

1 *Short communication*

2 **Effectiveness of a genetic test panel designed for gynecological cancer: An exploratory study**

3

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17

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19

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25

26 **Abstract**

27 To increase diagnostic efficiency and cost-effectiveness, we performed an exploratory genetic test using a newly  
28 designed panel containing 28 actionable and druggable genes, alterations in which are frequently reported in  
29 gynecological cancers (TANRE-G, Targeted variants Analysis Related to Gynecological cancers). Samples  
30 consisted of the formalin-fixed, paraffin-embedded tissue of endometrial (4 cases), cervical (3 cases), and  
31 ovarian (4 cases) carcinomas. The sequencing procedure was performed using Ion-PGM in our institute with  
32 related sequencing kits, and data were analyzed using ClinVar. The present system achieved more than 2500  
33 reads in all tumor samples, and enabled a copy number variation analysis. Results showed that actionable and  
34 druggable mutations were detected in 82% (9/11) and 64% (7/11) of cases, respectively, which was similar to  
35 other commercially available genetic tests. The amplification of MYC and KRAS was also detected. The  
36 analysis cost for each sample was JPY 94,000 (USD 850). These results demonstrate the potential of the  
37 TANRE-G panel as an effective tool for examining genetic alterations in gynecological cancers.

38

39 **Keywords:** genetic test, endometrial carcinoma, cervical carcinoma, ovarian carcinoma, gene panel

40

## 41 **Introduction**

42 Recent advances in next-generation sequencing (NGS) have enabled comprehensive genetic variants to be  
43 analyzed in a clinical setting as precision medicine, particularly for cancer [1]. A precision approach leads cancer  
44 patients to targeted therapies directed against specific genomic driver alterations in tumors identified with  
45 NGS-based testing [2]. Testing contents have recently involved more comprehensive gene panels instead of  
46 disease-focused gene panels [3]. In Japan, several types of NGS-based genetic tests are currently available; most  
47 use panels containing 100~200 comprehensive genes, and cost between 60,000 and 100,000 JPY (5,000~8,000  
48 USD), which is not covered by medical insurance.

49 Due to prevailing precision approaches [4], we have encountered a number of “actionable” alterations,  
50 defined as alterations potentially targetable with established or investigational therapeutics directly or indirectly  
51 [5]. However, there are currently only a few FDA approved drugs, i.e., those targeting angiogenesis, homologous  
52 recombination deficiencies, and microsatellite instabilities [4].

53 While precision approaches offer useful diagnostic strategies for cancer patients, the following issues  
54 remain unsolved. Since there are many targeting genes on popular NGS cancer panels, including unfamiliar  
55 variants, such as variants of uncertain significances (VUSs), difficulties are often associated with interpreting the  
56 data obtained [6]. The handling of these “incidental” findings creates ethical dilemmas. Therefore, multiple and  
57 comprehensive cancer panels may currently result in many useless variants for patients, which inevitably causes  
58 confusion. Furthermore, although the cost of sequencing has gradually been decreasing [7], the cancer panels  
59 used in clinical sequencing are still too expensive for many terminal cancer patients and their families to request.  
60 However, the number of studies on the costs of clinical sequencing for advanced cancer patients is currently  
61 limited, and we often encounter difficulties understanding real transactions in clinical settings.

62 In addition, although multiple clinical studies evaluating precision medicine revealed that between 30 and 50%  
63 of patients had actionable mutations, only between 3 and 13% received treatments that had been adapted to  
64 individual druggable mutations [8]. However, even if druggable mutations are detected in the cancer panels of  
65 patients, it may be impossible to take targeted drugs covered by health insurance in many cases, or only a very  
66 small number of these patients may discover and join clinical trials offering suitable therapies. In some cases,  
67 these patients may eventually select a drug recommended by an expert meeting as off-label use at their expense,  
68 which often has been priced at astronomical levels, i.e., USD 70,000 to 130,000 for a course of treatment [9].

69 To address these issues, we designed a genetic testing approach focused exclusively on 28 actionable and  
70 druggable genes in gynecological cancers named Targeted variants ANalysis Related to Gynecological cancers  
71 (TANRE-G), and retrospectively performed clinical sequencing as an experimental trial. This exploratory

72 research aimed to evaluate the cost-effectiveness and feasibility of clinical sequencing by the TANRE-G panel  
73 and show the prospects of this gynecologically specific panel.

