

1 **Title: Downregulation of the microRNA biogenesis components and its association**  
2 **with poor prognosis in hepatocellular carcinoma**

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20 and Table S1 to S4.

1

2 **Running title:** Downregulation of miRNA biogenesis pathway in HCC

3

4 **Keywords:** HCC, miRNA, biogenesis, non-viral, histone modification

1 **Abstract**

2 Genetic alterations and deregulation of the miRNAs biogenesis pathway components  
3 have been reported in human tumors. Tissue-specific deletion of the Dicer gene, which  
4 encodes an essential miRNA processing enzyme, promotes carcinogenesis in animal  
5 models. These indicate that aberrant miRNA biogenesis components are directly  
6 associated with cancer. Here we conducted quantitative reverse-transcription  
7 polymerase chain reaction (PCR) of fourteen genes that are related to the miRNA  
8 biogenesis pathway in forty-seven paired samples of primary HCC and matched  
9 non-cancerous liver. Expression of seven genes (Dgcr8, p68, p72, Dicer, Ago3, Ago4  
10 and Piwil4) was significantly decreased in primary HCC, especially in non-viral HCC  
11 subtypes, compared to the non-cancerous liver. Combinations of decreased expression  
12 of the miRNA biogenesis components in non-cancerous liver were related to cigarette  
13 smoking, alcohol intake and diabetes that are known to be risk factors for HCC, and  
14 were also associated with the occurrence of multicentric tumors. Reduction of two of  
15 these genes (Dicer and p68) in HCC was associated with poor prognosis. Trimethylation  
16 of histone H3 lysine 27 in the promoters is implicated in the deregulation of these  
17 miRNA-biogenesis-related genes in non-HBV genome integrated HCC cell lines. In  
18 conclusion, deregulation of the miRNA biogenesis pathway components is frequently  
19 observed in non-viral associated HCC and is associated with etiological risk factors and  
20 poor prognosis. Our study further showed that epigenetic regulation could be implicated

1 in the deregulation of these genes during hepatocarcinogenesis. (230 words)

2

3 Abbreviations: 5-aza-dC, 5-aza-2'-deoxycytidine; ChIP-PCR, chromatin  
4 immunoprecipitation PCR; EED, Embryonic Ectoderm Development; H3K27me3,  
5 trimethylation of histone H3 lysine 27; HCC, hepatocellular carcinoma; miRBir gene,  
6 miRNA biogenesis pathway-related gene; miRNA, microRNA; NBNC,  
7 non-HBV-non-HCV; PCR, polymerase chain reaction; qRT-PCR, quantitative  
8 reverse-transcription PCR; siRNA, small interfering RNA

9

## 1 **Introduction**

2           Hepatocellular carcinoma (HCC) is the third leading cause of cancer death  
3 worldwide [1]. Although previous studies have revealed multiple etiologic factors  
4 responsible for HCC occurrence (hepatitis viruses (HBV and HCV), intake of alcohol or  
5 aflatoxin B1-contaminated food, cigarette smoking, obesity and diabetes) [1, 2], the  
6 overall view of the molecular abnormalities induced by these environmental agents  
7 during hepatocarcinogenesis is still incomplete.

8           Micro-RNAs are a family of small (18~22 nucleotides (nt) in length)  
9 endogenous single-stranded RNAs [3]. Their aberrant expression is associated with  
10 human diseases, including cancer [4]. Most mammalian miRNA genes are initially  
11 transcribed into primary miRNAs (pri-miRNAs) by RNA polymerase II (Figure S1).  
12 These pri-miRNAs are then cleaved endonucleolytically by the Drosha complex to form  
13 ~70-nt hairpin-structured precursor miRNAs (pre-miRNAs). The Drosha complex  
14 comprises the ribonuclease III (RNase III) enzyme Drosha, the DiGeorge syndrome  
15 critical region gene 8 (DGCR8) and multiple RNA-associated proteins including the  
16 RNA helicases p68 (also known as DDX5) and p72 (also known as DDX17) [5].  
17 Pre-miRNAs are transported into the cytoplasm with the help of Exportin-5 (XPO5) and  
18 are cleaved into double-stranded ~22-nt duplexes by Dicer, another RNase III enzyme,  
19 in the cytoplasm. One of the strands associates with an Argonaute (Ago) protein,  
20 functioning as a guide to repress target mRNA [3, 5-7]. Argonaute contains a

1 RNA-binding PAZ domain that is shared with another family of small-RNA-binding  
2 proteins, PIWILs [8].

3           Previous miRNA profiling studies have revealed global deregulation of mature  
4 miRNAs in human cancers [4, 6]. Such a broad change of miRNA gene expression is  
5 due primarily to aberrant transcriptional regulation including CpG hypermethylation  
6 silencing of the promoter or abnormalities of transcriptional factors. Alternatively,  
7 molecular defects of miRNA biogenesis would also severely affect the mature miRNA  
8 profiles in cancer, and recent studies have shown that cancer-related signal molecules  
9 (such as TP53 and SMAD) regulate this process [9-11]. Downregulation of Droscha and  
10 Dicer genes have been reported in ovarian and other cancers [12, 13]. Importantly  
11 mutational impairments of the miRNA-processing pathway including the Dicer [14, 15]  
12 and Xpo5 [16] genes have been reported in human tumors. Notably, tissue-specific  
13 deletion of the Dicer gene, which encodes an essential processing enzyme, promotes  
14 lung and liver carcinogenesis in mice, indicating that aberrant miRNA biogenesis is  
15 directly associated with cancer [17, 18].

16

## 1 **Materials and methods**

### 2 ***Primary HCC and liver tissues***

3           Forty-seven paired samples of primary HCC and matched adjacent  
4 non-cancerous liver tissues, and ten samples of non-HCC-associated liver tissue were  
5 obtained from surgical specimens resected at the National Cancer Research Center  
6 Hospital, Tokyo, between December 1998 and March 2010, after obtaining approval  
7 from the institutional review board and informed consent from the patients. Among the  
8 47 HCC patients, 16 were immunologically positive for HCV infection, 11 for  
9 persistent HBV infection (hepatitis B surface antigen positive), two had a history of  
10 previous HBV infection which we excluded in our analysis for the association with  
11 virus status) and 18 were negative for both HCV and HBV infection. Ten samples of  
12 non-HCC related liver tissues were negative for either HCV or HBV infection. The  
13 clinicopathological data for all cases are shown in Table 1.

14

### 15 ***RNA extraction and reverse-transcription and quantitative reverse-transcription*** 16 ***polymerase chain reaction (qRT-PCR)***

17           Total RNA was extracted using a mirVana miRNA Isolation Kit (Ambion,  
18 Austin, TX) and the RNA samples were treated with DNase I (New England Bio Labs,  
19 Ipswich, MA). Total RNA (5 µg) was used to generate complementary DNA by reverse  
20 transcription with a First Strand cDNA Synthesis Kit (Roche, Indianapolis, IN).

1 Quantitative reverse-transcription polymerase chain reaction (qRT-PCR) was performed  
2 on a Light Cycler 480 (Roche, Mannheim, Germany) using TaqMan probes (Applied  
3 Biosystems, Foster City, CA). Expression of each gene was determined with the  $2^{-\Delta\Delta Ct}$   
4 method and normalized relative to the expression of the glyceraldehyde 3-phosphate  
5 dehydrogenase (GAPDH) gene and TATA box binding protein (TBP). The sequences  
6 of the qRT-PCR primers are listed in Table S1. For miRNA detection, total RNA (10ng)  
7 from each samples was used, mature has-let-7a was reverse-transcribed with specific  
8 RT primer with TaqMan MicroRNA Reverse Transcription Kit (Applied Biosystems,  
9 Foster City, CA). qRT-PCR was performed on a Light Cycler 480 (Roche, Indianapolis,  
10 IN), quantified and normalized by U6 small nuclear RNA using TaqMan miRNA assays  
11 (Applied Biosystems).

12

13 ***Cell culture, drug treatments and small interfering RNA (siRNA)-mediated gene***  
14 ***silencing***

15 HuH-1 cells were obtained from the Human Science Research Resource Bank  
16 (Osaka, Japan). HepG2 and Alex (PLC/PRF/5) cells were provided from the Riken Cell  
17 Bank (Tsukuba, Japan). KYN2 have been described previously [19]. HepG2 and KYN2  
18 cell lines are validated as negative for HBV genome integration (data not shown).  
19 HuH-1 and Alex cells are derived from HBV-positive HCC [20, 21]. Cell lines were  
20 treated with 10 $\mu$ M 5-aza-2'-deoxycytidine (5-aza-dC, Sigma-Aldrich, St. Louis, MO)



1 for 72 hours [22]. siRNAs targeting the Embryonic Ectoderm Development (EED) and  
2 GAPDH genes were purchased from Dharmacon (Lafayette, CO) and were transfected  
3 using Lipofectamine RNAiMAX reagent (Invitrogen).

4

#### 5 ***Bisulfite sequencing***

6 Bisulfite treatment of genomic DNA (500 ng) was performed using an EZ DNA  
7 methylation kit (Zymo Research, Orange, CA). PCR products encompassing the CpG  
8 islands were cloned into the pCR2.1-TOPO vector (Invitrogen, Carlsbad, CA), and at  
9 least 14 independent clones were sequenced using an ABI 3130 Genetic Analyzer  
10 (Applied Biosystems). We used a CpG island Searcher (<http://cpgislands.usc.edu/>) to  
11 predict the CpG islands and QUMA (<http://quma.cdb.riken.jp/top/index.html>) for  
12 methylation quantification.

13

#### 14 ***Chromatin immunoprecipitation PCR (ChIP-PCR) assay***

15 Approximately  $1 \times 10^7$  cells of each cell line were fixed with 1% formaldehyde  
16 for 10 min at room temperature. The formaldehyde was then quenched by addition of a  
17 1/20 volume of 2.5 M glycine to the plates, and the cells were harvested. The chromatin  
18 was then sonicated to create DNA fragments with a length of 200 to 1000 base pairs.  
19 Fragmented chromatin was subjected to immunoprecipitation with/without a polyclonal  
20 anti-histone H3 trimethyl Lys 27 antibody (Active Motif, Carlsbad, CA). The

1 co-immunoprecipitated fragments of DNA extracted using a QIAquick PCR  
2 Purification Kit (Qiagen, GmbH, Germany) were amplified by PCR. The PCR primer  
3 sequences are listed in Table S1. The assays were performed more than twice.

