

1 **Original research**

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3 Effects of a micro-thread at the implant neck on securing the quantity and quality of bone formation around
4 implants

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24 Running title: Effects of a micro-thread at the implant neck on securing the quantity and quality of bone
25 formation

1 Abstract

2 Objective: The purpose of this study was to examine the effects of different implant neck designs on
3 securing the quantity and quality of peri-implant hard tissue during the period of healing after implant
4 placement.

5 Materials and Methods: Three types of implants with different neck morphologies (no thread, a
6 macro-thread, and a micro-thread) were placed in the femur and tibia of six adult male New Zealand white
7 rabbits. After 3 and 8 weeks, animals were sacrificed and subjected to micro-computed tomography (CT)
8 and histological assessments.

9 Results: All implants were clinically, radiographically, and histologically osseointegrated at the time of
10 euthanasia. Micro-CT data revealed that the micro-thread design had a higher number and wider trabecular
11 bone attachment than other implant designs after 3 and 8 weeks. The results of toluidine blue staining
12 demonstrated that the percentages of bone-to-implant contact (%BIC) and new bone area (%NBA) were
13 significantly higher with type C than with the other types after 3 weeks. After 8 weeks, the %NBA of type
14 C was higher than that of type A.

15 Conclusion: Our results suggest that an implant with a micro-thread at the implant neck promotes faster
16 osteogenesis and a greater amount of new bone around the implant.

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1 **1. Introduction**

2 Implant therapy is regarded as a safe and reliable method for patients with complete or partial
3 edentulism [1,2]. While the current 5-year survival rate of modern titanium implants is greater than 95%,
4 their success in elderly and compromised patients is significantly lower [3-5]. There are two main causes of
5 implant failure: biological and mechanical. The quantity and quality of bone supporting dental implants are
6 some of the key factors that influence implant success [6,7].

7 Previous studies demonstrated that the surface geometry of an implant is a key factor affecting the implant
8 success rate [8-10]. Implants with a rough surface have been shown to stimulate more bone formation,
9 directly influencing the behavior of bone-forming cells by a mechanical stimulation and interactions with
10 the intracellular signaling pathways mediated by focal adhesions [11]. Furthermore, a close relationship has
11 been reported between marginal bone loss and implant surface characteristics [12,13]. A dental implant
12 design with horizontal grooves or mini-threads near the top of the implant is known to reduce marginal
13 bone loss [12,14,15]. Based on finite element studies, Hansson and Norton suggested the use of optimal
14 minute threads at the implant neck in implants because they may prevent stress concentration in the implant
15 and bone interface and simultaneously provide suitable stresses to maintain osseointegration [16,17].

16 However, few studies have evaluated the effects of different implant neck designs on bone formation [18].

17 The formation of new bone mainly occurs by the deposition of a bone matrix secreted by osteoblasts.
18 Osteopontin has been implicated as an important factor in bone remodeling. Osteopontin is a
19 multifunctional phosphorylated glycoprotein secreted by osteoblasts, and has been suggested to occur at
20 early stage during bone development and to promote attachment of osteoblasts to the extracellular
21 matrix[19]. It plays also a role in anchoring osteoclasts to the mineral matrix of bones [20]. Osteocalcin is a
22 marker of bone formation, vitamin K and vitamin D dependent protein, produced by osteoblasts[21].

23 Therefore, the aim of the present study was to examine the effects of different implant neck designs
24 on securing the quantity and quality of peri-implant hard tissue after implant placement using a
25 histomorphometric analysis.

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2. Materials and Methods

2.1. Implant neck design

Three types of endosseous titanium implants with different neck morphologies, but the same blast surface (neck diameter 2.9 mm and body length 3.5 mm, with the same body thread, manufactured by Yoshioka Co., Ltd., Nagano, Japan) were used in the present study (Figure 1).

Type A: No thread at the implant neck.

Type B: A macro-thread at the implant neck (valley diameter 2.7 mm, depth of the thread 0.3 mm, and thread pitch 0.7 mm).

Type C: A micro-thread at the implant neck (valley diameter 2.7 mm, depth of the thread 0.1 mm, thread pitch 0.1 mm, and thread angle 60°).

2.2. Surgical procedure and study protocol

Six male New Zealand white rabbits (age: 6 months, weight: 3.5–4.0 kg) were used in the present study.

Implants were placed in the femur and tibia of the rabbits; one implant in the distal femoral condyle and two implants in the proximal tibial metaphysis alternatively. Thirty-six implants were placed in 6 rabbits.

Under general anesthesia induced by 3% pentobarbital (30 mg/kg/i.v.; Somnopenyl; Kyoritsu Seiyaku, Japan) and 2% lidocaine (1 ml i.m.; AstraZeneca, Osaka, Japan), all implants were placed at 35Ncm following the manufacturer’s guidelines with a 2.5-mm final drill and primary close sutures.

Postoperatively, each animal received penicillin to prevent infection (50000 IU/kg on the day of surgery and for the next 3 days). After 3 and 8 weeks, animals (n=3) were sacrificed by an overdose of pentobarbital, all implants and surrounding bone tissue were retrieved *en bloc*, fixed by immersion in 10% neutral buffered formalin, and subjected to radiological and histological assessments.

All experimental protocols were approved by the Shinshu University Animal Care and Use Committee.

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2.3. Radiological assessment (Micro-computed tomography (CT))

Specimens including the implants were assessed by high-resolution micro-CT (RmCT; i-VIEW-R 1.26, Rigaku, Tokyo, Japan) using an X-ray source set at 80 kV and 80A over an angular range of 360° with a magnification of 4. At a representative vertical central image of the implant, trabecular bone attachments to the lateral surface of the implant (TBN; trabecular bone number per implant height, TBT; trabecular bone thickness, and TBS; trabecular bone separation (mm) as the distance between trabecular bones) were assessed (Figure 2). All counts and measurements (to the second decimal point in mm) were performed three times at different time points with a random sample order and average values were calculated.

2.4. Histological assessment

The samples retrieved from the tibias were dehydrated in a graded series of ethanol and embedded in light-curing resin (Technovit 7200 VLC, Kulzer, Wehrheim, Germany). Cut and ground sections were prepared from the embedded blocks with a final thickness of 30 µm, and then stained with 1% toluidine blue. Sections at a representative vertical center of the implant were observed using a light microscope (Biorevo BZ-9000, Keyence, Osaka, Japan). After digitizing the image of each specimen, the percentage of bone-to-implant contact (%BIC; mm of bone contact/implant height) and the percentage of new bone area (%NBA; mm of newly formed bone/implant height) were measured using ImageJ and Image Pro plus (Media Cybernetics Inc, Bethesda, MD, USA). All measurements were conducted three times at different time points with a random sample order, and average values were calculated.

