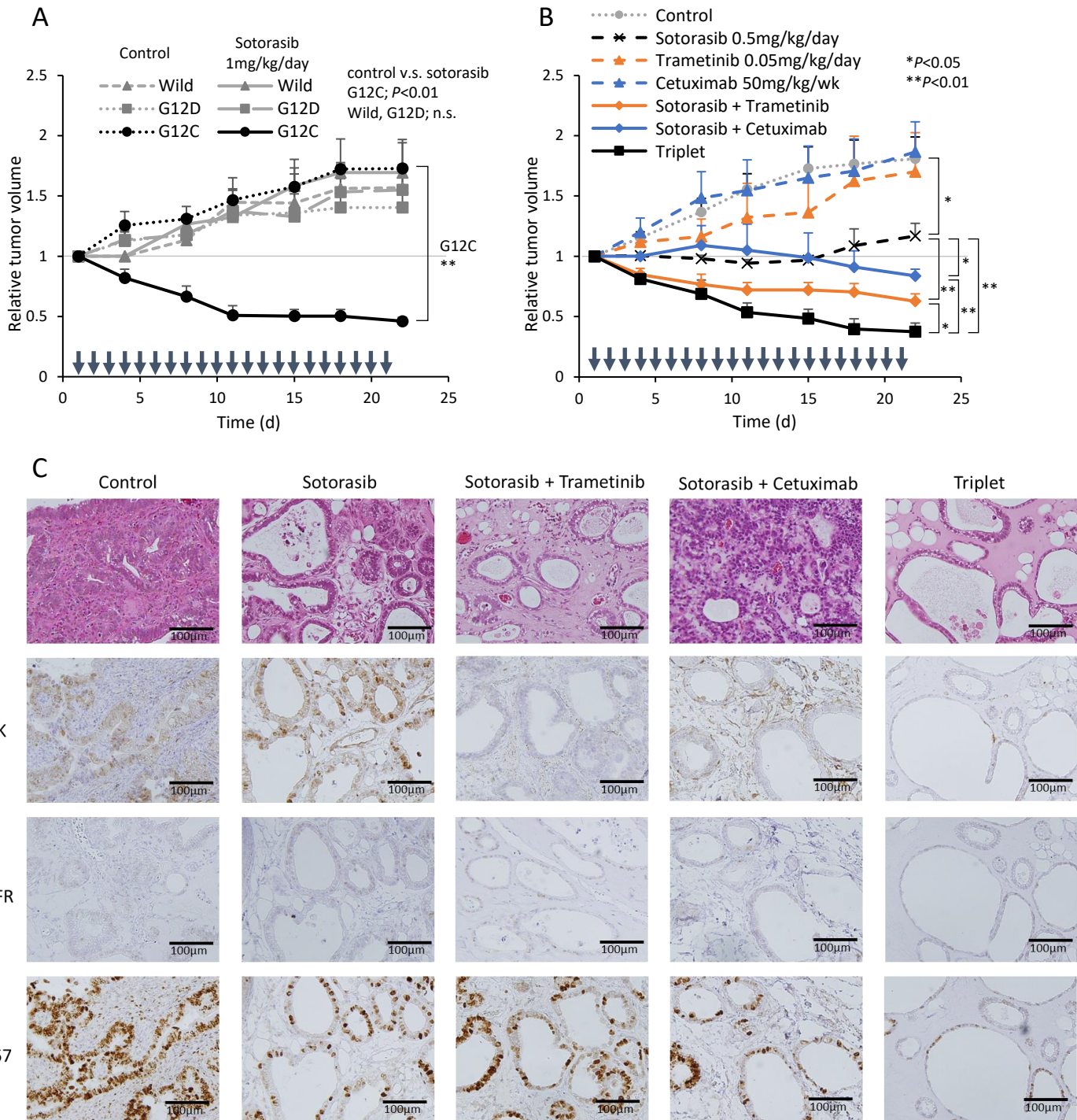


Figure 5





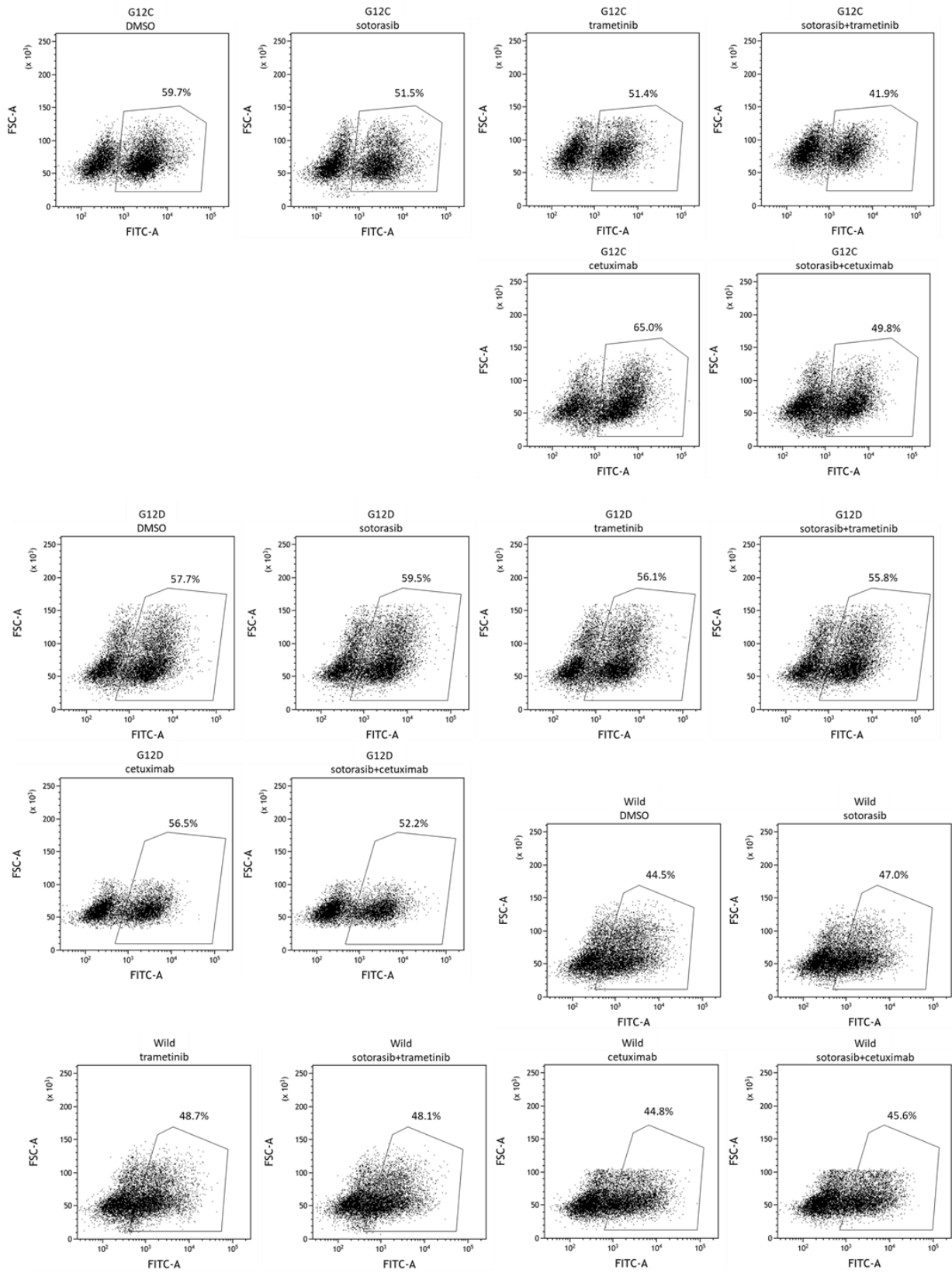
## Supplementary Table S1

Relative cell viability of each cell lines with KRAS<sup>G12C</sup>

Relative cell viability (5 $\mu$ M of sotorasib)		<i>p</i> value	
NCI-H358	0.31 $\pm$ 0.02	0.0167(vs SW837)	>0.001(vs SW1463)
Calu-1	0.24 $\pm$ 0.05	0.0108(vs SW837)	>0.001(vs SW1463)
SW837	0.69 $\pm$ 0.11		
SW1463	0.54 $\pm$ 0.03		