4

#### 5 ***Immunoblot analysis***

6 Cells were washed once with PBS, and proteins in the nuclear fraction were  
7 extracted using ProteoExtract (Merck, Darmstadt, Germany) with a protease inhibitor  
8 cocktail (Roche). The proteins (20 µg) were electrophoresed, transferred to a  
9 polyvinylidene difluoride membrane (Millipore, Billerica, MA, USA), and blotted with  
10 a polyclonal anti-histone H3 trimethyl Lys 27 antibody (Active Motif, Carlsbad, CA) or  
11 a rabbit monoclonal anti-histone H3 antibody (clone 3H1, Cell Signaling Technology,  
12 Danvers, MA).

13

#### 14 ***Statistical analyses***

15 Statistical analyses were performed using the Statview 5.0 software package  
16 (Abacus Concepts, Berkeley, CA). Statistical analysis of the expression data was  
17 performed using Student's *t* test or Welch's *t* test (two-group *t* test: Unpaired); the latter  
18 was used after dispersion of the data had been calculated by F-test, and Two-group *t*  
19 test: Paired or Wilcoxon signed-ranks test was used. Pearson's correlation and  
20 Spearman's rho coefficient test were calculated to examine the correlations among the

1 relative expressions of the various genes. Chi-square test, Fisher's exact test and  
2 Multivariate logistic regression analysis were used for comparing subgroups.  
3 Kaplan-Meier plots were used for calculating disease-free survival probabilities, and the  
4 log-rank test was employed for testing statistical significance. Data are expressed as  
5 mean  $\pm$  standard deviation. All reported *P* values are two-tailed, except for the F-test  
6 (one-tailed), and differences were considered significant at  $P < 0.05$ .

## 1 **Results**

### 2 ***Decreased expression of miRNA biogenesis pathway-related genes (miRBir genes) in*** 3 ***HCC***

4           Using qRT-PCR, we measured the expression of 14 mRNAs related to the  
5 miRNA biogenesis pathway (Drosha, Dgcr8, p68, p72, Dicer, Xpo-5, Ago1-4 and  
6 Piwil1-4) in 47 paired samples of primary HCC and corresponding non-cancerous liver  
7 tissue and 10 samples of non-HCC-associated liver tissue. Seven miRBir genes (Dgcr8,  
8 p68, p72, Dicer, Ago3, Ago4 ( $P < 0.01$ ) and Piwil4 ( $P < 0.05$ ), unpaired  $t$  test) were  
9 significantly down-regulated in tumors relative to the non-cancerous liver (Figure 1A).  
10 Among the 14 genes, none of the seven miRBir genes were down-regulated in samples  
11 of HCV-positive HCC, and three genes (p68, Dicer and Ago3) ( $P < 0.05$ ) showed  
12 decreased expression in HBV-positive HCCs (Figure 1B). In contrast, six miRBir genes  
13 (Dgcr8, p68, p72, Dicer, Ago3 and Ago4) were significantly ( $P < 0.01$ ) downregulated  
14 in samples of non-HBV-non-HCV (NBNC) HCC (Figure 1B).

15           We also examined expression of 14 miRBir genes in HCC cell lines. Eight  
16 genes (Drosha, Dgcr8, p72, Ago3, Ago4, Piwil1, Piwil2 and Piwil4) were significantly  
17 reduced in HCC cell lines which are negative for HBV and HCV infection compared to  
18 the non-cancerous liver tissues (Figure S2A). Six genes (p72, Ago1, Ago3, Ago4,  
19 Piwil1 and Piwil2) also significantly decreased in HBV-associated two HCC cell lines  
20 (Alex and HuH-1) (Figure S2B).

1           We then examined correlations among the relative expression ratios (tumor  
2 versus non-cancerous liver) of these seven genes. Correlations among the relative  
3 expressions of the Dgcr8, p68 and p72 genes, all of which encode the Drosha complex  
4 in the nucleus, and among those of the Dicer, Ago3 and Ago4 genes, were notable  
5 (Pearson's correlation coefficient  $> 0.70$ , except between the Dicer and Ago4 genes  
6 (0.679),  $P < 0.05$ ) (Figure 1C, Table S2). We found that reduced Dicer expression was  
7 significantly correlated with downregulation of let-7a in HCC (Figure 1D), which has  
8 been reported to be decreased in HCC and negatively regulates cell proliferation [23].

9

10 ***HCC risk factors and multi-centric tumor occurrence are associated with reduced***  
11 ***expression of miRBir genes in non-cancerous liver***

12           Frequent decrease of the miRBir genes in NBNC HCC prompted us to examine  
13 whether any etiological factors other than virus infection may be associated with this  
14 aberrant expression. We first examined whether there was any correlation between  
15 expression change of the miRBir genes in HCC and non-viral etiological factors  
16 (alcohol intake, smoking and HbA1c). However, reduction of all genes in tumor was not  
17 associated with these etiological factors. (Figure S3).

18           We then examined the relationship between miRBir gene expressions in  
19 non-cancerous liver tissues and etiological factors (Figure 2). Firstly, we examined the  
20 relationship between background liver histology and expression of microRNA

1 biogenesis components. Significant difference in the Ago3 gene expression was  
2 observed between normal liver and chronic hepatitis (CH). Significantly decreased  
3 expression of the Ago3, Ago4 and Piwil4 genes was detected in precirrhosis (PC) status  
4 compared to the normal liver (Figure S4). There was no significant association between  
5 background liver histology and other etiological factors (smoking, alcohol and diabetes)  
6 (Table S3). Lastly we examined relationship between expression of miRBir gene and  
7 etiological factors. Notably expression of five miRBir genes (Ago1, Ago2, Ago3  
8 Exportin-5, Dgcr8) was already reduced in the non-cancerous liver of patients who  
9 smoked. Decreased expression of Piwil 1 was found to be associated with habitual  
10 alcohol intake. Reduced expression of the Ago1 gene was also associated with an  
11 elevated level of HbA1c, a serum marker of diabetes. Multivariate analysis revealed that  
12 reduced expression of Ago1 gene was independently associated with smoking and  
13 diabetes (Tables 2, 3 and S4). Therefore aberrant expression of most miRBir genes in  
14 non-cancerous liver is associated with non-viral etiological factors.

15 Previous studies showed that etiological high risk factors of HCC are  
16 associated with the occurrence of multi-centric tumor [1, 2]. Interestingly expression of  
17 seven miRBir genes (Ago1, Ago3, Ago4, Dicer, Piwil1, Piwil2 and p72) was  
18 significantly reduced in non-cancerous tissues with multicentric tumors (Figure 2).  
19 There was no significant relationship between the presence of intra-hepatic metastasis  
20 and expression of the miRBir genes in non-cancerous liver (Figure S5).

1

**2 *Prognostic significance of miRBir gene reduction in HCC***

3           Expression of the p68 and p72 genes in tumors relative to that in the paired  
4 samples of non-cancerous liver was significantly ( $P < 0.01$ ) decreased in more poorly  
5 differentiated cases (Figure 3A). Decreased expression of the p68 and Dicer genes but  
6 not others was significantly associated with shorter recurrence-free survival time (p68:  
7  $P = 0.0217$ , Dicer:  $P = 0.0273$  by log-rank test) (Figure 3B).

8

**9 *H3K27me3 in promoter regions partly regulates the silencing of miRBir genes***

10           Because reduced expression of the miRBir genes is already evident in  
11 non-cancerous liver tissues with characteristic etiological backgrounds such as smoking,  
12 we hypothesized that epigenetic regulation may play a role in this aberrant gene  
13 expression.

14           To examine whether methylation of CpG islands is involved in deregulation of  
15 the miRBir genes, we chose two non-HBV genome integrated HCC cell lines (HepG2  
16 and KYN2 cells). Bisulfite sequencing of CpG islands in the promoter regions revealed  
17 that fully methylation in the p72 gene, partial methylation in the Ago4 gene and  
18 unmethylation in the Dgcr8 gene (Figure S6) in these cells. We treated these cell lines  
19 with 5-aza-dC, a DNA methyltransferase inhibitor and measured the expressions of  
20 these genes by qRT-PCR. We validated the effect of 5-aza-dC treatment on the

1 expression of the SFRP1 gene, which has been previously confirmed to be silenced by  
2 CpG island methylation in HCC (Figure S7) [24]. Even in this condition, expression of  
3 the seven genes was little affected or even decreased after treatment in HepG2 and  
4 KYN2 cells (Figure 4A).

5 We next focused on trimethylated H3K27 (H3K27me3), a repressive histone  
6 modification that plays a major role in epigenetic silencing in stem cells and cancer, and  
7 is independent of, or coexists with, DNA methylation [25]. We investigated the  
8 presence of H3K27me3 in the promoter regions of seven miRBir genes using  
9 ChIP-PCR in these HCC cell lines (Figure S8). We detected the presence of H3K27me3  
10 modification in the promoter regions of the p72, Dicer, Ago3 and Piwil4 genes in two  
11 cell lines, while H3K27me3 in the promoter regions of Dgcr8, p68 and Ago4 genes was  
12 detected only in HepG2 cells (Figure 4B).

13 To determine whether this histone modification is actively implicated in the  
14 epigenetic silencing of target genes, we then attempted to decrease H3K27me3  
15 modification in these cells. H3K27 methylation is catalyzed by two highly-related  
16 histone methyltransferases (HMTs) in the Polycomb Repressive Complex 2 (PRC2)  
17 [26]. PRC2 contains multiple proteins, among which EED protein plays a pivotal role in  
18 the propagation of H3K27me3 marking [27]. We knocked down EED expression using  
19 siRNA, which decreased H3K27me3 in the two cell lines (Figure 4C). In HepG2 cells,  
20 five genes out of seven increased when EED was knocked down, and four genes



1 increased by EED siRNA treatment in KYN2 cells (Figure 4D).