74

## 75 **Materials and Methods**

### 76 *Design*

77 TANRE-G is based on the Ion AmpliSeq DNA assay workflow (Thermo Fisher Scientific, Wilmington, DE,  
78 USA). Amplicons (n=1471) were designed with Ion AmpliSeq DNA Designer. The 28 target genes of TANRE-G  
79 comprised actionable and potentially actionable genes, harboring frequent variants of oncogenic driver and  
80 tumor suppressor genes reported in gynecological cancers, and were selected based on the following databases:  
81 CanDL; <https://candl.osu.edu/>, CANCER GENOME INTERPRETER;  
82 <https://www.cancergenomeinterpreter.org/home>, CIViC; <https://civicdb.org/home>, OncoKB; <http://oncokb.org/#/>,  
83 and ClinicalTrials.gov; <https://clinicaltrials.gov/> (Table 1). The TP53 gene was omitted from this panel because  
84 p53 variants did not have any impact on further treatment.

85

### 86 *Patient and Samples*

87 This study was a single-center case series with retrospective data collection conducted with the approval of the  
88 Ethics Committee of Shinshu University (approval No.591). Subjects were ensured of their right to opt out prior  
89 to the start. Eleven refractory or relapsed gynecological cancer cases (4 endometrial, 4 ovarian, and 3 cervical  
90 cancers) were selected from patients who underwent surgery at Shinshu University Hospital between 2016 and  
91 2017. Median age was 57 years (44-78), 6 out of 11 cases were stage III-IV, and only 2 cases received  
92 neoadjuvant chemotherapy. Formalin-fixed paraffin-embedded (FFPE) tissues removed surgically and fixed  
93 within 48 hours were used in the following working flow.

94

### 95 *Library preparation, emulsion PCR, and enrichment*

96 Tumor tissues (as test samples) and normal myometrial tissues (as normal references) were collected by needle  
97 microdissection from several 10- $\mu$ m-thick FFPE tissue sections. The ratio of tumor cells was confirmed to be  
98 between 60 and 80% across all samples. The genomic DNA of each sample was extracted using the MagMAX  
99 FFPE DNA/RNA Ultra Kit (Thermo Fisher Scientific, Wilmington, DE) according to the manufacturer's  
100 instructions. The concentration and quality of extracted DNAs were checked using the Qubit dsDNA HS Assay  
101 Kit with the Qubit 3.0 Fluorometer (Thermo Fisher Scientific) and quantitative PCR (qPCR) with the TaqMan  
102 FFPE DNA QC Assay (Thermo Fisher Scientific), respectively. Libraries were generated from 10 ng of DNA per

103 sample using the Ion AmpliSeq Library Kit 2.0 (Thermo Fisher Scientific), quantified by applying a qPCR  
104 analysis with the Ion Library TaqMan Quantitation Kit (Thermo Fisher Scientific), and pooled to an equimolar  
105 concentration of 20 pM for template preparation. Template preparation, which comprised emulsion PCR, the  
106 enrichment of beads containing the template, and chip loading, was performed using the Ion Chef system and  
107 Ion PGM Hi-Q View Chef Kit following the manual (Thermo Fisher Scientific).

108

### 109 ***Sequencing and data analysis***

110 The sequencing of multiplexed templates was performed using the Ion PGM system on Ion 318 v2 chips with  
111 the Ion PGM Hi-Q View Chef Kit (Thermo Fisher Scientific) according to the manufacturer's instructions. A  
112 primary data analysis was performed by Torrent Suite Software v5.8 (Thermo Fisher Scientific) with default  
113 settings using hg19 as reference genome data. The data of normal DNA was only used individually for the  
114 subtraction of germline alterations, such as SNPs. Variant calling was executed using the Torrent Variant Caller  
115 plugin (5.8.0.19) in the Torrent Server and visualized using Integrative Genomics Viewer Version 5.01 (0)  
116 (Broad Institute). After the sequence was aligned and filtered on a tumor-normal pipeline, a variant analysis and  
117 annotations were performed by IonReporter software 5.6 with default settings. Candidate variants selected by  
118 these processes were filtered based on the predicted impact on protein function by SIFT (Sorting Intolerant From  
119 Tolerant, <http://provean.jcvi.org/index.php>) and Polyphen-2 (Polymorphism Phenotyping version 2,  
120 <http://genetics.bwh.harvard.edu/pph2/>). These functionally affected variants were finally defined as 'Pathogenic',  
121 'Likely Pathogenic', or others by ClinVar (<https://www.ncbi.nlm.nih.gov/clinvar/>). Furthermore, if the candidate  
122 variant was a frameshift or nonsense mutation affecting known functional domains of a tumor suppressor gene, it  
123 was also regarded as 'Pathogenic' regardless of the registration in ClinVar.