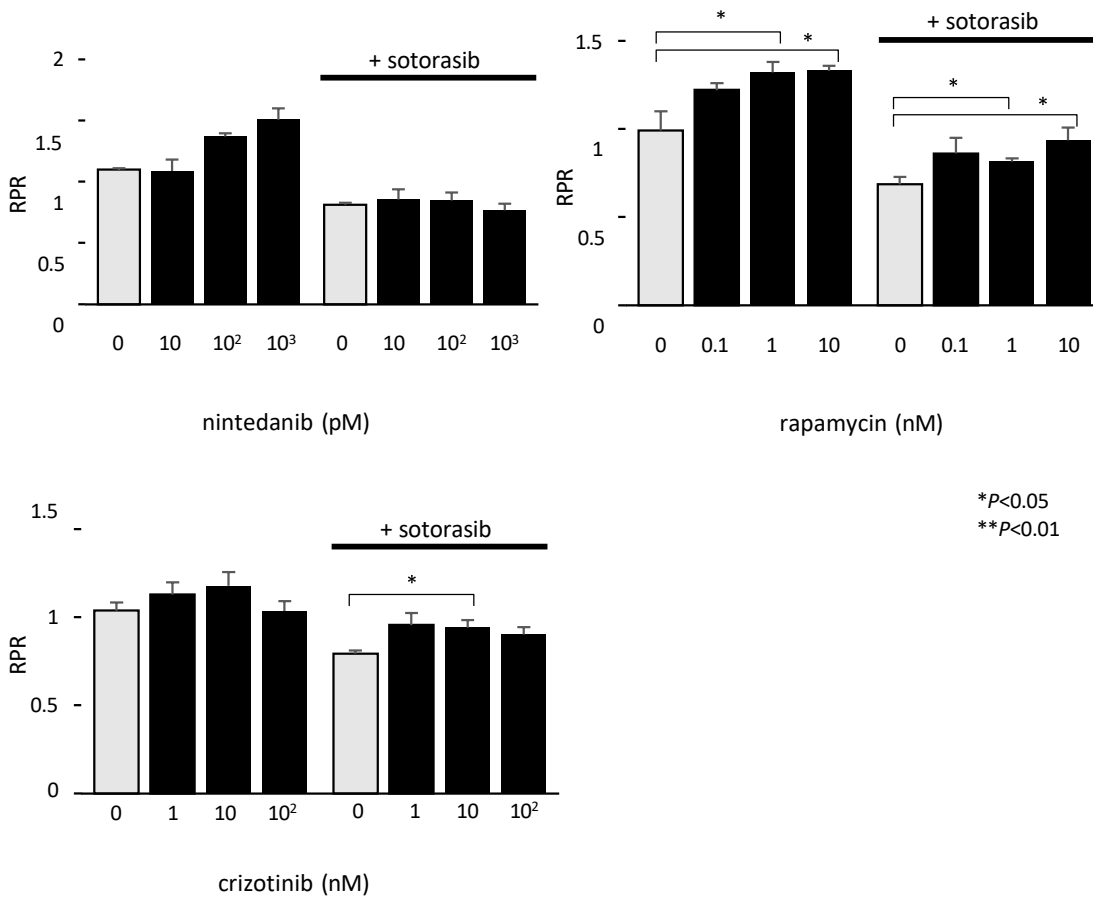
Colorectal cancer (CRC) and non-small cell lung cancer (NSCLC) cell lines with KRAS<sup>G12C</sup> were treated for 72 h with 5  $\mu$ M of sotorasib, and the proliferation was a by CCK-8 assay. The relative cell viability of each cell was shown. The cell viability was compared between NSCLC and CRC cells.