## 1 **Discussion**

2           Our expression analysis of the miRNA biogenesis pathway revealed that seven  
3 key molecules, including the Dicer gene, were frequently and simultaneously  
4 downregulated in HCC, indicating that decreased miRNA biogenesis pathway is  
5 associated with human hepatocarcinogenesis, as has been proposed in a mouse model  
6 [17]. Furthermore, we found that reduced expression of the miRNA biogenesis  
7 components occurred more frequently in HCC without hepatitis viral infection.  
8 Downregulation of five genes (Ago1, Ago2, Ago3 Exportin-5 and Dgcr8) in  
9 non-cancerous liver was associated with a history of smoking. Other genes (Piwi4 and  
10 Ago1) were reduced in background liver of patients with habitual alcohol intake and  
11 diabetes. Especially reduction of Ago1 gene was independently associated with  
12 smoking and diabetes. Izzotti *et al.* [28] reported that cigarette smoke exposure caused  
13 downregulation of miRNA in the lungs of rats, which suggests that miRNA  
14 deregulation resulting from chronic exposure to non-viral carcinogens or metabolic  
15 stress could be a more general phenomenon leading to malignancy. In addition to  
16 inducing downregulation of tumor-suppressive miRNAs, deregulation of miRNA  
17 biogenesis may have other biological significance in hepatocarcinogenesis. Recently  
18 Dicer and Drosha are reported to be indispensable for DNA repair and DNA damage  
19 response in normal cells [29, 30]. It is possible that aberrant regulation of miRNA  
20 synthesis pathway also plays an important role in genomic instability of HCC.

1

2           Reduced expression of the Dicer or Drosha gene is reportedly associated with  
3 clinical aggressiveness or poorer prognosis of tumors arising from various organs,  
4 including the lung and ovary [12, 31]. Decreased Dicer expression in cancer conferred  
5 increased proliferative ability and an invasive phenotype [32, 33]. Our analysis has  
6 revealed that downregulation of seven components of the miRNA-biogenesis pathway  
7 is associated with the presence of multicentric tumors. Downregulation of the p68 and  
8 Dicer genes was also significantly associated with shorter recurrence-free survival time.  
9 These results suggest that deregulation of miRNA biogenesis components is tightly  
10 associated with a higher risk of both liver carcinogenesis and tumor recurrence.

11

12           The molecular mechanisms underlying deregulation of the miRNA biogenesis  
13 pathway in tumorigenesis are only now becoming clearer [9-11], and recent studies  
14 have demonstrated downregulation of the Dicer gene by either induction of  
15 Dicer-targeting miRNAs (miR-103/107) [32] or suppression of its direct trans-activator  
16 (TAp63) [33], as well as recurrent mutations of the Xpo5, TARBP2 and Dicer genes in  
17 mismatch repair-deficient cancers or other tumors [13-15, 34]. A discrepancy of the  
18 down regulated genes between in primary HBV-positive HCC and in cell lines derived  
19 from HBV-positive HCC indicated the status of viral protein activity may be associated  
20 with expression of miRBir genes. Based on our findings in clinical samples, we

1 hypothesized that epigenetic silencing might be responsible for downregulation of the  
2 miRNA pathway in hepatocarcinogenesis. CHIP-PCR analysis revealed the frequent  
3 presence of H3K27me3 in the promoters of downregulated miRNA biogenesis  
4 components and a global decrease of trimethylation of lysine 27 in histone H3  
5 (H3K27me3) by EED knockdown induced partial recovery of the expression of the  
6 target genes and let-7a in HCC cells (Figure S9). However, there was also a discrepancy  
7 between the expression of Dicer gene and let-7a in HepG2 cells, suggesting that  
8 complicated molecular mechanisms exist to regulate tumor suppressive miRNA  
9 expression [35].

10 H3K27me3 is one of the major epigenetic histone modifications in silenced  
11 chromatin, and is catalyzed by HMTs (EZH1 and EZH2) [26, 27]. Increased expression  
12 and oncogenic activity of HMTs, especially EZH2, has been reported in HCC [36] and  
13 H3K27me3 modification silences tumor suppressor genes in other cancer types [37].  
14 Our results suggest that aberrant H3K27me3 modification modulates the miRNA  
15 biogenesis pathway in HCC.

16

17 In conclusion, our study has for the first time demonstrated that reduced  
18 expression of genes related to the miRNA biogenesis pathway is frequent, and  
19 especially associated with specific etiological backgrounds and poor prognosis in HCC.  
20 Additional analyses of HCC cell lines have shown that histone modification could be at

1 least partly implicated with this deregulation. The identification of this novel molecular  
2 alteration should be of clinical significance for designing diagnostic or preventive  
3 modalities and evaluating the prognosis for liver cancers, especially the non-viral  
4 subtype.

5

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10

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- 9

1 **Figure legends**

2 **Figure 1.** Decreased expression of miRNA-biogenesis-related (miRBir) genes in  
3 primary HCC. (A) Relative expression of each gene related to miRNA biogenesis was  
4 measured by quantitative RT-PCR in tumor (T), non-cancerous liver (N). The adjusted  
5 expression data to that of non-cancerous liver tissue in each gene is shown. Significant  
6 difference was indicated by asterisk (\*  $P < 0.05$ , \*\*  $P < 0.01$ ). (B) Relative expression of  
7 the miRBir genes in virus-positive (HCV or HBV) and virus-negative (NBNC) HCC.  
8 Significant difference was indicated by asterisk (\*  $P < 0.05$ , \*\*  $P < 0.01$ ). (C) Correlations  
9 among the relative expressions ratio (T/N) of the Dgcr8, p68 and p72 genes (left), and  
10 those of the Dicer, Ago3 and Ago4 genes in HCC. Pearson's correlation coefficient  $>$   
11 0.70, except between the Dicer and Ago4 genes (0.679) ( $P < 0.05$ ). (D) Significant  
12 correlation between Dicer and let-7a expression in HCC. Pearson's correlation  
13 coefficient was 0.422 ( $P < 0.01$ ).

14 **Figure 2.** The association between relative miRBir gene expression in non-cancerous  
15 liver and etiological factors. Relative expressions of miRBir genes in non-cancerous  
16 liver of patients with (closed column) or without (open column) alcohol intake (top),  
17 smoking (upper middle), increased HbA1C (lower middle) and Multiplicity (bottom)  
18 were shown. Significant difference was indicated by asterisk (\*  $P < 0.05$ , \*\*  $P < 0.01$ ).

19 **Figure 3.** Reduction of the miRBir genes is associated with prognosis in HCC. (A)  
20 Association between expression of the p68 and p72 genes and tumor histology.

1 Expression of the miRBir genes in tumors relative to that in the paired samples of  
2 non-cancerous liver (T/N) in different histological category was shown. Expression of  
3 the p68 and p72 genes was significantly ( $P < 0.01$ ) decreased in poorly differentiated  
4 cases (indicated by asterisk). (B) Decreased expression of the p68 and Dicer genes was  
5 associated with shorter recurrence-free survival time. Kaplan-Meier plots of the patients  
6 segregated according to the relative (tumor versus non-cancerous liver (T/N))  
7 expression of the two genes are shown. Significant difference was indicated by asterisk  
8 (\*  $P < 0.05$ , \*\*  $P < 0.01$ ).

9 **Figure 4.** Epigenetic regulation of the miRBir genes by histone H3 lysine 27  
10 trimethylation in HCC cells. (A) Expression of the miRBir-related genes in HepG2 and  
11 KYN2 cells upon treatment with 5-Aza-dC. Expression of miRBir genes in drug-treated  
12 HCC cells relative to the untreated ones is shown. (B) Detection of H3K27me3 in the  
13 promoter regions of the p72, Dicer, Ago3 and Piwil4 genes in all cell lines, while  
14 H3K27me3 in the promoter regions of Dgcr8, p68 and Ago4 genes was detected in  
15 three cell lines. Chromatin immunoprecipitates obtained with anti-H3K27me3 antibody  
16 (H3K27me3) or control IgG (NC) were amplified with primers covering the promoters  
17 of the miRBir genes and electrophoresed. (C) Decrease of H3K27me3 in HCC cells  
18 with EED knockdown. Immunoblot analysis of H3K27me3 and total histone H3 in the  
19 nuclear fraction of siRNA-treated HepG2 and KYN2 cells. (D) Recovery of the  
20 downregulated miRBir genes in EED-knockdown HCC cells. Relative expressions of

- 1 the seven miRBir genes in the EED-knockdown cells are shown. Significant difference
- 2 was indicated by asterisk (\*  $P < 0.05$ , \*\*  $P < 0.01$ ).
- 3



1 **Supporting information**

2 **Figure S1.** The biogenesis of miRNAs in mammalian cells.

3 **Figure S2.** The relative expressions of miRNA biogenesis pathway-related (miRBir)  
4 genes in HCC cell lines.

5 **Figure S3.** The association between relative miRBir gene expression in HCC and  
6 etiological factors.

7 **Figure S4.** The relationship between background liver histology and expression of  
8 miRNA biogenesis components in non-cancerous liver.

9 **Figure S5.** The relationship between intra-liver metastasis and expression of the miRBir  
10 genes in non-cancerous liver.

11 **Figure S6.** Methylation status of CpG islands in the promoters of the three miRBir  
12 genes.

13 **Figure S7.** Methylation specific PCR revealed intense CpG island methylation of the  
14 SFRP1 gene promoter in these cell lines.

15 **Figure S8.** Positions of CpG island and ChIP-PCR primer range in seven miRBir genes  
16 are presented.