2.5. Immunohistological analysis

The samples retrieved from femurs were decalcified in 10% EDTA for two months. After removing the implants, bone samples were dehydrated in a graded series of ethanol and embedded in paraffin. Vertically cut sections at the representative center of the implant were prepared from embedded blocks with

1 a final thickness of 5 μm for immunohistochemical staining.

2 The appearance of the osteoblast differentiation markers osteopontin and osteocalcin within the
3 healing bone was assessed using the corresponding antibody (anti-osteopontin antibody O7264,
4 Sigma-Aldrich, St. Louis, USA; anti-osteocalcin antibody ab13418, Abcam, Cambridge, UK). After
5 deparaffinization and hydration, slices were fixed in 3% hydrogen peroxide formaldehyde solution at room
6 temperature for 30 minutes in order to block endogenous peroxidase reactivity, and were then incubated
7 with primary antibodies and diluted anti-osteopontin antibody (1:200) or anti-osteocalcin antibody (1:100)
8 at 4°C overnight. After the sections were rinsed with Tris-HCL Buffer Saline (TBS), they were incubated
9 with an anti-rabbit/mouse secondary antibody at room temperature for 30 minutes. After rinsing with TBS,
10 sections were treated with 3-3'-diaminobenzidine tetrahydrochloride (DAB) solution to visualize the
11 reaction product. Positive cells near the implant bone interface were counted three times at different time
12 points with a random sample order, and average numbers (per mm) were calculated.

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14 2.6. Statistical analysis

15 Statistical analyses were performed using Graphpad Prism 6 (Graphpad Software, California,
16 USA). The Kruskal-Wallis and Dunn's multiple comparison tests were performed in order to evaluate
17 differences between implant types. P-values <0.05 were considered to be significant.

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19 3. Results

20 3.1. Radiological analysis

21 Representative micro-CT samples are shown in Figure 2. All implants were surrounded by bone
22 tissue. The results of measurements for TBN, TBT, and TBS are shown in Figure 3. Three weeks after
23 implantation, type C had significantly higher mean values for TBN and TBT than type A or B (the
24 Kruskal-Wallis and Dunn's multiple comparison tests, $p < 0.05$). Regarding TBS, type C had a significantly
25 lower mean value than type A or B (the Kruskal-Wallis and Dunn's multiple comparison tests, $p < 0.05$).

1 After 8 weeks, type C showed higher mean values for TBN and TBT as well as a lower mean value for
2 TBS than type A or B, and the differences in TBN and TBT between types B and C and in TBS between
3 types A and C were significant (the Kruskal-Wallis and Dunn's multiple comparison tests, $p < 0.05$).

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5 3.2. Histological analysis

6 Representative histological samples are shown in Figure 4. Complications, such as allergic reactions,
7 abscesses, or infections, were not observed in any of the specimens tested. After 3 weeks, osteogenetic
8 activity peaked and large amounts of new bone were observed. There were clear boundaries between new
9 bone and old cortical bone. After 8 weeks, the new bone mass had increased and matured.

10 The results of the assessments of %BIC and %NBA are shown in Figure 5. Three weeks after
11 implantation, Type C showed significantly higher mean %BIC as well as %NBA than type A or B (the
12 Kruskal-Wallis and Dunn's multiple comparison tests, $p < 0.05$). At 8 weeks, type C showed higher mean
13 values for %BIC and %NBA than type A or B, and the difference in %NBA between types A and type C
14 was significant (the Kruskal-Wallis and Dunn's multiple comparison tests, $p < 0.05$).

15

16 3.3. Immunohistochemical analysis

17 The removed implants were observed by scanning electron microscopy (SEM) and revealed that only
18 sporadic bone tissue remained on the implant surface. In immunohistochemical staining, selected images
19 demonstrating immunoreactivities for osteopontin and osteocalcin, as indicated by brown cellular staining,
20 are shown in Figure 6. Staining showed that a large number of brown-stained osteoblasts were located at
21 the bone-implant interface, particularly at the valley of the micro-threads. The positive cell numbers for
22 osteopontin and osteocalcin were also summarized in Figure 6. Three weeks after implantation, type C had
23 more positive cells for osteopontin and osteocalcin than type A or B. Eight weeks after implantation, type C
24 still had more positive cells for osteocalcin than the other two types. However, the number of samples for
25 immunohistochemical staining ($n=2$) was too small for a statistical analysis between the types.

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4. Discussion

The use of dental implants has become an integral part of modern dentistry. Since the introduction of implants, related research has not ceased. Most studies focus on how to achieve high-quality osseointegration as soon as possible [22]. Previous studies have focused on the texture of the implant surface, and the findings obtained showed that a rough surface may promote the growth of new bone [8,9,11]. On the other hand, macro-morphology has been suggested as one of the key factors affecting implant success [10,18]. In order to assess the influence of macro-morphology, particularly at the implant neck, and exclude the possible effects of the micro-topography of the implant surface, three types of different neck implant macro-designs with the same roughened surface were compared in the present study.

The present results showed that type C had a significant advantage for new bone formation and a subsequent increase in implant-to-bone contact. Three weeks after implantation, type C showed significantly greater new bone formation (%NBA) and a larger area of bone implant contact (%BIC) than types A and B. This result was consistent with those obtained in the radiological assessment, which showed the type C secured a significantly higher number (TBN) and amount of trabecular bone (TBT) at the implant-bone interface than types A and B. At 8 weeks, although the advantages of type C remained, they were less pronounced. Chowdhary et al. implanted two different macrogeometry-modified implants (a macro-thread only vs. a micro-thread between the macro-threads) in the rabbit tibia for four weeks and a histological assessment revealed no significant differences in NBA and BIC between these implants [10]. These findings were not in agreement with our results. We speculated that this disagreement may have been due to differences in the implant macro-design employed. In Chowdhary’s study, a micro-thread was designed between macro-threads and, thus, there was a wide gap between the micro-thread and bone surface of the prepared implant cavity. On the other hand, in the present study, we employed a tapered implant design and a micro-thread was created at the implant neck; therefore, the micro-thread made mechanical contact with the surrounding bone surface (Fig. 7). During implant placement, since the

1 diameter of the implant hole is generally smaller than that of the implant, the threads become embedded in
2 the bone, resulting in high primary stability [23]. Due to the extrusion of micro-threads, some closed
3 micro-chambers are created between the implant threads and surrounding bone, which allows blood to be
4 held within the chamber and results in bone formation [24,25]. In the present study, we inferred that type C
5 had more micro-chambers in the neck part, which may be an important factor triggering new bone
6 formation. Therefore, we speculate that type C achieves faster and greater %BIC and %NBA than the other
7 types.