124

### 125 ***Prediction of copy number alterations***

126 Copy number alterations (CNAs) were detected using an Ion Reporter Software Copy Number Variation  
127 Analysis (Thermo Fisher Scientific) based on a hidden Markov model [10]. CNAs with precision scores  $\geq 10$   
128 were included in the analysis according to the manufacturer's instructions. The copy number of the tumor cells  
129 was calculated by correcting with the ratio of tumor cells in the tissue section. According to previous studies,  
130 copy numbers of  $\geq 6$  were defined as high-level amplification, while copy numbers of 3-5 were regarded as  
131 moderate amplification [11]. In the present study, high-level and moderate amplifications of oncogenic driver  
132 genes were defined as "Likely pathogenic" variations.

133

### 134 ***Confirmation of Genetic Alterations***

135 Each variant sequence detected by the above workflow was confirmed by Sanger sequencing.  
136 Immunohistochemistry (IHC) was performed to confirm CNAs. Primers for Sanger sequencing were designed  
137 using Primer Designer Tool online (Thermo Fisher Scientific) or Primer-BLAST  
138 (<https://www.ncbi.nlm.nih.gov/tools/primer-blast/>). IHC was performed using 3- $\mu$ m-thick FFPE tissue sections  
139 as described previously [12]. Antibodies for MYC (9E10, 1:200 dilution, Novus Biologicals, Littleton) and  
140 KRAS (12063-1-AP, 1:300 dilution, ProteinTech) were used as the primary antibody.

141

### 142 ***Statistical Analysis***

143 The significance of differences between the TANRE-G panel and NCC Oncopanel was evaluated with a  
144 two-tailed Fisher's exact test. A P value of less than 0.05 was considered to be significant. All statistical analyses  
145 were performed using R software (<https://www.r-project.org/>).

146

### 147 **Results**

148 We evaluated the performance of the TANRE-G panel in the retrospective exploratory analysis of 11  
149 gynecological FFPE tumor-normal samples. All samples successfully underwent the targeted sequencing of  
150 genes on the TANRE-G panel. A TANRE-G assay generated approximately 5.5 million reads per specimen with  
151 the following characteristics for tumor and normal tissues: mean coverage depths in tumor and normal amplicons  
152 were approximately 3400 $\times$  and 350 $\times$ , respectively; mean coverage depths in 86% of all tumor amplicons and  
153 82% of all normal tissue amplicons were >500 $\times$  and >100 $\times$ , respectively; and <1% of tumor amplicons and <3%  
154 of normal tissue amplicons were <20 $\times$  in their mean coverage depths.

155

### 156 ***Somatic variants detected***

157 Table 2 shows 32 candidate somatic variants in 8/11 (72%) cases from sequencing with the TANRE-G panel.  
158 Each number of variation type was as follows: missense 22 (69%); nonsense 6 (19%); amplification 3 (9%);  
159 frameshift-deletion 1 (3%). Regarding 'Pathogenic' or 'Likely pathogenic' somatic alterations as actionable  
160 somatic alterations, 24/32 (75%) actionable variants were detected. The gene having the most frequent variations  
161 was PIK3CA with 7 variants, followed by PTEN with 5 variants. Most of these gene alterations, especially those  
162 defined as 'Pathogenic' were consistently with previous reports in each primary cancer, and were shown in  
163 pBioPortal (<http://www.cbioportal.org/>) and/or COSMIC (<https://cancer.sanger.ac.uk/cosmic>) (Supplementary  
164 Table 1). Twenty-four candidate somatic variants were extracted in 4/4 (100%) cases of endometrial cancer.