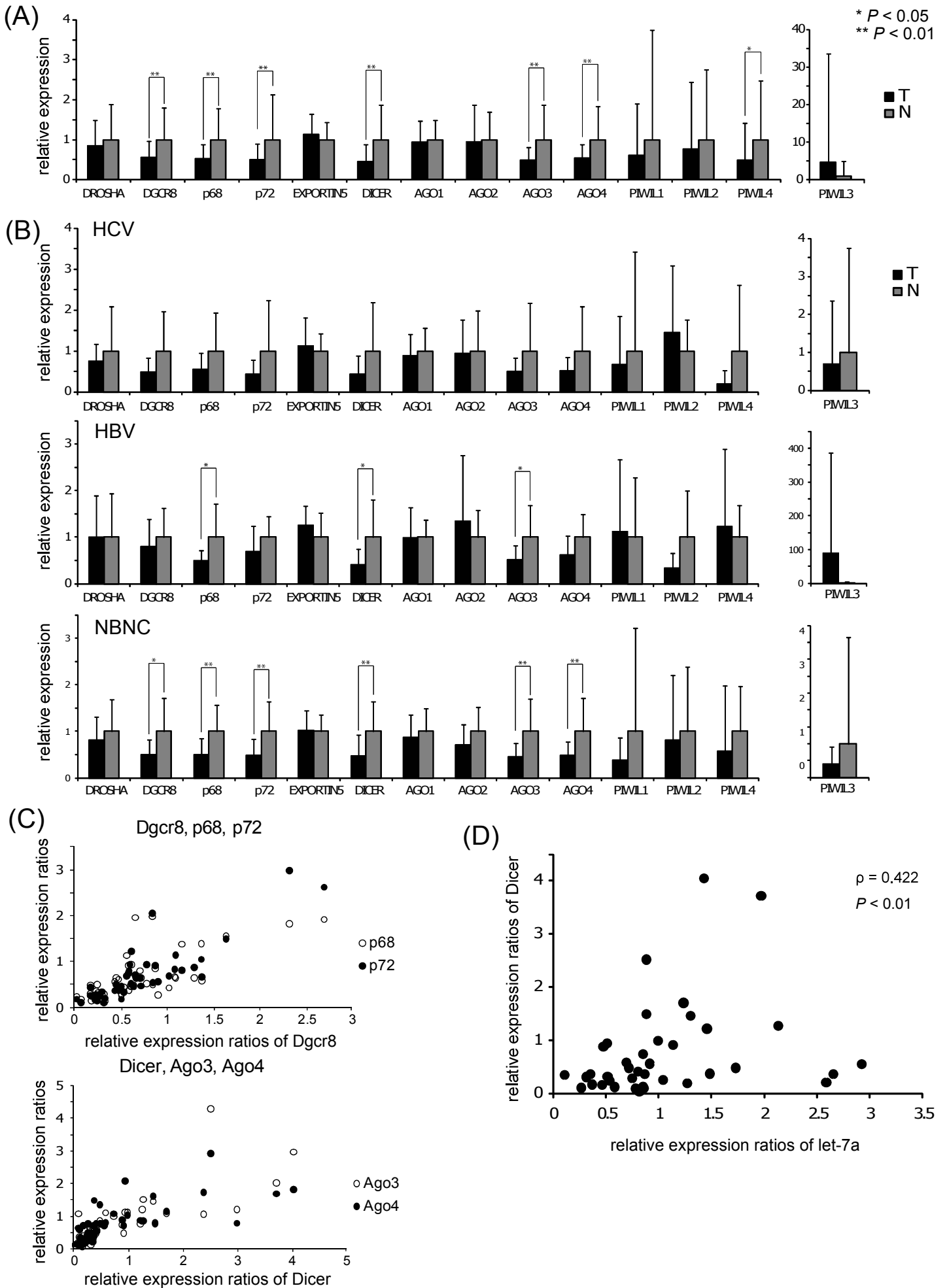
17 **Figure S9.** Decreased of let-7a miRNA in HepG2 cell line and Increased in KYN2 with  
18 EED siRNA knockdown.

19

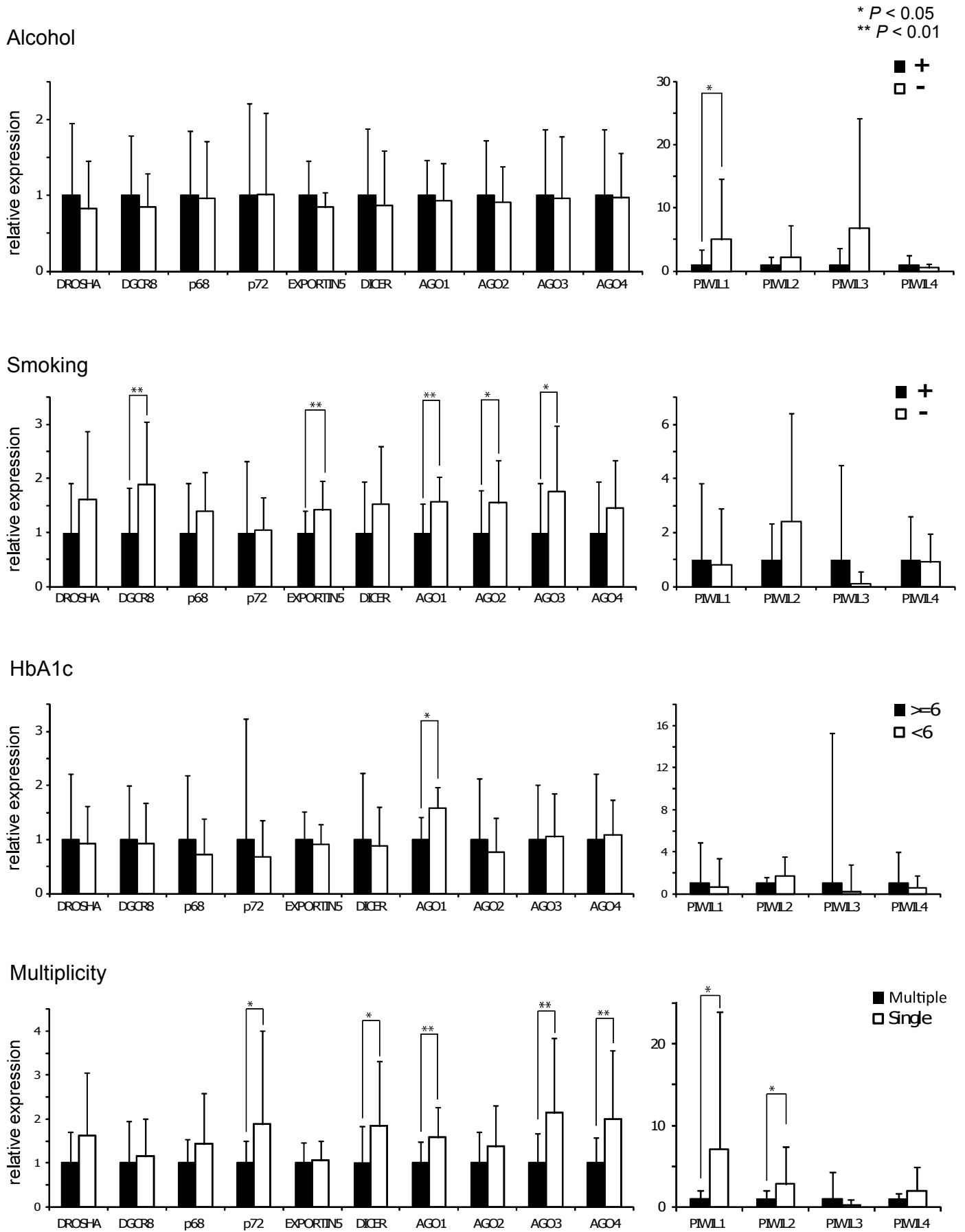
20 Table S1 PCR primers.

- 1 Table S2 Pearson's correlation among the relative expression ratios (tumor vs.
- 2 non-cancerous liver) of the 7 miRBir genes.
- 3 Table S3 Statistical analysis between subgroups of patients background (Alcohol,
- 4 Tobaccl and Diabetes).
- 5 Table S4 Mutivariate logistic regression analysis for Alcohol.