8 The formation of new bone mainly occurs by the deposition of a bone matrix secreted by osteoblasts.
9 In normal bone tissue metabolism, osteoblasts interact with osteoclasts and achieve an equilibrium state.
10 However, when the implant is inserted into the bone, bone tissue injury will activate osteoclasts and lead to
11 bone resorption. The activity of osteoclasts, in turn, activates osteoblasts and bone formation, which is the
12 switch from mechanical to biological stability. Osteopontin and osteocalcin are secreted by osteoblasts and
13 play important roles in new bone formation[19-21]. Therefore, in the present study, the activation of
14 osteoblasts was assessed using the bone biomarkers osteopontin and osteocalcin. In the present study,
15 although a statistical analysis was not performed, the number of osteoblasts in type C appeared to be higher
16 than those of the other types at 3 weeks. Toluidine blue staining showed that the micro-thread type may
17 achieve greater and faster increases in %BIC and %NBA; therefore, there may have been more osteoblasts
18 in type C because the new bone was produced by osteoblasts. Although we did not investigate the
19 underlying cellular pathway mechanism, previous studies showed that new vessels will arise near the
20 implant surface in the concavities between the threads after implantation, thereby creating a rich blood
21 supply that is beneficial to osteoblasts and new bone formation [26].

22 One of the most important functions of the threads of implants is to provide primary stability. The
23 primary stability of an implant is regarded as an important factor for achieving osseointegration and
24 assessing the timing of prosthetic loading [27]. Primary stability is achieved by a mechanical engagement
25 between the implant and host bone at the time of implant placement. Thus, implant macro-design (e.g.,

1 geometry, length, and diameter) and bone quantity and quality influence primary stability. In the present
2 study, due to the characteristics of rabbit legs, there was more cortical bone near the surface and more
3 cancellous bone in the center of the leg. Therefore, primary stability may be provided by cortical bone.
4 Cortical bone influences the primary stability of the implant and the subsequent osteogenic reaction [28]. In
5 the present study, the primary stabilities of types B and C were markedly higher than that of type A, and
6 this may have been because there were more threads on the necks of the two types of implants. A previous
7 study reported that decreasing the thread pitch may positively influence initial mechanical stability [29].
8 We speculated that in contrast to the macro-thread and no thread surface, the micro-thread clearly provides
9 better primary implant stability, which may also be beneficial for successful bone formation.

10 Previous studies suggested that a micro-thread on the implant neck is a key feature for successful
11 implant treatment. Peri-implant bone loss was found to be reduced around implants with micro-threads at
12 the implant neck [31,32]. The use of micro-threads in the neck region is being recognized as an efficient
13 strategy to organize the transmitted stress through cortical bone. Micro-threads are considered to increase
14 the axial stiffness of the implant and decrease shearing stresses in cortical bone more than standard threads,
15 which may positively contribute to maintaining the bone crest surrounding the implant [33,34]. However,
16 the effects of micro-threads located at the implant neck on securing the quality and quantity of osteogenesis
17 around the implant currently remain unknown. The results of the present study showed that an implant with
18 a micro-thread at the implant neck may promote faster osteogenesis and a greater amount of new bone
19 around the implant after implant placement. Micro-threads at the implant neck secured primary implant
20 stability and more rapidly induced a larger amount of new bone formation around the implant, which may
21 be favorable for achieving early osseointegration and less peri-implant bone loss.

22 The strength of the present study was that it examined the effects of implant neck design on early
23 osteointegration. The limitation of this study was that the number of samples for immunohistochemical
24 staining (n=2) to demonstrate immunoreactivity for osteopontin and osteocalcin was too small for a
25 statistical analysis between the implant types.

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5. Conclusion

The results of the present study suggest that an implant with a micro-thread at the implant neck promotes faster osteogenesis and a greater amount of new cortical bone around the implant. A micro-thread at the implant neck contributes not only to reducing peri-implant bone loss, but also promoting osteogenesis around the implant

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Author Contributions

- Yinghui Li: Concept/Design, Experimental operating, Data analysis, Article writing
- Shin-ichi Yamada: Data analysis/interpretation, Critical revision of the article
- Hitoshi Aizawa: Experimental operating, Statistical analysis, Data collection
- Fangfang Qi: Experimental operating, Data collection, Drafting the article
- Tetsu Shimane: Experimental operating, Data collection
- Masafumi Morioka: Experimental operating, Data collection
- Hiroshi Kurita: Concept/Design, Critical revision of the article, Approval of the article

Disclosure of conflicts of interest

The authors state that they have no conflicts of interest.

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11 **Figure legends**

12 **Figure 1.** Three type of implants used in this study (The upper part is the schematic and the
13 lower part is SEM micrographs of the implant neck surface 200×)

14 Type A: the neck with only a blast surface without a thread (A, D)

15 Type B: the neck and body with a macro-thread (B, E)

16 Type C: the neck with a micro-thread (C, F)

17

18 **Figure 2.** Representative images by micro-CT.

19 After healing, all implants were surrounded by bone tissue (A; Type A, B; Type B, and C;
20 Type C)

21 The trabecular bone number (TBN), trabecular bone thickness (TBT), and trabecular bone
22 separation (TBS) at the implant-bone interface were calculated using a micro-CT image (D).

23

24 **Figure 3.** Comparison of average trabecular bone number (TBN), trabecular bone thickness
25 (TBT), and trabecular bone separation (TBS) among different implant neck designs

1 Type A: no thread, Type B: a macro-thread, and Type C: a micro-thread

2 *: the Kruskal-Wallis and Dunn's multiple comparison tests, $p < 0.05$

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4 **Figure 4.** Descriptive light micrographs of magnified sections of implants 3 (the upper part)
5 and 8 weeks (the lower part) after implantation

6 Type A: no thread, Type B: a macro-thread, and Type C: a micro-thread

7 Newly formed bone was observed near the implant-bone interface. NB, new bone; TB,
8 trabecular bone; BM, bone marrow. Toluidine blue 4×

9

10 **Figure 5.** Comparison of the bone implant contact ratio (%BIC) and new bone area ratio
11 (%NBA) after 3 and 8 weeks of healing.