165 Eighteen (18/24; 75%) of these were actionable variants, most of which were related to an aberrant PI3K  
166 pathway by PIK3CA or PTEN mutations (4/4 cases; 100%) or an aberrant Receptor Tyrosine Kinase (RTK)/RAS  
167 signaling pathway by ERBB2 or KRAS variants (3/4 cases; 75%). In 3/4 (75%) of ovarian cancer cases, six  
168 candidate somatic variants were identified, and 3/6 (50%) were actionable variants; PIK3CA and ARID1A  
169 variants in one (25%) clear cell ovarian carcinoma case, and BRCA2 gene in one (25%) high-grade serous  
170 ovarian carcinoma case. In Case 7 with the BRCA2 variation, the tumor relapsed 4 times, however, she has been  
171 successfully treated with platinum-based chemotherapy followed by PARP inhibitors as maintenance therapy.  
172 Only one (33%) out of the three GAS cases had actionable variants in the KRAS gene. All actionable somatic  
173 mutations were validated by Sanger sequencing.

174 Although the target region of TANRE-G panel is not large enough (0.14Mb) to precisely calculate the  
175 tumor mutation burden (TMB, mutation frequency /Mb), that of case 1 and 4 were 62 and 82 /Mb, respectively.  
176 Therefore, TMB data obtained by TANRE-G panel may be applied to select the candidates for MSI testing.

177 CNAs was assessed in 5 cases (45%) under the detection algorithm. We detected 3 moderate  
178 amplifications as actionable variants in 2 driver oncogenes: One was MYC (copy number = 3 in case 6, and copy  
179 number = 5 in case 7), the other was KRAS (copy number = 7 in case 9). This KRAS amplification may explain  
180 the high variant allele frequency (VAF) of it (Table 2). These results were confirmed by IHC (Supplementary fig  
181 1). The specimens from cases 8 and 11 were immunostained as a control for MYC and KRAS due to their  
182 normal copy number, respectively. The direct material costs for an analysis of one sample by TANRE-G,  
183 including chemicals, was approximately USD 850 (JPY 94,000), which is less expensive than other gene panels  
184 (e.g., FoundationOne CDx: USD 5800, MI Tomor Seek: USD 3500).

185

### 186 *Comparison with another panel assay*

187 In a comparison of the TANRE-G panel with the NCC Oncopanel for the first 131 cases [13], at least one  
188 pathogenic somatic variant was observed in 8/11 (73%) cases in the TANRE-G panel, whereas 104/131 (79%)  
189 were noted in the NCC Oncopanel ( $P$  value, 0.700). Furthermore, at least one actionable somatic variant was  
190 observed in 8/11 (73%) cases in the TANRE-G panel, whereas 59/131 (45%) were noted in the NCC Oncopanel  
191 ( $P$  value, 0.115). Neither panel showed a significant difference in detecting pathogenic or actionable somatic  
192 variants, which indicates that the TANRE-G panel is a similar or alternative test to the NCC Oncopanel, at least  
193 in gynecological clinical settings.

194

### 195 **Discussion**

196 NGS has enabled us to perform personalized oncologic strategies for targeted therapy. We herein conducted  
197 exploratory research on a customized, cost-effective NGS panel “TANRE-G” for the identification of relevant  
198 somatic alterations specifically in gynecological cancers. We performed a retrospective analysis of archival  
199 tumor-normal FFPE samples in recurrence or refractory cases. We effectively identified mutations through deep  
200 targeted sequencing using the Ion Torrent platform and its useful annotation software Ion Reporter [14]. The  
201 prevalence of detecting actionable or potentially actionable mutations in TANRE-G was similar to that in  
202 existing panels. For example, actionable mutations were detected in 45% in the NCC Oncopanel [13], 47% in  
203 Foundation One, and 36% in MSK-IMPACT [15].

204 Endometrial cancer is expected to have the most actionable mutations in TANRE-G. Likewise, a  
205 recent study revealed that the prevalence of actionable mutations or CNAs in all cancers was the highest (98%)  
206 in endometrial cancer, resulting in the cost of finding at least one actionable mutation for this cancer to be the  
207 second lowest among all cancers (USD 5,897) [16].