**Fig. 1**

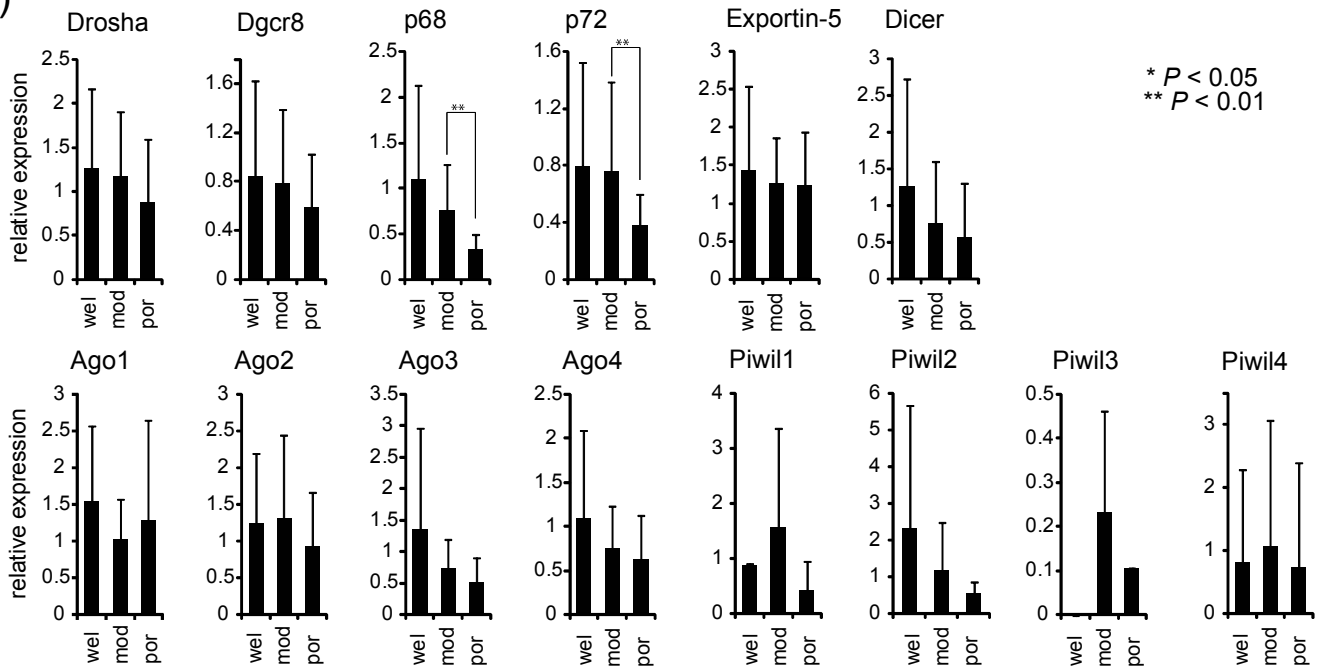


**Fig. 2**

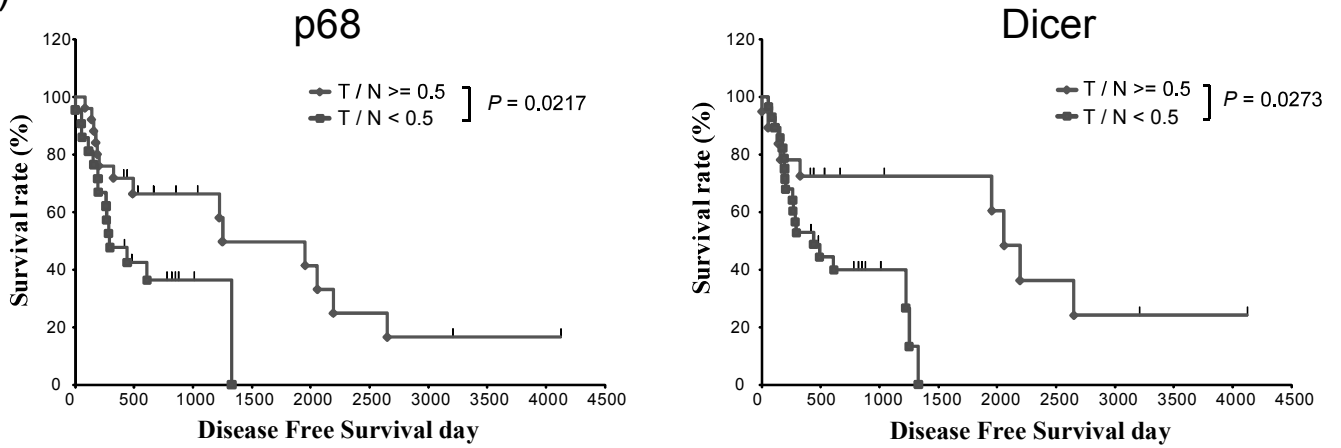


**Fig. 3**

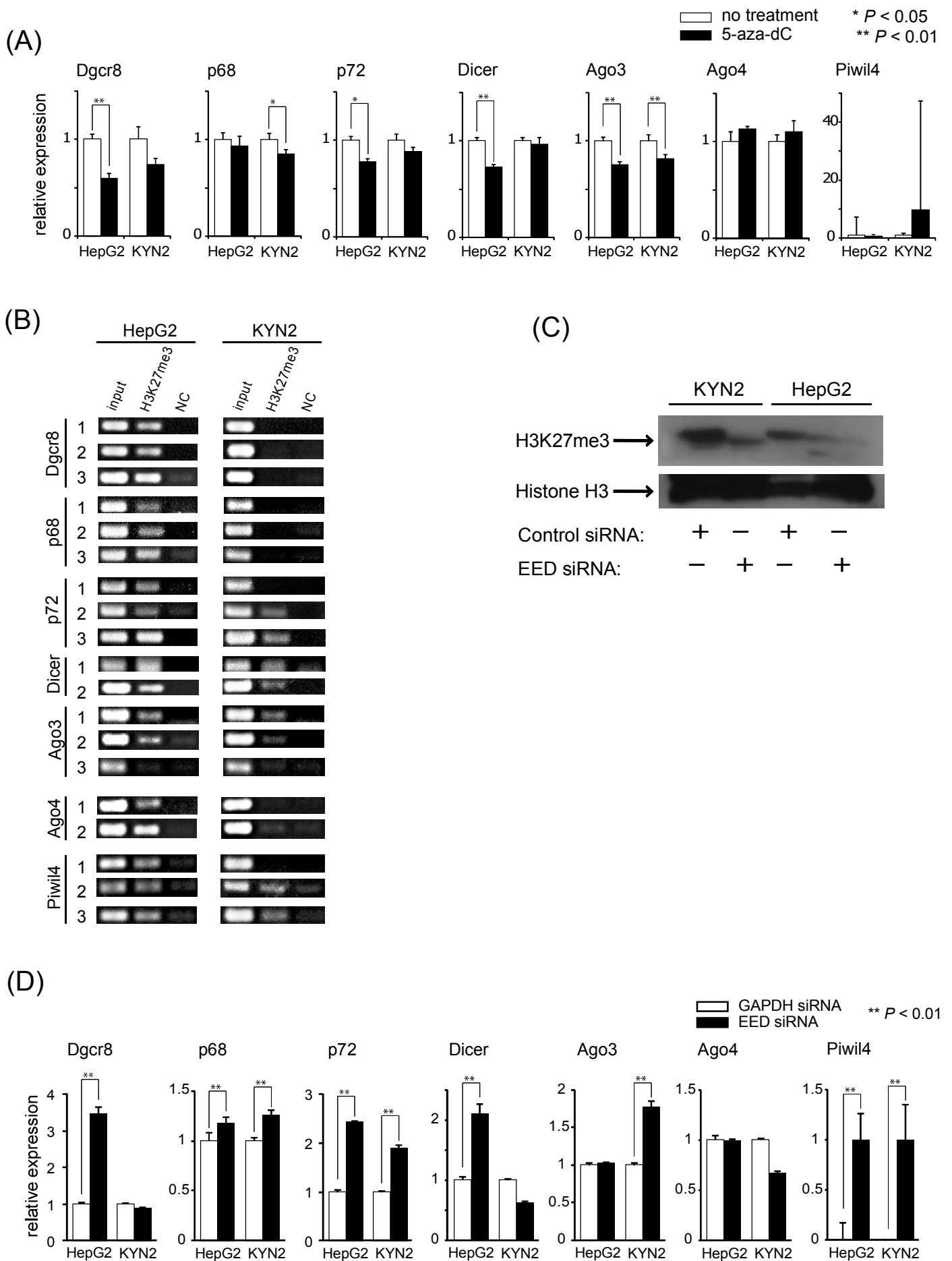
**(A)**



**(B)**



**Fig. 4**



**Table 1.** The Clinicopathological data of the 47 cases.

Characteristic		n (%)
Sex	Male	40 (85.1)
	Female	7 (14.9)
Median age, y (Range)		66.0 (40-78)
Viral infection	HBV positive <sup>a</sup>	11 (23.4)
	HCV positive <sup>b</sup>	16 (34.0)
	Both HBV and HCV Negative	18 (38.3)
	Past of HBV positive <sup>c</sup>	2 (4.3)
Tobacco	Yes	33 (70.2)
	No	14 (29.8)
Alcohol	Yes	34 (72.3)
	No	8 (17.0)
	Unknown	5 (10.6)
HbA1c	≥ 6.0 %	12 (25.5)
	< 6.0 %	28 (59.6)
	Unknown	7 (14.9)
Grade of tumor differentiation	Well differentiated HCC	7 (14.9)
	Moderately differentiated HCC	31 (66.0)
	Poorly differentiated HCC	9 (19.1)
Maximum tumor diameter	< 2.0 cm	4 (8.5)
	> 2.0 cm	43(91.5)
Number of Tumors	Single	32(68.1)
	Multiple	15(31.9)
Non tumor liver	Normal	7 (14.9)
	Chronic hepatitis	10 (21.3)
	Precirrhosis	19 (40.4)
	Cirrhosis	11 (23.4)
intra-hepatic metastasis	positive	8 (17.0)
	negative	39 (83.0)

<sup>a</sup>HBV positive represents positivity for hepatitis B surface antigen.

<sup>b</sup>HCV positive represents positivity for serum HCV antibody.

<sup>c</sup>History of past HBV infection represents negativity for hepatitis B surface antigen, positivity for hepatitis B surface antibody and positivity for hepatitis B core antibody.

**Table 2.** Mutivariate logistic regression analysis for smoking

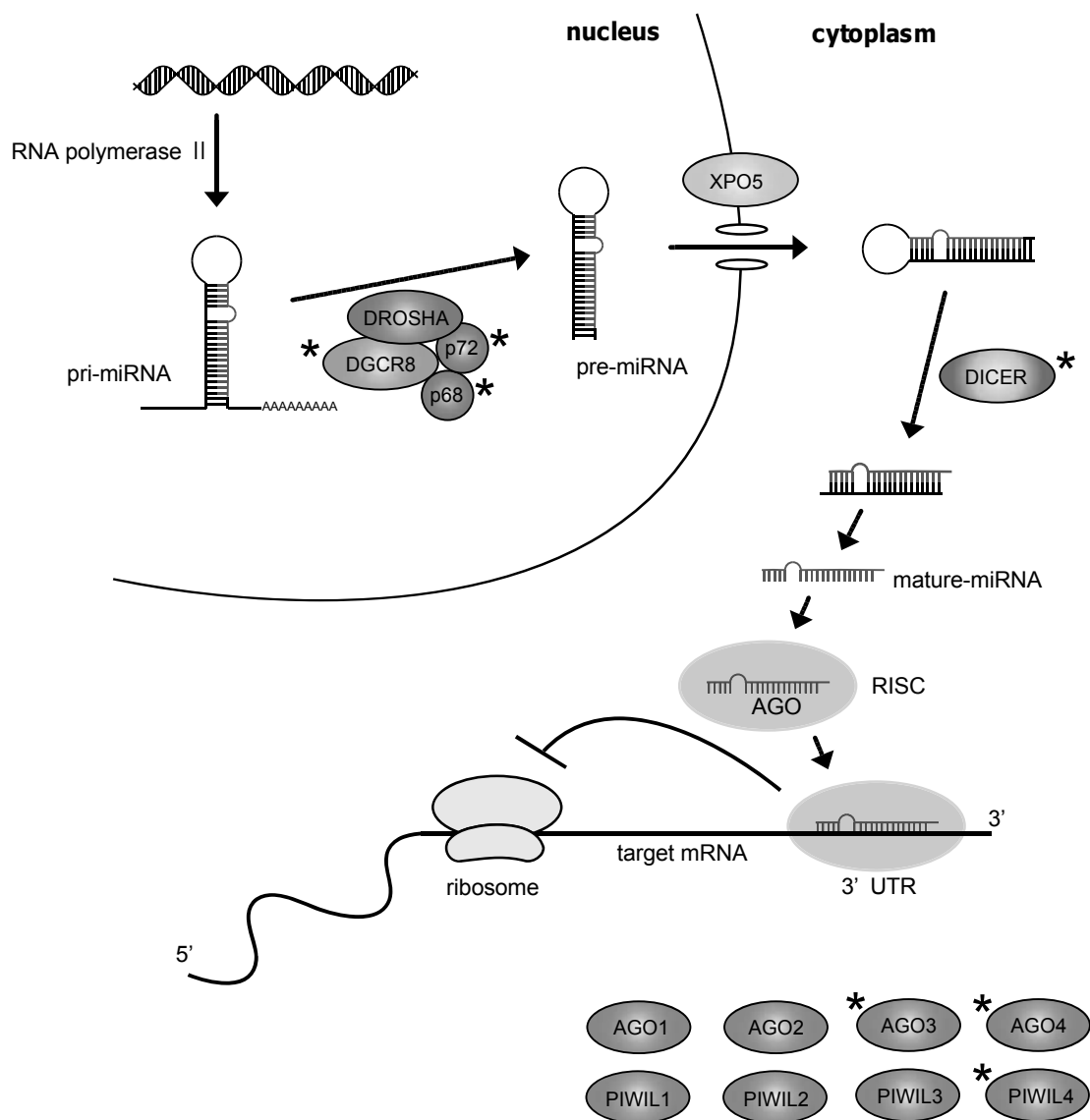
<b>Gender</b>	<b>Hazard ratio</b>	<b>95% CI</b>	<b>P value</b>
Non tumor liver			
Normal			
Chronic	0.199	0.154-9.888	0.842
Precirrhosis	0.642	0.170-32.96	0.521
Cirrhosis	0.709	0.189-35.20	0.478
DGCR8	-0.617	0.701-1.203	0.537
EXPORTIN5	-1.089	0.013-3.478	0.276
AGO1	-1.987	0.121-0.986	0.047
AGO2	0.235	0.230-6.504	0.814
AGO3	0.278	0.841-1.259	0.781