12 Type A: no thread, Type B: a macro-thread, and Type C: a micro-thread

13 *: the Kruskal-Wallis and Dunn's multiple comparison tests, $P < 0.05$

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15 **Figure 6.** Immunohistochemical staining of osteoblast differentiation markers osteopontin
16 (the upper part) and osteocalcin (the lower part)

17 Type A: no thread, Type B: a macro-thread, and Type C: a micro-thread

18 Positive proliferating cells stained brown/black within healing tissues near the implant-bone
19 interface (arrow), particularly in the valley of the micro-thread (arrow head). 40×

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21 **Figure 7.** Macro- and micro-thread designs employed in Chowdhary's study (A) and the
22 present study (B)

23

Figures and tables

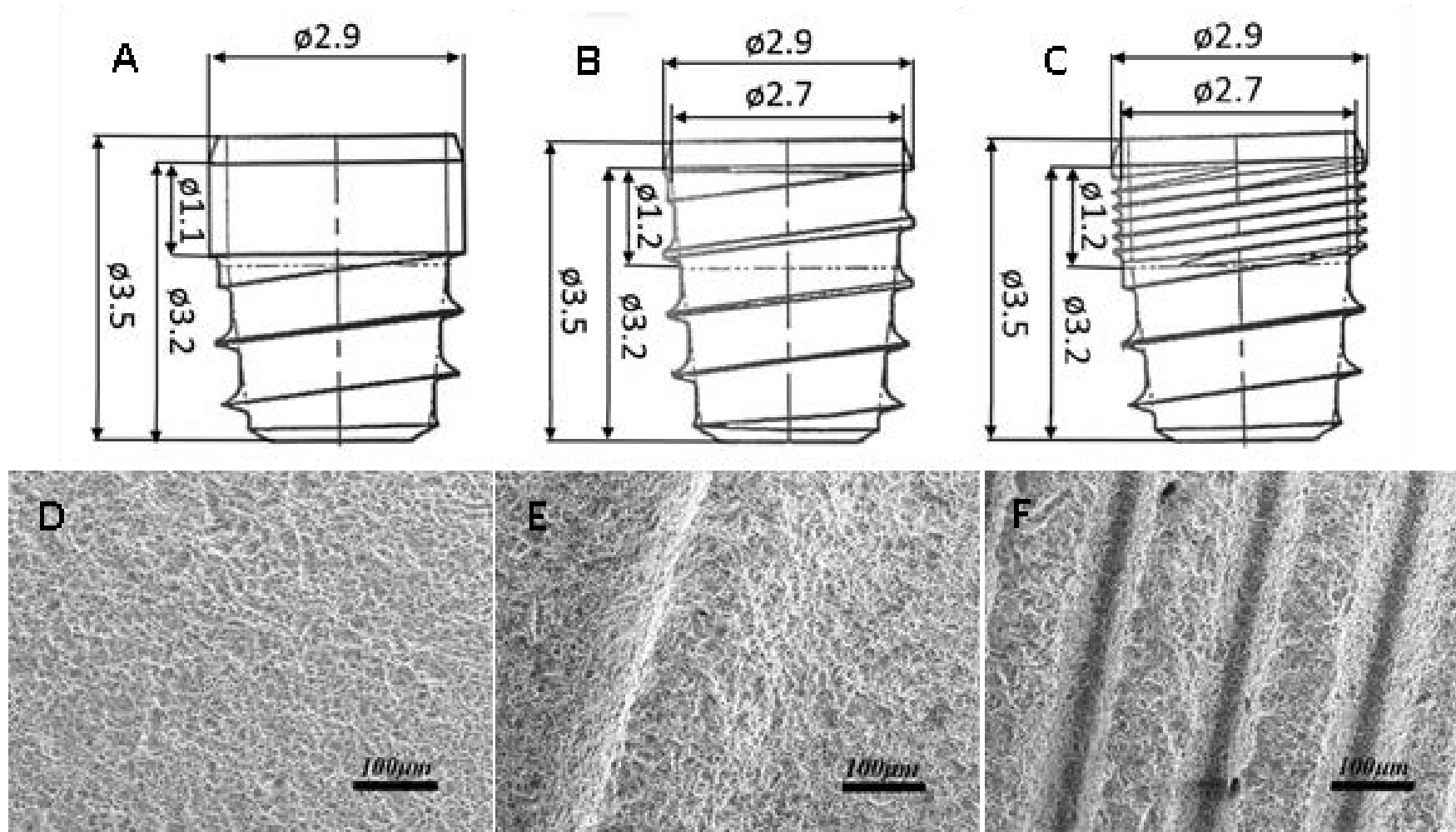


Figure 1. Three type of implants used in this study (The upper part is the schematic and the lower part is SEM micrographs of the implant neck surface 200 x)

Type A: the neck with only blast surface without thread (A, D)

Type B: the neck and body with macro-thread (B, E)

Type C: the neck with micro-thread (C, F)