208 Drugs for potentially actionable mutations may be developed in rapid succession. The use of a  
209 comprehensive panel may be advantageous for unintentionally identifying new actionable drugs already  
210 approved for other diseases with the same mutations. However, basket trials on one drug for a single mutation in  
211 a number of tumors have only recently started [4]; therefore, further time is needed to obtain information, which  
212 results in delays in the treatment of patients using these drugs. Therefore, we consider TANRE-G to be a  
213 beneficial and cost-effective choice, particularly for gynecological patients.

214 One issue needs to be addressed; when a higher number of genes is adopted in one panel, more  
215 VUSs are also found, which results in difficulties judging pathogenicity in clinical settings. We selected  
216 candidate genes that did not cause increases in germline or somatic VUSs because there are many functionally  
217 unknown variants that may be pathogenic [17]. In general, the fewer genes mounted in gene panels, the fewer  
218 VUSs detected. Based on our results in TANRE-G, we only found one VUS in case 1 (Table 2), thereby  
219 confirming the pathogenicity of VUSs.

220 Following the recommendations of the American College of Medical Genetics and Genomics  
221 (ACMG), we do not necessarily inform patients of all results of VUSs. However, we need to consider that  
222 changes in VUSs to actionable ones in the near future may be detected by upcoming new methods, such as  
223 whole genome clinical sequencing, and this information may be provided to patients.

224 Thus, TANRE-G is a simple gene panel test. By using this panel, we may examine the minimum  
225 necessary genes, judge whether they are actionable, and consider the next treatment policy. Furthermore, CNV  
226 and TMB may be used as references. The discovery rate of actionable genes is expected to be high from the



227 present results, suggesting lower costs. Therefore, this may become an excellent option, particularly for  
228 gynecological cancer patients in the local area.

229 In conclusion, TANRE-G proved to be a clinical model that is a reliable, fast, and cost-effective  
230 targeted NGS panel. Many selected genes are useful for finding new candidate variants to target or new  
231 functional therapies, but this may potentially be very rare. In contrast, many VUSs are identified that provide  
232 patients with helpful or sometimes harmful information. We currently cannot offer patients sufficient  
233 consultations on the genes listed in ACMG secondary findings [18] because of the lack of genetic counselors,  
234 particularly in Japan (approximately 200 counselors). However, as discussed above, our exploratory TANRE-G  
235 panel may become a shortcut to resolving these issues by merely defining gynecological target genes. We  
236 consider the effectiveness of our panel to support its clinical application to advanced gynecological cancer  
237 patients in near future.

238

#### 239 **Conflict of Interest disclosure statement**

240 The authors declare that they have no conflict of interest.

241

#### 242 **Ethical approval**

243 All procedures performed in studies involving human participants were in accordance with the Ethics Committee  
244 of Shinshu University (approval No.591) and with the 1964 Helsinki declaration and its later amendments or  
245 comparable ethical standards.

246

#### 247 **Informed consent**

248 Blanket consents had been obtained from all individual participants included in this study for using their resected  
249 tissue-samples to any studies with anonymization. It was accepted in the Ethics Committee that the additional  
250 consent was unnecessary from any participants because of analyzing only somatic alterations of the genes on the  
251 TANRE-G panel. All individual participants were ensured of their right to opt out prior to the start.

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330

331 **Supplementary materials**

332 **Supplementary fig 1:** Hematoxylin and eosin (H&E) and immunohistochemistry (IHC) staining of cases with  
333 the MYC or KRAS amplification. a Case 6 of low-grade serous ovarian carcinoma showed the MYC  
334 amplification as a copy number (CN) = 3. b Case 7 of high-grade serous ovarian carcinoma showed the MYC  
335 amplification as a CN = 4. c Case 8 of high-grade serous ovarian carcinoma showed the normal CN = 2 in MYC  
336 as a control. d Case 9 of mucinous carcinoma, gastric type showed the KRAS amplification as a CN =5. e Case  
337 11 of mucinous carcinoma, gastric type showed the normal CN =2 in KRAS as a control. Each group (a–e) of  
338 images showed H&E (left, ×40) and IHC (right, ×40).

339