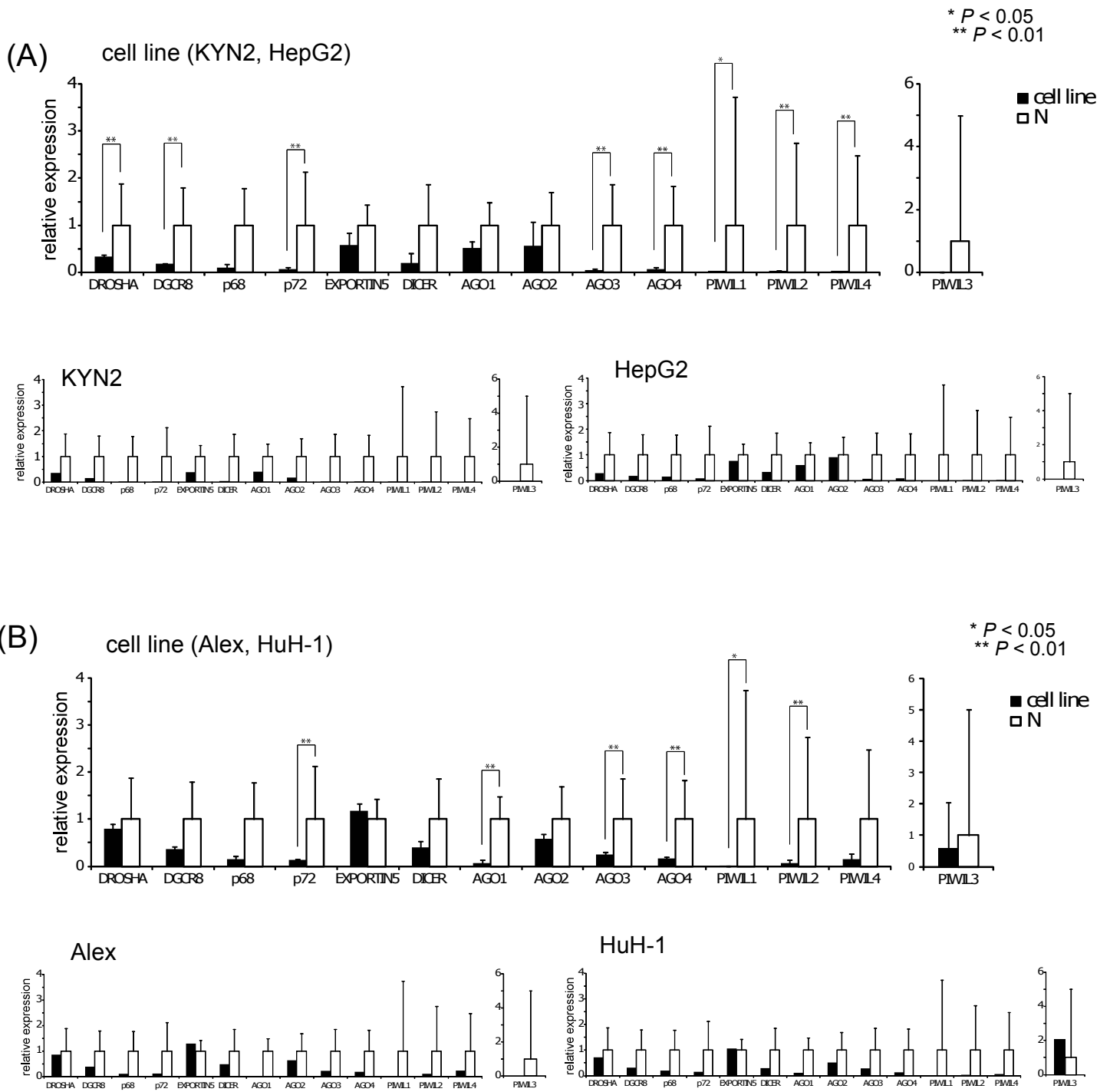
**Table 3.** Multivariate logistic regression analysis for diabate

<b>Gender</b>	<b>Hazard ratio</b>	<b>95% CI</b>	<b>P value</b>
Non tumor liver			
Normal			
Chronic hepatitis	0.534	0.230-13.05	0.593
Precirrhosis	1.104	0.344-45.80	0.2695
Cirrhosis	0.919	0.183-110.3	0.358
AGO1	2.377	1.287-13.818	0.0175