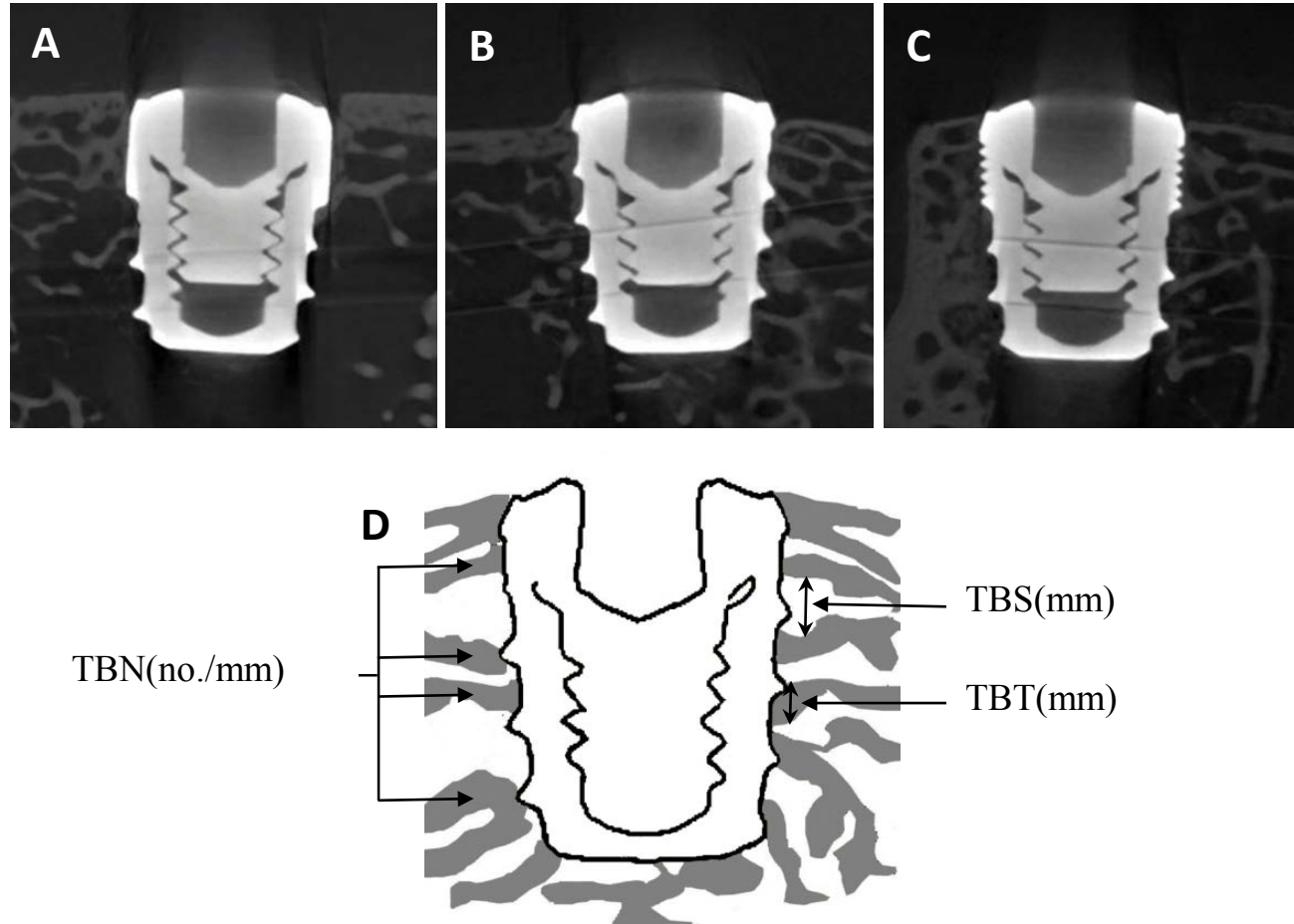


Figure 2. Representative images by micro CT.

After healing, all implants were surrounded by bone tissue (A; Type A, B; Type B, and C; Type C)

Trabecular bone number (TBN), trabecular bone thickness (TBT) and trabecular bone separation (TBS) at the implant-bone interface were calculated using micro CT image (D).

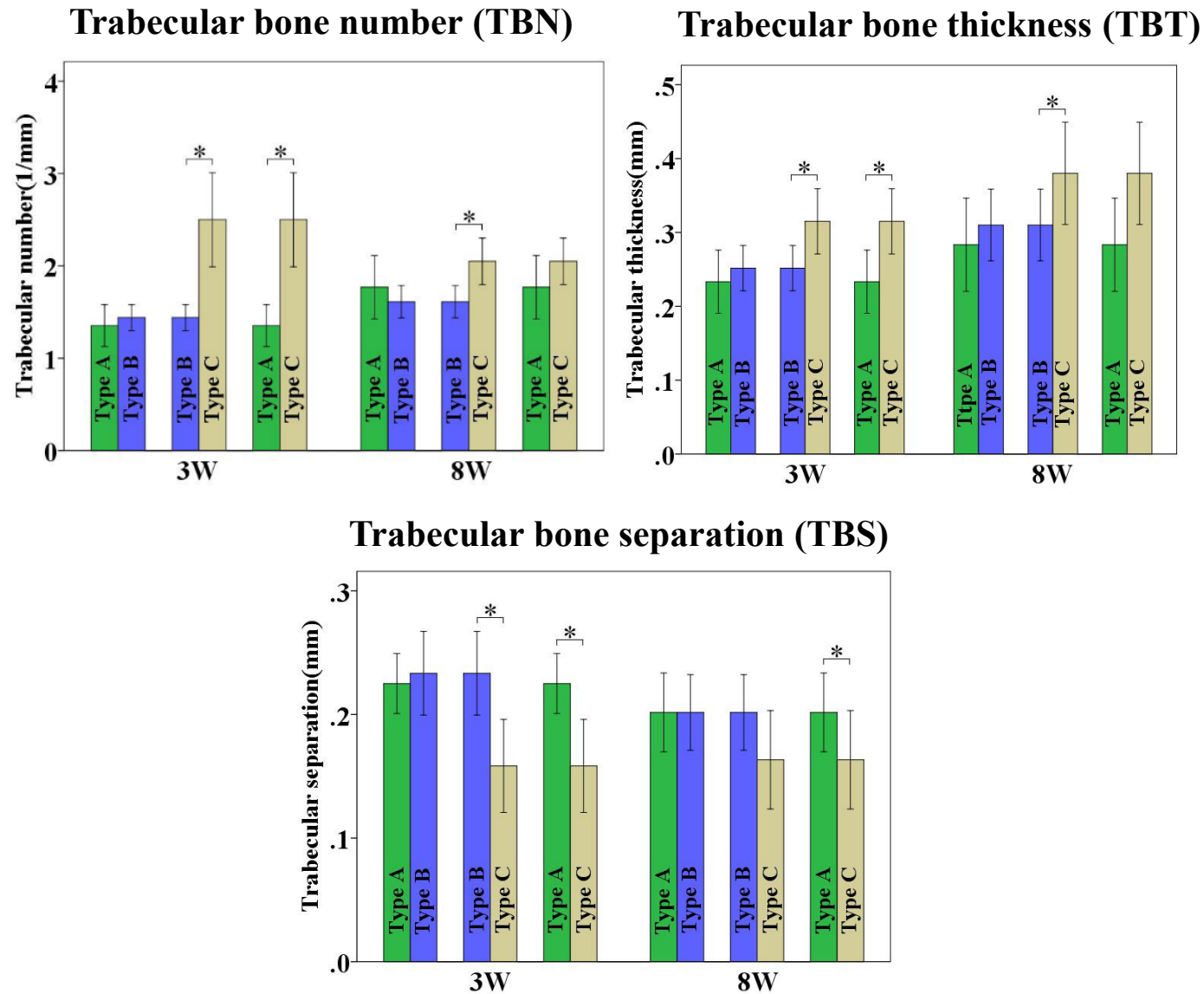


Figure 3. Comparison of average of trabecular bone number (TBN), trabecular bone thickness (TBT), and trabecular bone separation (TBS) among different implant neck designs