# Supplementary Figure S1



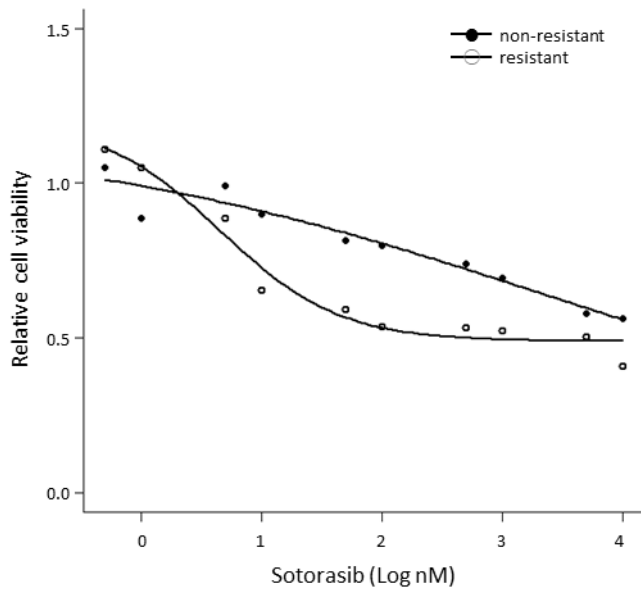
The plots of flow cytometry experiments. The GFP-positive ratio after treatment with sotorasib, trametinib, and/or cetuximab.

## Supplementary Figure S2



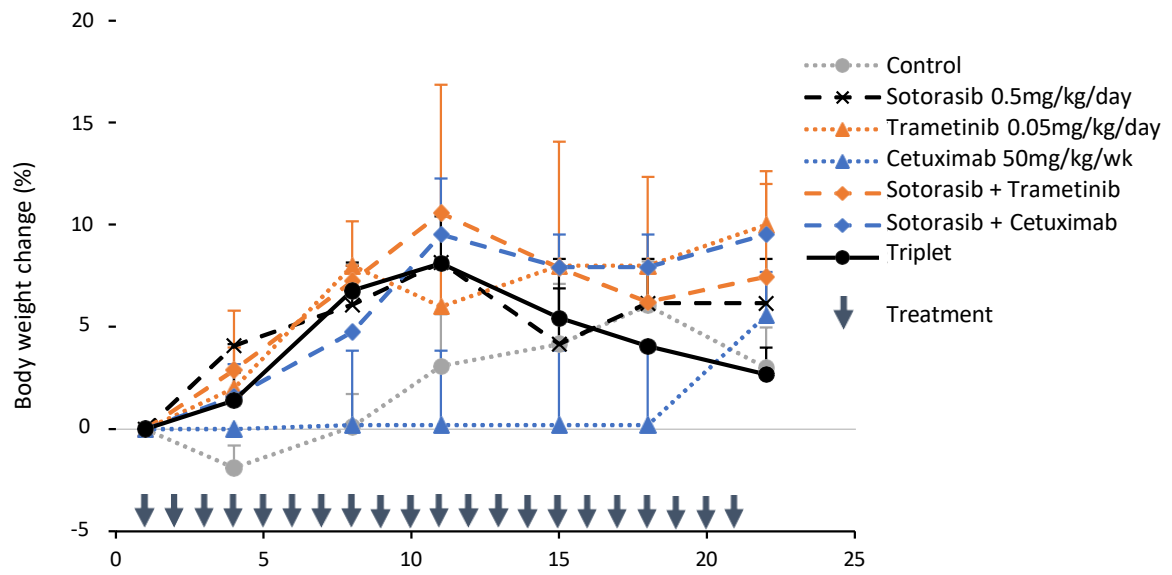
Mix culture assay for screening of effective therapeutics for co-inhibition with sotorasib. These drugs did not decrease the relative proliferation rate (RPR) when combined with sotorasib.

## Supplementary Figure S3



We established sotorasib-resistant and non-resistant cell lines of KRASG12C-transduced CACO-2 cells. The drug sensitivity of sotorasib is shown.

## Supplementary Figure S4



The body weight changes of CACO-2 with KRAS<sup>G12C</sup> xenograft mice during combined treatments.