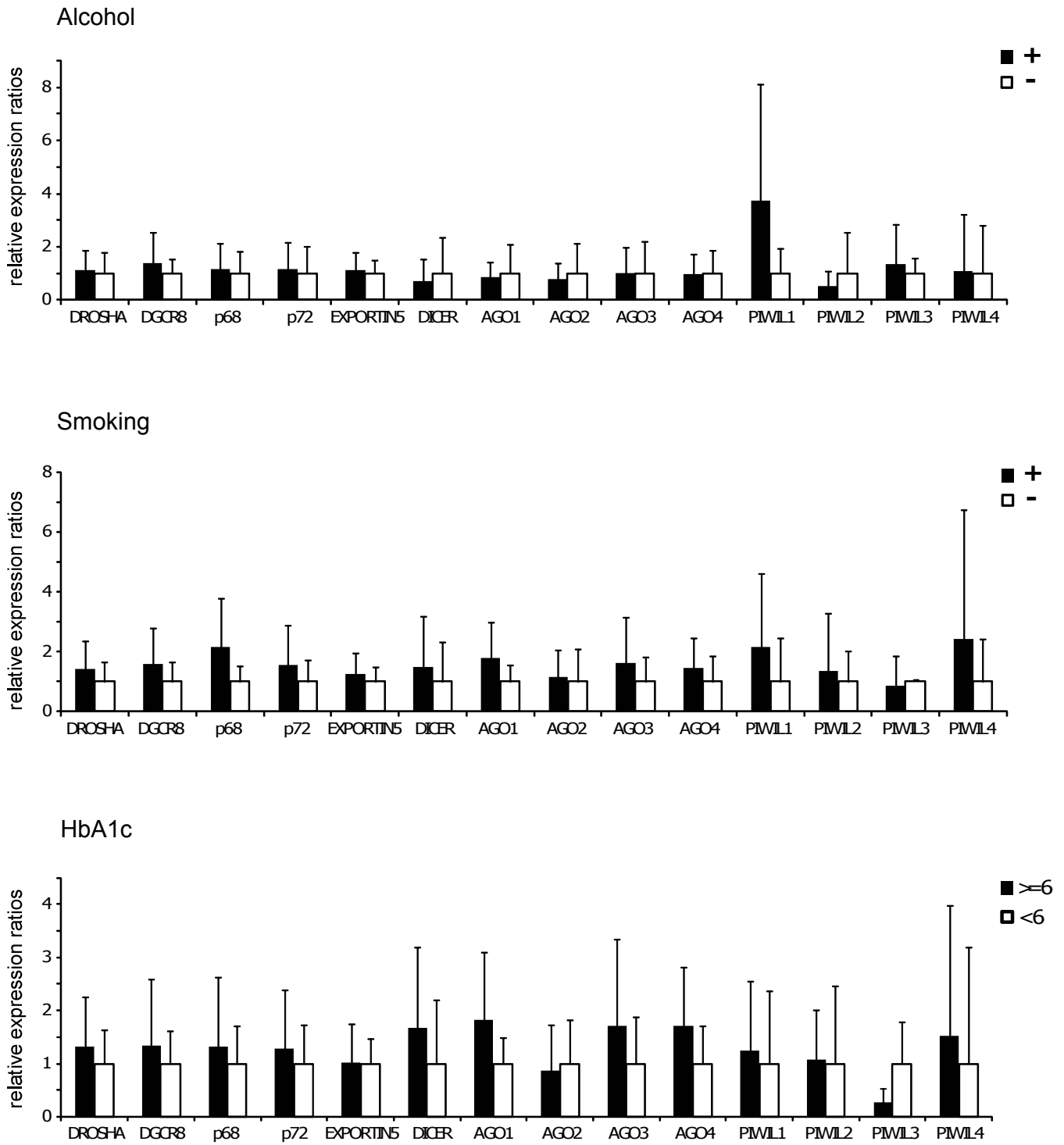
**Fig. S1**



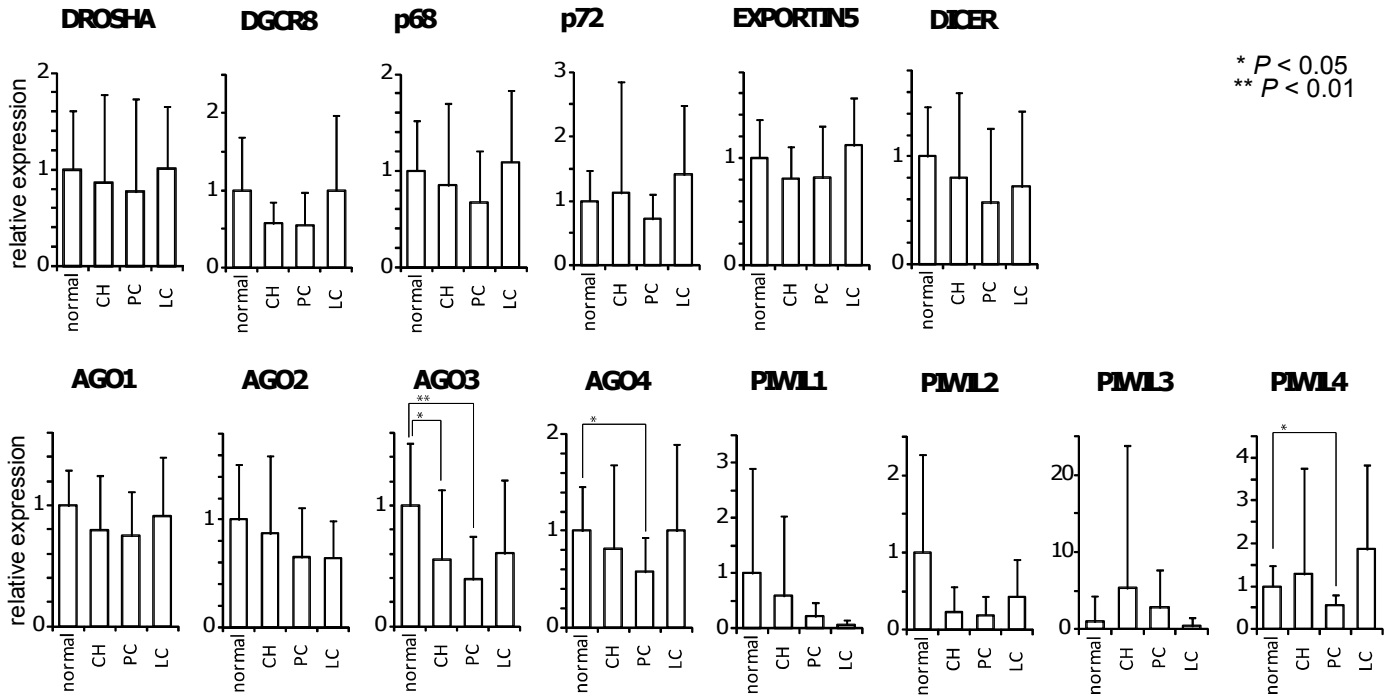
**Fig. S2**



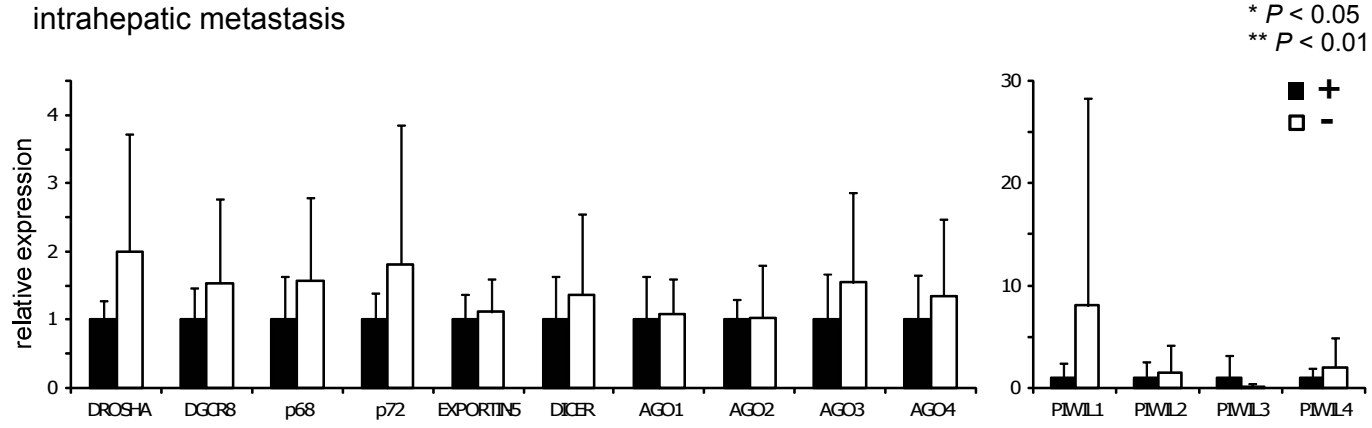
**Fig. S3**



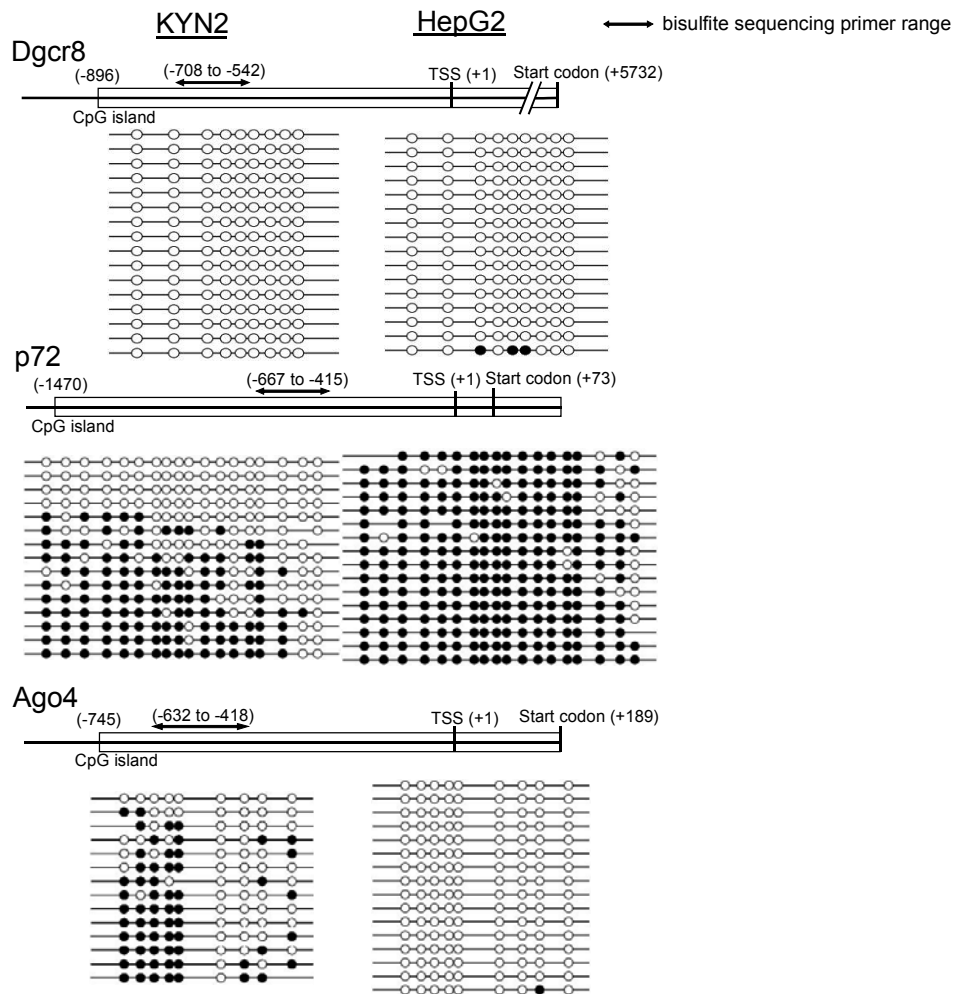
**Fig. S4**



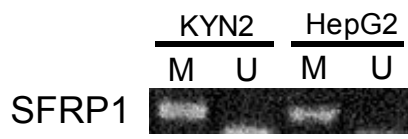
**Fig. S5**



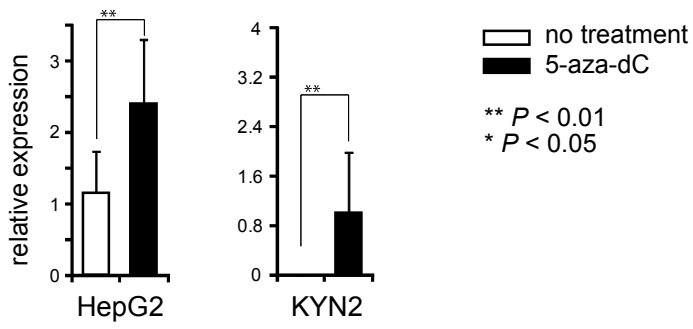
**Fig. S6**



**Fig. S7**

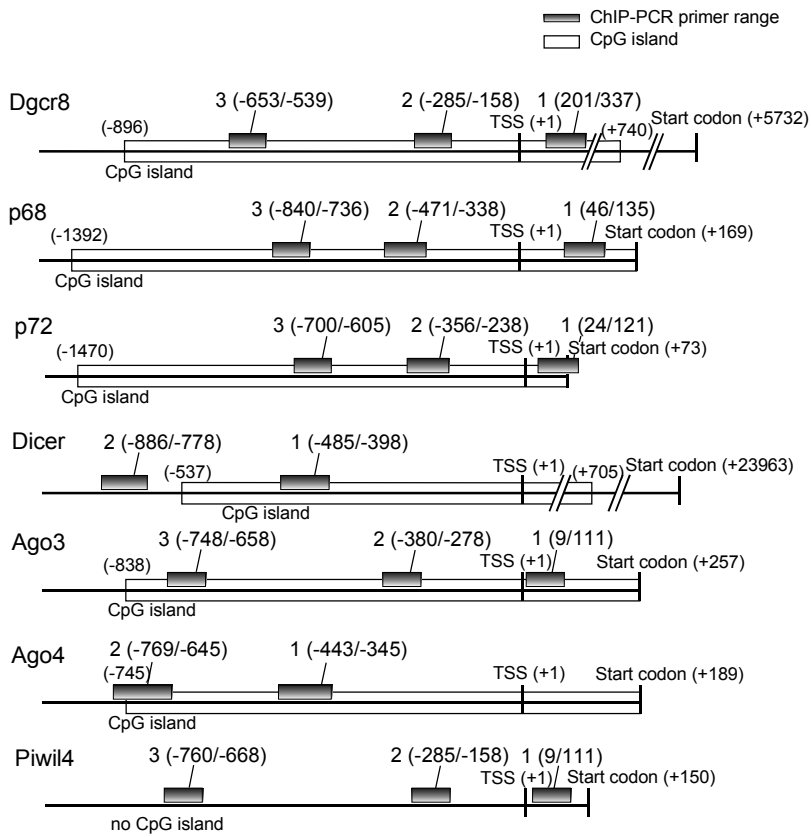


SFRP1

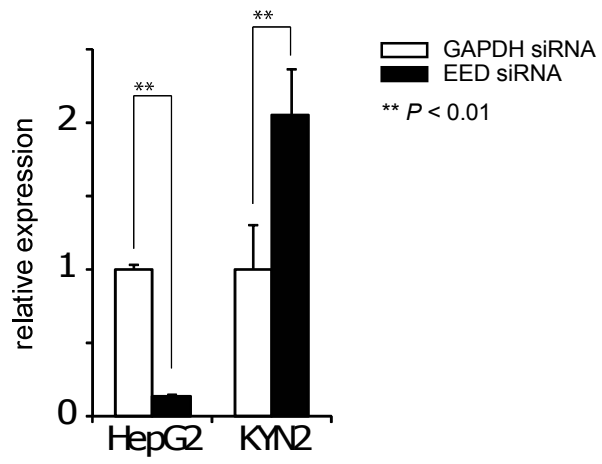




**Fig. S8**



**Fig. S9**



**Table S1.** PCR primers.

Primer name	Sequence		Product size (bp)	UPL probe Number	Annealing temp. (°C)	Number of cycle
	Forward	Reverse				
<b>qRT-PCR</b>						
Drosha	TCTCTGGAAAGGTCCTACAAAA	CAGGTTCAGGAACAACCCGATA	76	#12		
Dgcr8	AAAACITTCGGAAGAATAAAGCTG	TCTGTTTAAACAAAGTCAGGGATGA	68	#47		
p68 (DDX5)	TATGGTTGGAGTGGACAGA	CCAGCACCAACAAATAGGC	122	#4		
p72 (DDX17)	AACCACAGCCATACTTGGGA	ATCGGCCACCTGCTGTACT	96	#61		
Exportin-5	TATATACTCTCCGCCGACA	GCCTCTTCAGAAAGACGTAGTGT	73	#25		
Dicer	AGCAACACAGAGATCTCAACATT	GCAAAAGCAGGGCTTTTCAT	94	#47		
Ago1	CACGGGTATATGGGATGGAA	GTGCCTGGAACACCTGCT	84	#32		
Ago2	GTCTCTGAAAGGCCAGTTCCA	ATACAGGCCCTCACGGATGG	65	#22		
Ago3	TGTGGCTATCGCTTGT	TACGCAGCTGGTCTGTGAAA	76	#34		
Ago4	TTCTGTGCAGATAAACAGAAAGG	ACTCAGATGGATGTGTATGGTA	89	#40		
Piwi1	AGAGGTTACCAGACCAGAAATGG	GTGTGGGAGAAACACTACCACCT	77	#64		
Piwi2	TGCTCCCATAAAGTCAATCG	CCTGGAAGTGTCTTTATTCTGC	83	#42		
Piwi3	TGAAAAACGCAACATCGTG	ACCAGTCTCTTGTGAATTGG	63	#29		
Piwi4	GCAGGATCTCAACCAACGAT	CACACCTCTTCCATGAAGGT	74	#9		
SFRP1	ATCCGCAATGTGGAGCAG	TTGCTGTTGGAAATGGTTTG	62	#63		
EED	AATCCGGTTGTGCAATCTT	CAGAGGATGGCTCGTATTGC	94	#89		
<b>Bisulfite sequencing</b>						
Dgcr8 (-708 to 542)	TTTTTATTAGTTAGTTAGTTGGTTT	ATCCCCTTTACTAATACAAAAATTTCC	167			touch down method
p68 (-1183 to -972)	GATTTTAGGGTTTATAGTGAAGGG	AAATCTCTCAACTTACCACCTCT	212		62°C →	
p72 (-667 to -415)	GGGTATTTTAAITTTGGAAGGGATAT	ATTATCAAAATTCAAAATCCTCTAAC	253		50°C	35
Dicer (-489 to -218)	GGAGGTGTTTAGAGGGGAAGTTAAGT	AACCACTCAAAAACAAAAAACAAC	272		(0.5°	
Ago3 (-622 to -434)	TTTGTAGTGTGTTTGTGTTAGTTATTT	TAAAACCACCCTCTTCTCTAAAC	189		C/cycle)	
Ago4 (-632 to -418)	GGGATTAGGGAGGAATGTATTAT	TACTAAAAATTCAAAAAACCCACTC	215			
<b>MSP</b>						
SFRP1-M	TGTAGTTTTCGGAGTTAGTGTCCGCG	CCTACGATCGAAAAACGACGGGAACG	126		60	35
SFRP1-U	GTTTTGTAGTTTTGGAGTTAGTGTGTG	CTCAACCCTACAATCAAAAAACAACAAAAI	118		60	35
<b>ChIP-PCR</b>						
Dgcr8-1 (201 to 337)	GGCCTTTGTGAGGCAACAT	CGGGAGAGGAGCCTCTTTTAC	137		65	43
Dgcr8-2 (-473 to -376)	GGTTGTATAACGCCTGTGTG	AACAGGGAGCGGGAGTAC	98		63	40
Dgcr8-3 (-653 to -539)	CTCGACCTCCCAAAACTCTG	CGCTGTCCCTTTGCTAATA	115		63	40
p68-1 (46 to 135)	GTGTCATCGGTGTCTTCTCT	ATAGAAAAAGCGTGCACAAAG	90		63	37
p68-2 (-471 to -338)	CTCCGGTGAAGTATTTCCG	TAACCAAAAAGGGGGGAAAG	134		63	37
p68-3 (-840 to -736)	GGGAGACACTCACCCGATC	GTGGATCGGTCTCCAG	105		63	37
p72-1 (24 to 121)	AGGAAGGAGAGCCCTAAACC	GACGGGAGCAAAACACAGAG	98		63	40
p72-2 (-356 to -238)	GTACCGAGATCGGAATCAGG	TCTGGTCCGACGTAACTTC	119		63	37
p72-3 (-700 to -605)	AATGCACCTCAGTGTGAACC	GAGCCGTGCCCTTCTTCTTC	96		63	40
Dicer-1 (-485 to -398)	GGGCGCATAGTAGGTTCTGC	GACTGCCTCATTGTTGCTC	89		67	45