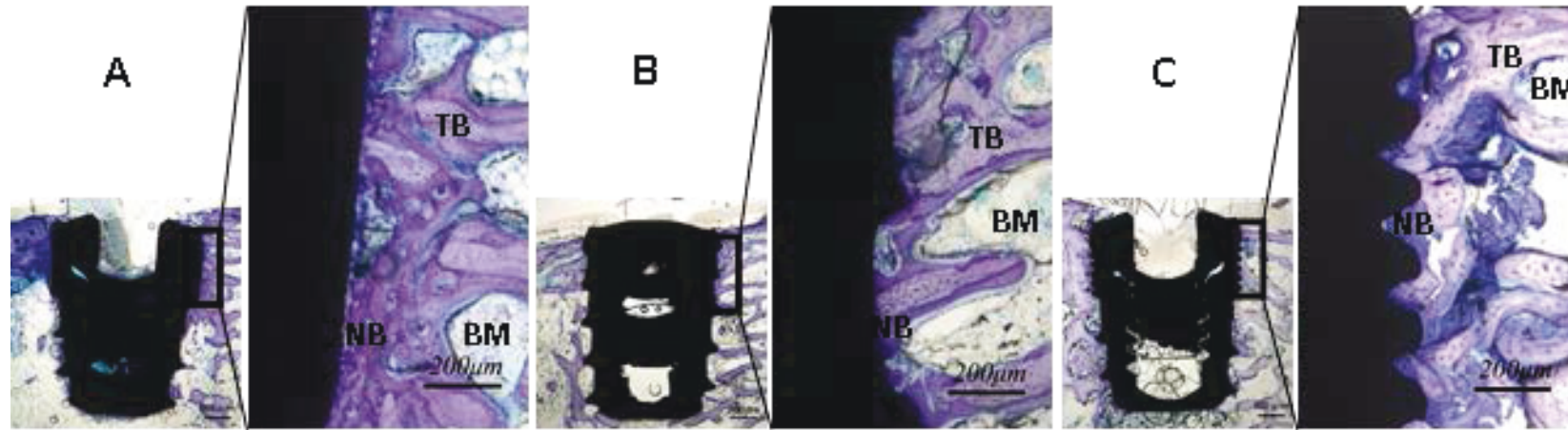
Type A: no thread, Type B: macro-thread, and Type C: micro-thread

*: Kruskal-Wallis with Dunn multiple comparisons test, $p < 0.05$

	3 weeks after implantation			8 weeks after implantation		
	Type A (n=4)	Type B	Type C	Type A	Type B	Type C
Trabecular bone number (no./mm)	1.35 ± 0.23	1.44 ± 0.14	2.50 ± 0.51	1.77±0.34	1.61±0.17	2.05 ±0.25
Trabecular bone thickness (mm)	0.23 ± 0.04	0.25 ± 0.03	0.32 ± 0.04	0.28±0.06	0.31±0.05	0.38±0.07
Trabecular bone separation (mm)	0.23 ± 0.02	0.23 ± 0.03	0.16 ± 0.04	0.20±0.03	0.20±0.03	0.016±0.04

Table 1 Comparison of average of trabecular bone number (TBN), trabecular bone thickness (TBT), and trabecular bone separation (TBS) among different implant neck designs

3 weeks



8 weeks

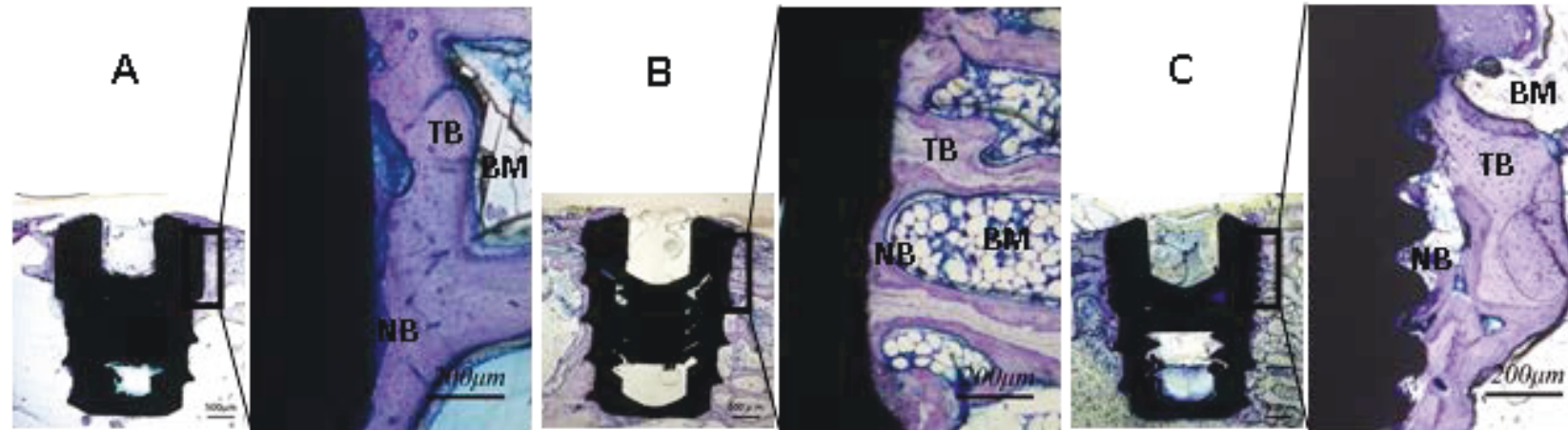


Figure 4. Descriptive light micrographs of magnified sections of the implants after 3 (the upper part) and 8 weeks (the lower part) after implantation

Type A: no thread, Type B: macro-thread, and Type C: micro-thread

Newly formed bone can be observed near the implant-bone interface. NB, new bone; TB, trabecular bone; BM, bone marrow. Toluidine blue 4×

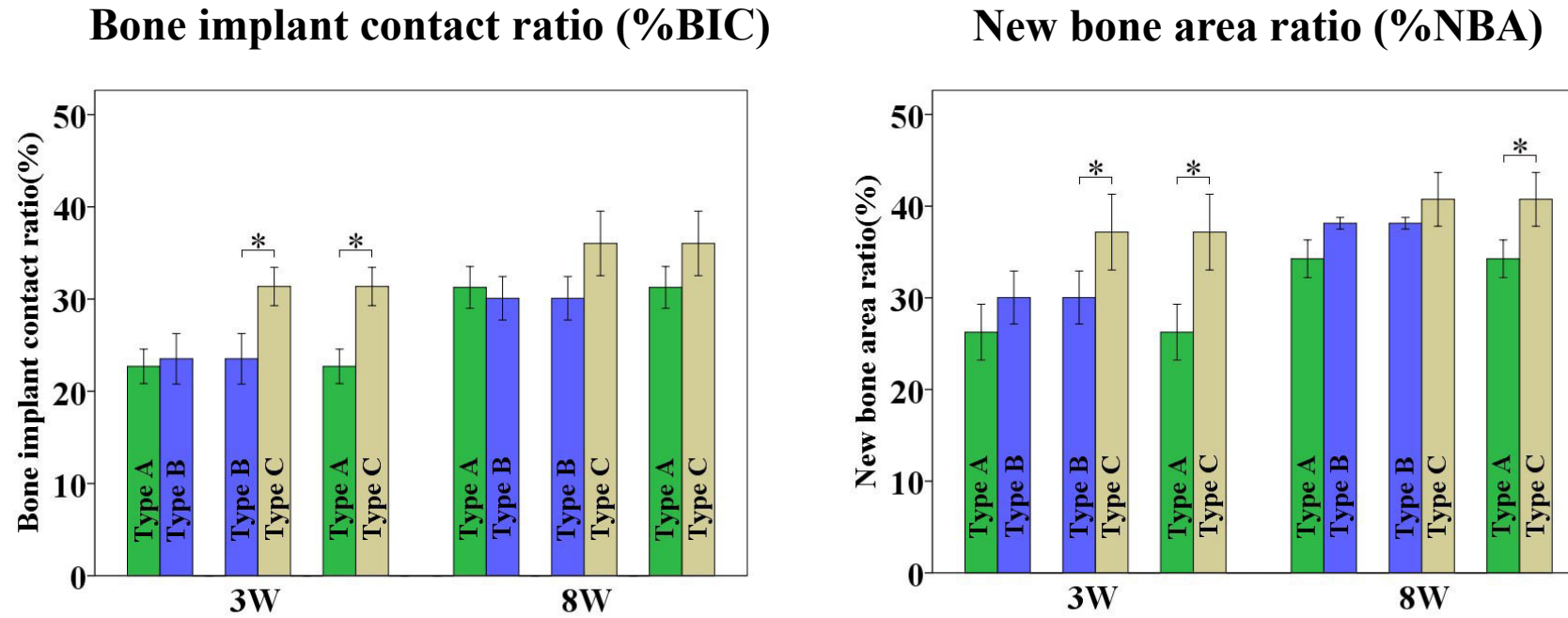


Figure 5. Comparison of bone implant contact ratio (%BIC) and new bone area ratio (%NBA) after 3 and 8 weeks of healing.

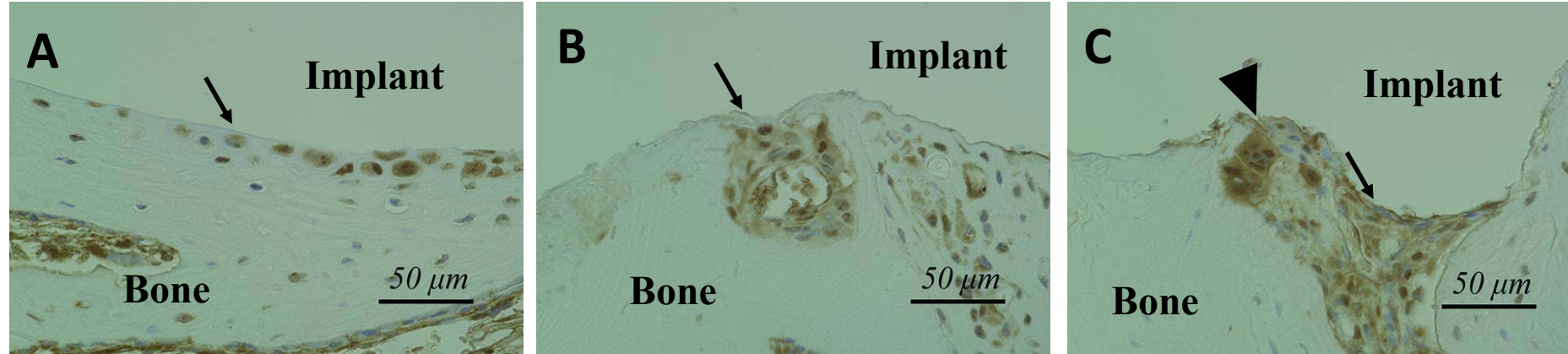
Type A: no thread, Type B: macro-thread, and Type C: **micro-thread**

*: Kruskal-Wallis with Dunn multiple comparisons test, $P < 0.05$

	3 weeks after implantation			8 weeks after implantation		
	Type A (n=4)	Type B	Type C	Type A	Type B	Type C
Bone implant contact ratio (%)	23.36 ± 3.1	24.51 ± 4.20	32.24 ± 3.76	30.93 ± 3.65	30.67 ± 3.17	36.08 ± 4.81
New bone area ratio (%)	26.52 ± 3.7	29.58 ± 3.37	37.15 ± 5.29	34.85 ± 4.52	38.58 ± 1.53	40.65 ± 3.89

Table 2 Comparison of bone implant contact ratio (%BIC) and new bone area ratio (%NBA) after 3 and 8 weeks of healing