Dicer-2 (-886 to -778)	TGCGCGCATAGTAGGTTCTGC	TTCAGTGGACCCCTGATAG	109		65	47
Ago3-1 (9 to 111)	ACGGGACTCCCCTCTGTG	CGCACTCCGAAAGCTCTG	103		63	40
Ago3-2 (-380 to -278)	GGCTCCAGGTAGGCTACTCC	GAGGGCAGGACTACTCG	103		63	40

Ago3-3 (-748 to -658)	GAGAAATTGGAAGCCAAACCAG	TTAATAGCGCCTCGTCACAC	111	63	33
Ago4-1 (-443 to -345)	AGGTGGCTCCTGTGAATCTC	CGTCCTGCAAAAAGATCTGG	98	63	37
Ago4-2 (-789 to -645)	CAAACCTCTGACCCCAAGGT	CTCTGGTCAGCAAATCACC	125	63	37
Pivl4-1 (18 to 130)	GTTGGTTGTGGATGCTGGAC	GCCACAAGAGCTGCAAAAGTC	113	63	37
Pivl4-2 (-285 to -158)	GGATACGACAGGCAATTTGC	TGTATTGGGTGGCATCAAAC	129	63	37
Pivl4-3 (-760 to -668)	CGTGGGAATCTGICATTTGC	GTGAGCCAATTCCTCTTGAC	93	63	37

\*M: Methylated, U: Unmethylated

**Table S2.** Pearson's correlation among the relative expression ratios (tumor vs. non-cancerous liver) of the 7 miRBir genes.

	Dgcr8	p68	p72	Dicer	Ago3	Ago4	Piwil4
Dgcr8	1.000	0.700	0.858	0.507	0.522	0.509	0.367
p68	0.700	1.000	0.804	0.528	0.600	0.415	0.512
p72	0.858	0.804	1.000	0.701	0.702	0.538	0.371
Dicer	0.507	0.528	0.701	1.000	0.854	0.679	0.344
Ago3	0.522	0.600	0.702	0.854	1.000	0.773	0.195
Ago4	0.509	0.415	0.538	0.679	0.773	1.000	0.257
Piwil4	0.367	0.512	0.371	0.344	0.195	0.257	1.000

black letters:  $> 0.6$  and  $p < 0.05$

Ago3, Ago4 and Dicer or Dgcr8, p68 and p72 are correlated with each other.

**Table S3.** Statistical analysis between subgroups of patients background (Alcohol, Tobacco and Diabetes)

Gender	Alcohol			Tobacco			HbA1c		
	Yes N = 34	No N = 8	P value*	Yes N = 33	No N = 14	P value*	>= 6.0 N = 12	< 6.0 N = 28	P value*
Sex									
Male	32	3	0.0012	30	10	0.1732	12	23	0.298
Female	2	5		3	4		0	5	
Age									
>= 65	18	6	0.4307	18	10	0.3435	9	18	0.7159
< 65	16	2		15	4		3	10	
HBV infection									
HBV positive	10	1	0.6569	7	4	0.7098	0	7	0.081
HBV negative	24	7		26	10		12	21	
HCV infection									
HCV positive	9	5	0.0924	12	4	0.7422	3	10	0.4096
HCV negative	25	3		21	10		9	18	
Tobacco									
Yes	26	4	0.1954	—	—	—	—	—	—
No	8	4		—	—		—	—	
Alcohol									
Yes	—	—	—	26	8	0.2939*	10	18	0.4437
No	—	—		4	4		1	6	
Unknown	—	—		3	2		1	4	
HbA1c									
>= 6.0	10	1	0.3916	11	1	0.0627	—	—	—
< 6.0	18	6		16	12		—	—	
Grade of tumor									
Well differentiated	5	2	0.1939*	5	2	0.5751*	3	4	0.5012*
Moderately	24	3		23	8		8	18	
Poorly differentiated	5	3		5	4		1	6	
Maximum tumor									
<2.0 cm	17	4	>0.9999	16	8	0.7516	7	14	0.7365
>2.0 cm	17	4		17	6		5	14	

Number of Tumors	23	5	>0.9999	20	12	0.1698	5	21	0.0705
Single	11	3		13	2		7	7	
Multiple									
Non tumor liver	8	1	0.5867*	6	5	0.6132*	3	8	0.8493*
Normal	13	4		14	5		6	10	
Chronic hepatitis	9	1		8	2		2	6	
Precirrhosis	4	2		5	2		1	4	
Cirrhosis									
im									
Positive	5	2	0.6012	7	1	0.4048	3	4	0.4096
Negative	29	6		26	13		9	24	

\*Fisher's exact test

\*\*Chi-square test

**Table S4.** Multivariate logistic regression analysis for Alcohol

<b>Gender</b>	<b>Hazard ratio</b>	<b>95% CI</b>	<b>P value</b>
Non tumor liver			
Normal			
Chronic hepatitis	-0.92	0.038-4.311	0.4547
Precirrhosis	-0.203	0.060-21.09	0.9372
Cirrhosis	-0.839	0.017-3.661	0.3113
PIWIL1	-1.514	0.485-1.097	0.13