Osteopontin



Osteocalcin

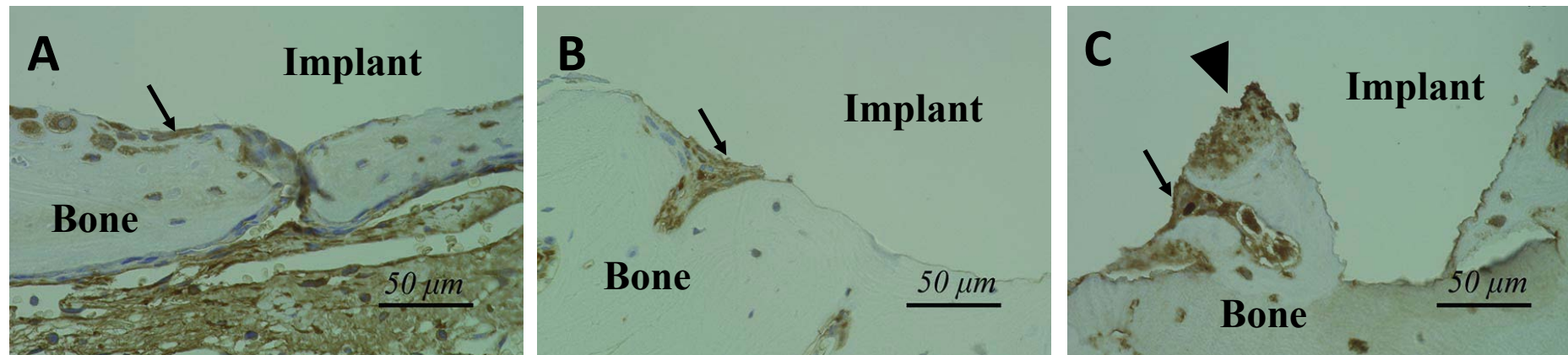


Figure 6. Immunohistochemical staining of osteoblast differentiation markers osteopontin (the upper part) and osteocalcin (the lower part)

Type A: no thread, Type B: macro-thread, and Type C: micro-thread

Positive proliferating cells are stained brown/black within the healing tissues near the implant-bone interface (arrow) especially in the valley of the micro-thread (arrow head). 40×

	3 weeks after implantation			8 weeks after implantation		
	Type A (n=2)	Type B	Type C	Type A	Type B	Type C
The number for osteopoetin (no./mm)	20.17 ± 3.19	26.83 ± 2.64	28.50 ± 2.43	18.50 ± 1.64	16.00 ± 1.67	18.00 ± 2.53
The numer for osteocalcin (no./mm)	22.50 ± 6.29	18.00 ± 3.74	23.00 ± 5.83	15.67 ± 5.20	14.33 ± 4.50	17.00 ± 7.43

Table 3 Number of osteoblasts at the interface of bone and implant stained by differentiation markers osteopontin and osteocalcin.

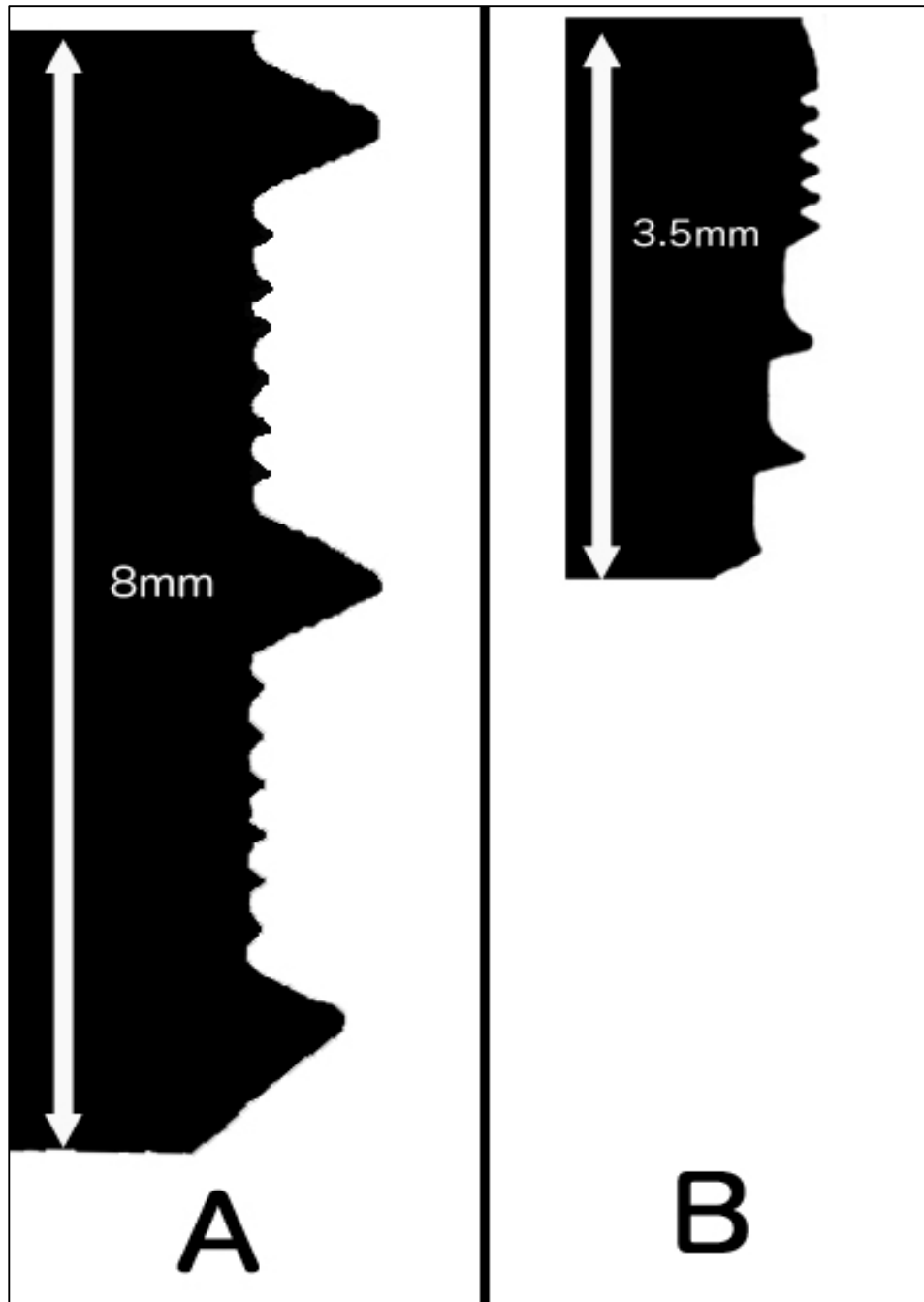


Figure 7. Macro- and micro-thread design employed in Chowdhary's study (A) and in this study (B)